#### Dalton2016 – DALTON Program Manual

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### Contents

Preface			
1	<b>Intr</b> 1.1 1.2	oduction         General description of the manual         Acknowledgments	<b>1</b> 2 3
Ι	DA	LTON Installation Guide	4
2	<b>Inst</b> 2.1 2.2 2.3 <b>Mai</b> 3.1	allation         Installation instructions         Hardware/software supported         Source files         ntenance         Memory requirements	<b>5</b> 5 5 <b>7</b> 7
	3.2 3.3	3.1.1 Redimensioning DALTON          New versions, patches          Reporting bugs and user support	7 8 9
Π	DA	ALTON User's Guide	10
4	<b>Get</b> 4.1 4.2	ting started with DALTON         The MOLECULE. INP input file         The DALTON. INP input file         4.2.1       A CASSCF geometry optimization         4.2.2       A RASSCF calculation of NMR parameters         4.2.3       A (parallel) cubic response calculation         4.2.4       General structure of the DALTON_INP file	<b>11</b> 11 13 13 14 15 16

	4.3	The POTENTIAL. INP input file		
	4.4	The first calculation with DALTON		
5	Get	etting the wave function you want		
	5.1	Necessary input to SIRIUS		
	5.2	An input example for SIRIUS		
	5.3	Hints on the structure of the <b>**WAVE FUNCTIONS</b> input		
	5.4	How to restart a wave function calculation		
	5.5	Transfer of molecular orbitals between different computers		
	5.6	Wave function input examples 33		
6	Pot	ential energy surfaces 42		
	6.1	Locating stationary points		
		6.1.1 Equilibrium geometries		
		6.1.2 Transition states using the image method		
		6.1.3 Transition states using first-order methods		
		6.1.4 Transition states following a gradient extremal		
		6.1.5 Level-shifted mode-following		
	6.2	Trajectories and Dynamics		
		6.2.1 Intrinsic reaction coordinates		
		6.2.2 Doing a dynamical walk		
		6.2.3 Calculating relative translational energy release		
	6.3	Geometry optimization using non-variational wave functions		
7	Mo	lecular vibrations 60		
	7.1	Vibrational frequencies		
	7.2	Infrared (IR) intensities		
	7.3	Dipole-gradient based population analysis		
	7.4	Raman intensities		
	7.5	Vibrational g factor		
8	Ele	ctric properties 68		
	8.1	Dipole moment		
	8.2	Quadrupole moment		
	8.3	Nuclear quadrupole coupling constants		
	8.4	Static and frequency dependent polarizabilities		
9	Cal	culation of magnetic properties 72		
	9.1	Magnetizabilities		
	9.2	Nuclear shielding constants		

ii

	9.3	Relativistic corrections to Nuclear shielding constants	76
	9.4	Rotational $g$ tensor	78
	9.5	Nuclear spin–rotation constants	79
	9.6	Indirect nuclear spin–spin coupling constants	80
	9.7	Hyperfine Coupling Tensors	83
	9.8	Electronic g-tensors	84
	9.9	Zero field splitting	85
	9.10	CTOCD-DZ calculations	85
		9.10.1 General considerations	86
		9.10.2 Input description	87
10	Calo	culation of optical and Raman properties	90
	10.1	Electronic excitation energies and oscillator strengths	90
	10.2	Vibrational Circular Dichroism calculations	92
	10.3	Electronic circular dichroism (ECD)	94
	10.4	Optical Rotation	96
	10.5	Vibrational Raman Optical Activity (VROA)	98
11	Get	ting the property you want 10	03
	11.1	General considerations	03
	11.2	Input description	04
		11.2.1 Linear response	04
		11.2.2 Quadratic response	07
		11.2.3 Cubic response	09
12	Dire	ect and parallel calculations 1	11
	12.1	Direct methods	11
	12.2	Parallel methods 1	12
<b>13</b>	Fini	te field calculations	13
	13.1	General considerations	13
	13.2	Input description	14
14	Con	tinuum solvation calculations	16
	14.1	Polarizable Continuum Model	16
		14.1.1 Input description	16
	14.2	Multiconfigurational Self-Consistent Reaction Field	19
		14.2.1 General considerations	19
		14.2.2 Input description	21

15	Polarizable embedding calculations	125
	15.1 General considerations	126
	15.2 Input description	127
<b>16</b>	Vibrational corrections	134
	16.1 Effective geometries	134
	16.2 Vibrational averaged properties	136
	16.3 Vibrationally averaged spin–spin coupling constants	138
17	Relativistic Effects	140
18	SOPPA, SOPPA(CC2), SOPPA(CCSD) and $RPA(D)$	142
	18.1 General considerations	142
	18.2 Input description molecular orbital based SOPPA	144
	18.3 Input description atomic orbital based SOPPA module	147
19	NEVPT2 calculations	151
	19.1 General considerations	151
	19.2 Input description	152
20	Examples of generalized active space CI calculations	153
	20.1 Energy calculation with a GAS-type active space decomposition I	153
	20.2 Energy calculation with a GAS-type active space decomposition II $\ .\ .\ .$	155
	20.3 Energy calculation with a RAS-type active space decomposition	156
21	Examples of coupled cluster calculations	158
	21.1 Multiple model energy calculations	158
	21.2 First-order property calculation	159
	21.3 Static and frequency-dependent dipole polarizabilities and corresponding dis-	
	persion coefficients	159
	21.4 Static and frequency-dependent dipole hyperpolarizabilities and correspond-	1.00
	ing dispersion coefficients	160
	21.5 Excitation energies and oscillator strengths	161
	21.6 Gradient calculation, geometry optimization	162
	21.7 R12 methods	163
22	Examples of Cholesky decomposition-based calculations	164
	22.1 Hartree-Fock energy and polarizability	164
	22.2 KT3 magnetic properties using London orbitals	165
	22.3 MP2 energy	165

22.4	Restart of MP2 energy	160
22.5	CC2 magnetic properties using the CTOCD/DZ method	168
22.6	Cholesky/CC2 excitation energies	16
22.7	CCSD(T) energy calculation using decomposed energy denominators	17
22.8	CCSD excitation energies using a reduced active subsystem	17
3 Asp	ects of symmetry in Dalton	17
23.1	Specifying symmetry by generators	17
23.2	Labelling of irreducible representations	17
23.3	Nuclear coordinates; symmetry-lowering	17
23.4	Treatment of higher symmetries	178
II D	ALTON Reference Manual	18:
4 Gen	ieral input module	18
24.1	General input to DALTON : <b>**DALTON</b>	18
	24.1.1 Geometry optimization module 1: <b>*OPTIMIZE</b>	18
	24.1.2 Parallel calculations : *PARALLEL	19
	24.1.3 Polarizable embedding model: *PEQM	19
	24.1.4 QM/MM model: *QM3	20
	24.1.5 Polarizable continuum model: <b>*PCM</b>	20
	24.1.6 The PCM cavity: <b>*PCMCAV</b> $\ldots$	20
	24.1.7 Potential derived charges: <b>*QFIT</b>	20
	24.1.8 Geometry optimization module 2: *WALK	20
	24.1.9 Molecule geometry and basis sets, <b>*MOLBAS</b>	21
24.2	Numerical differentiation : <b>**NMDDRV</b>	21
	24.2.1 Vibrational averaging of molecular properties: <b>*PROPAV</b>	21
	24.2.2 Vibrational analysis: *VIBANA	21
24.3	Decomposition of two-electron integrals : <b>**CHOLES</b>	21
5 Inte	gral evaluation, HERMIT	<b>21</b>
25.1	General	21
25.2	<b>**INTEGRALS</b> directives	21
	25.2.1 General: <b>**INTEGRALS</b>	21
	25.2.2 One-electron integrals: <b>*ONEINT</b>	23
	25.2.3 Two-electron integrals using TWOINT: <b>*TWOINT</b>	$23^{\prime}$
	25.2.4 Two-electron integrals using ERI: <b>*ER2INT</b>	23
	25.2.5 Integral sorting: <b>*SORINT</b>	240

	25.2.6 Construction of the supermatrix file: <b>*SUPINT</b>	41
26 Mo	lecule input format 24	42
26.1	General MOLECULE input	43
26.2	Cartesian geometry input	46
26.3	Z-matrix input	49
26.4	Using basis set libraries	51
26.5	Auxiliary basis sets	54
26.6	The basis sets supplied with DALTON	55
27 Mo	lecular wave functions, SIRIUS	63
27.1	General notes for the SIRIUS input reference manual	63
27.2	Main input groups in the **WAVE FUNCTIONS input module 2	64
	27.2.1 <b>**WAVE FUNCTIONS</b>	65
	27.2.2 *AUXILIARY INPUT	67
	27.2.3 *CI INPUT	67
	27.2.4 *CI VECTOR 2	68
	27.2.5 *CONFIGURATION INPUT 2	69
	27.2.6 *DFT INPUT	70
	27.2.7 DFT functionals	73
	27.2.8 *HAMILTONIAN 2	82
	27.2.9 *MP2 INPUT	83
	27.2.10 *NEVPT2 INPUT	84
	27.2.11 <b>*OPTIMIZATION</b>	84
	27.2.12 *ORBITAL INPUT	89
	27.2.13 *POPULATION ANALYSIS 2	93
	27.2.14 *PRINT LEVELS	94
	27.2.15 *SCF INPUT	95
	27.2.16 *SOLVENT	99
	27.2.17 *STEP CONTROL	00
	27.2.18 *TRANSFORMATION	02
	27.2.19 *CUBE	03
27.3	**MOLORB input module	04
28 HF	, SOPPA, and MCSCF molecular properties, ABACUS 3	05
28.1	Directives for evaluation of HF, SOPPA, and MCSCF molecular properties 3	05
	28.1.1 General: <b>**PROPERTIES</b> 3	05
	28.1.2 Calculation of Atomic Axial Tensors (AATs): *AAT	14
	28.1.3 Frequency-dependent linear response calculations: *ABALNR 3	15

vi

	28.1.4	Dipole moment and dipole gradient contributions: *DIPCTL	316
	28.1.5	Calculation of excitation energies: <b>*EXCITA</b>	317
	28.1.6	One-electron expectation values: <b>*EXPECT</b>	319
	28.1.7	Geometry analysis: *GEOANA	321
	28.1.8	Right-hand sides for geometry response equations: <b>*GETSGY</b>	322
	28.1.9	Linear response for static singlet property operators: <b>*LINRES</b>	325
	28.1.10	Localization of molecular orbitals: <b>*LOCALI</b>	327
	28.1.11	Nuclear contributions: *NUCREP	328
	28.1.12	POne-electron integrals: <b>*ONEINT</b>	329
	28.1.13	Relaxation contribution to geometric Hessian: *RELAX	329
	28.1.14	Reorthonormalization contributions to geometric Hessian: $*$ <b>REORT</b> .	330
	28.1.15	Seponse calculations for geometric Hessian: *RESPON	330
	28.1.16	Second-order polarization propagator approximation: <b>*SOPPA</b>	332
	28.1.17	Indirect nuclear spin-spin couplings: <b>*SPIN-S</b>	333
	28.1.18	Translational and rotational invariance: <b>*TROINV</b>	336
	28.1.19	Linear response for static triplet property operators: <b>*TRPRSP</b>	337
	28.1.20	Two-electron contributions: <b>*TWOEXP</b>	338
	28.1.21	Vibrational analysis: <b>*VIBANA</b>	340
20 Lin	oar and	non-linear response functions <b>RESPONSE</b>	3/12
<b>29 Line</b> 29 1	e <b>ar and</b> Direct	l non-linear response functions, RESPONSE	<b>342</b> 342
<b>29 Line</b> 29.1	e <b>ar and</b> Direct	I non-linear response functions, RESPONSE ives for evaluation of molecular response functions	<b>342</b> 342 343
<b>29 Line</b> 29.1	ear and Direct 29.1.1 29.1.2	a non-linear response functions, RESPONSE aves for evaluation of molecular response functions	<b>342</b> 342 343 345
<b>29 Line</b> 29.1	ear and Direct 29.1.1 29.1.2 29.1.3	I non-linear response functions, RESPONSE         ives for evaluation of molecular response functions         General: **RESPONSE         Linear response calculation: *LINEAR         Excitation energies calculation: *LINEAR with	<b>342</b> 342 343 345 348
<b>29 Line</b> 29.1	ear and Direct 29.1.1 29.1.2 29.1.3 29.1.4	A non-linear response functions, RESPONSE ives for evaluation of molecular response functions	<b>342</b> 342 343 345 348 351
<b>29 Line</b> 29.1	ear and Direct 29.1.1 29.1.2 29.1.3 29.1.4 29.1.5	A non-linear response functions, RESPONSE ives for evaluation of molecular response functions	<b>342</b> 342 343 345 348 351 353
<b>29 Line</b> 29.1	ear and Direct 29.1.1 29.1.2 29.1.3 29.1.4 29.1.5 29.1.6	A non-linear response functions, RESPONSE ives for evaluation of molecular response functions	<b>342</b> 343 345 348 351 353
<b>29 Line</b> 29.1	<ul> <li>ear and</li> <li>Direct.</li> <li>29.1.1</li> <li>29.1.2</li> <li>29.1.3</li> <li>29.1.4</li> <li>29.1.5</li> <li>29.1.6</li> </ul>	A non-linear response functions, RESPONSE ives for evaluation of molecular response functions	<b>342</b> 343 345 348 351 353 357
<b>29 Lin</b> 29.1	ear and Direct. 29.1.1 29.1.2 29.1.3 29.1.4 29.1.5 29.1.6 29.1.7	A non-linear response functions, RESPONSE ives for evaluation of molecular response functions	<b>342</b> 342 343 345 348 351 353 357 359
<b>29 Line</b> 29.1	ear and Direct: 29.1.1 29.1.2 29.1.3 29.1.4 29.1.5 29.1.6 29.1.7 29.1.8	A non-linear response functions, RESPONSE ives for evaluation of molecular response functions	<b>342</b> 342 343 345 348 351 353 357 359 360
<b>29 Lin</b> 29.1	ear and Direct: 29.1.1 29.1.2 29.1.3 29.1.4 29.1.5 29.1.6 29.1.7 29.1.8 29.1.9	A non-linear response functions, RESPONSE ives for evaluation of molecular response functions	<b>342</b> 343 345 348 351 353 357 359 360
<b>29 Line</b> 29.1	<ul> <li><b>ear and</b></li> <li>Direct.</li> <li>29.1.1</li> <li>29.1.2</li> <li>29.1.3</li> <li>29.1.4</li> <li>29.1.5</li> <li>29.1.6</li> <li>29.1.7</li> <li>29.1.8</li> <li>29.1.9</li> </ul>	A non-linear response functions, RESPONSE ives for evaluation of molecular response functions	<b>342</b> 342 343 345 348 351 353 357 359 360 362
<b>29 Lin</b> 29.1	<ul> <li>ear and</li> <li>Direct:</li> <li>29.1.1</li> <li>29.1.2</li> <li>29.1.3</li> <li>29.1.4</li> <li>29.1.5</li> <li>29.1.6</li> <li>29.1.7</li> <li>29.1.8</li> <li>29.1.9</li> <li>29.1.10</li> </ul>	A non-linear response functions, RESPONSE ives for evaluation of molecular response functions	<b>342</b> 342 343 345 348 351 353 357 359 360 362 362 363
<b>29 Line</b> 29.1	<ul> <li><b>ear and</b></li> <li>Direct:</li> <li>29.1.1</li> <li>29.1.2</li> <li>29.1.3</li> <li>29.1.4</li> <li>29.1.5</li> <li>29.1.6</li> <li>29.1.7</li> <li>29.1.8</li> <li>29.1.9</li> <li>29.1.10</li> <li>29.1.11</li> </ul>	A non-linear response functions, RESPONSE ives for evaluation of molecular response functions	<b>342</b> 342 343 345 348 351 353 357 359 360 362 363 365
<b>29 Lin</b> 29.1	<ul> <li>ear and</li> <li>Direct:</li> <li>29.1.1</li> <li>29.1.2</li> <li>29.1.3</li> <li>29.1.4</li> <li>29.1.5</li> <li>29.1.6</li> <li>29.1.7</li> <li>29.1.8</li> <li>29.1.9</li> <li>29.1.10</li> <li>29.1.11</li> <li>29.1.12</li> </ul>	I non-linear response functions, RESPONSE         ives for evaluation of molecular response functions         General: **RESPONSE         Linear response calculation: *LINEAR         Excitation energies calculation: *LINEAR with .SINGLE RESIDUE         Quadratic response calculation: *QUADRA         Second order transition moments: *QUADRA with .SINGLE RESIDUE         Transition moments between excited states: *QUADRA with .DOUBLE         RESIDUE         Cubic response calculation: *CUBIC         Third-order transition moments: *CUBIC with .SINGLE RESIDUE         Second order moments between excited states and excited state polarizabilities: *CUBIC with .DOUBLE RESIDUE         Module for C6, C8, C10 coefficients and more*C6         Damped response calculation: *ABSORP         Pelectron Spin Resonance: *ESR	<b>342</b> 342 343 345 348 351 353 357 359 360 362 363 365 367
<b>29 Line</b> 29.1	<ul> <li><b>ear and</b></li> <li>Direct:</li> <li>29.1.1</li> <li>29.1.2</li> <li>29.1.3</li> <li>29.1.4</li> <li>29.1.5</li> <li>29.1.6</li> <li>29.1.7</li> <li>29.1.8</li> <li>29.1.9</li> <li>29.1.10</li> <li>29.1.11</li> <li>29.1.12</li> <li>29.1.13</li> </ul>	A non-linear response functions, RESPONSE         ives for evaluation of molecular response functions         General: **RESPONSE         Linear response calculation: *LINEAR         Excitation energies calculation: *LINEAR with .SINGLE RESIDUE         Quadratic response calculation: *QUADRA         Second order transition moments: *QUADRA with .SINGLE RESIDUE         Transition moments between excited states: *QUADRA with .DOUBLE         RESIDUE         Cubic response calculation: *CUBIC         Third-order transition moments: *CUBIC with .SINGLE RESIDUE         Second order moments between excited states and excited state polarizabilities: *CUBIC with .DOUBLE RESIDUE         Oudule for C6, C8, C10 coefficients and more*C6         Damped response calculation: *ABSORP         Pelectron Spin Resonance: *ESR         Byperfine Coupling Constants: *HFC	<b>342</b> 342 343 345 348 351 353 357 359 360 362 363 365 367 369

30 Generalized Active Space CI calculation with LUCITA	<b>371</b>
30.1 General	371
30.2 *LUCITA directives	372
30.2.1 Mandatory keywords of <b>*LUCITA</b>	372
30.2.2 Optional keywords of <b>*LUCITA</b>	373
31 Coupled cluster calculations, CC	375
31.1 General input for CC: *CC INPUT	377
31.2 Ground state first-order properties: *CCFOP	382
31.3 Linear response functions: *CCLR	384
31.4 Quadratic response functions: *CCQR	387
31.5 Cubic response functions: *CCCR	390
31.6 Calculation of excitation energies: *CCEXCI	393
31.7 Ground state–excited state transition moments: *CCLRSD	395
31.8 Ground state–excited state two-photon transition moments: *CCTPA	397
31.9 Ground state–excited state three-photon transition moments: *CCTM	400
31.10 Magnetic circular dichroism: *CCMCD	402
31.11Transition moments between two excited states: *CCQR2R	404
31.12Excited-state first-order properties: *CCEXGR	405
31.13Excited state linear response functions and two-photon transition moments	
between two excited states: <b>*CCEXLR</b>	407
31.14Numerical Gradients <b>*CCGR</b>	409
31.15Calculation of linear response properties using an asymmetric Lanczos algo-	
rithm: *CCLRLCZ	410
31.16R12 methods: <b>*R12</b>	412
31.17Cholesky based MP2: <b>*CHOMP2</b>	414
31.18Cholesky based CC2: *CHOCC2	416
31.19Cholesky based CCSD(T): *CHO(T)	418
31.20Definition of atomic subsystems by Cholesky decomposition of the density	
matrix: *CHOACT	420
IV Appendix: DALTON Tool box	422
I. Ippolitik. Diliton 1001 box	1
V Deferences	490
v references	429
VI Index	455

### Preface

This is the documentation for the DALTON quantum chemistry program — part of the Dalton2016 program suite — for computing many types of Hartree–Fock, Kohn–Sham DFT, MCSCF, NEVPT2, MP2, MP2-R12, CI, CC, CC-R12 wave functions. and for calculating molecular properties and potential energy surfaces.

We emphasize here the conditions under which the program is distributed. It is furnished for your own use, and you may not redistribute it further, either in whole or in part. Any one interested in obtaining the Dalton2016 program suite should check out the Dalton homepage at http://www.daltonprogram.org.

Any use of the program that results in published material should cite the following:

and

The program has been developed over a large number of years and include an extensive list of contributing authors:

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The program represents experimental code that is under constant development. No guarantees of any kind are provided, and the authors accept no responsibility for the performance of the code or for the correctness of the results.

# Chapter 1

### Introduction

The DALTON program is designed to allow convenient, automated determination of a large number of molecular properties based on an HF, DFT, MP2, coupled cluster, or MCSCF reference wave function. Additions to the Dalton 2.0 release include density functional theory implemented fully up to quadratic response theory for closed-shell systems, as well as second derivatives for properties involving perturbation-dependent basis sets such a molecular Hessians, magnetizabilities and nuclear shieldings. Also available is the NEVPT2 approach (the *n*-electron valence second-order perturbation theory) for calculating accurate energetics of multireference-based systems including also dynamic electron correlation, a parallel large-scale multireference CI program based on the concept of Generalized Active Spaces, and highly accurate MP2-R12 methods are available for high-accuracy energetics of single-reference dominated closed-shell systems.

The program consists of seven separate components, developed more or less independently. HERMIT is the integral generator, generating ordinary atomic and molecular integrals appearing in the time-independent, non-relativistic Schrödinger equation, as well as an extensive number of integrals related to different molecular properties. ERI is a vectorized and distribution-oriented integral generator that may be invoked in certain calculations, in particular in integral-direct coupled cluster calculations. SIRIUS is the (MC)SCF wave function optimization part, and is described comprehensively in the METECC-94 book [1]. ABACUS evaluates the second-order molecular properties of interest for SCF and MCSCF wave functions as well density functional theory, in particular second-order static molecular properties in which the basis set depends on the applied perturbation. RESPONSE is a general-purpose program for evaluating response functions, up to cubic response functions for (MC)SCF wave functions, quadratic response for DFT, and linear response for the Second-Order Polarization Propagator Approximation (SOPPA) and Second-Order Polarization Propagator Approximation with Coupled Cluster Singles and Doubles Amplitudes -SOPPA(CCSD) or SOPPA(CC2). A new density functional theory module has been added in the 2.0 release of the program. Existing modules have been extended with the NEVPT2 and the MP2-R12 methodologies.

Throughout this manual there will be references to articles describing the implementation of a specific molecular property or input option. This will hopefully suffice to give the reader a proper theoretical understanding of the current implementation. Only one reference otherwise not mentioned in the text, the treatment of symmetry, is given here [2].

DALTON is in many respects an "expert's" program. This is most noticeable in the range and selection of molecular properties that may be calculated and the flexibility and stability of the wave function implementations available. As described in the individual sections, the range of molecular properties, some of which are highly non-standard, is fairly large. On the other hand, several common properties can perhaps more easily be calculated using other, (non-)commercially available, quantum chemistry program packages.

We have tried when writing this manual to emphasize the modularity of the input, as well as indicating the advantages that may be obtained from the flexibility of the input. Yet, whether the authors of this manual have succeeded or not, is up to the reader to decide, and any comments and suggestions for improvements, both on the manual as well as the program, will be much welcome.

#### **1.1** General description of the manual

The manual is divided into three main sections:

- The Installation Guide (Chapter 2—3) describes the installation and configuration of the DALTON program. Although of interest primarily to those installing the program, the section describes the program's use of memory and the default settings for various parameters in the program and how they may be changed. Thus, we strongly recommend that all users read through this section at least once.
- The User's Guide (Chapter 4—21) will be the most important part of this manual for most users. These chapters provide a short introduction on how to do a calculation with the DALTON program, considering both the supplied job run script dalton as well as some examples of input files for a complete run of the program. A short description of the different output files is also given.

These chapters also describe the input file needed to calculate different molecular properties. Some suggestions for how to do a calculation most efficiently, as well as recommendations for basis sets to be used when calculating non-standard molecular properties are also included. Although highly biased, we still hope that they may be of some use to the user. These are the most useful sections for ordinary calculations done with the DALTON program. In these chapters there are boxes indicating what we call reference literature. This reference literature not only serve as the best introduction to the implementational aspects of a given calculational procedure, but is also our recommended reference in published work employing specific parts of the code.

- The Reference Manual (Chapter 24—31) will for most calculations be of little use to the ordinary user apart from Chapter 26, which describes the most important file in any quantum chemistry calculation: the description of the molecule being studied. However, all possible keywords that may be given to direct the calculation using DALTON is documented in this Reference Manual.
- Appendix A gives a description of various tools provided to us from different users for preor post-processing of DALTON input/output.

Before starting we thus recommend reading the following chapters: 4, 5, and 26, and one or more of chapters 6—21, depending on the calculations you would like to do with the program. Chapters 2 and 3 are also highly recommended.

Questions regarding input and/or error messages produced during execution of the program should be directed to the Dalton forum at http://www.daltonprogram.org/forum where there will also be examples and tutorials available to get new users started. Bug reports should also be reported via the Dalton forum.

#### **1.2** Acknowledgments

We are grateful to Prof. Björn O. Roos and Dr. Per-Olof Widmark, University of Lund, for kindly allowing us to include in the distribution of the DALTON program routines for two-electron integral transformation routines (BOR) and routines for synchronous and asynchronous I/O (POW).

We are grateful to professor Knut Fægri (University of Oslo) who took the time to reoptimize the basis set of van Duijneveldt in order to provide in electronic form a basis set of similar performance to these widely used basis set, included here as the Not Quite van Duijneveldt (NQvD) basis sets.

We would also like to express our gratitude to Andreas Hesselmann (University of Düsseldorf), Gilbert Hangartner (University of Freiburg), and Antonio Rizzo (Istituto di Chimica Quantistica ed Energetica Molecolare del CNR, Pisa) for allowing us to distribute their utility programs for pre- and post-processing of DALTON input/output-files, as described in the Appendix.

A long list of users, too long to mention here, is thanked for an enormous amount of valuable feedback on the performance of the code. Without their assistance, the code would not have looked the way it does now.

## Part I Dalton Installation Guide

### Chapter 2

### Installation

#### 2.1 Installation instructions

DALTON is configured using CMake, typically via the setup script, and subsequently compiled using make or gmake.

Please consult http://dalton-installation.readthedocs.org for details. Help and support from the Dalton community is available at http://daltonprogram.org/forum.

#### 2.2 Hardware/software supported

DALTON can be run on a variety of systems running the UNIX operating system. The current release of the program supports Linux, Cray, SGI, and MacOS using GNU or Intel compilers (we plan to publish patches for PGI and XL compilers)..

The program is written in FORTRAN 77, FORTRAN 90 and C, with machine dependencies isolated using C preprocessor directives. All floating-point computations are performed in 64-bit precision, but if 32-bit integers are available the code will take advantage of this to reduce storage requirements in some sections.

The program should be portable to other UNIX platforms. Users who port the codes to other platforms are encouraged to communicate any required changes in the original source with the appropriate C preprocessor directives to the authors.

#### 2.3 Source files

The Dalton2016 program suite is distributed as a tar file obtainable from the Dalton homepage at http://www.daltonprogram.org. After you have downloaded the file extract it with:

```
tar xvzf DALTON-2016.*-Source.tar.gz
```

Most of the extracted subdirectories under DALTON/\* contain source code for the different sections constituting the DALTON program (not listed here). Furthermore, there is a directory containing various public domain routines (pdpack), a directory with the necessary include files containing common blocks and machine dependent routines (include), a directory containing all the basis sets supplied with this distribution (basis), a fairly large set of test jobs including reference output files (test, test\_cc), a directory containing some useful pre- and post-processing programs supplied to us from various users (tools), and finally this documentation (Doc).

In addition to the above directories, the main dalton directory contain several files that support the CMake build mechanism (CMakeLists.txt and cmake/\*).

### Chapter 3

### Maintenance

#### 3.1 Memory requirements

DALTON is designed to exit gracefully if there is not enough memory at some stage of the calculation. Wherever possible, it will indicate how much additional memory is required for this particular section. This does not exclude the possibility that the calculation will use even more memory at later stages of the calculation. If DALTON aborts in the response calculation, it may be possible to proceed by resetting the number of perturbations handled simultaneously (see Sec. 28.1.15). Otherwise, some redimensioning will be necessary.

The program uses approximately 95 MB of static memory allocated as common blocks and similar data structures. Most of the memory used during the calculation is taken from a large work array. The size of this work array is supplied to the program as a shell variable, WRKMEM. How this is done is exemplified in the chapter on how to get started (Chapter 4). This makes it easy to give the program the memory needed for a given calculation. If no value to the shell variable is supplied, the program will use the default value (64000000 words) which is determined at installation time from the variable INSTALL\_WRKMEM in the preprocessor directives.

#### **3.1.1 Redimensioning** DALTON

Most of the DALTON program has in principle no limitations on the size of the problem one can handle, apart from physical memory requirements and available disc space. The default version of the program can handle 8000 basis functions, 200 nuclei and 800 occupied orbitals and up to i functions in the basis set. However, most such settings are adjustable to suit your own needs, at the expense of larger (or smaller of course) static memory requirements. The following list contains the parameters it is most likely that you will find a need for changing with the corresponding include file in which the variable appears and a short description of what it defines. However, we note that the program sometimes will require the user to change other parameters not included in this list, and these variables are then easily found in the include/ directory by "grep'ing for" its occurrence. After a change is made to one or more include files, you need to rebuild the program using the command make.

MAXOCC	maxorb.h	Maximum number of occupied orbitals.
MXCORB	maxorb.h	Maximum number of contracted atomic orbitals.
MAXWOP	infvar.h	Maximum number of orbital rotations.
MXPST mxpdim.h		The parameter MXPST is the largest allowed number of
		string types. A string type is defined by a given number
		of electrons in RAS1 and RAS3. So for a normal CAS or
		FCI calculation there is only a single string type (all elec-
		trons in for example RAS2). The number of string types
		become large when one allows a large number of electrons
		in RAS3 and a large number of holes on RAS1. If the
		number of string types in a given calculation exceeds
		MXPST the program stops, and informs the user to raise
		MXPST.
MXCENT	mxcent.h	Maximum number of nuclear centers + point charges + ghost orbital centers.
MXQN	maxaqn.h	Maximum angular momentum $+ 1 (l + 1)$ in basis set.
MXAOVC	aovec.h	Maximum number of basis function blocks for a given nuclear center.

#### 3.2 New versions, patches

New versions will be announced on the Dalton homepage http://www.daltonprogram.org.

Between releases, bug fixes will be distributed as patches to an existing version. Although not frequently used in the past, we believe this to be a more common approach in the future. New patches will be freely available from the Dalton homepage, and will be announced also on the Dalton forum at http://www.daltonprogram.org/forum. Patches will normally be distributed in order to correct significant errors. In case of minor changes, explicit instructions on modifying the source file(s) may be given.

In general, users should not make local modifications in the FORTRAN source code, as this usually makes it much harder to incorporate the changes into subsequent versions. It is more convenient to use the C preprocessor code. Indeed, by judicious use of local **#define** variables (this is described in any book on C that also describes the C preprocessor) it is often possible to isolate local modifications completely, making it much easier to apply them to later releases.

One may be interested in code that is newly developed within the DALTON author group, but not part of any release of the program. In such cases, users are asked to take direct contact with one of the authors of that code, and ask him/her for the new code needed. Such requests are *not* to be sent to dalton-admin@kjemi.uio.no. Note also that this may lead to user restrictions of the program not contained in the license agreement signed for a released version of the program.

#### 3.3 Reporting bugs and user support

The Dalton2016 program suite is distributed to the computational chemistry society with no obligations on the side of the authors. The authors thus take no responsibility for the performance of the code or for the correctness of the results. This distribution policy gives the authors no responsibility for problems experienced by the users when using the DALTON program.

A forum — http://daltonprogram.org/forum — has been created for the purpose of communication among the authors and the users about new patches and releases, and communication between users of the program.

Bug reports are to be reported via the forum http://daltonprogram.org/forum and will be dealt with by one of the authors, although no responsibility on the promptness of this response is given. In general, serious bugs that have been reported and fixed will lead to a new patch of the program, distributed from the DALTON homepage.

The DALTON program is developed in an anarchistic fashion, that is, future releases of the DALTON program will contain code related to areas where the authors have had their greatest interest lately. User feedback on weak points of the code is welcomed.

We encourage users to supply their own modifications to the extent that such extensions/modifications seem relevant to a larger number of users of the program system. The authors will, however, take the liberty of deciding whether a supplied extension will be incorporated into the official version of the program or not.

Pre- and post-processing programs to the DALTON program are always welcome, and will, if permitted by the author, be distributed along with the program with the proper credits to the author(s) or be made available from the Dalton homepage.

## Part II DALTON User's Guide

### Chapter 4

### Getting started with DALTON

In this chapter we give an introduction to the input files needed for doing a calculation with the DALTON program. The program executable reads input from DALTON.INP (specifies what is calculated), MOLECULE.INP (gives the molecule including basis set information and more) and POTENTIAL.INP (provides the embedding potential and only needed for embedding calculations). We also introduce the dalton shell script, that is supplied with the program, for moving the relevant files to the scratch-directory and back to the home directory after a calculation has completed. Using the dalton script allows more flexible naming of the input files because the script will rename them from input.dal to DALTON.INP, input.mol to MOLECULE.INP and input.pot to POTENTIAL.INP, where input can be freely specified by the user. A couple of examples of input files are also provided. Finally, the different output files generated by the program are discussed. Furthermore, additional examples and tutorials will be available in the Dalton forums at http://www.daltonprogram.org/forum where you can also ask questions and get support.

#### 4.1 The MOLECULE. INP input file

We will not go into great detail here of the input format of the MOLECULE. INP file, as it is treated thoroughly in a separate chapter, Chapter 26. Here we only present two inputs for water; one using Cartesian coordinate input and automatic symmetry detection, and the other using Cartesian coordinates and where we explicitly give the symmetry elements. Finally we show the input for  $H_2O^{2+}$  using Z-matrix input. In all cases the basis set library of DALTON has been used, as we assume that most users will exploit the basis sets provided in the basis set library as opposed to entering them directly in the MOLECULE. INP file. The format for basis sets are described in detail in Sec. 26.2.

Let us first start with an input for water using Cartesian coordinates in bohr, and the very popular  $6-31G^{**}$  basis set.

```
BASIS
6-31G**
Water using the 6-31G** basis
Using automatic symmetry detection.
Atomtypes=2
Charge=8.0 Atoms=1
0
       .0000000000
                           -.2249058930
                                                 .0000000000
Charge=1.0 Atoms=2
H_a
      1.4523499293
                            .8996235720
                                                 .000000000
H b -1.4523499293
                            .8996235720
                                                 .0000000000
```

On the fifth line the number of different atom types or more correctly, the number of blocks of atoms, in this case two, oxygen and hydrogen, are given. There are one oxygen with charge 8, and two hydrogens with charge 1. The symmetry of the system will be detected by the program during the input processing unless turned off as shown in the last of the input examples for the MOLECULE.INP input files.

We may also add the symmetry elements of the symmetry group ourselves. We must then remove all symmetry-dependent centers. For the above input this will result in, if we use reflections in the yz-plane (the x axis changes sign during this symmetry operation) and the xz-plane (the y axis changes sign) as the symmetry generators:

#### ATOMBASIS

```
Water using ANOs specified for each atomtype

Standard orientation: C2-rotation along z-axis, molecule in yz-plane

Atomtypes=2 Generators=2 X Y

Charge=8.0 Atoms=1 Basis=ano-1 5 4 3 1

0 .000000000 .00000000 -.2249058930

Charge=1.0 Atoms=1 Basis=ano-1 4 3 2

H_a .000000000 1.4523499293 .8996235720
```

In the above calculation we used the ANO set of Widmark and coworkers [3, 4]. We use the contractions [5s4p3d1f/4s3p2d] for the oxygen and the hydrogens respectively. We also note the keyword ATOMBASIS which allows different basis functions to be used on different atoms.

Let us now proceed to an input for  $H_2O^{2+}$  where we use Z-matrix input. This will then look like:

BASIS Sadlej-pVTZ Water - geometry optimization with Sadlej's basis set

```
Project - Raman
Atomtypes=3 Charge=2 Nosymmetry
ZMAT
O 1 8.0
H 2 1 0.97 1.0
H 3 1 0.97 2 104.5 1.0
```

In addition to the number of atoms in the input (3), we have given the total charge of the molecule (+2), and the keyword **Nosymmetry** indicates that symmetry is not to be used in this calculation. Note that unlike Cartesian coordinate input, which by default is in atomic units, the Z-matrix input is always to be in ångström.

#### 4.2 The DALTON. INP input file

The DALTON. INP file contains the keywords telling the program what kind of atomic integrals are wanted (HERMIT, in the **\*\*INTEGRALS** input module), what kind of wave function is to be used (SIRIUS, in the **\*\*WAVE FUNCTIONS** input module), what kind of molecular properties are to be evaluated (ABACUS, in the **\*\*PROPERTIES** input module), and finally which response functions that are to be evaluated (RESPONSE, in the **\*\*RESPONSE** input module).

This input file is described in detail in several of the subsequent chapters, and we will only give a few examples of input files here and shortly explain what they do, in order to give the user a flavor of the input and the computational possibilities of DALTON.

#### 4.2.1 A CASSCF geometry optimization

We start by a simple geometry optimization of a water molecule using a Complete Active Space (CAS) wave function, where we use  $C_{2v}$  symmetry keeping the 1s orbital on oxygen inactive and distributing the valence electrons into 8 orbitals. At the starting geometry we evaluate the nuclear magnetic shielding constants and the magnetizability of the water molecule, and at the optimized geometry we perform a vibrational analysis and calculate the IR intensities (related to the dipole gradient). The input file for such a calculation will look like:

**DALTON INPUT	Must start all input files
.OPTIMIZE	Request geometry optimization
**WAVE FUNCTIONS	Wave function input
.HF	We start with HF
.MP2	Then MP2 (starting orb. for $\ensuremath{\texttt{MCSCF}}\xspace)$
.MCSCF	Request an MCSCF

*SCF INPUT	HF input
.DOUBLY OCCUPIED	
3 1 1 0	
*CONFIGURATION INPUT	Input of active space
.SYMMETRY	Wave function symmetry
1	
.SPIN MULTIPLICITY	
1	Singlet
.INACTIVE	Doubly occupied orbitals
1 0 0 0	1s on oxygen
.CAS SPACE	
4 2 2 0	
.ELECTRONS	Number of electrons to correlate
8	The valence electrons
**START	Input for start geometry
.SHIELD	Nuclear shieldings
.MAGNET	Magnetizability
**PROPERTIES	Input for optimized geometry
.DIPGRA	Dipole gradient
.VIBANA	Vibrational analysis
**END OF DALTON INPUT	

#### 4.2.2 A RASSCF calculation of NMR parameters

The next input file gives an example of the input needed for evaluating the two parameters determining an NMR spectrum, the nuclear shielding constants and the spin–spin coupling constants. We do this at a fixed geometry, and use a Restricted Active Space (RAS) wave function. In this way we include near-degeneracy effects by inclusion of the appropriate orbitals in the RAS2 space, and also some of the dynamical correlation by single and double excitations from the RAS2 space (and generally also form the RAS1 space) into the RAS3 space.

In this input we once more use water as input molecule, where we keep the 1s orbital inactive, correlate 8 electrons in 6 orbitals (Full-Valence CAS) and in addition allow singleand double-excitations into 7 additional orbitals.

```
**DALTON INPUT
.RUN PROPERTIES Run integrals, wave function and properties
**WAVE FUNCTIONS
.HF
```

```
.MP2
.MCSCF
*SCF INPUT
.DOUBLY OCCUPIED
 3 1 1 0
*CONFIGURATION INPUT
.SYMMETRY
 1
.SPIN MUL
 1
.INACTIVE
 1000
.RAS1 SPACE
                        # orbitals in RAS1 space
0 0 0 0
                        The ''Cas Space''
.RAS2 SPACE
 3210
.RAS3 SPACE
 3 1 2 1
.ELECTRONS
                        Number of electrons to correlate
8
                        The valence electrons
.RAS1 HOLES
                        # elect. that may be removed from RAS1
 0 0
.RAS3 ELECTRONS
                        From 0 to 2 electrons excited into here
0 2
**PROPERTIES
                        Input for single geometry run
.SHIELD
.SPIN-S
**END OF DALTON INPUT
```

#### 4.2.3 A (parallel) cubic response calculation

In this example of a DALTON.INP file, we request an SCF calculation of the second hyperpolarizability ( $\gamma_{xxxx}$ ) using the cubic response solver of the RESPONSE module. Such calculations are well suited for parallel runs which require that the program has been compiled with MPI options. No extra keywords are necessary since the DALTON program will run in parallel if there are more than one processes available at runtime. This is determined when submitting the job, and how this is done depends on the computer on which the job is run. Running in parallel will result in a direct calculation, i.e. no two-electron integrals will be stored on disk in any part of the calculation. To run direct in serial calculations it

is necessary to add the .DIRECT keyword.

All treatment of symmetry, as well as the Hartree–Fock occupation, is automatically taken care of by the program, and we thus only need to specify that we are to do a Hartree–Fock calculation.

**DALTON INPUT	
.RUN RESPONSE	Run integrals, wave function, and response
.DIRECT	Not required for parallel calculations
**WAVE FUNCTIONS	
.HF	
**RESPONSE	Input for the response module
*CUBIC	Input for cubic response calculation
.DIPLNX	Only consider x-comp. of dipole moment
.BFREQ	Two frequencies for B operator
2	
0.0 0.0592	Frequencies in atomic units
.CFREQ	One frequency for C operator
1	
0.0	
.DFREQ	One frequency for D operator
1	
0.0	
.THCLR	Threshold for linear response equations
1.0D-5	
**END OF DALTON INPUT	

#### 4.2.4 General structure of the DALTON. INP file

The input is divided into modules, which in turn are further divided into submodules. The modules contain the input to the different sections that constitute the DALTON program. There exists four such sections corresponding to respectively the integral evaluation, the choice of wave function, the choice of static properties and finally the choice of dynamic properties. For each of these sections there exists a module with a name started by two stars, that is:

\*\*INTEGRALS

**\*\*WAVE FUNCTIONS** 

\*\*PROPERTIES

#### \*\*RESPONSE

In addition there is a module controlling the DALTON program, which has the input card **\*\*DALTON**. All input modules will be described in detail in separate chapters in the Reference Manual (Chapter 24—31).

For geometry optimizations, different options may often be wanted for different stages of the geometry optimization, and there exists three different ABACUS input modules for geometry optimizations: **\*\*START**, **\*\*EACH STEP**, **\*\*PROPERTIES**. **\*\*START** gives input for the initial point, **\*\*EACH STEP** gives input options during the optimization of the molecular geometry (and for the initial point if no **\*\*START** was specified and for the final point if no **\*\*PROPERTIES** was given), and **\*\*PROPERTIES** gives input options for the analysis that is to be done at the optimized geometry. In this way one may start a calculation at an experimental geometry, evaluating a set of molecular properties of interest, do an ordinary geometry optimization without any properties evaluated during the geometry optimization, and then recalculate the same set of molecular properties (or others) at the optimized geometry in one single run. Note that if a **\*\*RESPONSE** module is present in a geometry optimization, the RESPONSE calculations will only be performed at the optimized geometry. The input file must end with the keyword **\*\*END** OF.

In a given module, several submodules may be specified, which determine the performance in various parts of the calculation. The different input cards available in the different submodules are started with a dot, *i.e.* an input option should be typed as .IMAGE. For most calculations the default settings may be used. The authors have, however, always opted for the largest degree of freedom, giving the user good opportunities for tailoring an input to suit the needs of a given calculation.

Even though we at times write large, self-explanatory keywords in this manual, only the first seven characters (including the . or \*) are significant and will be treated by the program. At any place in the input, comments may be added using **#** or ! in the first position of the command line. The input is case sensitive and only upper-case characters will be recognized.

A general input structure for DALTON will look like

```
**DALTON INPUT
....
**INTEGRALS
....
**WAVE FUNCTIONS
....
*CONFIGURATION INPUT
```

```
**START
**EACH STEP
**PROPERTIES
**RESPONSE
***RESPONSE
***END OF DALTON INPUT
```

Several submodules can of course be added in each of the above modules. We restate that if RESPONSE is requested in a geometry optimization, the evaluation of the response functions will only be done at the optimized geometry.

Examples of input files can be found in the various chapters describing the calculation of different molecular properties, and these chapters will probably be sufficient for most calculations done with DALTON. However, all possible keywords are described in detail in separate chapters in the Reference Manual (Chapter 24—31). The tests in the DALTON/test directory also serve to demonstrate how to run different kinds of calculations using DALTON.

#### 4.3 The POTENTIAL. INP input file

This file holds the embedding potential needed for a polarizable embedding calculation (see Chapter 15 for details). To demonstrate the input format we use an example of a two-photon absorption (2PA) calculation on acrolein and two water molecules, where the former is defined as the central core system treated using DFT and the two water molecules are described classically. The MOLECULE.INP file we will use is

```
BASIS
STO-3G
Acrolein
 _____
AtomTypes=3 NoSymmetry Angstrom
Charge=6.0 Atoms=3
             -0.145335
                         -0.546770
                                      0.000607
С
С
              1.274009
                         -0.912471
                                     -0.000167
С
              1.630116
                         -2.207690
                                     -0.000132
Charge=8.0 Atoms=1
0
             -0.560104
                          0.608977
                                      0.000534
```

#### Charge=1.0 Atoms=4

Н	-0.871904	-1.386459	0.001253
Н	2.004448	-0.101417	-0.000710
Н	0.879028	-3.000685	0.000484
Н	2.675323	-2.516779	-0.000673

which is not different from any other (pure QM) calculation. The DALTON.INP file, however, needs additional input:

\*\*DALTON
.RUN RESPONSE
.PEQM
\*\*WAVE FUNCTIONS
.DFT
CAMB3LYP
\*\*RESPONSE
\*QUADRATIC
.TWO-PHOTON
.ROOTS
4
\*\*END OF

Here we have specified that we want to calculate a response property (.RUN RESPONSE) using CAMB3LYP. The property we are after is a quadratic response property so we specify **\*QUADRATIC** under the **\*RESPONSE** section. We get the 2PA from the single residue of the quadratic response function and use the .TWO-PHOTON keyword to get those. In addition, we want the four lowest states, thus, we solve for four roots indicated by the .ROOTS keyword. The important point here is the .PEQM keyword which specifies that the core system (acrolein) is to be embedded in a (polarizable) environment given in the POTENTIAL.INP file:

```
! Two water molecules
@COORDINATES
10
AA
0
    -3.3285510
                -0.1032300
                            -0.0004160
    -2.5037950
                             0.0003390
Η
                 0.4132210
    -4.0392140
Η
                 0.5467290
                            -0.0008500
Х
    -2.9161730
                 0.1549955
                            -0.0000385
Х
    -3.6838825
                 0.2217495
                            -0.0006330
```

0	1.742297	0 2.3413	610 -0.00	07450		
Н	0.841678	0 1.9718	070 -0.00	08200		
Н	1.632559	0 3.2983	010 0.00	41540		
Х	1.291987	5 2.1565	840 -0.00	07825		
Х	1.687428	0 2.8198	310 0.00	17045		
@MU	LTIPOLES					
ORD	ER O					
6						
1	-0.74232					
2	0.36993					
3	0.37239					
6	-0.74244					
7	0.36996					
8	0.37247					
ORD	ER 1					
10						
1	0.03035	0.32807	0.00008			
2	-0.10057	-0.05572	-0.00009			
3	0.09115	-0.07296	0.00005			
4	-0.11545	-0.10986	-0.00011			
5	0.09255	-0.12819	0.00004			
6	-0.28403	0.16718	0.00136			
7	0.10391	0.04906	0.00004			
8	0.00581	-0.11658	-0.00058			
9	0.15621	0.02893	-0.00015			
10	0.05016	-0.14938	-0.00082			
ORD	ER 2					
10						
1	-3.95163	-0.05617	0.00083	-4.57788	0.00004	-5.02068
2	-0.57742	-0.05330	-0.00002	-0.60439	-0.00005	-0.55958
3	-0.55831	0.04666	0.00000	-0.62241	0.00002	-0.55829
4	0.69311	0.39938	0.00044	0.48117	0.00036	0.23354
5	0.54979	-0.40721	0.00016	0.63229	-0.00024	0.24194
6	-4.41881	0.28014	0.00021	-4.11299	0.00390	-5.02065
7	-0.64554	-0.00411	0.00014	-0.53625	0.00012	-0.55962
8	-0.55626	0.04501	0.00021	-0.62441	-0.00041	-0.55831
9	0.93099	0.22805	-0.00022	0.24298	-0.00039	0.23303
10	0.21761	-0.16639	-0.00076	0.96429	0.00386	0.24162

```
@POLARIZABILITIES
```

ORI	DEF	R 1	1						
10									
1		1.5	931	6	0.08008	-0.00121	2.52556	0.00134	3.36700
2		0.7	929	8	0.15423	0.00015	0.60150	0.00028	0.59251
3		0.7	209	4	-0.17834	0.00005	0.64202	0.00006	0.57514
4		3.4	974	0	2.13550	0.00222	1.84555	0.00149	1.41243
5		2.6	916	51	-2.24638	0.00033	2.55420	-0.00132	1.42933
6		2.2	823	84	-0.42073	-0.00064	1.83243	-0.00690	3.36668
7		0.8	132	27	0.13879	0.00041	0.58146	-0.00000	0.59279
8		0.4	993	32	-0.01960	0.00005	0.86127	0.00201	0.57507
9		4.2	946	3	1.26940	0.00040	1.05663	-0.00449	1.41332
10		0.6	174	2	-0.44025	-0.00029	4.62200	0.01748	1.43071
EXO	CLI	ISTS							
10	5								
1	2	3	4	5					
2	1	3	4	5					
3	1	2	4	5					
4	1	2	3	5					
5	1	2	3	4					
6	7	8	9	10	)				
7	6	8	9	10	)				
8	6	7	9	10	)				
9	6	7	8	10	)				
10	6	7	8	9					

The POTENTIAL.INP file contains two water molecules described by distributed multipoles up to second order (i.e. quadrupoles) and dipole-dipole polarizabilities located on atoms and bond-midpoints (the latter is indicated with X in the @COORDINATES section). The potential parameters are obtained from separate calculations on each water molecule in vacuum and it is therefore also necessary to specify an exclusion list which specifies what other sites a given site can be polarized by. More examples can be found in the DALTON/test directory (search for PEQM).

#### 4.4 The first calculation with DALTON

If we have made two input files, one corresponding to DALTON.INP and one corresponding to the MOLECULE.INP input file, we are ready to do our first calculation. Examples of input files can also be found in the DALTON/test directory hidden inside the test jobs. You can

#### CHAPTER 4. GETTING STARTED WITH DALTON

execute one of the individual test jobs without the TEST script, for example:

#### > ./energy\_nosymm

This will create four files, but right now we are just interested in the .dal and the .mol files. In this particular example they will be energy\_nosymm.dal and energy\_nosymm.mol. Have a look at some of these input files to get a head-on start on running different kinds of DALTON jobs.

Calculations with DALTON is most conveniently done using the supplied dalton shell script. The general usage of the dalton script is as follows

```
> dalton [options] dalinp{.dal} [molinp{.mol} [potinp{.pot}]]
```

where [] indicates optional input and assuming dalton is available in your path. Thus, to run a calculation of  $\beta$  (first hyperpolarizability) with input available as beta.dal on the HCl molecule, and with molecule input available as hcl.mol, you would type

#### > dalton beta hcl

When the job is finished, the output is copied back as beta\_hcl.out. If it is an embedding calculation requiring also a potential input, e.g. water.pot, it would be

```
> dalton 2pa acrolein water
```

and the output would be 2pa\_acrolen\_water.out. In case that the dalton and molecule input (and potential input) have the same name we may write dalton energy\_nosymm, and the corresponding output file will be named energy\_nosymm.out. In addition, the program will create and copy back an archive file named beta\_hcl.tar.gz. This file contains, in tar'ed and gzip'ed form, a number of useful interface and post-processing files needed for post-DALTON programs, or when restarting calculations.

There are several options to this script, which can be viewed by typing dalton -h or just dalton. These options include:

-b dir

Prepend 'dir' to the list of directories where the program should look for basis sets. Needed in case you want to use local modifications of a given basis. The job directory and the DALTON basis set library will always be included in the basis set directory list (in that search order).

-w dir

Change the working directory (WRKDIR) to 'dir', that is, change the directory in which the program searches for input files and places the DALTON.OUT file to 'dir'. Default is to use current directory.

-o file

Redirect the output normally printed in the DALTON.OUT file in the temporary directory to 'file' in the working directory. On a computer system with distributed scratch disk but a commonly mounted home directory, this allows you to follow the calculation without having to log into the compute nodes.

-ow

Redirect the output normally printed in the DALTON.OUT file in the temporary directory to the working directory with standard file name.

-ext log

Change the extension of the output file from .out to .log.

-nobackup

Do not backup files. This option prevents backup of existing files in the working directory. Normally, existing files will be backed up and the filenames appended with .0, .1 and so on.

-f dal\_mol(\_pot)

Copy and extract the tar.gz archive file containing a variety of useful interface files from your home directory to the scratch directory before a calculation starts. This is needed in order to be able to restart DALTON calculations, or if you want to use converged response vectors in a different response calculations for the same molecule.

-noarch

Do not create the tar.gz archive file.

-t tmpdir

Change the temporary/scratch directory (DALTON\_TMPDIR) to 'tmpdir' from the default scratch directory determined at runtime. This script will append

'/DALTON\_scratch\_\$USER' to the path unless the path contains 'DALTON\_scratch' or you use the -noappend option.

-d

Removes the contents of the scratch directory before a calculation starts in order to avoid inconsistencies between files.

-D

Do not remove the content of the scratch directory. By default the scratch directory will be deleted. However, in order to do a restart you may want to keep all files in this directory, and you then need to add the -D option when submitting the job.

#### -noappend

Do not append anything to the scratch directory; be careful with this since by default scratch is wiped after calculation stops.

#### -get "file1 file2 ..."

Get files from DALTON\_TMPDIR after calculation stops.

#### -put "file1 file2 ..."

Put files to DALTON\_TMPDIR before calculation starts.

#### -mb mb

Change the default size of the work memory to mb Megabytes.

#### -mw mem

Change the default size of the work memory to mem double precision words.

#### -nb mb

Change the default size of the node work memory to mb Megabytes.

-nw mem

Change the default size of the node work memory to mem double precision words.

#### -omp num

Set the number of OpenMP threads to num. Note that DALTON is not OpenMP parallelized, however, this option can be used with e.g. the threaded MKL libraries.

#### -N num

Number of MPI processes to be used in a parallel MPI calculation controlled by running mpirun/mpiexec.

#### -cpexe

Copy dalton.x to DALTON\_TMPDIR before execution, either to global scratch (if DALTON\_USE\_GLOBAL\_SCRATCH is set) or to local scratch on all nodes.

#### -rsh

Use rsh/rcp for communications between nodes (default ssh/scp)

#### -nodelist "node1 node2 ..."

Set nodelist DALTON\_NODELIST, dalton.x will be copied to DALTON\_TMPDIR on each node unless DALTON\_USE\_GLOBAL\_SCRATCH is defined. The script uses PBS\_NODEFILE or SLURM\_NODELIST if available.

#### -x dalmol1 dalmol2

Calculate NEXAFS spectrum from ground and core hole states

Furthermore, the dalton script uses the following environment variables:

#### DALTON\_TMPDIR

Scratch directory.

#### DALTON\_USE\_GLOBAL\_SCRATCH

Use global scratch directory, do not copy any files to worker nodes.

#### DALTON\_NODELIST

List of nodes, dalton.x will be copied to DALTON\_TMPDIR on each node unless DALTON\_USE\_GLOBAL\_SCRATCH is defined.

#### DALTON\_LAUNCHER

Launcher for the dalton.x binary

In most cases, the DALTON.OUT file will contain all the information needed about a given calculations. However, in certain cases, additional information may be wanted, and this is contained in various sets of auxiliary files. These files are copied back in the tar'ed and gzip'ed archive file. This file may include the following set of different files:

- DALTON.BAS Contains a dump of a complete molecule input file. This file take maximum advantage of formatted input, yet differences may occur compared to the basis sets obtained from the basis set library due to the restricted number of digits available in the standard-format output.
- DALTON.CM An output file that contains the most essential information needed for calculation of shielding polarizabilities and magnetizability polarizabilities. Most easily used together with the analyzing program ODCPRG.f supplied in the tools directory.
- DALTON.HES If the keyword .HESPUN has been specified in the \*VIBANA input module, the molecular Hessian will be written to this file in a standard format, which may be used as a start Hessian in an first-order geometry optimization, or as input to a ROA or VCD analysis with different basis sets/level of correlation for the intensity operators and the force field. See also the FChk2HES.f program in the tools directory.
- DALTON.IRC Contains information obtained from an Intrinsic Reaction Coordinate (IRC) calculation, as described in Sec. 6.2.1.
- DALTON.MOL Contains the information needed by the MOLPLT-program for visualizing the molecular geometry. The MOLPLT-program is distributed with the GAMESS-US program package.
- DALTON.MOPUN Contains the molecular-orbital coefficients printed in a standard format allowing the transfer of molecular orbitals coefficients from one computer to another.
- DALTON.NCA Contains the information needed by the MOLPLT-program for visualizing normal coordinates.
- DALTON.ORB Contains information about basis set and MO-coefficients so that MO density plots may be generated using the PLTORB program that comes with the GAMESS-US distribution. Currently not supported.
- DALTON.TRJ Contains trajectory information from a direct dynamics calculation as described in Sec. 6.2.2.
- DALTON.WLK Contains information from the walk-procedure, and is needed when restarting a walk (e.g. a numerical differentiation).
- gv.off Contains the information needed by the GEOMVIEW program (http://www.geomview.org)
  for visualizing the PCM cavity (see Section 14.1)
- molden.inp Contains the input required for visualizing the results of the calculation using the MOLDEN program (http://www.cmbi.ru.nl/molden/molden.html).
- pe\_\*.bin Files in binary format that can be used to restart a polarizable embedding calculation (see Chapter 15).
- **RESULTS.RSP** Contains a brief summary of the results obtained form the response functions that have finished. The program may use this information to skip response equations that have already been solved (for instance if the calculation crashed for some reason during the calculation of a set of cubic response functions).
- **RSPVEC** Contains the converged response equations. The program may use this to avoid repeating linear response equations. Thus, one may use the converged response vectors of a linear response equation in the calculation of quadratic response function, and there may then be no need to solve additional response equations.
- SIRIFC Interface file between the wave function part of the program and the property modules. Contains all the information required about the optimized molecular wave function.
- SIRIUS.RST Contains restart information needed in case one needs to restart the wave function part of the program.

# Chapter 5

# Getting the wave function you want

Currently the following wave function types are implemented in DALTON:

- RHF Closed-shell singlet and spin-restricted high-spin Hartree–Fock (SCF, self-consistent field).
- DFT Density functional theory, closed shell and spin-restricted high-spin Kohn–Sham DFT, allowing for both local density functionals (LDA), generalized gradient approximation (GGA) functionals and hybrid functionals..
- MP2 Møller-Plesset second-order perturbation theory which is based on closed-shell restricted Hartree–Fock. Energy, second-order one-electron density matrix and firstorder wave function (for SOPPA) may be calculated, as well as first-order geometry optimizations using numerical gradients. MP2 is also part of CC (see Chapter 31). First-order properties and geometry optimization are available through this module.
- CI Configuration interaction. Two types of configuration selection schemes are implemented: the complete active space model (CAS) and the restricted active space model (RAS).
- GASCI Generalized Active Spaces Configuration interaction. The most general scheme of defining configuration selection schemes (including CAS and RAS). For more information on how to run this kind of calculation, we refer to Chapters 20 and 30.
- CC Coupled Cluster. For information on how to run this kind of calculation, we refer to Chapters 21 and 31.
- MCSCF Multiconfiguration self-consistent field based on the complete active space model (CASSCF) and the restricted active space model (RASSCF).

SIRIUS is the part of the code that computes all of these wave functions, with the exception of the coupled cluster wave functions. This part of the DALTON manual discusses aspects of converging the wave function you want.

# 5.1 Necessary input to SIRIUS

In order for DALTON to perform a calculation for a given wave function a minimum amount of information is required. This information is collected in the **\*\*WAVE FUNCTIONS** input module. Fig. 5.1 collects the most essential information.

## **5.2** An input example for SIRIUS

The number of symmetries and the number of basis orbitals are read from the one-electron integral file. The number of symmetries may also be specified in the **\*\*WAVE FUNCTIONS** input module as a way of obtaining a consistency check between the wave function input section and available integral files.

The following sample input specifies a CASSCF calculation on water with maximum use of defaults:

```
**WAVE FUNCTIONS
.MCSCF
                    -- specifies that this is an MCSCF calculation
*CONFIGURATION INPUT
.SPIN MULTIPLICITY -- converge to singlet state
    1
.SYMMETRY
                    -- converge to state of symmetry 1 (i.e. A1)
    1
.INACTIVE ORBITALS
                   -- number of doubly occupied orbitals each symmetry
    1
         0
              0
                   0
.CAS SPACE
                    -- selects CAS and defines the active orbitals.
    4
         2
              2
                   0
.ELECTRONS
                    -- number of electrons distributed in the active
    8
                       orbitals.
*ORBITAL INPUT
.MOSTART
                    -- initial orbitals are orbitals from a previous run
NEWORB
                       (for example MP2 orbitals)
**(name of next input module)
```

Comparing to the list of necessary input information in Fig. 5.1, the following points may be noted: The number of basis functions are read from the one-electron integral file. Because

```
Calculation type(s): RHF, MP2, CI, MCSCF, CC.
Number of symmetries (needed only for MCSCF wave functions)
If MCSCF or CI calculation then
   Symmetry of wave function.
  Spin multiplicity of wave function.
else if one open shell RHF
   Symmetry of open shell, doublet spin multiplicity
else
   Totally symmetric, singlet spin multiplicity
end if
Number of basis orbitals.
Number of molecular orbitals.
Number of inactive orbitals in each symmetry.
If high-spin open shell RHF then
  Number of singly occupied orbitals in each symmetry
else if MCSCF or CI calculation then
   Number of active electrons.
   If CAS calculation desired then
      Number of active orbitals in each symmetry.
   else if RAS calculation desired then
      Number of active orbitals in RAS1 space in each symmetry.
      Number of active orbitals in RAS2 space in each symmetry.
      Number of active orbitals in RAS3 space in each symmetry.
      Limits on number of electrons in RAS1 and RAS3.
   end if
end if
if not MP2 then convergence threshold.
how to begin the calculation (initial guess).
```

Figure 5.1: Necessary input information for determining the wave function.

no orbitals are going to be deleted, the number of molecular orbitals will be the same as the number of basis functions. No state has been specified as ground state calculation is the default. The default convergence threshold of 1.0D-5 is used.

# 5.3 Hints on the structure of the **\*\*WAVE** FUNCTIONS input

The following list attempts to show the interdependence of the various input modules through indentation (\*R\*: required input) :

```
**WAVE FUNCTIONS
  .TITLE
  .HF
     *SCF INPUT
       .DOUBLY OCCUPIED
       . . .
     *ORBITAL INPUT
                             (2)
     *STEP CONTROL
                             (if QCSCF)
  .DFT
     Functional name
     *SCF INPUT
       .DOUBLY OCCUPIED
     *DFT INPUT
       . . .
     *ORBITAL INPUT
                             (2)
     *STEP CONTROL
                             (if QCSCF)
  .MP2
     *MP2 INPUT
     *ORBITAL INPUT
                             (2)
     *SCF INPUT
       .DOUBLY OCCUPIED
  .CI
     *CONFIGURATION INPUT
                             (1)
     *ORBITAL INPUT
                             (2)
     *CI VECTOR
                             (3)
     *CI INPUT
  .MCSCF
     *CONFIGURATION INPUT
                             (1)
     *ORBITAL INPUT
                             (2)
     *CI VECTOR
                             (3)
```

\*OPTIMIZATION \*STEP CONTROL .CC \*CC INPUT .STOP .RESTART .INTERFACE .PRINT \*HAMILTONIAN \*TRANSFORMATION \*POPULATION ANALYSIS \*PRINT LEVELS \*AUXILIARY INPUT

Notes:

```
(1) *CONFIGURATION INPUT
      .SPIN MULTIPLICITY (*R*)
      .SYMMETRY (*R*)
      .INACTIVE ORBITALS (*R*)
      .ELECTRONS (*R*)
     Either
        .CAS SPACE
     or some or all of
        .RAS1 SPACE
       .RAS2 SPACE
        .RAS3 SPACE
        .RAS1 HOLES or .RAS1 ELECTRONS
        .RAS3 ELECTRONS
      are required.
(2) *ORBITAL INPUT
      .MOSTART ! default Huckel guess if available, otherwise H1DIAG
```

.SYMMETRIC ORTHONORMALIZATION .GRAM-SCHMIDT ORTHONORMALIZATION .FROZEN CORE ORBITALS .FREEZE ORBITALS .5D7F9G .AO DELETE .DELETE .REORDER .PUNCHINPUTORBITALS .PUNCHOUTPUTORBITALS (3) \*CI VECTOR One and only one of the following three .STARTHDIAGONAL ! default .STARTOLDCI .SELECT CONFIGURATION

.CNO START

# 5.4 How to restart a wave function calculation

It is possible to restart after the last finished macro iteration in (MC)SCF, provided the SIRIUS.RST file was saved. You will then at most loose part of one macro iteration in case of system crashes, disks running full, or other irregularities. In general the only change needed to the wave function input is to add the .RESTART keyword under **\*\*WAVE FUNCTIONS**. However, if the original job was a multistep calculation involving *e.g.* SCF, MP2 and MCSCF wave functions, restarting the MCSCF part requires the removal of the .HF and .MP2 keywords in the input file. If the correct two-electron integrals over molecular orbitals are available, you can skip the integral transformation in the beginning of the macro iteration by means of the .OLD TRANSFORMATION keyword under **\*TRANSFORMATION**. When resubmitting the job, you must make sure that the correct SIRIUS.RST file is made available to the job. You can also use the restart feature if you find it desirable to converge the wave functions are converged to 1.0D-5 in the gradient norm. However, if MP2 is requested then the default SCF wave function convergence threshold is 1.0D-6 in the gradient norm.

# 5.5 Transfer of molecular orbitals between different computers

In order to be able to transfer molecular orbital coefficients between different computer systems, an option is provided for formatted punching and formatted reading of the molecular orbital coefficients. The options are

#### .PUNCHOUTPUTORBITALS or .PUNCHINPUTORBITALS

in input group **\*ORBITAL INPUT**. The first option is used to punch the orbitals at the end of the optimization, while the second option is used to punch some molecular orbitals already available on the **SIRIUS.RST** file, for instance the converged orbitals from a previous calculation. The orbitals can then be transferred to another computer and appended to the **SIRIUS** input there. The orbitals may then be read by DALTON using the .MOSTART followed by .FORM18 option on the next line (with this option DALTON will, after having finished the **\*\*WAVE FUNCTIONS** input module, search the input file for either the **\*\*MOLORB** keyword or the **\*\*NATORB** keyword and expect the orbital coefficients to follow immediately afterwards).

## 5.6 Wave function input examples

Those who are used to the old SIRIUS input will not experience any dramatic changes with respect to the DALTON input, although a few minor differences exist in order to create a more user-friendly environment. The input sections are the same as before starting with a wave function specification, currently, including options for SCF, DFT, MP2, MCSCF, and CC reference states. Depending on this choice of reference state the following input sections take different form, from the simplest SCF input to the more complex MCSCF input. Minimal SCF and SCF+MP2 using the new feature of generating the HF occupation on the basis of an initial Hückel calculation and then possibly change the occupation during the first DIIS iterations will be shown. There will also be an example showing how an old SIRIUS.RST file is used for restart in the input. In this case the Hartree–Fock occupation will be read from the file SIRIUS.RST and used as initial Hartree–Fock occupation. The HF occupation is needed for an MCSCF calculation, as this is anyway determined when establishing a suitably chosen active space. An example of an MCSCF-CAS input without starting orbitals will be given, as well as an MCSCF-RAS with starting orbitals. We note that an MCSCF wave function by default will be optimized using spin-adapted configurations (CSFs). The three following examples illustrate calculations on an excited state, on a core-hole state with frozen core orbital and on a core-hole state with relaxed core orbital. The last example shows an MCSCF calculation of non-equilibrium solvation energy. For examples on how to run CC wave functions, we refer to Chapter 21.

The following input example gives a minimal SCF input with default starting orbitals (that is, Hückel guess), and automatic Hartree–Fock occupation, first based on the Hückel guess, and then updated during the DIIS iterations:

```
**DALTON INPUT
.RUN WAVE FUNCTIONS
**WAVE FUNCTIONS
.HF
**END OF DALTON INPUT
```

The following input give a minimal input for an MP2 calculation using all default settings for the Hartree–Fock calculation (see previous example):

```
**DALTON INPUT
.RUN WAVE FUNCTIONS
**WAVE FUNCTIONS
.HF
.MP2
**END OF DALTON INPUT
```

If we would like to calculate molecular properties in several geometries, we may take advantage of the fact the molecular orbitals at the previous geometry probably is quite close to the optimized MOs at the new geometry, and thus restart from the MOs contained in the SIRIUS.RST file, as indicated in the following example:

```
**DALTON INPUT
.RUN WAVE FUNCTIONS
**WAVE FUNCTIONS
.HF
*ORBITAL INPUT
.MOSTART
NEWORB
**END OF DALTON INPUT
```

Running DFT calculations is very similar to Hartree-Fock, except for the specification of the functional: The .DFT keyword is followed by a line describing the functional to be used. The simple input below will start a B3LYP geometry optimization:

\*\*DALTON INPUT .OPTIMIZE

```
**WAVE FUNCTIONS
.DFT
B3LYP
**END OF DALTON INPUT
```

We finish this list of examples with a high-spin open-shell DFT geometry optimization. Analytical molecular gradients are only implemented for direct calculations, therefore we recommend to use .DIRECT (for non-direct high-spin open-shell geometry optimizations numerical gradients will be calculated). We need to specify the number of singly as well as doubly occupied orbitals in each symmetry in the \*SCF INPUT section using the .SINGLY OCCUPIED and .DOUBLY OCCUPIED keywords respectively (here assuming  $D_{2h}$  symmetry):

\*\*DALTON .DIRECT .OPTIMIZE \*\*WAVE FUNCTION .DFT B3LYP \*SCF INPUT .DOUBLY OCCUPIED 3 1 1 0 2 0 0 0 .SINGLY OCCUPIED 0 0 0 0 0 1 1 0 \*\*END OF DALTON INPUT

A complete list of available functionals can be found in the Reference Guide, see Sec. 27.2.7

#### **Reference literature:**

Restricted-step second-order MCSCF: H.J.Aa.Jensen, and H.Ågren. Chem.Phys.Lett. 110, 140, (1984).
Restricted-step second-order MCSCF: H.J.Aa.Jensen, and H.Ågren. Chem.Phys. 104, 229, (1986).
MP2 natural orbital occupation analysis: H.J.Aa.Jensen, P.Jørgensen, H.Ågren, and J.Olsen. J.Chem.Phys. 88, 3834, (1988); 89, 5354, (1988).

The next input example gives the necessary input for a Complete Active Space SCF (CASSCF) calculation where we use MP2 to provide starting orbitals for our MCSCF. The active space may for instance be chosen on the basis of an MP2 natural orbital occupation analysis as described in Ref. [5]. The input would be:

```
**DALTON INPUT
.RUN WAVE FUNCTIONS
**WAVE FUNCTIONS
.HF
.MP2
.MCSCF
*SCF INPUT
.DOUBLY OCCUPIED
2000
*CONFIGURATION INPUT
.SYMMETRY
1
.SPIN MULTIPLICITY
1
.INACTIVE ORBITALS
0 0 0 0
.ELECTRONS (active)
4
.CAS SPACE
6440
**END OF DALTON INPUT
```

As for Hartree–Fock calculation, we may want to use available molecular orbitals on the SIRIUS.RST file from previous calculations as starting orbitals for our MCSCF as indicated in this input example:

```
**DALTON INPUT
.RUN WAVE FUNCTIONS
**WAVE FUNCTIONS
.MCSCF
*ORBITAL INPUT
.MOSTART
NEWORB
*CONFIGURATION INPUT
.SYMMETRY
1
.SPIN MULTIPLICITY
1
.INACTIVE ORBITALS
```

```
0 0 0 0
.ELECTRONS (active)
 4
.RAS1 SPACE
2 1 1 0
.RAS2 SPACE
 2220
.RAS3 SPACE
6442
.RAS1 ELECTRONS
 0 2
.RAS3 ELECTRONS
 0 2
*OPTIMIZATION
.TRACI
.FOCKONLY
**END OF DALTON INPUT
```

#### Reference literature:

Optimal orbital trial vectors: H.J.Aa.Jensen, P.Jørgensen, and H.Ågren. J.Chem.Phys., 87, 451, (1987).

Excited state geometry optimizations: A.Cesar, H.Ågren, T.Helgaker, P.Jørgensen, and H.J.Aa.Jensen. *J.Chem.Phys.*, **95**, 5906, (1991).

The next input describes the optimization of the first excited state of the same symmetry as the ground state. To speed up convergence, we employ optimal orbital trial vectors as described in Ref. [6]. Such an input would look like:

```
**DALTON INPUT
.RUN WAVE FUNCTIONS
**WAVE FUNCTIONS
.TITLE
4 2 2 0 CAS on first excited 1A_1 state, converging to 1.D-07
.MCSCF
*CONFIGURATION INPUT
.SYMMETRY
1 | same symmetry as ground state
.SPIN MULTIPLICITY
1
```

```
.INACTIVE ORBITALS
1 0 0 0
.ELECTRONS (active)
8
.CAS SPACE
4 2 2 0
*ORBITAL INPUT
.MOSTART
NEWORB
*CI VECTOR
                   | compute start vector from Hessian CI-diagonal
.STARTHDIAGONAL
*OPTIMIZATION
.THRESH
1.D-07
.SIMULTANEOUS ROOTS
  2 2
.STATE
                    | 2 since the first exited state has the same symmetry
  2
!
                      as the ground state
.OPTIMAL ORBITAL TRIAL VECTORS
*PRINT LEVELS
.PRINTUNITS
66
.PRINTLEVELS
55
**END OF DALTON INPUT
```

```
Reference literature:
Core hole: H.Ågren, and H.J.Aa.Jensen. Chem.Phys.Lett., 137, 431,
(1987).
Core hole: H.Ågren, and H.J.Aa.Jensen. Chem.Phys., 172, 45, (1993).
```

The next input describes the calculation of a core-hole state of the carbon 1s orbital in carbon monoxide, the first example employing a frozen core:

\*\*DALTON INPUT .RUN WAVE FUNCTIONS \*\*WAVE FUNCTIONS

```
.TITLE
C1s core hole state of CO, 4 2 2 0 valence CAS + C1s.
Frozen core orbital calculation.
.MCSCF
*CONFIGURATION INPUT
.SYMMETRY
  1
.SPIN MULTIPLICITY
  2
                   | Doublet spin symmetry because of opened core orbital
.INACTIVE ORBITALS
 2000
                   | O1s and O2s orbitals are inactive while the
I.
                     opened core orbital, C1s, must be active
.ELECTRONS (active)
9
                   | All valence electrons plus the core hole electron
!
                     are active
.RAS1 SPACE
1 0 0 0
                   | The opened core orbital (NOTE: always only this orb.)
.RAS1 ELECTRONS
 1 1
                   | We impose single occupancy for the opened core orbital
.RAS2 SPACE
 4 2 2 0
                   | Same as the CAS space in the ground state calculation
*OPTIMIZATION
.COREHOLE
 1 2
                   | Symmetry of the core orbital and the orbital in this
                     symmetry with the core hole according to list of input
ŗ
Į.
                     orbitals. The same thing could be obtained by
Į.
                     reordering the core orbital to the first active orb.
                     (by .REORDER), and specifying .FREEZE and .NEO ALWAYS
L
.TRACI
*ORBITAL INPUT
.MOSTART
NEWORB
                   | Start from corresponding MCSCF ground state
*CI VECTOR
.STARTHDIAGONAL
**END OF DALTON INPUT
```

whereas we in a calculation where we would allow the core to relax only would require the following changes compared to the previous input, assuming that we start out from orbitals and CI vectors generated by the previous calculation .STARTHDIAGONAL is therefore replaced by .STARTOLDCI, and the core orbital has number 3 (instead of 1) in the list of orbitals in the first symmetry. The .CORERELAX keyword is specified for relaxation of the core orbital using the NR algorithm.

```
*OPTIMIZATION
.COREHOLE
1 3
.CORERELAX
*CI VEC
.STARTOLDCI
```

## Reference literature:

General reference: K.V.Mikkelsen, E.Dalgaard, P.Svanstrøm. J.Phys.Chem, 91, 3081, (1987).
General reference: K.V.Mikkelsen, H.Ågren, H.J.Aa.Jensen, and T.Helgaker. J.Chem.Phys., 89, 3086, (1988).
Non-equilibrium solvation: K.V.Mikkelsen, A.Cesar, H.Ågren, H.J.Aa.Jensen. J.Chem.Phys., 103, 9010, (1995).

This example describes calculations for non-equilibrium solvation, where the molecule will be enclosed in a spherical cavity. Usually one starts with a calculation of a reference state (most often the ground state) with equilibrium solvation, using keyword .INERSFINAL. The interface file is then used (without user interference) for a non-equilibrium excited state calculation; keyword .INERSINITIAL.

```
**DALTON INPUT
.RUN WAVE FUNCTIONS
**WAVE FUNCTIONS
.TITLE
2-RAS(2p2p') : on F+ (1^D) in Glycol
.MCSCF
*CONFIGURATION INPUT
.SPIN MULTIPLICITY
1
.SYMMETRY
1
.INACTIVE ORBITALS
1 0 0 0 0 0 0 0
.ELECTRONS (active)
```

```
6
.RAS1 SPACE
0 0 0 0 0 0 0 0
.RAS2 SPACE
1 2 2 0 2 0 0 0
.RAS3 SPACE
8 4 4 3 4 3 3 1
.RAS1 ELECTRONS
0 0
.RAS3 ELECTRONS
0 2
*OPTIMIZATION
.NEO ALWAYS
.OPTIMAL ORBITAL TRIAL VECTORS
*ORBITAL INPUT
.MOSTART
NEWORB
*CI VECTOR
.STARTOLDCI
*SOLVENT
.CAVITY
2.5133D0
.INERSINITIAL | initial state inertial polarization
37.7D0 2.050D0 | static and optic dielectric constants for Glycol
.MAX L
10
.PRINT
6
**END OF DALTON INPUT
```

# Chapter 6

# Potential energy surfaces

This section describes one of the most important features of any quantum chemical software package; locating equilibrium geometries and transition structures of molecules. In DALTON this is done using either second-order trust-region optimizations [7] (energies, molecular gradients and molecular Hessians are calculated) or a variety of first-order methods [8] (only energies and molecular gradients are calculated). These methods have been implemented for Hartree–Fock, Density Functional Theory, MCSCF and various Coupled Cluster wave functions. For other non-variational wave functions—such as CI—the program can only do first-order geometry optimizations using a numerically calculated molecular gradient. This will be invoked automatically by the program in case of a non-variational wave function. Some comments connected to such geometry optimizations are collected in Sec. 6.3.

For historical reasons, DALTON actually contains two different modules for exploring potential energy surfaces: \*WALK which is a pure second-order module and \*OPTIMIZE which contains both first- and second-order methods. While there is a lot of overlap between the two modules, certain calculations can only be done using one or the other of the two. The main strength of \*WALK is its robustness, while \*OPTIMIZE focuses more on speed and efficiency. For regular optimizations of minima and transition states, the recommended module is \*OPTIMIZE.

One of the strengths of the DALTON program is the stable algorithms for locating first-order transition states. As described below, this can done by one of three algorithms in \*WALK: by trust-region second-order image surface minimization [9], by gradient extremal walks [10], or by following a specific mode [11] (all requiring the calculation of the Hessian at every point). These options will be discussed in more detail below. The \*OPTIMIZE module also contains the stable second-order trust-region image surface minimization (using analytical or approximate Hessians), as well as a partitioned rational function method [12].

Another feature of the DALTON program system is the options for calculating essential information about the potential energy surface so that subsequent molecular dynamics analysis can be made. There are two options for doing this in the program: One can either follow an Intrinsic Reaction Coordinate (IRC) [10], or solve Newton's equations for the nuclei under the potential put up by the electrons [13]. Whereas the first option can be used for modeling the immediate surroundings of a molecular reaction path, the second will give a precise description of *one* molecular trajectory. Chemical reactions may thus be monitored in time from an initial set of starting conditions. The program will automatically generate a complete description of the energy distribution into internal energies and relative translational energies. By calculating a large number of trajectories, a more complete description of the chemical reaction may be obtained [14].

Please note that for geometry optimizations using the Self-Consistent Reaction Field model, certain restrictions apply, as discussed in Sec. 14.2.2.1.

### 6.1 Locating stationary points

#### 6.1.1 Equilibrium geometries

#### Reference literature:

2nd-order methods: T.U.Helgaker, J.Almlöf, H.J.Aa.Jensen, and P.Jørgensen. J. Chem. Phys., 84, 6266, (1986).
1st-order methods: V.Bakken, and T.Helgaker, J. Chem. Phys., 117, 9160 (2002).
Excited-state optimization: A.Cesar, H.Ågren, T.Helgaker, P.Jørgensen, and H.J.Aa.Jensen. J. Chem. Phys., 95, 5906, (1991).
Solvent Hessian: P.-O.Åstrand, K.V.Mikkelsen, K.Ruud and T.Helgaker. J.Phys. Chem., 100, 19771, (1996).

A short input file for the location of a molecular geometry corresponding to minimum molecular energy (using a second-order optimization method) and performing a vibrational analysis at the stationary point, as well as determining the nuclear shielding constants at the optimized geometry, will look like (assuming a Hartree–Fock wave function):

```
**DALTON INPUT
.OPTIMIZE
*OPTIMIZE
.2NDORD
**WAVE FUNCTIONS
.HF
**PROPERTIES
.VIBANA
```

#### .SHIELD

#### \*\*END OF DALTON INPUT

The keyword .OPTIMIZE by default signals a search for a minimum, that is, a stationary point on the molecular surface with Hessian index 0. With the \*OPTIMIZE module the second-order method has to be explicitly requested (.2NDORD) [7], as first-order methods are the default. At the final, optimized geometry the input in the \*\*PROPE section requests a vibrational analysis and the evaluation of nuclear shieldings.

In second-order methods the Hessian is calculated at every geometry. The analytical Hessian naturally gives a better description of the potential energy surface than the approximate Hessians of first-order methods, and fewer steps will usually be needed to reach the minimum [15]. However, the price one has to pay for this, is that each iteration uses significantly more CPU time. Another advantage of second-order methods, is that the program will automatically break the symmetry of the molecule, if needed, in order to reach a minimum (unless the user specifies that symmetry should not be broken). If one wants to minimize a water molecule starting from a linear geometry and using molecular symmetry, a first-order method will "happily" yield a linear geometry with optimized bond lengths, whereas the second-order method will correctly decide that symmetry should be reduced to  $C_{2v}$  and minimize the energy of the molecule. The bottom-line is, however, that while second-order methods definitely are the more robust, they will generally be outperformed by the much more time-efficient first-order methods.

If you are convinced that the symmetry of your starting geometry is the correct, you may take advantage of the fact there will only be forces in the totally symmetric modes of the molecules, and thus only evaluate the Hessian in the totally symmetric Cartesian symmetry coordinates. This can be achieved with the input:

```
**DALTON INPUT
.WALK
.TOTSYM
**WAVE FUNCTIONS
.HF
**PROPERTIES
.VIBANA
.SHIELD
**END OF DALTON INPUT
```

In case a vibrational analysis has been requested at the optimized geometry, the program will recalculate the complete molecular Hessian at the optimized geometry in order to be able to evaluate all frequencies. Note that only the **\*WALK** module, indicated

by the keyword .WALK, supports the .TOTSYM keyword. We also note that any requests for static polarizabilities, Cioslowski population analysis, dipole gradients or vibrational circular dichroism (VCD) will be ignored as all the necessary property vectors will not be available. However, at the final optimized geometry, the full Hessian will be evaluated, as may then also these properties.

For direct calculations—where the two-electron integrals are not stored on disc—the cost of a second-order geometry optimization algorithm may become prohibitively expensive, despite the rather few iterations normally needed to converge the geometry. In these cases, it may be advantageous to use first-order geometry optimization routines as illustrated in the following input:

\*\*DALTON INPUT .OPTIMIZE \*\*WAVE FUNCTIONS .HF \*\*PROPERTIES .VIBANA .SHIELD \*\*END OF DALTON INPUT

This will use the default method of .OPTIMIZE: a first-order optimization [8] in redundant internal coordinates [16, 17, 15] using the BFGS Hessian update. This means that only the energy and the molecular gradient are calculated in each iteration, and an approximate molecular Hessian is obtained using the gradients and the displacements. The initial molecular Hessian is diagonal in the redundant internal coordinates [18]. To obtain the properties at the optimized geometry, the Hessian has to be calculated. By looking at the vibrational frequencies one can then verify that a true minimum has been reached (as all frequencies should be real, corresponding to a positive definite Hessian).

Several first-order methods have been implemented in DALTON the recommended method being the Broyden-Fletcher-Goldfarb-Shanno (BFGS) Hessian updating scheme. Though other updates may perform better in certain cases, the BFGS update generally seems to be the most reliable and thus is the default method for minimization [15].

Without the calculation of the Hessian at the optimized geometry, one can never be sure that the geometry is indeed a minimum, and this is the main problem with first-order methods.

Both first- and second-order methods are based on trust-region optimization. The trust-region is the region of the potential energy surface where our quadratic model (based on an analytical or approximate Hessian) gives a good representation of the true surface. This region is given the shape of a hypersphere. In the trust-region based optimization algorithm, the radius of the trust-region is automatically updated during the optimization by a feedback mechanism. Occasionally, however, the potential surface may show locally large deviations from quadratic form. This will result in a large disagreement between the predicted energy change and the energy calculated at the new geometry. If this deviation is larger than a given threshold, the step will be reduced through a decrease in the trust radius and a simple line search will be performed. A quadratic function is fitted to the rejected energy and the previous energy and molecular gradient. The minimum of this function is then used as the new step, and this process may be repeated.

Another consideration concerning optimizations, is the choice of coordinate system. Second-order methods do not seem to be very sensitive to this, and the **\*WALK** module thus only uses Cartesian coordinates. However, the coordinate system may be crucial to the performance of first-order methods, especially when starting from approximate Hessians. **\*OPTIMIZE** provides the normal Cartesian coordinates and redundant internal coordinates, the latter being highly recommended when using first-order methods. Redundant internal coordinates consists of all bond lengths, angles and dihedral angles in a molecule, and the redundancies are removed through projection. The default for second-order methods is Cartesian coordinates, while redundant internal coordinates are the default for firstorder methods, but it is possible to override this with the keywords .REDINT and .CARTES respectively.

The \*OPTIMIZE module decides when a minimum is reached through a set of convergence criteria. These will be set automatically depending on the accuracy of the wave function using three different criteria, namely the change in energy between two iterations, the norm of the molecular gradient and the norm of the step. The convergence threshold for the change in energy is the maximum of  $1.0 \cdot 10^{-6}$  Hartree and two times the convergence threshold for the wave function, whereas for the norm of the molecular gradient and step it is the maximum of  $1.0 \cdot 10^{-4}$  a.u. and two times the convergence threshold for the wave function. Two out of these three criteria have to decrease below their threshold before the program declares convergence. These criteria ensure a good geometry suitable for vibrational analysis, but may be unnecessary tight if one only wants the energy.

The convergence criteria can be controlled through the input file. One should keep in mind that while second-order methods are rather insensitive to changes in these thresholds due to their quadratic convergence, first-order methods may run into severe trouble if the criteria are too close to the accuracy of the wave function and the molecular gradient. The quickest way to get somewhat looser convergence criteria, is to use the keyword .BAKER enforcing the convergence criteria of Baker[19] (see the Reference Manual for details). This will normally give energies converged to within  $1.0 \cdot 10^{-6}$  Hartree.

In case of non-variational wave functions, where a numerical gradient is used, the threshold for convergence is reset to  $1.0 \cdot 10^{-4}$  a.u., due to possible numerical instabilities

occurring in the finite difference methods employed to determine the molecular gradient.

We also note that for the optimization of excited states where the state of interest is not the lowest of its symmetry, most of the parameters controlling the trust-region optimization method will be reset to much more conservative values, as one in such calculations will lose the possibility of back-stepping during the wave function optimization, as well as to ensure that the start wave function at the new geometry is within the local region of the optimized wave function.

Calculations involving large basis sets may be very time-consuming, and it becomes very important to start the optimization from a decent geometry. One way to solve this is through preoptimization, that is, one or more smaller basis sets are first used to optimize the molecule. **\*OPTIMIZE** supports the use of up to ten different basis sets for preoptimization. This may also be used as a very effective way to get the optimized energy of a molecule for a series of basis sets. One may also want to calculate the energy of a molecule using a large basis set at the optimized geometry of a smaller basis set, and this is also supported in DALTON, but limited to only one single-point basis set. Both these features are illustrated in the input file below:

```
**DALTON INPUT
.OPTIMIZE
*OPTIMIZE
.PREOPT
2
STO-3G
4-31G
.SP BAS
d-aug-cc-pVTZ
**WAVE FUNCTIONS
.HF
**END OF DALTON INPUT
```

As one can see, two small basis sets have been chosen for preoptimization. Note that they will be used in the order they appear in the input file, so one should sort the sets and put the smaller one at the top for maximum efficiency. The "main" basis set, that is, the one that will be used for the final optimized geometry, is specified in the file MOLECULE. INP as usual. After the last optimization, the energy of the molecule will be calculated at the final geometry with the d-aug-cc-pVTZ basis. Please note that these features will work only when using basis sets from the basis set library.

It is possible to control the optimization procedure more closely by giving the program instructions on how to do the different parts of the calculation. Below we have listed all the modules that may affect the geometry optimization, as well as a short description of which part of the calculation that module controls. The reader is referred to the section describing each module for a closer description of the different options. These sections may, with the exception of the **\*OPTIMIZE** and **\*WALK** section, be specified in any of the modules **\*\*START**, **\*\*EACH STEP** and **\*\*PROPERTIES**. The **\*OPTIMIZE** and **\*WALK** are to be placed in the **\*\*DALTON** module, and will apply to the entire calculation.

\*GEOANA Describes what kind of information about the molecular geometry is to be printed.

\*GETSGY Controls the set up of the right-hand sides (gradient terms).

\*NUCREP Controls the calculation of the nuclear contributions.

- \*ONEINT Controls the calculation of one-electron contributions.
- \*OPTIMIZE Controls the first- and second-order optimization methods (both minima and transition states).
- \*RELAX Controls the adding of solution and right-hand side vectors into relaxation contributions.
- \*REORT Controls the calculation of reorthonormalization terms.
- \*RESPON Controls the solution of the geometric response equations.
- \*TROINV Controls the use of translation and rotational invariance.
- \*TWOEXP Controls the calculation of two-electron expectation values.
- \*VIBANA Set up the vibrational and rotational analysis of the molecule.
- \*WALK Controls the walk (see also "Locating transition states" and "Doing Dynamical walks").

#### 6.1.2 Transition states using the image method

#### **Reference literature:**

T.Helgaker. Chem. Phys. Lett., 182, 503, (1991).

Transition states are found as saddle points on the potential energy surface. The simplest way of locating a first-order transition state, which are the chemically most interesting ones, is to use the trust-region image minimization method described in Ref. [9]. Such geometry optimizations may be considered as a special case of walks on second-order surfaces, and can be done using either the **\*WALK** module or the **\*OPTIMIZE** module. Just

like for minimization the former uses a pure second-order method (analytical Hessians calculated at every geometry), while the latter gives you a choice of first- and second-order methods or combinations of the two.

A second-order optimization of a transition state can be requested by either adding .SADDLE and .2NDORD in the \*OPTIMIZE section:

\*\*DALTON INPUT .OPTIMIZE \*OPTIMIZE .SADDLE .SADDLE .2NDORD \*\*WAVE FUNCTIONS .HF \*\*END OF DALTON INPUT or by adding the keyword .IMAGE in the \*WALK section: \*\*DALTON INPUT .WALK \*WALK .IMAGE \*\*WAVE FUNCTIONS .HF

```
**END OF DALTON INPUT
```

Any property may of course be specified at all stages of the optimization in the same fashion as for geometry minimizations.

The principle behind the trust-region image minimization is simple. A first-order transition state is characterized by having one negative Hessian eigenvalue. By reversing the sign of this eigenvalue, we have taken the mirror image of our potential surface along the associated mode, thus turning our problem into an ordinary minimization problem. A global one-to-one correspondence between the image surface and our potential energy surface is only valid for a second-order surface, but in general the lack of a global one-to-one correspondence surface and problems.

The advantage of the trust-region image optimization as compared to for instance following gradient extremals lies mainly in the fact that we may take advantage of wellknown techniques for minimization. In addition, the method does not need to be started at a stationary point of the potential surface which is necessary when following a gradient extremal (in fact, when using **\*OPTIMIZE** the starting geometry should *not* be a minimum). We have so far not experienced that the trust-region image optimization fails to locate

#### CHAPTER 6. POTENTIAL ENERGY SURFACES

a first order transition state, even though this is by no means globally guaranteed from the approach. Note that the first-order saddle-points normally obtained using the image method starting at a minimum, often corresponds to conformational transition states, and thus not necessarily to the chemically most interesting transition states.

There are two approaches for locating several first-order saddle points with the trustregion image optimization. One may take advantage of the fact the image method is not dependent upon starting at a stationary point, and thus start the image minimization from several different geometries, and thus hopefully ending up at different first-order saddle points, as the lowest eigenmode at different regions of the potential energy surface may lead to different transition states.

The other approach is to request that not the lowest mode, but some other eigenmode is to be inverted. This can be achieved by explicitly giving the mode which is to be inverted through the keyword .MODE. This keyword is the same in both the **\*WALK** and the **\*OPTIMIZE** module. However, one should keep in mind that there will be crossings where a given mode will switch from the chosen mode to a lower mode. However, what will happen in these crossing points cannot be predicted in advance. Thus, for such investigations, the gradient extremal approach may prove equally well suited. Let us give an example of an input for a trust-region image optimization where the third mode is inverted:

\*\*DALTON INPUT .WALK \*WALK .IMAGE .MODE 3 \*\*WAVE FUNCTIONS .HF \*\*END OF DALTON INPUT

#### 6.1.3 Transition states using first-order methods

While second-order methods are robust, we have already pointed out in section 6.1.1 that Hessians might be expensive to compute. The **\*OPTIMIZE** module therefore provides first-order methods for locating transition states, where approximate rather than exact Hessians are used. The step control method is, however, the same trust-region image optimization.

When locating transition states it is important to have a good description of the mode that should be maximized (*i.e.* have a negative eigenvalue). It is therefore not recommended to start off with a simple model Hessian, but rather to calculate the initial Hessian analytically. Alternatively it can be calculated using a smaller basis set/cheaper

wave function and then be read in. The minimal input for a transition state optimization using **\*OPTIMIZE** is:

```
**DALTON INPUT
.OPTIMIZE
*OPTIMIZE
.SADDLE
**WAVE FUNCTIONS
.HF
**END OF DALTON INPUT
```

This will calculate the Hessian at the initial geometry, then update it using Bofill's update [20] (the BFGS update is not suitable since it tends towards a positive definite Hessian). As for minimizations, redundant internal coordinates are used by default for first-order methods.

It is highly recommended that a Hessian calculation/vibrational analysis be performed once a stationary point has been found, to verify that it's actually a first-order transition state. There should be one and only one negative eigenvalue/imaginary frequency.

If no analytical second derivatives (Hessians) are available, it is still possible to attempt a saddle point optimization by starting from a model Hessian indicated by the keyword .INIMOD:

```
**DALTON INPUT
.OPTIMIZE
*OPTIMIZE
.INIMOD
.SADDLE
**WAVE FUNCTIONS
.HF
**END OF DALTON INPUT
```

Provided the starting geometry is reasonably near the transition state, such optimization will usually converge correctly. If not, it is usually a good idea to start from different geometries and also to try to follow different Hessian modes, as described in section 6.1.2 (through the .MODE keyword).

#### 6.1.4 Transition states following a gradient extremal

```
Reference literature:
```

P.Jørgensen, H.J.Aa.Jensen, and T.Helgaker. *Theor. Chem. Acta*, **73**, 55, (1988).

A gradient extremal is defined as a locus of points in the contour space where the gradient is extremal [10]. These gradient extremals connect stationary points on a molecular potential energy surface and are locally characterized by requiring that the molecular gradient is an eigenvector of the mass-weighted molecular Hessian at each point on the line. From a stationary point there will be gradient extremals leaving in all normal coordinate directions, and stationary points on a molecular potential surface may thus be characterized by following these gradient extremals. The implementation of this approach in DALTON is described in Ref. [10].

Before discussing more closely which keywords are of importance in such a calculation and how they are to be used, we give an example of a typical gradient extremal input in a search for a first-order transition state along the second-lowest mode of a mono-deuterated ethane molecule:

```
**DALTON INPUT
.WALK
*WALK
.GRDEXT
.INDEX
1
.MODE
2
**WAVE FUNCTIONS
.HF
**END OF DALTON INPUT
```

The request for a gradient extremal calculation is controlled by the .GRDEXT, and in this example we have chosen to follow the second-lowest mode, as specified by the .MODE keyword.

As the calculation of the gradient extremal uses mass-weighted coordinates, it is recommended to specify the isotopic constitution of the molecule. If none is specified, the most abundant isotope of each atom is used by default. The isotopic constitution of a molecule is given in the MOLECULE.INP file as described in Chapter 26.

A requirement in a gradient extremal calculation is that the calculation is started on a gradient extremal. In practice this is most conveniently ensured by starting at a stationary point, a minimum or a transition state. The index of the critical point — that is, the number of negative Hessian eigenvalues — sought, need to be specified (by the keyword .INDEX), as the calculation would otherwise continue until a critical point with index zero (corresponding to a minimum), is found.

#### 6.1.5 Level-shifted mode-following

C.J.Cerjan, and W.H.Miller. J.Chem.Phys., 75, 2800, (1981).
H.J.Aa.Jensen, P.Jørgensen, and T.Helgaker. J.Chem.Phys., 85, 3917, (1986).

The input needed for doing a level-shifted mode following is very similar to the input for following a gradient extremal, and the keyword that is needed in order to invoke this kind of calculation is .MODFOL. As for gradient extremals, we need to specify which mode we follow. However, a mode following does not use mass-weighted molecular coordinates as default, and isotopic composition of the molecule is therefore not needed. Note, however, that mass-weighted coordinates can be requested through the keyword .MASSES as described in the input section for the \*WALK module. A typical input following the third mode will thus look like:

```
**DALTON INPUT
.WALK
*WALK
.MODFOL
.INDEX
1
.MODE
3
**WAVE FUNCTIONS
.HF
**END OF DALTON INPUT
```

The level-shifted mode-following uses an algorithm similar to the one used in the ordinary geometry optimization of a molecule, but whereas one in minimizations chooses a step so that the level shift parameter is less than the lowest eigenvalue of the molecular Hessian, this level shift parameter is chosen to be in-between the eigenvalues  $\lambda_{t-1}$  and  $\lambda_t$  if we are following mode number t. This approach was pioneered by Cerjan and Miller [21], and is also described in Ref. [11]. As for the gradient extremal approach, higher-order transition states can be requested through the use of the keyword .INDEX.

Note that it may often be necessary to start the mode-following calculation by stepping out of the stationary point along the mode of interest using the keyword .EIGEN in the .WALK module. We refer to the reference manual for a further description of this option.

The index of the critical point—that is, the number of negative Hessian eigenvalues sought, need to be specified (by the keyword .INDEX), as the calculation would otherwise continue until a critical point with index zero (corresponding to a minimum), is found.

## 6.2 Trajectories and Dynamics

#### 6.2.1 Intrinsic reaction coordinates

```
Reference literature:
```

K.Fukui. Acc. Chem. Res., 14, 363, (1981).

A tool that has proved valuable in the study of molecular dynamics is the use of steepest descent-based algorithms for following a molecular reaction from a transition state towards a minimum. One of the most successful ways of doing this is the Intrinsic Reaction Coordinate (IRC) approach [22]. The IRC is calculated by taking small steps along the negative molecular gradient in a mass-weighted coordinate system. In DALTON, the size of the step is adjusted with the use of the trust-region based algorithm. In order to get a sufficiently accurate potential energy surface, rather small steps must be taken, and the default trust radius is thus reset to 0.020 when an IRC calculation is being done.

In many respects the input to an IRC calculation is very similar to the input for a trust-region image optimization, and a typical input would look like:

\*\*DALTON INPUT .WALK .MAX IT 150 \*WALK .IRC 1 \*\*WAVE FUNCTIONS .HF \*\*END OF DALTON INPUT

Most of this input should now be self-explanatory. The request for an Intrinsic Reaction Coordinate calculation is done by using the keyword .IRC. On the next line there is a positive or negative integer indicating in which direction the reaction should proceed. It is, however, not possible to determine in advance which reaction path a given sign is connected to, and the calculation should therefore always be checked after a few iterations in order to ensure that the reaction proceeds in the correct direction. If not, the calculation should be stopped and started from the transition state again with a different sign for the integer specified after the .IRC keyword.

As the IRC is defined with respect to mass-weighted coordinates, care has to be taken in order to specify the correct isotopic substitution of the molecule. The specification of the isotopic constitution of a molecule is given in the MOLECULE.INP file, as described in Chapter 26.

Due to the small steps that must be used in a calculation of an IRC, such a calculation may require a large number of iterations, and it may thus be necessary to increase the maximum number of iterations that can be taken. This can be done by the keyword .MAX IT in the **\*\*DALTON** input section. Default value for this parameter is 20 iterations.

All the information about the Intrinsic Reaction Coordinate will be collected in a file called DALTON.IRC. If a calculation stops because it has reached the maximum number of iterations, it may be restarted from that point, and the new information about the IRC will be added to the old DALTON.IRC file. This also implies that if a calculation is restarted from the beginning (because it went in the wrong direction) the DALTON.IRC file *must* be removed first. Thus it may often be useful to take a backup of the DALTON.IRC during the calculation of the IRC.

Finally, some comments on the interest of a calculation of IRCs. Whereas it will give results that mimic the behavior of what is considered to be a good description of the reaction pathway of a molecular reaction, it does not include any dynamical aspects of the reaction. There exists several models for approximating local regions of a molecular potential energy surface from the results of an IRC calculation, and from the potential energy surface valley, the dynamics of a chemical reaction may be mimicked. However, DALTON gives another, more direct, opportunity for studying molecular dynamics through dynamic walks as described in more detail in the next section.

#### 6.2.2 Doing a dynamical walk

```
Reference literature:
```

T.Helgaker, E.Uggerud, H.J.Aa.Jensen. Chem.Phys.Lett., **173**, 145, (1990).

The theory behind the "direct dynamics" as implemented in DALTON is described in Ref. [13]. The main idea behind this approach is that Newton's equations of motion for the nuclei are integrated in the presence of the quantum mechanical potential set up by the electrons. Thus one may follow a molecular reaction from a given starting point (usually a transition state) as it would behave if the nuclei could be treated exactly as classical particles. One should also keep in mind that the Hamiltonian used is constructed within the framework of the Born-Oppenheimer approximation, which may turn out not to be a good approximation at given points during the reaction. Furthermore, the calculation describes the way molecules with a predefined orientation and momentum will react. Thus the trajectory obtained is only one of a large number of possible trajectories depending on the initial state of the molecule.

The necessary input in order to do a dynamical walk of for instance protonated formaldehyde would look like:

```
**DALTON INPUT
.WALK
.MAX IT
 200
*WALK
.DYNAMI
.FRAGME
 5
 1 1 1 2 2
.MOMENT
 1
1 -.00001
.MODE
 1
**WAVE FUNCTIONS
.HF
**END OF DALTON INPUT
```

The walk is specified to be a dynamic walk through the keyword .DYNAMI. The starting trust radius will in dynamical calculations be changed to a new default value of 0.005.

The keyword .FRAGME dictates which atoms belong to which molecular fragment. In this particular case, we assume that two protons leave the protonated formaldehyde as a hydrogen molecule, and that the leaving hydrogen atoms are the last atoms of the MOLECULE.INP input file. This partitioning is mainly needed in order to get proper values for the relative translational energy between the two fragments, as well as for deciding how much of the energy has been distributed into internal degrees of freedom.

The default isotopic substitution is that the most abundant isotopes are to be used in the calculation. Isotopic substitution is important as the masses of the nuclei enters when Newton's equations of motion are integrated. The specification of the isotopic constitution of the molecule is given in the MOLECULE.INP file, as described in Chapter 26.

In this calculation we start the calculation at a transition state, and in order to get the reaction started we need to give the molecule a slight push. This is achieved by the keyword .MOMENT. In the next line the user then specifies the number of modes in which there is an initial momentum, followed by lines containing pairs of numbers, of which the first specifies the mode, and the second the momentum in this mode. There must be as many pairs of modes and momenta as specified in the line after the .MOMENT keyword. It is impossible to predict in advance which way the reaction will proceed, and the calculation should be checked after a few iterations, in order to ensure that it proceeds in the right direction. If not, the calculation should be started from the transition state again with a different sign on the initial momentum. The DALTON.TRJ must also be removed as discussed below.

It is in principle possible to start a calculation from any point on a molecular potential energy surface, and in cases where these starting points do not correspond to a stationary point, .MOMENT may be skipped, as there exist a downward slope (in other words, an attractive force) driving the molecule(s) in a specific direction. One may of course also start the molecule with a given initial momentum in different energy modes.

During the dynamical calculation, care has also to be taken in order to ensure that the steps taken are not too long. If this occurs, the initial trust radius and/or the trust radius increment should be reduced by the keyword .TRUST. In the DALTON output one will find "Accumulated kinetic energy since start", and this property will be calculated in two ways: From conservation of the total energy, and from integrated momenta. If the difference between these numbers is larger than approximately 1%, the calculation should be stopped and the starting trust radius be decreased and the calculation restarted from the starting point again after removal of the DALTON.TRJ-file.

The calculation of dynamical walks may take from about 70 to 1200 iterations (as a general rule) and one must therefore adjust the maximum number of iterations allowed. This is done by the .MAX IT keyword. In the present example the maximum number of iterations have been reset to 200. If the calculation cannot be closely monitored, it is recommended not to set the maximum number of iterations too high, and rather restart the calculation if this turns out to be necessary. This can be accomplished by specifying the iteration at which the calculation will restarted by the keyword .ITERAT in the **\*\*DALTON** input module.

The calculation should be stopped (at least for ordinary hydrogen elimination reactions) when the "Relative velocity" starts to decrease, as this indicates that the molecules are so far apart that basis set superposition errors become apparent. We will below return to how one then calculates translational energy release of the reaction.

During the whole calculation, a file DALTON.TRJ is updated. This file contains information from the entire dynamic walk. If a walk is restarted from a given point, the new information will be appended to the old DALTON.TRJ-file. Note that this also implies that if you need to restart the calculation from the beginning (because the reaction went the wrong way or because of a too large trust radius), the DALTON.TRJ-file *must* be removed. Thus, it may often be advisable to take a backup of this file in certain parts of the calculation. As this file contains all the information about the dynamical walk, this file can be used to generate a video-sequence of the molecular reaction along this specific trajectory with the correct time-scaling [23].

#### 6.2.3 Calculating relative translational energy release

It is often of interest to calculate the relative translational energy release in a given reaction, as this can be compared to experimental values determined from *e.g.* mass spectrometry [13]. Although this quantity is printed in the output from DALTON in the entire dynamical walk, the relative translational energy release should be calculated, due to basis set superposition errors and vibrational and rotational excitation in the departing molecular fragments, in the way described here.

The geometry of the last iterations for which relative translational energy release is known, is used as a starting point for minimizing the two molecular fragments as described in Section 6.1.1. As a check of this minimization one might also minimize the two molecular fragments separately, and check this total energy against the energy obtained when minimizing the molecular supersystem. The energies should be almost identical, but small differences due to basis set superposition errors may be noticeable.

The barrier height can then be calculated by subtracting the energy of the molecule at the transition state and the energy for the separated molecular fragments, or an experimentally determined barrier height may be used. The relative translational energy release may then be obtained by dividing the translational energy release from the last DALTON iteration by the barrier height. This number will not be identical to the number printed in the DALTON-output, because of the different vibrational and rotational state of the molecule in the final iteration point as compared to the minimized structure.

# 6.3 Geometry optimization using non-variational wave functions

DALTON does not have any support for the analytical calculation of molecular gradients and Hessians for the non-variational wave functions CI and NEVPT2. However, in order to exploit the facilities of the first-order geometry optimization routines in DALTON, a numerical gradient based on energies will be calculated if a geometry optimization is invoked for a non-variational wave function. As a simple example, to optimize the MP2 geometry of a molecule using numerical gradients<sup>1</sup>, the only input needed is

<sup>&</sup>lt;sup>1</sup>Note that MP2, CCSD, and CCSD(T) analytical gradients are available through the CC module

\*\*DALTON INPUT .OPTIMIZE \*\*WAVE FUNCTIONS .HF .MP2 \*\*END OF DALTON INPUT

The size of the displacements used during the evaluation of the numerical gradient can be controlled through the keyword .DISPLA in the \*OPTIMI input module. Default value is  $1.0 \cdot 10^{-3}$  a.u. By default, the threshold for convergence of the geometry will be changed because of estimated inaccuracies in the numerical molecular gradients. However, if the threshold for convergence is altered manually, this user supplied threshold for convergence will be used also in geometry optimizations using numerical molecular gradients. Note that due to the possibility of larger numerical errors in the molecular gradient, too tight convergence criteria for an optimized geometry may make it difficult for the program to obtain a converged geometry.

# Chapter 7

# Molecular vibrations

In this chapter we discuss properties related to the vibrational motions of a molecule. This includes vibrational frequencies and the associated infrared (IR) and Raman intensities.

# 7.1 Vibrational frequencies

The calculation of vibrational frequencies are controlled by the keyword .VIBANA. Thus, in order to calculate the vibrational frequencies of a molecule, all that is is needed is the input:

```
**DALTON INPUT
.RUN PROPERTIES
**WAVE FUNCTIONS
.HF
**PROPERTIES
.VIBANA
**END OF DALTON INPUT
```

This keyword will, in addition to calculating the molecular frequencies, also calculate the zero-point vibrational energy corrections and vibrational and rotational partition functions at selected temperatures.

DALTON evaluates the molecular Hessian in Cartesian coordinates, and the vibrational frequencies of any isotopically substituted species may therefore easily be obtained on the basis of the full Hessian. Thus, if we would like to calculate the vibrational frequencies of isotopically substituted molecules, this may be obtained through an input like:

\*\*DALTON INPUT .RUN PROPERTIES \*\*WAVE FUNCTIONS .HF \*\*PROPERITES .VIBANA \*VIBANA .ISOTOP 2 5 1 2 1 1 1 2 1 1 1 1 \*\*END OF DALTON INPUT

The keyword .ISOTOP in the \*VIBANA input module indicates that more than only the isotopic species containing the most abundant isotopes are to be calculated, which will always be calculated. The numbers on the second line denote the number of isotopically substituted species that are requested and the number of atoms in the system. The following lines then list the isotopic constitution of each of these species. 1 corresponds to the most abundant isotope, 2 corresponds to the second most abundant isotope and so on. The isotopic substitution have to be given for all atoms in the molecule (not only the symmetry independent), and the above input could for instance correspond to a methane molecule, with the isotopic species  $CH_3D$  and  ${}^{13}CH_4$ .

As the isotopic substitution of all atoms in the molecule have to be specified, let us mention the way symmetry-dependent atoms will be generated. The atoms will be grouped in symmetry-dependent atom blocks. The specified symmetry-independent atom will be the first of this block, and the symmetry-dependent atoms will be generated according to the order of the symmetry elements. Thus, assuming  $D_{2h}$  symmetry with symmetry generating elements X Y Z, the atoms generated will come in the order X, Y, XY, Z, XZ, YZ, and XYZ.

# 7.2 Infrared (IR) intensities

#### **Reference** literature:

R.D.Amos. Chem.Phys.Lett., 108, 185, (1984).
T.U.Helgaker, H.J.Aa.Jensen, and P.Jørgensen. J.Chem.Phys., 84, 6280, (1986).

The evaluation of infrared intensities requires the calculation of the dipole gradients (also known as Atomic Polar Tensors (APTs)). Thus, by combining the calculation of vibrational frequencies with the calculation of dipole gradients, IR intensities will be obtained. Such an input may look like:

#### \*\*DALTON INPUT
```
.RUN PROPERTIES
**WAVE FUNCTIONS
.HF
**PROPERTIES
.VIBANA
.DIPGRA
*VIBANA
.ISOTOP
2 5
1 2 1 1 1
2 1 1 1 1
**END OF DALTON INPUT
```

The keyword .DIPGRA invokes the calculation of the dipole gradients.

# 7.3 Dipole-gradient based population analysis

```
Reference literature:
```

J.Cioslowski. J.Am.Chem.Soc., **111**, 8333, (1989).

T.U.Helgaker, H.J.Aa.Jensen, and P.Jørgensen. J.Chem. Phys., 84, 6280, (1986).

As dipole gradients are readily available in the DALTON program, the population analysis basis on the Atomic Polar Tensor as suggested by Cioslowski [24, 25] can be obtained from an input like

\*\*DALTON INPUT .RUN PROPERTIES \*\*WAVE FUNCTIONS .HF \*\*PROPERTIES .POPANA \*END OF DALTON

This population analysis is of course significantly more expensive than the ordinary Mulliken population analysis obtainable directly from the molecular wave functions through an input like

**\*\*DALTON INPUT** 

```
.RUN WAVE FUNCTIONS
**WAVE FUNCTIONS
.HF
*POPULATION ANALYSIS
.MULLIKEN
*END OF DALTON
```

# 7.4 Raman intensities

#### **Reference literature:**

T.Helgaker, K.Ruud, K.L.Bak, P.Jørgensen, and J.Olsen. *Faraday Discuss.*, **99**, 165, (1994).

Calculating Raman intensities is by no means a trivial task, and because of the computational cost of such calculations, there are therefore few theoretical investigations of basis set requirements and correlation effects on calculated Raman intensities. The Raman intensities calculated are the ones obtained within the Placzek approximation [26], and the implementation is described in Ref. [27].

The Raman intensity is the differentiated frequency-dependent polarizability with respect to nuclear displacements. As it is a third derivative depending on the nuclear positions through the basis set, numerical differentiation of the polarizability with respect to nuclear coordinates is necessary.

The input looks very similar to the input needed for the calculation of Raman optical activity described in Section 10.5

```
**DALTON INPUT
.WALK
*WALK
.NUMERI
**WAVE FUNCTIONS
.HF
*SCF INPUT
.THRESH
1.OD-8
**START
.RAMAN
*ABALNR
.THRESH
1.OD-7
```

```
.FREQUE
     2
0.0 0.09321471
**EACH STEP
.RAMAN
*ABALNR
.THRESH
1.0D-7
.FREQUE
     2
0.0 0.09321471
**PROPERTIES
.RAMAN
.VIBANA
*RESPONSE
.THRESH
1.0D-6
*ABALNR
.THRESH
1.0D-7
.FREQUE
     2
0.0 0.09321471
*VIBANA
.PRINT
 1
.ISOTOP
   1
       5
 1 1 1 2 3
**END OF DALTON INPUT
```

The keyword .RAMAN in the general input module indicates that a frequency-dependent polarizability calculation is to be done. The keyword .RAMAN indicates that we are only interested in the Raman intensities and depolarization ratios. Note that these parameters are also obtainable by using the keyword .VROA. In this calculation we calculate the Raman intensities for two frequencies, the static case and a frequency of the incident light corresponding to a laser of wavelength 488.8 nm.

Due to the numerical differentiation that is done, the threshold for the iterative solution of the response equations are by default  $10^{-7}$ , in order to get Raman intensities

that are numerically stable to one decimal digit.

In the **\*WALK** input module we have specified that the walk is a numerical differentiation. This will automatically turn off the calculation of the geometric Hessian, putting limitations on what kind of properties that may be calculated at the same time as Raman intensities. Because the Hessian is not calculated, there will not be any prediction of the energy at the new point.

It should also be noted that in a numerical differentiation, the program will step plus and minus one displacement unit along each Cartesian coordinate of all nuclei, as well as calculating the property at the reference geometry. Thus, for a molecule with Natoms the properties will need to be calculated in a total of  $2^*3^*N + 1$  points, which for a molecule with five atoms will amount to 31 points. The default maximum number of steps in DALTON is 20. However, in numerical differentiation calculations, the number of iterations will always be reset (if there are more than 20 steps that need to be taken) to 6N+1, as it is assumed that the user always wants the calculation to complete correctly. The maximum number of allowed iterations can be manually set by adding the keyword .MAX IT in the **\*\*DALTON** input module.

The default step length in the numerical differentiation is  $1.0 \cdot 10^{-4}$  a.u., and this step length may be adjusted by the keyword .DISPLA in the \*WALK input module. The steps are taken in the Cartesian directions and not along normal modes. This enables us to study the Raman intensities of a large number of isotopically substituted molecules at once. This is done in the \*\*PROPERTIES input section, where we have requested one isotopically substituted species in addition to the isotopic species containing the most abundant isotope of each element.

# 7.5 Vibrational g factor

#### **Reference literature:**

K.L.Bak, S.P.A.Sauer, J.Oddershede and J.F.Ogilvie. Phys. Chem. Chem. Phys., 7, 1747, (2005).
H.Kjær and S.P.A.Sauer. Theor. Chem. Acc., 122, 137, (2009).

Non-adiabatic corrections to the moment of inertia tensor for molecular vibrations can be calculated with the DALTON program with the keyword .VIB\_G. For diatomic molecules this is known as the vibrational g factor [28, 29, 30]. The response functions necessary for the electronic contribution to the vibrational g factor can be obtained from an input like

\*\*DALTON INPUT .RUN PROPERTIES

```
**WAVE FUNCTIONS
.HF
**PROPERTIES
.VIB_G
*TROINV
.SKIP
**END OF DALTON INPUT
```

SCF and MCSCF wavefunctions or DFT can be employed in such a calculation. The nuclear contribution, on the other hand, can trivially be calculated from the nuclear charges and coordinates [30, 31]. The program generates thus a series of linear response functions for components of the nuclear linear momentum operators, i.e. derivatives with respect to the nuclear (symmetry) coordinates. The user has then to select the pair of coordinates relevant for the vibrational mode of interest and multiply the corresponding response function with the appropriate masses and natural constants [30, 31]. In the case, that the calculation has made use of the molecular point group symmetry, one has to remove the symmetry adaptation of then nuclear coordinates and thus derivative operators as well.

Mass-independent contributions to the vibrational g factor of diatomic molecules can analogously be obtained by combining the linear response function of two nuclear momentum operators with response functions involving one nuclear momentum operator and components of the total electronic moment operator according to Eq. (11) in Ref. [31]. These response functions are also printed in the output.

The convergence thresholds for the calculation of the molecular gradient as well as the linear response functions of the nuclear linear momentum operators can be controlled with the .THRESH keyword in the \*RESPON and \*ABALNR sections, respectively. The input file for a calculation with significantly smaller thresholds than the default values would like like the following

\*\*DALTON INPUT .RUN PROPERTIES \*\*WAVE FUNCTIONS .HF \*\*PROPERTIES .VIB\_G \*TROINV .SKIP \*RESPON .THRESH 1.OD-07 \*ABALNR

.THRESH

1.0D-7

\*\*END OF DALTON INPUT

# Chapter 8

# **Electric** properties

This chapter describes the calculation of the different electric properties which have been implemented in the DALTON program. These include the dipole moment, the quadrupole moment, the nuclear quadrupole interactions, and the static and frequency dependent polarizability. Note that a number of different electric properties may be obtained by use of the RESPONSE module if they can be expressed as a linear, quadratic or cubic response function. For the non-linear electric properties we refer to the chapter "Getting the property you want" (Chapter 11).

# 8.1 Dipole moment

The dipole moment of a molecule is always calculated if **\*\*PROPERTIES** is requested, and no special input is needed in order to evaluate this property.

# 8.2 Quadrupole moment

The traceless molecular quadrupole moment, as defined by Buckingham [32], is calculated by using the keyword .QUADRU, and it can be requested from an input like:

```
**DALTON INPUT
.RUN PROPERTIES
**WAVE FUNCTIONS
.HF
**PROPERTIES
.QUADRU
**END OF DALTON INPUT
```

Note that both the electronic and nuclear contributions are always printed in the coordinate system chosen, that is, the tensors are not transformed to the principal axis system nor to the principal inertia system, as is often done in the literature.

The quadrupole moment is evaluated as an expectation value, and is thus fast to evaluate. This is noteworthy, because experimentally determined quadrupole moments obtained through microwave Zeeman experiments (see e.g. [33, 34]) are derived quantities and prone to errors, whereas the calculation of rotational g factors and magnetizability anisotropies (see Chapter 9)—obtainable from such experiments—are difficult to calculate accurately [35]. An input requesting a large number of the properties obtainable from microwave Zeeman experiments is (where we also include nuclear quadrupole coupling constants):

```
**DALTON INPUT
.RUN PROPERTIES
**WAVE FUNCTIONS
.HF
**PROPERTIES
.MAGNET
.MOLGFA
.QUADRU
.NQCC
**END OF DALTON INPUT
```

Note that the program prints the final molecular rotational g tensors in the principal inertia system, whereas this is not the case for the magnetizabilities and molecular quadrupole moment.

# 8.3 Nuclear quadrupole coupling constants

This property is the interaction between the nuclear quadrupole moment of a nucleus with spin greater or equal to 1, and the electric field gradient generated by the movement of the electron cloud around the nucleus. Quantum mechanically it is calculated as an expectation value of the electric field gradient at the nucleus, and it is obtained by the input:

```
**DALTON INPUT
.RUN PROPERTIES
**WAVE FUNCTIONS
.HF
**PROPERTIES
```

#### .NQCC

```
**END OF DALTON INPUT
```

It is noteworthy that due to it's dependence on the electronic environment close to the nucleus of interest, it puts strict demands on the basis set, similar to that needed for spin-spin coupling constants (Sec. 9.6). Electron correlation may also be of importance, see for instance Ref. [36].

# 8.4 Static and frequency dependent polarizabilities

Frequency-dependent polarizabilities is calculated from a set of linear response functions as described in Ref. [37]. In ABACUS the calculation of frequency-dependent linear response functions is requested through the keyword .ALPHA in the general input module to the property section. An input file requesting the calculation of the frequency dependent polarizability of a molecule may then be calculated using the following input:

```
**DALTON INPUT
.RUN PROPERTIES
**END OF DALTON INPUT
**WAVE FUNCTIONS
.HF
**PROPERTIES
.ALPHA
*ABALNR
.FREQUE
2
0.0 0.09321471
**END OF DALTON INPUT
```

For a Second Order Polarization Propagator Approximation (SOPPA) [38, 39, 40, 41] calculation of frequency-dependent polarizabilities the additional keyword .SOPPA has to be specified in the **\*\*PROPERTIES** input module and an MP2 calculation has to be requested by the keyword .MP2 in the **\*\*WAVE FUNCTIONS** input module. Similarly, for a SOPPA(CC2) [42] or SOPPA(CCSD) [43, 41] calculation of frequency dependent polarizabilities the additional keyword .SOPPA(CCSD) has to be specified in the **\*\*PROPERTIES** input module and an CC2 or CCSD calculation has to be requested by the keyword .CC in the **\*\*WAVE FUNCTIONS** input module with the option .SOPPA(CCSD) in the **\*CC INPUT** section.

The **\*ABALNR** input section controls the calculation of the frequency-dependent linear response function. We must here specify at which frequencies the polarizability is to be

calculated. This is done with the keyword .FREQUE, and in this run the polarizability is to be evaluated at zero frequency (corresponding to the static polarizability) and at a frequency (in atomic units) corresponding to a incident laser beam of wavelength 488.8 nm.

There is also another way of calculating the static polarizability, and this is by using the keyword **.POLARI** in the **\*\*PROPERTIES** input modules. Thus, if we only want to evaluate the static polarizability of a molecule, this may be achieved by the following input:

```
**DALTON INPUT
.RUN PROPERTIES
**WAVE FUNCTIONS
.HF
**PROPERTIES
.POLARI
**END OF DALTON INPUT
```

Furthermore, the general RESPONSE module will also calculate the frequency-dependent polarizability as minus the linear response functions through the input

\*\*DALTON INPUT .RUN RESPONSE \*\*WAVE FUNCTIONS .HF \*\*RESPONSE \*LINEAR .DIPLEN \*\*END OF DALTON INPUT

For further details about input for the RESPONSE module, we refer to Chapter 11.

# Chapter 9

# Calculation of magnetic properties

This chapter describes the calculation of properties depending on magnetic fields, both as created by an external magnetic field as well as the magnetic field created by a nuclear magnetic moment. This includes the two contributions to the ordinary spin-Hamiltonian used in NMR, nuclear shieldings and indirect nuclear spin–spin couplings constants. We also describe the calculation of the magnetic analogue of the polarizability, the molecular magnetizability. This property is of importance in NMR experiments where the reference substance is placed in another tube than the sample. We also shortly describe two properties very closely related to the magnetizability and nuclear shieldings, respectively, the rotational g factor and the nuclear spin–rotation constants.

Three properties that in principle depend on the magnetic moments are not treated here, namely the properties associated with optical activity or, more precisely, with circular dichroism. These properties are Vibrational Circular Dichroism (VCD), Raman Optical activity (ROA) and Electronic Circular Dichroism (ECD) and these properties will be treated in Chapter 10. Another (magneto-)optical property, the  $\mathcal{B}$  term of Magnetic Circular Dichroism (MCD) will be described in Chapter 11.

Gauge-origin independent nuclear shieldings, magnetizabilities and rotational g tensors are obtained through the use of London atomic orbitals, and the theory is presented in several references [44, 45, 46, 47]. Gauge-origin independent nuclear shieldings and magnetizabilities can also be obtained by using the the Continuous Transformation of the Origin of the Current Density method (CTOCD) approach [48, 49, 50]. In the present version of DALTON the CTOCD-DZ method is implemented and can be invoked by the keyword .CTOCD in the **\*\*PROPERTIES** input module. More detailed information on CTOCD-DZ calculations can be found in section 9.10.1.

The indirect spin–spin couplings are calculated by using the triplet linear response function, as described in Ref. [51]. These are in principle equally simple to calculate with DALTON as nuclear shieldings and magnetizabilities. However, there are 10 contributions to the spin–spin coupling constant from *each* nucleus.<sup>1</sup> Furthermore, the spin–spin coupling constants put severe requirements on the quality of the basis set as well as a proper treatment of correlation, making the evaluation of spin–spin coupling constants a time consuming task. Some notes about how this time can be reduced is given below.

Second Order Polarization Propagator Approximation (SOPPA) [38, 39, 40, 52, 53], SOPPA(CC2) [42] or SOPPA(CCSD) [43, 52, 53] calculations of the indirect spin-spin couplings, nuclear shieldings, magnetizabilities, rotational g tensors and the nuclear spinrotation constants can be invoked by the additional keywords .SOPPA or .SOPPA(CCSD) in the \*\*PROPERTIES input module. This requires for SOPPA that the MP2 energy was calculated by specifying the keyword .MP2 in the \*\*WAVE FUNCTIONS input module, whereas for a SOPPA(CC2) or SOPPA(CCSD) calculation the CC2 or CCSD amplitudes have to be generated by specifying the keyword .CC in the \*\*WAVE FUNCTIONS input module and .SOPPA2 or .SOPPA(CCSD) in the \*CC INPUT section. The use of London orbitals is automatically disabled in SOPPA calculations of the nuclear shieldings, magnetizabilities and rotational g tensors.

# 9.1 Magnetizabilities

#### **Reference literature:**

SCF magnetizabilities: K.Ruud, T.Helgaker, K.L.Bak, P.Jørgensen and H.J.Aa.Jensen. J.Chem.Phys., 99, 3847, (1993).

MCSCF magnetizabilities: K.Ruud, T.Helgaker, K.L.Bak, P.Jørgensen, and J.Olsen. *Chem.Phys.*, **195**, 157, (1995).

Solvent effects: K.V.Mikkelsen, P.Jørgensen, K.Ruud, and T.Helgaker. *J.Chem.Phys.*, **107**, 1170, (1997).

CTOCD-DZ magnetizabilities: P.Lazzeretti, M.Malagoli and R.Zanasi. Chem.Phys.Lett., **220**, 299, (1994)

The calculation of molecular magnetizabilities is invoked by the keyword .MAGNET in the **\*\*PROPERTIES** input module. Thus a complete input file for the calculation of molecular magnetizabilities will look like:

```
**DALTON INPUT
.RUN PROPERTIES
**WAVE FUNCTIONS
.HF
**PROPERTIES
```

<sup>&</sup>lt;sup>1</sup>DALTON now only calculates the symmetry-distinct contributions to the spin–dipole operator, that is six instead of nine elements are calculated for this operator.

#### .MAGNET

#### \*\*END OF DALTON INPUT

This will invoke the calculation of molecular magnetizabilities using London Atomic Orbitals to ensure fast basis set convergence and gauge-origin independent results. The natural connection [54] is used in order to get numerically accurate results. By default the center of mass is chosen as gauge origin.

For a SOPPA, SOPPA(CC2) or SOPPA(CCSD) calculation of molecular magnetizabilities the additional keywords .SOPPA or .SOPPA(CCSD) have to be specified in the \*\*PROPERTIES input module. For SOPPA an MP2 calculation has to be requested by the keyword .MP2 in the \*\*WAVE FUNCTIONS input module, whereas for SOPPA(CC2) or SOPPA(CCSD) a CC2 or CCSD calculation has to be requested by the keyword .CC in the \*\*WAVE FUNCTIONS input module with the \*CC INPUT option .SOPPA2 or .SOPPA(CCSD). This will also automatically disable the use of London orbitals.

For a CTOCD-DZ calculation of molecular magnetizabilities, the additional keyword .CTOCD has to be specified in the **\*\*PROPERTIES** input module. This will automatically disable the use of London orbitals. .SOPPA / .SOPPA(CCSD) and .CTOCD could be used together to get SOPPA / SOPPA(CC2) / SOPPA(CCSD) molecular magnetizabilities using the CTOCD-DZ formalism. Information about suitable basis sets for CTOCD-DZ calculations can be found in the section 9.10.1.

The augmented cc-pVXZ basis sets of Dunning and coworkers [55, 56, 57, 58] have been shown to give to give excellent results for magnetizabilities [46, 59, 60], and these basis sets are obtainable from the basis set library.

Notice that a general print level of 2 or higher is needed in order to get the individual contributions (relaxation, one- and two-electron expectation values and so on) to the total magnetizability.

If more close control of the different parts of the calculation of the magnetizability is wanted, we refer the reader to the section describing the options available. The modules that controls the calculation of molecular magnetizabilities are:

- \*EXPECT Controls the calculation of one-electron expectation values contributing to the diamagnetic magnetizability.
- \*GETSGY Controls the set up of the right-hand sides (gradient terms) as well as the calculation of two-electron expectation values and reorthonormalization terms.
- \*LINRES Controls the solution of the magnetic response equations
- \*RELAX Controls the multiplication of solution and right-hand side vectors into relaxation contributions

## 9.2 Nuclear shielding constants

D C

Reference lite	rature:		
$\begin{array}{c} \text{K.Wolinsl}\\ (1990) \end{array}$	ki, J.F.Hinton	, and P.Pulay.	J.Am.Chem
K.Ruud,	T.Helgaker,	R.Kobayashi,	P.Jørgensen,

H.J.Aa.Jensen. J.Chem. Phys., 100, 8178, (1994).

Solvent effects: K.V.Mikkelsen, P.Jørgensen, K.Ruud, and T.Helgaker. *J.Chem.Phys.*, **107**, 1170, (1997).

J.Am.Chem.Soc., 112, 8251,

K.L.Bak,

and

DFT nuclear shieldings: T.Helgaker, P.J.Wilson, R.D.Amos, and N.C.Handy. *J.Chem.Phys.*, **113**, 2983, (2000).

CTOCD-DZ nuclear shielding: A.Ligabue, S.P.A.Sauer, P.Lazzeretti. J.Chem.Phys., **118**, 6830, (2003).

The calculation of nuclear shieldings are invoked by the keyword .SHIELD in the **\*\*PROPERTIES** input module. Thus a complete input file for the calculation of nuclear shieldings will be:

```
**DALTON INPUT
.RUN PROPERTIES
**WAVE FUNCTIONS
.HF
**PROPERTIES
.SHIELD
**END OF DALTON INPUT
```

This will invoke the calculation of nuclear shieldings using London Atomic Orbitals to ensure fast basis set convergence and gauge origin independent results. The natural connection [54] is used in order to get numerically accurate results. By default the center of mass is chosen as gauge origin.

For a SOPPA, SOPPA(CC2) or SOPPA(CCSD) calculation of nuclear shieldings the additional keywords .SOPPA or .SOPPA(CCSD) have to be specified in the **\*\*PROPERTIES** input module. For SOPPA an MP2 calculation has to be requested by the keyword .MP2 in the **\*\*WAVE FUNCTIONS** input module, whereas for SOPPA(CC2) or SOPPA(CCSD) a CC2 or CCSD calculation has to be requested by the keyword .CC in the **\*\*WAVE FUNCTIONS** input module with the **\*CC INPUT** option .SOPPA2 or .SOPPA(CCSD). This will also automatically disable the use of London orbitals.

For a CTOCD-DZ calculation of nuclear shieldings the additional keyword .CTOCD has to be specified in the **\*\*PROPERTIES** input module. This will automatically disable the

use of London orbitals. .SOPPA / .SOPPA and .CTOCD could be used together to get gauge origin independent SOPPA / SOPPA(CC2) / SOPPA(CCSD) nuclear shieldings using the CTOCD-DZ formalism. Information about suitable basis set for CTOCD-DZ calculations can be found in the section 9.10.1.

A basis set well suited for the calculation of nuclear shieldings is the TZ basis set of Ahlrichs and coworkers [61, 62] with two polarization functions [63]. This basis set is available from the basis set library as TZ2P. The pcs-n basis sets of Jensen [64] are specially optimized for DFT calculations of nuclear shieldings. These basis sets are also available from the basis set library.

Notice that a general print level of 2 or higher is needed in order to get the individual contributions (relaxation, one- and two-electron expectation values and so on) to the total nuclear shieldings.

If more close control of the different parts of the calculation of the nuclear shieldings is wanted we refer the reader to the section describing the options available. For the calculation of nuclear shieldings, these are the same as listed above for magnetizability calculations.

## 9.3 Relativistic corrections to Nuclear shielding constants

Linear Response Elimination of Small Component scheme (LRESC) provides the calculation of first and second order relativistic corrections to nuclear shielding constants. This corrections are invoked through the keyword .LRESC on the **\*\*PROPERTIES** input module. Detailed description of the LRESC theoretical scheme and discussions are on this papers.

Reference literature:
J.I. Melo, M.C. Ruiz de Azua, C.G Giribet, G.A. Aucar, and R.H. Romero J. Chem. Phys., 118, 2, (2003).
M.C.Ruiz de Azua, J.I. Melo, and C.G Giribet Mol. Phys., 101, 20, (2003).
J.I. Melo, M.C.Ruiz de Azua, C.G Giribet, G.A. Aucar and P.F. Provasi J. Chem. Phys., 121, 14, (2004).
P.G. Roura, J.I. Melo, M.C.Ruiz de Azua, and C.G Giribet J.Chem.Phys., 125, 064107, (2006).

LRESC module computes all first and second order (singlet and triplet) corrections to nuclear magnetic shielding constant of a specific nucleus in a molecule. Third order corrections are not included in the present version of the code, anyway they can be computed via **\*\*RESPONSE** module (see **\*Quadratic Response**, 11.2.2). Namely spin orbit correction to shielding constants and paramagnetic third order scalar terms (Darwin and Massvelo). A complete input file for the calculation within LRESC corrections to shielding constant is thus :

```
**DALTON INPUT
.RUN PROPERTIES
**INTEGRLAS
.LRINTS ! mandatory, to have all necessary integrals computed to use in LRESC module.
**WAVE FUNCTIONS
.HF
**PROPERTIES
.LRESC
**END OF DALTON INPUT
```

The integrals computed under <code>.LRINTS</code> are described in INTEGRALS chapter Section 25.2

There are a few keywords to get more control over the output and the amount of corrections to be calculated. A detailed physical description on each correction can be found in Ref. [65]. Regarding basis sets convergence, benchmark calculations where presented on Ref. [65], [66], [67]. The complete list of control keywords are:

.PRINT Controls the print level, for debugging purpose.

- .SELECT Select the atom to which LRESC corrections will be calculated. Default is 1, first atom in input list (.mol file).
- .PRTALL Full output of individual LRESC corrections will be prompted in output file. If not, only the total Paramagnetic and Diamagnetic corrections are printed. Depending on whether you asked for all or just some of LRESC corrections (see next keywords).
- .PARA1S Only Paramagnetic Singlet First order corrections are calculated

.PARA1T Only Paramagnetic Triplet First order corrections are calculated

- .PARA3S (not implemented yet) Only Paramagnetic Singlet Third order corrections are calculated.
- .PARA3T (not implemented yet) Only Paramagnetic Triplet Third order corrections are calculated.
- .DIAMOS Only Diamagnetic Singlet Zeroth order corrections are calculated
- .DIAM1S Only Diamagnetic Singlet First order corrections are calculated

Default Values, and some tips for better running:

• First Atom on input list is selected, unless specified with .SELECT.

- Center of Mass gauge origin for atomic integrals. As mentioned, .GAUGEO on \*\*INTEGRALS must be placed in the position of the selected nucleus. Default value is the center of mass.
- All LRESC terms will be calculated, unless some (or more) of them are asked for : PARA1S, PARA1T, DIAM0S and/or DIAM1S. See example below.
- Uncontracted basis set is recommended.
- No symmetry for molecule specification.
- Hartree-Fock and DFT schemes supported for the ground state Wave Function.

```
(...)
*INTEGRALS
.LRINTS
.GAUGEO
a b c
             ! a b c atomic cartesian coordinates of selected nucleus (in a.u.)
(...)
**PROPERTIES
.LRESC
*LROPTS
.PRTALL
             ! detailed output on individual corrections
.PRINT
JIMPRT
             ! reads one integer , for debugging
.SELECT
LRATOM
             ! reads one integer corresponding to the order of selected nucleus list on input :
.DIAMOS
             ! calculation of diamagnetic zeroth order terms
             ! calculation of diamagnetic first order terms
.DIAM1S
             ! calculation of paramagnetic first(singlet) order terms
.PARA1S
             ! calculation of paramagnetic first(triplet) order terms
.PARA1T
**END OF DALTON INPUT
```

## 9.4 Rotational g tensor

#### **Reference literature:**

```
J.Gauss, K.Ruud, and T.Helgaker. J.Chem. Phys., 105, 2804, (1996).
```

The calculation of the rotational g tensor is invoked through the keyword .MOLGFA in the **\*\*PROPERTIES** input module. A complete input file for the calculation of the molecular g tensor is thus:

```
**DALTON INPUT
.RUN PROPERTIES
**WAVE FUNCTIONS
.HF
**PROPERTIES
.MOLGFA
**END OF DALTON INPUT
```

The molecular g tensor consists of two terms: a nuclear term and a term which may be interpreted as one definition of a paramagnetic part of the magnetizability tensor as described in Ref. [68]. By default the center of mass is chosen as rotational origin, as this corresponds to the point about which the molecule rotates. The use of rotational London atomic orbitals can be turned off through the keyword .NOLOND.

For a SOPPA, SOPPA(CC2) or SOPPA(CCSD) calculation of rotational g tensors the additional keywords .SOPPA or .SOPPA(CCSD) have to be specified in the **\*\*PROPERTIES** input module. For SOPPA an MP2 calculation has to be requested by the keyword .MP2 in the **\*\*WAVE FUNCTIONS** input module, whereas for SOPPA(CC2) or SOPPA(CCSD) a CC2 or CCSD calculation has to be requested by the keyword .CC in the **\*\*WAVE FUNCTIONS** input module with the **\*CC INPUT** option .SOPPA2 or .SOPPA(CCSD). This will also automatically disable the use of London orbitals.

The basis set requirements for the rotational g tensors are more or less equivalent with the ones for the molecular magnetizability, that is, the augmented cc-pVDZ of Dunning and Woon [55, 57], available from the basis set library as aug-cc-pVDZ.

If more close control of the different parts of the calculation of the rotational g tensor is wanted we refer the reader to the section describing the options available. For the calculation of the molecular g tensor, these are the same as listed above for magnetizability calculations.

#### 9.5 Nuclear spin–rotation constants

Reference li	ite	$\mathbf{ra}$	tu	re	:

R.Ditchfield. J.Chem.Phys., 56, 5688 (1972)
J.Gauss, K.Ruud, and T.Helgaker. J.Chem.Phys., 105, 2804, (1996).

In DALTON the nuclear spin-rotation constants are calculated using rotational orbitals, giving an improved basis set convergence [68]. We use the expression for the spinrotation constant where the paramagnetic term is evaluated around the center of mass, and thus will only solve three response equations at the most.

An input requesting the calculation of spin-rotation constants will look like

```
**DALTON INPUT
.RUN PROPERTIES
**WAVE FUNCTIONS
.HF
**PROPERTIES
.SPIN-R
**END OF DALTON INPUT
```

As the nuclear spin-rotation constants depend on the isotopic substitution of the molecule, both through the nuclear magnetic moments and through the center of mass, the isotopic constitution need to be specified if this is different from the most abundant isotopic constitution. Note that some of the most common isotopes do not have a magnetic moment. The isotope can be chosen by the keyword Isotope= in the MOLECULE.INP file.

For a SOPPA, SOPPA(CC2) or SOPPA(CCSD) calculation of nuclear spin-rotation constants the additional keywords .SOPPA or .SOPPA(CCSD) have to be specified in the **\*\*PROPERTIES** input module. For SOPPA an MP2 calculation has to be requested by the keyword .MP2 in the **\*\*WAVE** FUNCTIONS input module, whereas for SOPPA(CC2) or SOPPA(CCSD) a CC2 or CCSD calculation has to be requested by the keyword .CC in the **\*\*WAVE** FUNCTIONS input module with the **\*CC** INPUT option .SOPPA2 or .SOPPA(CCSD). This will also automatically disable the use of London orbitals.

We note that in the current release of DALTON, nuclear spin-rotation constants cannot be calculated employing symmetry-dependent nuclei. Thus for a molecule like  $N_2$ , the symmetry plane perpendicular to the molecular bond will have to be removed during the calculation.

## 9.6 Indirect nuclear spin–spin coupling constants

#### **Reference literature:**

O.Vahtras, H.Ågren, P.Jørgensen, H.J.Aa.Jensen, S.B.Padkjær, and T.Helgaker. *J.Chem.Phys.*, **96**, 6120, (1992).

Solvent effects: P.-O.Åstrand, K.V.Mikkelsen, P.Jørgensen, K.Ruud and T.Helgaker. *J.Chem.Phys.*, **108**, 2528, (1998)

SOPPA and SOPPA(CCSD): T.Enevoldsen, J.Oddershede, and S.P.A. Sauer. *Theor. Chem. Acc.*, **100**, 275, (1998)

SOPPA(CC2): H.Kjær, S.P.A.Sauer, and J.Kongsted. J.Chem.Phys., 133, 144106, (2010)

DFT: T.Helgaker, M.Watson, and N.C.Handy J.Chem.Phys., 113, 9402, (2000)

As mentioned in the introduction of this chapter, the calculation of indirect nuclear spin–spin coupling constants is a time consuming task due to the large number of contributions to the total spin—spin coupling constant. Still, if all spin—spin couplings in a molecule are wanted, with some restrictions mentioned below, the input will look as follows:

```
**DALTON INPUT
.RUN PROPERTIES
**WAVE FUNCTIONS
.HF
**PROPERTIES
.SPIN-SPIN
**END OF DALTON INPUT
```

This input will calculate the indirect nuclear spin–spin coupling constants between isotopes with non-zero magnetic moments and a natural abundance of more than 1%. This limit will automatically include proton and <sup>13</sup>C spin–spin coupling constants. By default, all contributions to the coupling constants will be calculated.

Often one is interested in only certain kinds of nuclei. For example, one may want to calculate only the proton spin–spin couplings of a molecule. This can be accomplished in two ways: either by changing the abundance threshold so that only this single isotope is included (most useful for proton couplings), or by selecting the particular nuclei of interest.

For a SOPPA, SOPPA(CC2) or SOPPA(CCSD) calculation of indirect nuclear spinspin coupling constants the additional keywords .SOPPA or .SOPPA(CCSD) have to be specified in the **\*\*PROPERTIES** input module. For SOPPA an MP2 calculation has to be requested by the keyword .MP2 in the **\*\*WAVE FUNCTIONS** input module, whereas for SOPPA(CC2) or SOPPA(CCSD) a CC2 or CCSD calculation has to be requested by the keyword .CC in the **\*\*WAVE FUNCTIONS** input module with the **\*CC INPUT** option .SOPPA2 or .SOPPA(CCSD).

All the keywords necessary to control such adjustments to the calculation is given in the section describing the input for the **\*SPIN-S** submodule. An input in which we have reduced the abundance threshold as well as selected three atoms will look as:

\*\*DALTON INPUT .RUN PROPERTIES \*\*WAVE FUNCTIONS .HF \*\*PROPERTIES .SPIN-S \*SPIN-S .ABUNDA

```
0.10
.SELECT
3
2 4 5
**END OF DALTON INPUT
```

We refer to the section describing the **\*SPIN-S** input module for the complete description of the syntax for these keywords, as well as the numbering of the atoms which are selected.

We also notice that it is often of interest to calculate only specific contributions (usually the Fermi-contact contribution) at a high level of approximation. Sometimes the results obtained with a Hartree–Fock wave function may help in predicting the relative importance of the different contributions, thus helping in the decision of which contributions should be calculated at a correlated level [69]. The calculation of only certain contributions can be accomplished in the input by turning off the different contributions by the keywords .NODSO, .NOPSO, .NOSD, and .NOFC. We refer to the description of the \*SPIN-S input module for a somewhat more thorough discussion.

Accurate calculations of indirect nuclear spin–spin coupling constants require specialized basis sets. Basis set well suited for the calculation of indirect nuclear spin–spin coupling constants are the pcJ-n and ccJ-pVXZ basis sets of Jensen and co-workers [70, 71, 72] or the aug-cc-pVTZ-J basis sets of Sauer and co-workers [53, 73, 74, 75, 76]. These basis sets are available from the basis set library.

If a closer a control of the individual parts of the calculation of indirect nuclear spin–spin coupling constants is wanted, this can be done through the use of keywords in the following input modules:

- \*EXPECT Controls the calculation of one-electron expectation value contribution to the diamagnetic spin–spin coupling constants.
- \*GETSGY Controls the set up of the right-hand sides (gradient terms).
- \*LINRES Controls the solution of the singlet magnetic response equations.
- \*TRPRSP Controls the solution of the triplet magnetic response equations (for Fermi-contact and spin-dipole contributions).
- \*RELAX Controls the multiplication of solution and right hand side vectors into relaxation contributions.
- \*SPIN-S Controls the choice of nuclei for which the spin–spin coupling constants will be calculated, as well as which contributions to the total spin–spin coupling constants are to be calculated.

# 9.7 Hyperfine Coupling Tensors

#### **Reference literature:**

B.Fernandez, P.Jørgensen, J.Byberg, J.Olsen, T.Helgaker, and H.J.Aa.Jensen. J.Chem.Phys., 97, 3412, (1992).

Solvent effects: B.Fernandez, O.Christiansen, O.Bludsky, P.Jørgensen, K.V. Mikkelsen. J.Chem.Phys., **104**, 629, (1996).

DFT: Z.Rinkevicius, L.Telyatnyk, O.Vahtras and H.Ågren. *J.Chem.Phys.*, **121**, 7614 (2004); Z. Rinkevicius, K. J. de Almeida and O. Vahtras, *J.Chem.Phys.*, **129**, 64109 (2008)

The calculation of hyperfine coupling tensors (in vacuum or in solution) is invoked by the keyword **\*ESR** or keyword **\*HFC** in the **\*\*RESPONSE** input module. Thus a complete input file for the calculation of hyperfine coupling tensors will be using ESR keyword:

```
**DALTON INPUT
.RUN RESPONSE
**INTEGRALS
.FC
.SD
**WAVE FUNCTIONS
.HF
**RESPON
. TRPFLG
*ESR
.ATOMS
 2
 1 2
.FCCALC
.SDCALC
.MAXIT
   30
**END OF DALTON INPUT
```

Alternatively at DFT level hyperfine coupling tensor can be computed using HFC keyword:

\*\*DALTON INPUT .RUN RESPONSE \*\*INTEGRALS

```
.MNF-SO
**WAVE FUNCTIONS
.DFT
B3LYP
**RESPON
.TRPFLG
*HFC
.HFC-FC
.HFC-SD
.HFC-SO
**END OF DALTON INPUT
```

This will invoke the calculation of hyperfine coupling tensors using the Restricted-Unrestricted methodology [77]. In this approach, the unperturbed molecular system is described with a spin-restricted MCSCF wave function or spin-restricted Kohn–Sham DFT, and when the perturbation—Fermi Contact or Spin Dipole operators—is turned on, the wave function spin relaxes and all first-order molecular properties are evaluated as the sum of the conventional average value term and a relaxation term that includes the response of the wave function to the perturbations.

The selection of a flexible atomic orbital basis set is decisive in these calculations. Dunning's cc-pVTZ or Widmark's basis sets with some functions uncontracted, and one or two sets of diffuse functions and several tight s functions added have been shown to provide accurate hyperfine coupling tensors [78].

If more close control of the different parts of the calculation of hyperfine coupling tensors is wanted, we refer the reader to the sections describing the options available.

# 9.8 Electronic g-tensors

#### **Reference literature:**

ROHF and MCSCF: O.Vahtras, B. Minaev and H.Ågren Chem.Phys.Lett., 281, 186, (1997).
DFT: Z.Rinkevicius, L.Telyatnyk, P.Sałek, O.Vahtras and H.Ågren. J.Chem.Phys., 119, 10489, (2003).

The calculation of electronic g-tensors is invoked with the keyword <code>.G-TENSOR</code> in the <code>\*ESR</code> section of the <code>\*\*RESPONSE</code> input module

\*\*DALTON INPUT .RUN RESPONSE

```
**WAVE FUNCTIONS
.HARTREE-FOCK
**RESPON
*ESR
.G-TENSOR
**END OF DALTON INPUT
```

which gives by default all contributions to the g-tensor to second order in the fine-structure parameter. Keywords following the .G-TENSOR keyword will be interpreted as g-tensor options, which are defined in section 29.1.12.3

# 9.9 Zero field splitting

Reference literature:

ROHF and MCSCF: O.Vahtras, O.Loboda, B.Minaev, H.Ågren and K.Ruud. *Chem.Phys.*, **279**, 133, (2002).

The calculation of the zero-field splitting is invoked with the keyword .ZFS in the **\*ESR** section of the **\*\*RESPONSE** input module

```
**DALTON INPUT
.RUN RESPONSE
**WAVE FUNCTIONS
.HARTREE-FOCK
**RESPON
*ESR
.ZFS
**END OF DALTON INPUT
```

Note that only the first-order, two-electron, electronic spin–spin contribution is implemented.

# 9.10 CTOCD-DZ calculations

The DALTON program can be used to perform calculations of the magnetic properties using the Continuous Transformation of the Origin of the Current Density approach (CTOCD). Setting the diamagnetic contribution to the current density zero, one obtains fully analytical solutions via equations in closed form for several magnetic properties.

In the present version of DALTON, the following properties can be computed by the CTOCD-DZ approach:

- magnetizability
- nuclear magnetic shielding constant
- shielding polarizability
- hypermagnetizability

the last two properties have to be calculated as quadratic response functions as described in Chapter 11.

#### 9.10.1 General considerations

The CTOCD-DZ approach is competitive with other methods when the dimension of the basis set is not too small, both for magnetizabilities and shieldings [50]. However, for small basis sets the results can be very unreliable. A good basis set for CTOCD-DZ nuclear magnetic shielding calculations, both at the SCF and the correlated level, is the aug-cc-pCVTZ-CTOCD-uc basis set (Ref. [50]), derived from the aug-cc-pVTZ basis set. This basis set is included in the DALTON basis set library.

In SCF calculations, the convergence toward the HF limit is slower than when employing London Orbitals since in the CTOCD-DZ expressions for the magnetic properties (nuclear magnetic shieldings and magnetizabilities) the diamagnetic terms also depend on the first order perturbed density matrix.

On the other hand, the CTOCD scheme does not only fulfill the requirement of translational invariance of the calculated magnetic properties but also guarantees currentcharge conservation which is not the case for methods using London Orbitals. In the case of molecules with a vanishing electric dipole moment, CTOCD magnetic susceptibilities are origin independent and the continuity equation is automatically satisfied.

At the present it is possible to obtain CTOCD-DZ magnetizabilities and nuclear magnetic shieldings with SCF and MCSCF wave functions as well as with the SOPPA, SOPPA(CC2) and SOPPA(CCSD) methods via the **\*\*PROPERTIES** or **\*\*RESPONSE** input modules. Is also possible to compute these properties using various CC wave functions. Finally is possible to use the quadratic response functions to compute hypermagnetizabilities and shieldings polarizabilities for SCF, MCSCF and CC wave functions.

Using the **\*\*RESPONSE** input module, one has to be sure that the calculations of the diamagnetic and paramagnetic contributions are both carried out with the gauge origin set at the same positions, since only the full property and not the diamagnetic or paramagnetic contributions are gauge origin independent.

For calculations of nuclear magnetic shieldings (and shielding polarizabilities) using symmetry, the gauge origin has to be placed at the center of mass, otherwise DALTON will give wrong results! This is the default choice of gauge origin. Nuclear magnetic shielding calculations with the gauge origin set at the respective atoms can only be carried without symmetry and by setting the gauge origin on that atom in the \*\*INTEGRAL section.

## 9.10.2 Input description

Reference literature:			
General reference: P. Lazzeretti, M. Malagoli and R. Zanasi. Chem. Phys. Lett., <b>220</b> , 299 (1994)			
General reference: P. Lazzeretti <i>Prog. Nucl. Mag. Res. Spec.</i> , <b>220</b> , 1–88 (2000)			
SOPPA and SOPPA(CCSD): A. Ligabue, S. P. A. Sauer and P. Lazzeretti <i>J. Chem. Phys.</i> , <b>118</b> , 6830, (2003).			
DFT and CCSD: A. Ligabue, S. P. A. Sauer and P. Lazzeretti $J.Chem.Phys.$ , <b>126</b> , 154111, (2007).			
CC3: I. G. Cuesta, J. Sánchez, A. M. J. Sánchez de Merás, F. Pawlowski and P. Lazzeretti, <i>Phys. Chem. Chem. Phys.</i> , <b>12</b> , 6163, (2010).			

The input file for a CTOCD-DZ calculation of the magnetizability and the nuclear magnetic shieldings will be:

```
**DALTON INPUT
.RUN PROPERTIES
**WAVE FUNCTIONS
.HF
**PROPERTIES
.CTOCD
.MAGNET
.SHIELD
**END OF DALTON INPUT
```

whereas for the same calculation at SOPPA level it will be:

\*\*DALTON INPUT .RUN PROPERTIES \*\*WAVE FUNCTIONS .HF .MP2 \*\*PROPERTIES .SOPPA .CTOCD

# .MAGNET

.SHIELD \*\*END OF DALTON INPUT

Coupled cluster calculations of CTOCD-DZ magnetizabilities [79] and shielding tensors [80, 79] can be invoked with the keywords .CTOSUS and .CTOSHI, respectively, in the \*CCLR section of the \*CC INP input. The needed integrals must be provided by the user in the \*\*INTEGRAL input module. All non-zero necessary response functions will be generated and adequately coupled to build the magnetizability or nuclear magnetic shielding tensors. Note that some null response functions may be actually computed when the full symmetry point group is represented by one of its subgroups (For instance,  $D_{\infty h}$  represented by  $D_{2h}$ ). Then, a CCSD calculation of the nuclear magnetic shileding tensors for all the symmetry independent atoms may be carried out with the following input

```
**DALTON INPUT
.RUN WAVE FUNCTIONS
**INTEGRAL
.DIPVEL
. ANGMOM
.RPSO
.PSO
**WAVE FUNCTIONS
.CC
*SCF INPUT
. THRESH
 1.0d-8
*CC INPUT
.CCSD
.THRENR
 1.0d-8
.THRLEQ
 1.0d-6
*CCLR
.CTOSHI
**END OF DALTON INPUT
```

Input examples for shieldings and shielding polarizabilities can be found in the test directory.

For **\*\*RESPONSE** calculations of these properties the integrals needed in the **\*\*INTEGRALS** 

section are .DIPVEL and .RANGMO for magnetizability, and .DIPVEL and .RPSO for nuclear magnetic shieldings.

# Chapter 10

# Calculation of optical and Raman properties

This chapter describes the calculation of different optical properties which have been implemented in the DALTON program. This includes electronic excitation energies and corresponding oscillator strengths as well as properties related to different kinds of circular dichroism, more specifically vibrational circular dichroism (VCD) as described in Ref. [81], electronic circular dichroism (ECD) as described in Refs. [82, 83], Raman Optical Activity (ROA) as described in Ref. [27], and optical rotation [84, 85].

By default, all calculations of optical properties are done with the use of London atomic orbitals, if possible for the chosen wave function, in order to enhance the basis set convergence as well as to give the correct physical dependence on the gauge origin.

# **10.1** Electronic excitation energies and oscillator strengths

#### **Reference literature:**

MCSCF: K.L.Bak, Aa.E.Hansen, K.Ruud, T.Helgaker, J.Olsen, and P.Jørgensen. *Theor. Chim. Acta.*, **90**, 441, (1995).

SOPPA: M. J. Packer, E. K. Dalskov, T. Enevoldsen, H. J. Aa. Jensen and J. Oddershede, *J. Chem. Phys.*, **105**, 5886, (1996).

AO direct SOPPA: K. L. Bak, H. Koch, J. Oddershede, O. Christiansen and S. P. A. Sauer, *J. Chem. Phys.*, **112**, 4173, (2000).

RPA(D): O. Christiansen, K. L. Bak, H. Koch and S. P. A. Sauer, Chem. Phys. *Chem. Phys.*, **284**, 47, (1998).

SOPPA(CCSD): H. H. Falden, K. R. Falster-Hansen, K. L. Bak, S. Rettrup and S. P. A. Sauer, *J. Phys. Chem. A*, **113**, 11995, (2009).

The calculation of electronic singlet and triplet excitation energies is invoked by

the keyword .EXCITA in the **\*\*PROPERTIES** input module. However, it is also necessary to specify the number of electronic excitations in each symmetry with the keyword .NEXCIT in the **\*EXCITA** section. The corresponding dipole oscillator strengths can conveniently be calculated at the same time by adding the keyword .DIPSTR in the **\*EXCITA** section.

A typical input for the calculation of electronic singlet excitation energies and corresponding dipole oscillator strengths for a molecule with  $C_{2v}$  symmetry would look like:

\*\*DALTON INPUT .RUN PROPERTIES \*\*WAVE FUNCTIONS .HF \*\*PROPERTIES .EXCITA \*EXCITA .DIPSTR .NEXCIT 3 2 1 0 \*\*END OF DALTON INPUT

This input will calculate the oscillator strength (.DIPSTR) of the 6 lowest electronic excitations distributed in a total of 4 irreducible representations (as in  $C_{2v}$ ). The oscillator strength will be calculated both in length and velocity forms.

A typical input for the calculation of electronic triplet excitation energies would look like:

\*\*DALTON INPUT .RUN PROPERTIES \*\*WAVE FUNCTIONS .HF \*\*PROPERTIES .EXCITA \*EXCITA .TRIPLE .NEXCIT 3 2 1 0 \*\*END OF DALTON INPUT

For a SOPPA, SOPPA(CC2) or SOPPA(CCSD) calculation of electronic excitation energies and corresponding oscillator strengths the additional keywords .SOPPA or .SOPPA(CCSD) have to be specified in the **\*\*PROPERTIES** input module. For SOPPA an MP2 calculation has to be requested by the keyword .MP2 in the **\*\*WAVE FUNCTIONS** input module, whereas for SOPPA(CC2) or SOPPA(CCSD) a CC2 or CCSD calculation has to be requested by the keyword .CC in the **\*\*WAVE FUNCTIONS** input module with the **\*CC** INPUT option .SOPPA2 or .SOPPA(CCSD). Details on how to invoke an atomic integral direct RPA(D), SOPPA or SOPPA(CCSD) calculation of the oscillator strengths are given in Chapters 18.3 and sec:soppa.

We also note that excitation energies also can be obtained using the RESPONSE program (see Chapter 11).

For a more detailed control of the individual parts of the calculation of properties related to electronic excitation energies, we refer to the input modules affecting the different parts of such calculations:

\*GETSGY Controls the setup of the necessary right-hand sides.

**\*SOPPA** Controls the details of a SOPPA calculation.

# 10.2 Vibrational Circular Dichroism calculations

```
Reference literature:
```

K.L.Bak, P.Jørgensen, T.Helgaker, K.Ruud, and H.J.Aa.Jensen. J.Chem.Phys., 98, 8873, (1993).
K.L.Bak, P.Jørgensen, T.Helgaker, K.Ruud, and H.J.Aa.Jensen. J.Chem.Phys., 100, 6620, (1994).

The calculation of vibrational circular dichroism is invoked by the keyword .VCD in the **\*\*PROPERTIES** input module. Thus a complete input file for the calculation of vibrational circular dichroism will look like:

```
**DALTON INPUT
.RUN PROPERTIES
**WAVE FUNCTIONS
.HF
**PROPERTIES
.VCD
**END OF DALTON INPUT
```

<sup>\*</sup>EXCITA Controls the calculation of electronic excitation energies and the evaluation of all terms contributing to for instance dipole strength.

This will invoke the calculation of vibrational circular dichroism using London atomic orbitals to ensure fast basis set convergence as well as gauge origin independent results. By default the natural connection is used in order to get numerically accurate results [54, 86].

We notice, however, that vibrational circular dichroism only arises in vibrationally chiral molecules. An easy way of introducing vibrational chirality into small molecular systems is by isotopic substitution. This is in DALTON controlled in the **\*VIBANA** submodule, and the reader is referred to that section for an exemplification of how this is done.

There has only been a few investigation of basis set requirement for the calculation of VCD given in Ref. [87, 88], and the reader is referred to these references when choosing basis set for the calculations of VCD.

In the current implementation, the .NOCMC option is automatically turned on in VCD calculations, that is, the coordinate system origin is always used as gauge origin.

We note that if a different force field is wanted in the calculation of the VCD parameters, this can be obtained by reading in an alternative Hessian matrix with the input

\*\*DALTON INPUT .RUN PROPERTIES \*\*WAVE FUNCTIONS .HF \*\*PROPERTIES .VCD \*VIBANA .HESFIL \*\*END OF DALTON INPUT

We note that in the current Dalton release, Vibrational Circular Dichroism can not be calculated using density functional theory, and if requested, the program will stop.

If more close control of the different parts of the calculation of vibrational circular dichroism is wanted, we refer the reader to the sections describing the options available. The input sections that control the calculation of vibrational circular dichroism are:

- \*AAT Controls the final calculation of the different contributions to the Atomic Axial Tensors.
- \*GETSGY Controls the set up of both the magnetic and geometric right hand sides (gradient terms).
- \*LINRES Controls the solution of the magnetic response equations.
- \*RELAX Controls the multiplication of solution and right hand side vectors into relaxation contributions.

- \*NUCREP Controls the calculation of the nuclear contribution to the geometric Hessian.
- \*TROINV Controls the use of translation and rotational invariance.
- \*ONEINT Controls the calculation of one-electron contributions to the geometric Hessian.
- $\ast \texttt{TWOEXP}$  Controls the calculation of two-electron expectation values to the geometric Hessian.
- \*REORT Controls the calculation of reorthonormalization terms to the geometric Hessian.

\*RESPON Controls the solution of the geometric response equations.

- \*GEOANA Describes what analysis of the molecular geometry is to be printed.
- \*VIBANA Sets up the vibrational and rotational analysis of the molecule, for instance its isotopic substitution.
- \*DIPCTL Controls the calculation of the Atomic Polar Tensors (dipole gradient).

# 10.3 Electronic circular dichroism (ECD)

#### Reference literature:

MCSCF: K.L.Bak, Aa.E.Hansen, K.Ruud, T.Helgaker, J.Olsen, and P.Jørgensen. *Theor. Chim. Acta.*, **90**, 441, (1995).

DFT: M.Pecul, K.Ruud, and T.Helgaker. Chem. Phys. Lett., **388**, 110, (2004).

The calculation of Electronic Circular Dichroism (ECD) is invoked by the keyword .ECD in the **\*\*PROPERTIES** input module. However, it is also necessary to specify the number of electronic excitations in each symmetry. As ECD only is observed for chiral molecules, such calculations will in general not employ any symmetry (although the implementation does make use of it, if present), a complete input for a molecule without symmetry will thus look like:

```
**DALTON INPUT
.RUN PROPERTIES
**WAVE FUNCTIONS
.HF
**PROPERTIES
.ECD
*EXCITA
```

#### .NEXCIT

```
3
**END OF DALTON INPUT
```

In this run we will calculate the rotatory strength corresponding to the three lowest electronic excitations (the .NEXCIT keyword) using London atomic orbitals. If rotatory strengths obtained without London atomic orbitals is also wanted, this is easily accomplished by adding the keyword .ROTVEL in the **\*EXCITA** input module.

The rotatory strength tensors [83] that govern the ECD of oriented samples (OECD) may additionally be calculated by specifying the .OECD keyword. As an example, the input

\*\*DALTON INPUT .RUN PROPERTIES \*\*WAVE FUNCTIONS .HF \*\*PROPERTIES .OECD \*EXCITA .NEXCIT 3 \*\*END OF DALTON INPUT

requests calculation of OECD as well as ECD. Note that London orbitals are not implemented for OECD and the rotatory strength tensors are calculated using both the length and velocity forms (the latter being origin invariant). Since the rotatory strength tensor is composed of electric quadrupole and magnetic dipole contributions [83], these parts must be computed at the same origin. Therefore, the .NOCMC option is automatically turned on for OECD calculations.

There are only a few studies of Electronic Circular Dichroism using London atomic orbitals [82, 89], and the results of these investigations indicate that the aug-cc-pVDZ or the d-aug-cc-pVDZ basis set, which is supplied with the DALTON basis set library, is reasonable for such calculations, the double augmentation being important in the case of diffuse/Rydberg-like excited states.

For a SOPPA, SOPPA(CC2) or SOPPA(CCSD) calculation of rotatory strengths the additional keywords .SOPPA or .SOPPA(CCSD) have to be specified in the **\*\*PROPERTIES** input module. For SOPPA an MP2 calculation has to be requested by the keyword .MP2 in the **\*\*WAVE FUNCTIONS** input module, whereas for SOPPA(CC2) or SOPPA(CCSD) a CC2 or CCSD calculation has to be requested by the keyword .CC in the **\*\*WAVE FUNCTIONS** input module with the **\*CC INPUT** option .SOPPA2 or .SOPPA(CCSD). Details on how to invoke an atomic integral direct RPA(D), SOPPA or SOPPA(CCSD) calculation of rotatory strengths are give in chapters 18.3 and sec:soppa.

The calculation of rotatory strengths may of course be combined with the calculation of oscillator strengths (chapter 10.1) in a single run with an input that would then look like (where we also request the rotatory strength to be calculated without the use of London orbitals):

```
**DALTON INPUT
.RUN PROPERITES
**WAVE FUNCTIONS
.HF
**PROPERTIES
.ECD
.EXCITA
*EXCITA
*EXCITA
.DIPSTR
.ROTVEL
.NEXCIT
3
**END OF DALTON INPUT
```

For a more detailed control of the individual parts of the calculation of properties related to electronic excitation energies, we refer to the input modules affecting the different parts of such calculations:

\*EXCITA Controls the calculation of electronic excitation energies and the evaluation of all terms contributing to for instance dipole strength or electronic circular dichroism.

\*GETSGY Controls the setup of the necessary right-hand sides.

**\*SOPPA** Controls the details of a SOPPA calculation.

# 10.4 Optical Rotation

#### Reference literature:

MCSCF: T.Helgaker, K.Ruud, K.L.Bak, P.Jørgensen, and J.Olsen. *Faraday Discuss.*, **99**, 165, (1994).

P. L. Polavarapu Mol. Phys., 91, 551, (1997).

DFT: K.Ruud and T.Helgaker. Chem. Phys. Lett., 352, 533, (2002).

The calculation of optical rotation is a special case of the calculation of Vibrational Raman Optical Activity (see Sec. 10.5), as the tensor determining the optical rotation, the mixed electric-magnetic dipole polarizability, also contributes to vibrational Raman optical activity, although in the latter case it is the geometrical derivatives of the tensor which are the central quantities.

Many of the comments made regarding basis set requirements for VROA calculations will thus be applicable to the calculation of optical rotation, too. It should be noted that a very extensive basis set investigation of optical rotation has been reported [90]. A typical input for the calculation of optical rotation at 355 and 589.3 nm as well as close to the static limit would be:

```
**DALTON INPUT
.RUN PROPERTIES
**WAVE FUNCTIONS
.HF
**PROPERTIES
. OPTROT
*ABALNR
.FREQUENCY
 1
 0.001
.WAVELENGTH
 2
 355.0 589.3
. THRESH
 1.0D-4
**END OF DALTON INPUT
```

DALTON will always calculate the optical rotation both with and without London atomic orbitals (length gauge form) as this has a negligible computational cost compared to the calculation using London atomic orbitals only, since we will anyway have to solve only three response equations corresponding to the perturbing electric field. The optical rotation will only be observed for chiral molecules, and by definition the optical rotation will be zero in the static limit. One can approximate the static limit by supplying the program with a very large wavelength (small frequency), as in the example above, in order to be able to compare with approximations that are only valid in the static limit [91, 90]. Note that while the frequency input must be in atomic units (hartree), wavelengths must be supplied in nanometers (nm).

Origin invariance may also be guaranteed using the "modified" velocity gauge formulation [92]. This is invoked with the .OR keyword which automatically activates the
.OPTROT, too. As additional response equations must be solved, the .OR option is computationally more demanding than specifying the .OPTROT keyword alone. The modified velocity gauge formulation is used to ensure origin invariance in Coupled Cluster calculations of optical rotation [92] and the .OR option thus allows direct comparisons of Coupled Cluster and SCF, MCSCF, or DFT results. An input that invokes calculation of both London and modified velocity gauge optical rotation would be

```
**DALTON INPUT
.RUN PROPERTIES
**WAVE FUNCTIONS
.HF
**PROPERTIES
.OR
*ABALNR
.FREQUENCY
 1
 0.001
.WAVELENGTH
 2
 355.0 589.3
. THRESH
 1.0D-4
**END OF DALTON INPUT
```

# 10.5 Vibrational Raman Optical Activity (VROA)

## Reference literature:

MCSCF: T.Helgaker, K.Ruud, K.L.Bak, P.Jørgensen, and J.Olsen. Faraday Discuss., **99**, 165, (1994). DFT: K.Ruud, T.Helgaker, and P.Bour. J. Phys. Chem. A, **106**, 7448,

(2002). DFT: K.Ruud, T.Helgaker, and P.Bour. J. Phys. Chem. A, 106, 7448

The calculation of vibrational Raman intensities and vibrational Raman optical activity (VROA) is one of the more computationally expensive properties that can be evaluated with DALTON.

Due to the time spent in the numerical differentiation, we have chosen to calculate ROA both with and without London atomic orbitals in the same calculation, because the time used in the set-up of the right-hand sides differentiated with respect to the external magnetic field is negligible compared to the time used in the solution of the time-dependent response equations [27]. Because of this, all relevant Raman properties (intensities and depolarization ratios) are also calculated at the same time as ROA.

A very central part in the evaluation of Raman Optical Activity is the evaluation the electric dipole-electric dipole, the electric dipole-magnetic dipole, and the electric dipole-electric quadrupole polarizabilities, and we refer to Section 8.4 for a more detailed description of the input for such calculations.

When calculating Raman intensities and ROA we need to do a numerical differentiation of the electric dipole-electric dipole, the electric dipole-magnetic dipole, and the electric dipole-electric quadrupole polarizabilities along the normal modes of the molecule. The procedure is described in Ref. [27]. We thus need to do a geometry walk of the type numerical differentiation. In each geometry we need to evaluate the electric dipole-electric dipole, the electric dipole-magnetic dipole, and the electric dipole-electric quadrupole polarizabilities. This may be achieved by the following input:

**\*\*DALTON INPUT** .WALK \*WALK .NUMERI **\*\*WAVE FUNCTIONS** .HF \*HF INPUT . THRESH 1.0D-8 \*\*START .VROA \*ABALNR . THRESH 1.0D-7 .FREQUE 2 0.0 0.09321471 \*\*EACH STEP .VROA \*ABALNR . THRESH 1.0D-7 .FREQUE 2 0.0 0.09321471

\*\*PROPERTIES .VROA .VIBANA \*ABALNR . THRESH 1.0D-7 .FREQUE 2 0.0 0.09321471 \*RESPONSE . THRESH 1.0D-6 \*VIBANA .PRINT 2 .ISOTOP 15 1 1 1 2 3 \*\*END OF DALTON INPUT

This is the complete input for a calculation of VROA on the CFHDT molecule. In addition to the keyword .VROA in the different **\*\*PROPERTIES** input modules, we still need to tell the program that frequencies of the laser field are to be read in the **\*ABALNR** section.

The only isotopic substitution of this molecule that shows vibrational optical activity is the one containing one hydrogen, one deuterium and one tritium nucleus. If we want the center-of-mass to be the gauge origin for the VROA calculation not employing London atomic orbitals, this have to be reflected in the specification of the isotopic constitution of the molecule, see Chapter 26. We note that a user specified gauge origin can be supplied with the keyword .GAUGEO in the **\*\*PROPERTIES** input modules. The gauge origin can also be chosen as the origin of the Cartesian Coordinate system (0,0,0) by using the keyword .NOCMC. Note that neither of these options will affect the results obtained with London orbitals.

The input in the \*ABALNR input section should be self-explanatory from the discussion of the frequency dependent polarizability in Sec. 8.4. Note that because of the numerical differentiation the response equations need to be converged rather tightly  $(1.0 \cdot 10^{-7})$ . Remember also that this will require you to converge your wave function more tightly than is the default.

The numerical differentiation is invoked through the keyword .NUMERI in the \*WALK submodule. Note that this will automatically turn off the calculation of the molecular

Hessian, putting limitations on what properties may be calculated during a ROA calculation. Because of this there will not be any prediction of the energy at the new point.

It should also be noted that the program in a numerical differentiation will step plus and minus one displacement along each Cartesian coordinate of all nuclei, as well as calculating the property in the reference geometry. Thus, for a molecule with N atoms the properties will be calculated in a total of 2 \* 3 \* N + 1 points, which for a 5 atom molecule will amount to 31 points. The default maximum number of steps of the program is 20. By default the program will for numerical differentiation calculations reset the maximum number of iterations to 6N+1. However, it is also possible to set the number of iterations explicitly in the general input module using the keyword .MAX IT described in Section 24.1.

The default step length in the numerical integration is  $10^{-4}$  a.u., and this step length may be adjusted by the keyword .DISPLA in the \*WALK module. The steps are taken in the Cartesian directions and not along normal modes. This enables us to study a large number of isotopically substituted molecules at once, as the London orbital results for ROA does not depend on the choice of gauge origin. This is done in the \*\*PROPERTIES input module, but as only one isotopic substituted species show optical activity, we have only requested a vibrational analysis for this species.

We note that as in the case of Vibrational Circular Dichroism, a different force field may be used in the estimation of the VROA intensity parameters. Indeed, a number of force fields can be used to estimate the VROA parameters obtained with a given basis set through the input:

**\*\*DALTON INPUT** .WALK .ITERATION 31 \*WALK .NUMERI \*\*PROPERTIES . VROA .VIBANA \*ABALNR . THRESH 1.0D-7 .FREQUE 2 0.0 0.09321471 \*RESPONSE . THRESH

1.0D-6 \*VIBANA .HESFIL .PRINT 2 .ISOTOP 1 5 1 1 1 2 3 \*\*END OF DALTON INPUT

by copying different DALTON.HES files to the scratch directory, which in turn is read through the keyword .HESFIL. By choosing the start iteration to be 31 through the keyword .ITERAT, we tell the program that the walk has finished (for CHFDT with 31 points that need to be calculated). However, this requires that all information is available in the DALTON.WLK file.

Concerning basis sets requirement for Raman Optical Activity, a thorough investigation of the basis set requirements for the circular intensity differences (CIDs) in VROA was presented by Zuber and Hug [93]. They also presented a close-to-minimal basis set that yields high-quality CIDs. The force fields do, however, have to be determined using larger basis (aug-cc-pVTZ) and including electron correlation for a reliable prediction of VROA spectra.

# Chapter 11

# Getting the property you want

In the preceding chapters we have shown how to calculate a number of properties that are associated with specific spectroscopic applications. For HF, DFT, SOPPA, and MCSCF these properties are in part calculated in the RESPONSE module, but given that a large number of standard calculations usually are carried out in a similar fashion, some applications have a simplified input (under **\*\*PROPERTIES**), and an appealing output that meets common demands (*e.g.* customary unit conversions). For CC calculations of properties, see Chapter 31. In this chapter we describe how to set up the input for calculating a general property that can be defined in terms of electronic response functions.

# 11.1 General considerations

### Reference literature:

```
Response theory: Jeppe Olsen and Poul Jørgensen, J. Chem. Phys. 82, 3235, (1985)
```

A response function is a measure of how a property of a system changes in the presence of one or more perturbations. With our notation (see *e.g.* Ref. [37]),  $\langle\!\langle A; B \rangle\!\rangle_{\omega_b}$ ,  $\langle\!\langle A; B, C \rangle\!\rangle_{\omega_b,\omega_c}$ , and  $\langle\!\langle A; B, C, D \rangle\!\rangle_{\omega_b,\omega_c,\omega_d}$  denote linear, quadratic and cubic response functions, respectively, which provide the first, second, and third-order corrections to the expectation-value of A, due to the perturbations B, C, and D, each of which is associated with a frequency  $\omega_b$ ,  $\omega_c$ , and  $\omega_d$ . Often the perturbations are considered to be external monochromatic fields, or static (*e.g.* relativistic) perturbations, in which case the frequency is zero. In general, the perturbations B, C, and D represent Fourier components of an arbitrary time-dependent perturbation.

# 11.2 Input description

In this section we describe a few minimal input examples for calculating some molecular properties that can be expressed in terms of linear, quadratic, and cubic response functions. Note that only one of these three different orders of response functions can be requested in the same calculation.

For more information on keywords, see the Reference Manual, chapter 29.

### 11.2.1 Linear response

#### **Reference literature:**

Singlet linear response: Poul Jørgensen, Hans Jørgen Aagaard Jensen, and Jeppe Olsen, J. Chem. Phys. 89, 3654, (1988)

Triplet linear response: Jeppe Olsen, Danny L. Yeager, and Poul Jørgensen, J. Chem. Phys. **91**, 381, (1989)

SOPPA linear response: Martin J. Packer, Erik K. Dalskov, Thomas Enevoldsen, Hans Jørgen Aagaard Jensen and Jens Oddershede, J. Chem. Phys., **105**, 5886, (1996)

SOPPA(CCSD) linear response: Stephan P. A. Sauer, J. Phys. B: At. Opt. Mol. Phys., **30**, 3773, (1997)

SOPPA(CC2) linear response: Hanna Kjær, Stephan P.A. Sauer and Jacob Kongsted. *J.Chem.Phys.*, **133**, 144106, (2010)

DFT open-shell linear response: Zilvinas Rinkevicius, Ingvar Tunell, Paweł Sałek, Olav Vahtras, and Hans Ågren, J. Chem. Phys., **119**, 34, (2003)

A well-known example of a linear response function is the polarizability. A typical input for SCF static and dynamic polarizability tensors  $\alpha_{ij}(-\omega;\omega) \equiv -\langle\langle x_i; x_j \rangle\rangle_{\omega}$  for a few selected frequencies (in atomic units) will be:

```
**DALTON INPUT
.RUN RESPONSE
**WAVE FUNCTIONS
.HF
**RESPONSE
*LINEAR
.DIPLEN
.FREQUENCIES
3
0.0 0.5 1.0
**END OF DALTON INPUT
```

The .DIPLEN keyword has the effect of defining the A and B operators as all components of the electric dipole operator.

A Second Order Polarization Propagator Approximation (SOPPA)[38, 39, 40] calculation of linear response functions can be invoked if the additional keyword .SOPPA is specified in the \*\*RESPONSE input module and an MP2 calculation is requested by the keyword .MP2 in the \*\*WAVE FUNCTIONS input module. A typical input for SOPPA dynamic polarizability tensors will be:

```
**DALTON INPUT
.RUN RESPONSE
**WAVE FUNCTIONS
.HF
.MP2
**RESPONSE
.SOPPA
*LINEAR
.DIPLEN
.FREQUENCIES
3
0.0 0.5 1.0
**END OF DALTON INPUT
```

A Second Order Polarization Propagator Approximation with Coupled Cluster Singles and Doubles Amplitudes - SOPPA(CCSD)[43] calculation of linear response functions can be invoked if the additional keyword .SOPPA(CCSD) is specified in the \*\*RESPONSE input module and an CCSD calculation is requested by the keywords .CC and .SOPPA(CCSD) in the \*\*WAVE FUNCTIONS input module. A typical input for SOPPA(CCSD) dynamic polarizability tensors will be:

```
**DALTON INPUT
.RUN RESPONSE
**WAVE FUNCTIONS
.HF
.CC
*CC INPUT
.SOPPA(CCSD)
**RESPONSE
.SOPPA(CCSD)
*LINEAR
.DIPLEN
```

```
.FREQUENCIES
3
0.0 0.5 1.0
**END OF DALTON INPUT
```

A Second Order Polarization Propagator Approximation with CC2 Amplitudes -SOPPA(CC2)[42] calculation of linear response functions can be invoked if the additional keyword .SOPPA(CCSD) is specified in the **\*\*RESPONSE** input module and an CC2 calculation is requested by the keywords .CC and .SOPPA2 in the **\*\*WAVE** FUNCTIONS input module. A typical input for SOPPA(CC2) dynamic polarizability tensors will be:

```
**DALTON INPUT
.RUN RESPONSE
**WAVE FUNCTIONS
.HF
.CC
*CC INPUT
.SOPPA2
**RESPONSE
.SOPPA(CCSD)
*LINEAR
.DIPLEN
.FREQUENCIES
3
0.0 0.5 1.0
**END OF DALTON INPUT
```

The linear response function contains a wealth of information about the spectrum of a given Hamiltonian. It has poles at the excitation energies, relative to the reference state (not necessarily the ground state) and the corresponding residues are transition moments between the reference and excited states. To calculate the excitation energies and dipole transition moments for the three lowest excited states in the fourth symmetry, a small modification of the input above will suffice;

```
**RESPONSE
*LINEAR
.SINGLE RESIDUE
.DIPLEN
.ROOTS
0 0 0 3
```

#### 11.2.2 Quadratic response

#### **Reference literature:**

Singlet quadratic response: Hinne Hettema, Hans Jørgen Aa. Jensen, Poul Jørgensen, and Jeppe Olsen, J. Chem. Phys. 97, 1174, (1992)

Triplet quadratic response: Olav Vahtras, Hans Ågren, Poul Jørgensen, Hans Jørgen Aa. Jensen, Trygve Helgaker, and Jeppe Olsen, J. Chem. Phys. 97, 9178, (1992)

Integral direct quadratic response: Hans Ågren, Olav Vahtras, Henrik Koch, Poul Jørgensen, and Trygve Helgaker, *J. Chem. Phys.* **98**, 6417, (1993)

DFT singlet quadratic response: Paweł Sałek, Olav Vahtras, Trygve Helgaker, and Hans Ågren, J. Chem. Phys. 117, 9630, (2002)

DFT triplet quadratic response: Ingvar Tunell, Zilvinas Rinkevicius, Olav Vahtras, Paweł Sałek, Trygve Helgaker, and Hans Ågren, J. Chem. Phys. **119**, 11024, (2003)

An example of a quadratic response function is the first hyperpolarizability. If we are interested in  $\beta_{zzz} \equiv -\langle\!\langle z; z, z \rangle\!\rangle_{0,0}$  only, we may use the following input:

```
**DALTON INPUT
.RUN RESPONSE
**WAVE FUNCTIONS
.HF
**RESPONSE
*QUADRATIC
.DIPLNZ
**END OF DALTON INPUT
```

When no frequencies are given in the input, the static value is assumed by default. If we wish to calculate dynamic hyperpolarizabilities we supply frequencies, but in this case we have two frequencies  $\omega_b, \omega_c$  which are given by the keywords .BFREQ and .CFREQ (see the Reference Manual, chapter 29). The non-zero linear response functions from the operators can be generated with no additional computational costs, and all  $\langle\!\langle A; B \rangle\!\rangle_{\omega_b}$  results will also be printed (in this example  $\alpha_{zz}$ ).

The residue of a quadratic response function gives two-photon transition amplitudes. For such a calculation we supply the same extra keywords as in the linear case (Sec. 11.2.1):

\*\*RESPONSE \*QUADRATIC .DIPLNZ

## .SINGLE RESIDUE .ROOTS 2 0 0 0

which in this case means the two-photon transition amplitude between the reference state and the first two excited states in the first symmetry. In general the residue of a quadratic response function corresponds to the induced transition moment of an operator A due to a perturbation B. The C operator is arbitrary and is not specified. A typical example is the dipole matrix element between a singlet and triplet state that is induced by spin-orbit coupling (phosphorescence). For this special case we have the keyword, .PHOSPHORESCENCE under \*QUADRATIC, which sets A to electric dipole operators and B to spin-orbit operators. (.PHOSPV sets A to momentum operator and allows to calculate phosphorescence using the gauge-origin independent velocity formalism.)

The residue of a quadratic response function can be used to identify the two-photon transition amplitudes. The input below refers to the calculation of the two-photon absorption from the ground state to the first 3 excited states in point group symmetry one. In the program output the two-photon transition matrix element is given as well as the two-photon transition probability relevant for an isotropic gas or liquid. The evaluation of the transition probabilities can be done based on the transition matrix elements although they, in principle, are connected with the imaginary part of the second hyperpolarizability. The absorption cross sections are evaluated assuming a monochromatic light source that is either linearly or circularly polarized.

#### \*\*RESPONSE

### \*QUADRATIC

## .TWO-PHOTON

.ROOTS

3000

Another special case of a residue of the quadratic response function is the  $\mathcal{B}(0 \to f)$  term of magnetic circular dichroism (MCD).

\*\*RESPONSE \*QUADRATIC .SINGLE RESIDUE .ROOTS 2 2 0 0 .MCDBTERM

For each dipole-allowed excited state among those specified in .ROOTS, the .MCDBTERM keyword automatically calculates all symmetry allowed products of the single residue of the quadratic response function for A corresponding to the electric dipole operator and B to the angular momentum operator with the single residue of the linear response function for C equal to the electric dipole operator. In other words, the mixed electric dipole—magnetic dipole two-photon transition moment for final state f times the dipole one-photon moment for the same state f. Note that in the current implementation (for SCF and MCSCF), degeneracies between excited states may lead to numerical divergencies. The final  $\mathcal{B}(0 \to f)$ must be obtained from a combination of the individual components, see the original paper [94].

It is possible to construct double residues of the quadratic response function, the interpretation of which is transition moments between two excited states. Specifying .DOUBLE in the example above thus gives the matrix elements of the z-component of the dipole moment between all excited states specified in .ROOTS. Note that the diagonal contributions gives not the expectation value in the excited state, but rather the difference relative to the reference state expectation value.

#### 11.2.3 Cubic response

#### **Reference literature:**

SCF cubic response: Patrick Norman, Dan Jonsson, Olav Vahtras, and Hans Ågren, *Chem. Phys. Lett.* **242**, 7, (1995)

MCSCF cubic response: Dan Jonsson, Patrick Norman, and Hans Ågren, J. Chem. Phys. 105, 6401, (1996)

All components of the static second hyperpolarizability defined as  $\gamma_{ijkl}(-0; 0, 0, 0) \equiv -\langle\langle x_i; x_j, x_k, x_l \rangle\rangle_{000}$ , may be obtained by the following input

\*\*DALTON INPUT .RUN RESPONSE \*\*WAVE FUNCTIONS .HF \*\*RESPONSE \*CUBIC .DIPLEN \*\*END OF DALTON INPUT

As mentioned above (Sec. 11.2.2), the "diagonal" double residue of the quadratic response function is the change in the expectation value relative to the reference state. The analogue for cubic response functions is the change in polarizability relative to the reference state polarizability, which is demonstrated by the following input.

\*\*DALTON INPUT .RUN RESPONSE \*\*WAVE FUNCTIONS .HF \*\*RESPONSE \*CUBIC .DOUBLE RESIDUE .DIPLNZ .FREQUENCIES 1 0.01 .ROOTS 1 0 0 0 \*\*END OF DALTON INPUT

# Chapter 12

# Direct and parallel calculations

In this chapter we briefly discuss aspects connected to direct and parallel methods as implemented in the DALTON program.

# 12.1 Direct methods

## Reference literature:

H. Ågren, O. Vahtras, H. Koch, P. Jørgensen, and T. Helgaker. J. Chem. Phys., **98**, 6417, (1993).

K. Ruud, D. Jonsson, P. Norman, H. Ågren, T. Saue, H. J. Aa. Jensen, P. Dahle, and P. Dahle. J. Chem. Phys. 108, 7973 (1998).

The entire SCF and Kohn–Sham DFT part of the DALTON code is direct, including all derivative two-electron integrals, and all the way up to the cubic response function. To perform a direct calculation, all that is required is to add the keyword .DIRECT in the general input section, as indicated in the following input example for the calculation of nuclear shieldings in a direct fashion:

\*\*DALTON INPUT .RUN PROPERTIES .DIRECT \*\*WAVE FUNCTIONS .HF \*\*PROPERTIES .SHIELD \*\*END OF DALTON INPUT

By default the two-electron integrals will be screened [95], using an increasingly tighter integral screening threshold during the SCF iterations. Thus, direct calculations

calculated using integral screening will, when converged, be almost as exact as the results obtained with integral screening turned off. The thresholds can be changed with the keywords .IFTHRS and .ICEDIF in the \*TWOINT section of the \*\*INTEGRALS input module. To turn off integral screening in direct or parallel calculations altogether, .IFTHRS should be set to 20 or larger.

## 12.2 Parallel methods

### **Reference literature:**

P. Norman, D. Jonsson, H. Ågren, P. Dahle, K. Ruud, T. Helgaker, and H. Koch. *Chem. Phys. Lett.*, **253**, 1, (1996).

As for direct methods, the entire Hartree–Fock and Kohn–Sham DFT parts of the DALTON program has been parallelized using MPI as message passing interface. Furthermore, the large-scale GASCI code LUCITA is also available in a fully parallelized version in the Dalton2016 release. The use of the parallel code modules requires, of course, that the code has been installed as a parallel code, which is being determined during the building of the program as described in Chapter 2.

In contrast to pre-DALTON releases, the keyword **.PARALLEL** is not needed any more. DALTON will automatically perform in parallel those program modules which have been parallelized if more than one CPU node is available to the program. Also, in contrast to the Dalton2011 release, DALTON will quit in some of the non-parallelized modules (such as CC and SOPPA).

The number of nodes to be used in the calculation is requested to the dalton run script after the -N option (see Section 4.4), or as stated in local documentation. Note that the master/slave paradigm employed by DALTON will leave the master mainly doing sequential parts of the calculation and distribution of tasks, thus very little computation compared to the N-1 slaves, see Ref. [96].

By default the two-electron integrals will be screened [95], using an increasingly tighter integral screening threshold during the SCF iterations. Thus, direct calculations calculated using integral screening will, when converged, be almost as exact as the results obtained with integral screening turned off. The thresholds can be changed with the keywords .IFTHRS and .ICEDIF. To turn of integral screening in direct or parallel calculations altogether, .IFTHRS should be set to 20 or larger.

# Chapter 13

# Finite field calculations

Despite the large number of properties that in principle can be calculated with DALTON, it is often of interest to study the dependence of these properties under an external electric perturbation. This can be easily achieved by adding static electric fields, and thus increase the number of properties that can be calculated with DALTON.

In the next section we comment briefly on some important aspects of finite electric field calculations, and the following section describes the input for finite field calculations.

# **13.1** General considerations

The presence of an external electric field can be modeled by adding a term to our ordinary field-free, non-relativistic Hamiltonian corresponding to the interaction between the dipole moment operator and the external electric field:

$$\mathcal{H} = \mathcal{H}^0 - \mathbf{E}\mathbf{d}_e \tag{13.1}$$

where  $\mathbf{d}_e$  is the electric dipole moment operator defined as

$$\mathbf{d}_e = \sum_i \mathbf{r}_i \tag{13.2}$$

and  $\mathcal{H}^0$  is our ordinary field-free, non-relativistic Hamiltonian operator. It is noteworthy that we do not include the nuclear dipole moment operator, and the total electronic energy will thus depend on the position of the molecule in the Cartesian coordinate frame.

The electric field dependence of different molecular properties are obtainable by adding fields in different directions and with different signs and then extract the information by numerical differentiation. Note that care has to be taken to choose a field that is weak enough for the numeric differentiation to be valid, yet large enough to give numerically significant changes in the molecular properties (see for instance Ref. [97]). Note also that it may be necessary to increase the convergence threshold for the solution of the response equations if molecular properties are being evaluated.

Whereas the finite field approach may be combined with any property that can be calculated with the RESPONSE module, more care need to be taken if the finite field method is used with the ABACUS module. Properties that involve perturbation-dependent basis sets, like nuclear shieldings and molecular Hessians, will often introduce extra reorthonormalization terms due to the finite field operator, and care has to be taken to ensure that these terms indeed have been included in DALTON.

NOTE: In the current release, the only properties calculated with perturbation dependent basis sets that may be numerically differentiated using finite field, are the nuclear shieldings and magnetizabilities using the implementation described in Ref. [98], and molecular gradients.

# 13.2 Input description

## Reference literature:

Shielding and magnetizability polarizabilities: A.Rizzo, T.Helgaker, K.Ruud, A.Barszczewicz, M.Jaszuński and P.Jørgensen. *J.Chem.Phys.*, **102**, 8953, (1995).

The necessary input for a finite-field calculation is given in the **\*\*INTEGRALS** and **\*\*WAVE FUNCTIONS** input modules. A typical input file for an finite field SCF calculation of the magnetizability of a molecule will be:

```
**DALTON INPUT
.RUN PROPERTIES
**INTEGRALS
.DIPLEN
**WAVE FUNCTIONS
.HF
*HAMILTONIAN
.FIELD
0.003
XDIPLEN
**PROPERTIES
.MAGNET
**END OF DALTON INPUT
```

In the **\*\*INTEGRALS** input module we request the evaluation of dipole length integrals, as these correspond to the electric dipole operator, and will be used in SIRIUS for evaluating the interactions between the electric dipole and the external electric field. This is achieved in the **\*HAMILTONIAN** input module, where the presence of an external electric field is signaled by the keyword .FIELD. On the next line, the strength of the electric field (in atomic units) is given, and on the following line we give the direction of the applied electric field (**XDIPLEN**, **YDIPLEN**, or **ZDIPLEN**). Several fields may of course be applied at the same time. In comparison with Dalton 1.2, the present version of DALTON can also calculate the nuclear shielding polarizabilities with respect to an external electric field gradient using London atomic orbitals, both using the traceless quadrupole operator .THETA and the second moment of charge operator .SECMOM.

# Chapter 14

# **Continuum solvation calculations**

In DALTON it is possible to describe the solvent implicitly by two different models, the polarizable continuum model (PCM, section 14.1) or the Multiconfigurational Self-Consistent Reaction Field model (MCSCRF, section 14.2).

# 14.1 Polarizable Continuum Model

This chapter describes how to run calculation using the integral equation formulation of the polarizable continuum model (IEF-PCM) implemented in DALTON. IEF-PCM is implemented for SCF, DFT and MCSCF [99]. For calculating molecular properties using MCSCF, linear [100] and quadratic response [101] IEF-PCM is implemented. At the HF/DFT level of theory, PCM is implemented up to cubic response [102].

#### **Reference literature:**

PCM-MCSCF: R. Cammi, L. Frediani, B. Mennucci, J. Tomasi, K. Ruud, and K. V. Mikkelsen. J. Chem. Phys., 117, 13 (2002).
PCM linear response: R. Cammi, L. Frediani, B. Mennucci, and K. Ruud. J. Chem. Phys., 119, 5818 (2003).

PCM quadratic response: L. Frediani, H. Ågren, L. Ferrighi, and K. Ruud. J. Chem. Phys., **123**, 144117 (2005).

PCM cubic response: L. Ferrighi, L. Frediani, and K. Ruud. J. Phys. Chem. B., **111** 8965 (2007).

### 14.1.1 Input description

The necessary input for a PCM calculation is given in the **\*\*DALTON** input module. The most simple input file for a PCM calculation in DALTON will look like

```
**DALTON INPUT
.RUN WAVEFUNCTION
*PCM
.SOLVNT
WATER
*PCMCAV
**WAVEFUNCTION
.HF
**END OF
```

An input file for a one-photon absorption DFT calculation for a molecule solvated in methanol can look like

**\*\*DALTON INPUT** .RUN RESPONSE \*PCM .SOLVNT CH30H .NEQRSP \*PCMCAV **\*\*WAVEFUNCTION** .DFT B3LYP \*\*RESPONSE \*LINEAR .SINGLE .ROOTS 5 \*\*END OF

The solvent is given with its formula, in this case CH30H, but it is totally equivalent to specify it by its name METHANOL. See Section 24.1.5 for a complete list of solvents supported by DALTON, and details about other keywords. With the keyword .NEQRSP we are specifying that the non-equilibrium contributions of the solvent to the response calculation are used.

In the above example we are using the default way of creating the PCM cavity, that is putting a sphere on every atom with radii depending on the chemical element. It is also possible to add spheres with a specific radii to specific atoms. Then we have to use the .ICESPH keyword with method 2, as well as the .NESFP keyword where we specify the number of spheres. In the \*PCMCAV section, that have to be located directly after the \*PCM section, we specify on which atoms we will put spheres (.INA), and the radii of the spheres

(.RIN). As an example we can calculate the one-photon absorption in pyridine solvated in water. The molecule input is given as

```
ATOMBASIS
Structure of pyridine
_____
AtomTypes=3 Nosymmetry Angstrom
                Atoms=1 Basis=aug-cc-pVDZ
  Charge=7.0
Ν
        0.00000
                        0.000000
                                        1.412474
  Charge=6.0
                Atoms=5 Basis=aug-cc-pVDZ
        0.00000
C_a
                        1.139102
                                        0.718724
C_b
        0.00000
                       -1.139102
                                        0.718724
C_c
        0.00000
                        1.193314
                                       -0.670333
C_d
        0.00000
                       -1.193314
                                       -0.670333
C_e
        0.00000
                        0.000000
                                       -1.379701
  Charge=1.0
                Atoms=5 Basis=aug-cc-pVDZ
        0.00000
                        2.053276
H_a
                                        1.301957
H_b
        0.000000
                       -2.053276
                                        1.301957
H_c
        0.00000
                        2.148080
                                       -1.178005
H_d
        0.00000
                       -2.148080
                                       -1.178005
H_e
        0.000000
                        0.000000
                                       -2.461606
```

We want to put a sphere on every atom except the hydrogens. The nitrogen will get a sphere with radius 1.7 ångström while the carbon atoms will get spheres with radii 1.9 ångström. The maximum area of the tesserea has been reduced from the default 0.4 to 0.3 by the .AREATS keyword. The input would then look like

```
**DALTON INPUT
.RUN RESPONSE
*PCM
.SOLVNT
WATER
.ICESPH
2
.NESFP
6
.NEQRSP
*PCMCAV
.INA
1
```

2 3 4 5 6 .RIN 1.7 1.9 1.9 1.9 1.9 1.9 .AREATS 0.3 **\*\*WAVEFUNCTION** .DFT B3LYP \*\*RESPONSE \*LINEAR .SINGLE .ROOTS 2 \*\*END OF

# 14.2 Multiconfigurational Self-Consistent Reaction Field

This chapter describes the Multiconfigurational Self-Consistent Reaction Field (MCSCRF) model as implemented in DALTON. The first section describes some considerations about the implementation and the range of properties that may be evaluated with the present MCSCRF implementation. The second section gives two input examples for MCSCRF calculations.

## 14.2.1 General considerations

DALTON has the possibility of modeling the effect of a surrounding linear, homogeneous dielectric medium on a variety of molecular properties using SCF or MCSCF wave functions. This is achieved by the Multiconfigurational Self-Consistent Reaction Field (MCSCRF) approach [103, 104], where the solute is placed in a spherical cavity and surrounded by the dielectric medium. The solvent response to the presence of the solute is modeled by

a multipole expansion, in DALTON in principle to infinite order, but practical applications show that the multipole expansion is usually converged at order L = 6.

In DALTON the solvent model is implemented both for SCF, DFT and MCSCF wave functions in a self-consistent manner as describes in Ref. [103, 104]. In MCSCF calculations where MP2 orbitals is requested as starting orbitals for the MCSCF optimization, the solvent model will not be added before entering the MCSCF optimization stage, so MP2 gas-phase orbitals can be used as starting guess even though the solvent model has not been implemented for this wave function model. Note also that differential densities will be disabled in direct calculations when the solvent model is employed.

As regards molecular properties, the solvent model has so far been extended to singlet linear, quadratic and cubic response, and triplet linear response in the RESPONSE module, both using equilibrium and non-equilibrium solvation. A number of properties and excitation energies can be calculated with the (MC)SCRF model, and several studies of such properties have been presented, and we refer to these papers for an overview of what can currently be calculated with the approach [105, 106], including ESR hyperfine coupling constants [107].

In addition, a non-equilibrium solvation model has been implemented for molecular energies [108]. This model in needed when studying processes where the charge distribution of the solute cannot be expected to be in equilibrium with the charge distribution of the solvent, *e.g.* when comparing with experiments where light has been used as a perturbation.

In the ABACUS module, the solvent model has been implemented for geometric distortions and nuclear shieldings and magnetizabilities, and of course all the properties that do not use perturbation-dependent basis sets, such as for instance indirect spin-spin coupling constants. This is noteworthy, as although the program will probably give results for most results calculated using the solvent model, these results will not necessarily be theoretically correct, due to lack of reorthonormalization contributions that have not been considered in the program. We therefore give a fairly complete literature reference of works that have been done with the program [109, 110]. Properties not included in this list are thus not trustworthy with the current version of DALTON.

### 14.2.2 Input description

#### **Reference literature:** General reference: K.V.Mikkelsen, E.Dalgaard, P.Svanstrøm. J.Phys.Chem, 91, 3081, (1987). K.V.Mikkelsen, H.Ågren, H.J.Aa.Jensen, and General reference: T.Helgaker. J.Chem.Phys., 89, 3086, (1988). Non-equilibrium solvation: K.V.Mikkelsen, H.Ågren, A.Cesar, H.J.Aa.Jensen. J.Chem. Phys., 103, 9010, (1995). Linear singlet response: K.V.Mikkelsen, P.Jørgensen, H.J.Aa.Jensen. J.Chem.Phys., 100, 6597, (1994). Linear triplet response: P.-O.Åstrand, K.V.Mikkelsen, P.Jørgensen, K.Ruud and T.Helgaker. J. Chem. Phys., 108, 2528 (1998). Hyperfine couplings: B.Fernandez, O.Christensen, O.Bludsky, P.Jørgensen, K.V.Mikkelsen. J.Chem. Phys., 104, 629, (1996). Magnetizabilities and nuclear shieldings: K.V.Mikkelsen, P.Jørgensen, K.Ruud, and T.Helgaker. J.Chem.Phys., 106, 1170, (1997). P.-O.Åstrand, K.V.Mikkelsen, K.Ruud and Molecular Hessian: T.Helgaker. J.Phys.Chem., 100, 19771, (1996). Spin-spin couplings: P.-O.Åstrand, K.V.Mikkelsen, P.Jørgensen, K.Ruud and T.Helgaker. J. Chem. Phys., 108, 2528 (1998).

The necessary input for a spherical cavity reaction field solvent calculation is given in the **\*\*INTEGRALS** and **\*\*WAVE FUNCTIONS** input modules. A typical input file for an SCF calculation of the nuclear shielding constants of a molecule in a dielectric medium will look like:

\*\*DALTON INPUT .RUN PROPERTIES \*\*INTEGRALS \*ONEINT .SOLVENT 10 \*\*WAVE FUNCTIONS .HF \*SOLVENT .DIELECTRIC CONSTANT 78.5 .MAX L

```
10
.CAVITY
3.98
**PROPERTIES
.SHIELD
**END OF DALTON INPUT
```

In **\*\*INTEGRALS** we request the evaluation of the undifferentiated solvent multipole integrals as given in for instance Ref. [104] by the keyword .SOLVENT in the **\*ONEINT** submodule. We request all integrals up to L = 10 to be evaluated. This is needed if static or dynamic (response) properties calculations are to be done, but is not needed for a run of the wave function only (**\*\*WAVE FUNCTIONS**).

In **\*\*WAVE FUNCTIONS** there is a separate input module for the solvent input, headed by the name **\*SOLVENT**. We refer to Sec. 27.2.16 for a presentation of all possible keywords in this submodule. The interaction between the solute and the dielectric medium is characterized by three parameters; the dielectric constant, the cavity radius (in atomic units), and the order of the multipole expansion. In the above input we have requested a dielectric constant of 78.5 (corresponding to water) through the keyword .DIELECTRIC CONSTANT, a cavity radius of 3.98 atomic units with the keyword .CAVITY, and the multipole expansion is to include all terms up to L = 10, as can be seen from the keyword .MAX L. Note that this number cannot be larger than the number given for .SOLVENT in the **\*ONEINT** input module.

#### 14.2.2.1 Geometry optimization

In the present release of the DALTON program, there are certain limitations imposed on the optimizing geometries using the solvent model. Only second-order geometry optimizations are available, and only through the general **\*WALK** module. Thus the input for an SCF geometry optimization with the solvent model would look like:

```
**DALTON INPUT
.WALK
**INTEGRALS
*ONEINT
.SOLVENT
10
**WAVE FUNCTIONS
.HF
*SOLVENT
.DIELECTRIC CONSTANT
```

78.5 .MAX L 10 .CAVITY 3.98 \*\*PROPERTIES .VIBANA .SHIELD \*\*END OF DALTON INPUT

### 14.2.2.2 Non-equilibrium solvation

This example describes calculations for non-equilibrium solvation. Usually one starts with a calculation of a reference state (most often the ground state) with equilibrium solvation, using keyword .INERSFINAL. The interface file is then used (without user interference) for a non-equilibrium excited state calculation; keyword .INERSINITIAL.

```
**DALTON INPUT
.RUN WAVE FUNCTIONS
**INTEGRALS
*ONEINT
.SOLVENT
 10
**WAVE FUNCTIONS
.TITLE
2-RAS(2p2p') : on F+ (1<sup>D</sup>) in Glycol
Widmark (5432)-ANO Basis set
.MCSCF
*CONFIGURATION INPUT
.SPIN MULTIPLICITY
1
.SYMMETRY
 1
.INACTIVE ORBITALS
 1 0 0 0 0 0 0 0
.ELECTRONS
 6
.RAS1 SPACE
 0 0 0 0 0 0 0 0
```

```
.RAS2 SPACE
1 2 2 0 2 0 0 0
.RAS3 SPACE
8 4 4 3 4 3 3 1
.RAS1 ELECTRONS
0 0
.RAS3 ELECTRONS
0 2
*OPTIMIZATION
.NEO ALWAYS
.OPTIMAL ORBITAL TRIAL VECTORS
.MAX CI
30
*ORBITAL INPUT
.MOSTART
             | Note, we assume the existence of an SIRIUS.RST file
NEWORB
*CI VECTOR
.STARTOLDCI
             | Note, we assume the existence of an SIRIUS.RST file
*SOLVENT
.CAVITY
2.5133D0
.INERSINITIAL | initial state inertial polarization
37.7D0 2.050D0 | static and optic dielectric constants for Glycol
.MAX L
 10
**END OF DALTON INPUT
```

# Chapter 15

# Polarizable embedding calculations

This chapter introduces the polarizable embedding (PE) model[111, 112] as implemented in the PE library [113] included in the Dalton2016 release. Methods available are: PE-HF [114], PE-DFT [114], PE-MP2/SOPPA [115], PE-MCSCF [116] and PE-CC<sup>1</sup> [117]. The implementation uses the Gen1Int library to calculate one-electron integrals [118] which is also in the Dalton2016 release. The first section gives some general considerations about the model and implementation. In the second section we introduce the input format using basic examples.

<sup>&</sup>lt;sup>1</sup>Currently, the PE-CC uses an older implementation and not the PE library. Note, that in a future release, the PE-CC implementation will use the new PE library (see Sec. 15.2)

#### **Reference literature:**

PE library: J. M. H. Olsen. The polarizable embedding (PE) library (version 1.2), 2014

PE model: J. M. H. Olsen and J. Kongsted. Adv. Quantum Chem., 61, 107 (2011) and J. M. H. Olsen. PhD thesis, University of Southern Denmark, Odense, Denmark, 2012. DOI: 10.6084/m9.figshare.156852.

PE-HF/DFT: J. M. Olsen, K. Aidas and J. Kongsted. J. Chem. Theory Comput., 6, 3721 (2010).

PE-MP2/SOPPA: J. J. Eriksen, S. P. A. Sauer, K. V. Mikkelsen, H. J. Aa. Jensen and J. Kongsted. J. Comp. Chem., **33**, 2012, (2012).

PE-MCSCF: E. D. Hedegård, N. H. List, H. J. Aa. Jensen and J. Kongsted. *J. Chem. Phys.*, **139**, 044101 (2013).

PE-CC: K. Sneskov, T. Schwabe, J. Kongsted and O. Christiansen. J. Chem. Phys., **134**, 104108, (2011).

PE-CPP: M. N. Pedersen, E. D. Hedegård, J. M. H. Olsen, J. Kauczor, P. Norman and J. Kongsted *J. Chem. Theory Comput.*, **10**, 1164 (2014).

Magnetic properties with LAOs: C. Steinmann, J. M. H. Olsen and J. Kongsted. J. Chem. Theory Comput., **10**, 981 (2014).

## **15.1** General considerations

In DALTON it is possible to include the effects from a structured environment on a core molecular system using the polarizable embedding (PE) model. The current implementation is a layered QM/MM-type embedding model capable of using advanced potentials that include an electrostatic component as well as an induction (polarization) component. The effects of the environment are included through effective operators that contain an embedding potential, which is a representation of the environment, thereby directly affecting the molecular properties of the core system. The wave function of the core system is optimized while taking into account the explicit electrostatic interactions and induction effects from the environment in a fully self-consistent manner. The electrostatic and induction components are modeled using Cartesian multipole moments and anisotropic dipole-dipole polarizabilities, respectively. The electrostatic part models the permanent charge distribution of the environment and will polarize the core system, while the induction part also allows polarization of the environment. The environment response is included in the effective operator as induced dipoles, which arise due to the electric fields from the electrons and nuclei in the core system as well as from the environment itself. It is therefore necessary to recalculate the induced dipoles according to the changes in the electron density of the core system as they occur in a wave function optimization. Furthermore, since the induced dipoles are coupled through the electric fields, it is necessary to solve a set of coupled linear equations. This can be done using either an iterative or a direct solver. This also means that we include many-body effects of the total system.

The multipoles and polarizabilities can be obtained in many different ways. It is possible to use the molecular properties, however, usually distributed/localized properties are used because of the better convergence of the multipole expansion. These are typically centered on all atomic sites in the environment (and sometimes also bond-midpoints), however, the implementation is general in this sense so they can be placed anywhere in space. Currently, the PE library supports multipole moments up to fifth order and anisotropic dipole-dipole polarizabilities are supported. For multipoles up to and including third order (octopoles) the trace will be removed if present. Note, that the fourth and fifth order multipole moments are expected to be traceless. In case polarizabilities are included it might be necessary to use an exclusion list to ensure that only relevant sites can polarize each other. The format of the POTENTIAL.INP file is demonstrated below.

The PE model is implemented for HF, DFT, MP2, MCSCF and CC wave functions. Singlet linear response may be evaluated at PE-HF and PE-DFT levels of theory for closedand open-shell systems, and at PE-SOPPA, PE-MCSCF, PE-CC2 and PE-CCSD levels for closed-shell systems. Furthermore, the PE library has been coupled with the complex polarization propagator (CPP) module to allow damped linear response properties at PE-HF and PE-DFT levels of theory for closed- and open-shell systems [119]. Triplet linear response properties are available at the PE-HF and PE-DFT levels for closed-shell systems only. Magnetic linear response properties using London atomic orbitals (LAOs) are also available. Singlet quadratic response properties can be calculated at PE-HF and PE-DFT levels for closed-shell systems. In the PE-MP2/SOPPA models, the environment response is taken into account at the HF/RPA level (see Ref. [115] for details). Note, that the current implementation does not support point-group symmetry or analytical molecular gradients and Hessians. Furthermore, PE-CC uses an older implementation with different input format which is briefly described in Sec. 15.2. Note, that in a future release, PE-CC will use the more general and efficient PE library implementation.)

## 15.2 Input description

The following input description is relevant only for PE-HF, PE-DFT, PE-MP2/SOPPA and PE-MCSCF. The PE-CC input is described in subsection 15.2. To include environment effects using the PE model it is necessary to define the core and environment part of the system: the MOLECULE.INP file specifies the core molecular system and the POTENTIAL.INP file, which contains the embedding potential, defines the environment. Moreover, additional keywords are needed in the DALTON.INP input file to activate the PE model. To use default

options it is only necessary to include .PEQM in the **\*\*DALTON** section. All other specifications of wave function, properties etc. are unchanged and thus follow the input described in other chapters. For example, to calculate the PE-HF wave function the following input can be used:

```
**DALTON
.RUN WAVE FUNCTIONS
.PEQM
**WAVE FUNCTIONS
.HF
**END OF DALTON
```

To use non-default options, a \*PEQM subsection is needed, which should also be placed in the \*\*DALTON section. For instance, to use the direct solver for induced dipoles the following input example can be used:

```
**DALTON
.RUN WAVE FUNCTIONS
.PEQM
*PEQM
.DIRECT
**WAVE FUNCTIONS
.HF
**END OF DALTON
```

where the .DIRECT keyword request the use of a direct solver. See further input options in Chapter 24 under the \*PEQM input section (subsection 24.1.3). Furthermore, Section 4.2 in Chapter 4 provides an introduction to the DALTON (and MOLECULE.INP) input in general. The format of the MOLECULE.INP file is described in detail in 26 and requires no additional changes to be used in a PE calculation.

## The potential input format

The POTENTIAL.INP file is split into three sections: @COORDINATES, @MULTIPOLES and @POLARIZABILITIES. The format is perhaps best illustrated using an example:

```
! two water molecules
@COORDINATES
10
AA
0 -3.328 -0.103 -0.000
```

Η -2.503 0.413 0.000 Η -4.039 0.546 -0.000 Х -2.916 0.154 -0.000 Х -3.683 0.221 -0.000 0 1.742 2.341 -0.000 Η 0.841 1.971 -0.000 Η 1.632 3.298 0.004 Х 1.291 2.156 -0.000 Х 1.687 2.819 0.001 **@MULTIPOLES** ORDER 0 6 1 -0.7422 0.369 3 0.372 6 -0.7427 0.369 0.372 8 ORDER 1 10 1 0.030 0.328 0.000 2 -0.100 -0.055 -0.000 3 0.091 -0.072 0.000 -0.115 -0.109 -0.000 4 5 0.092 -0.128 0.000 6 -0.284 0.167 0.001 7 0.103 0.049 0.000 8 0.005 -0.116 -0.000 9 -0.000 0.156 0.028 10 0.050 -0.149 -0.000 ORDER 2 10 0.000 1 -3.951 -4.577 -5.020 -0.056 0.000 2 -0.577 -0.053 -0.000 -0.000 -0.559 -0.604 3 -0.558 0.046 -0.622 0.000 0.000 -0.558 4 0.693 0.399 0.000 0.481 0.000 0.233 5 0.549 -0.407 0.000 0.632 -0.000 0.241 0.000 6 0.280 -4.418 -4.1120.003 -5.020

7	-0.645			5	-0.004	0	.000	-	0.536		0.000	-	-0.559
8		-0.556			0.045	0	.000	-	0.624		-0.000	-	-0.558
9		0.	930	)	0.228	-0	.000		0.242		-0.000		0.233
10		0.	217	7	-0.166	-0	.000		0.964		0.003		0.241
@POLARIZABILITIES													
ORDER 1 1													
10													
1		1.	1.593		0.080	-0	.001		2.525		0.001		3.367
2	0.792		2	0.154	0	.000		0.601		0.000		0.592	
3	0.720			)	-0.178	0	.000		0.642		0.000		0.575
4	3.497			7	2.135	0	.002		1.845		0.001		1.412
5	2.691			L	-2.246	0	.000		2.554		-0.001		1.429
6	2.2			2	-0.420	-0	.000		1.832		-0.006		3.366
7		0.	0.813		0.138	0	.000		0.581		-0.000		0.592
8		0.	0.499		-0.019	0	.000		0.861		0.002		0.575
9		4.	4.294		1.269	0	.000		1.056		-0.004		1.413
10		0.	0.617		-0.440	-0	.000		4.622		0.017		1.430
EXCLISTS													
10 5													
1	2	3	4	5									
2	1	3	4	5									
3	1	2	4	5									
4	1	2	3	5									
5	1	2	3	4									
6	7	8	9	10									
7	6	8	9	10									
8	6	7	9	10									
9	6	7	8	10									

Note, that the input is actually not case-sensitive even though we have used uppercase letters in the example. In the following we describe the contents of each section.

### **@COORDINATES**

10 6 7 8 9

The coordinates section follows the standard XYZ file format so that the environment can be easily visualized using standard programs. The first line in gives the total number of sites in the environment and the second line specifies whether the coordinates are given in angström (AA) or bohr (AU). The rest of the coordinates section is a list of the sites in the environment where each line contains the element symbol and x-, y- and z-coordinates of a site. If a site is not located on an atom, e.g. if it is a bond-midpoint, then the element symbol should be specified as X. The listing also gives an implicit numbering of the sites, so that the first line is site number one, the second line is site number two and so on. This numbering is important and used in the following sections.

#### **@MULTIPOLES**

The multipoles section is subdivided into the orders of the multipoles, i.e. ORDER 0 for monopoles/charges, ORDER 1 for dipoles and so on. For each order there is a number specifying the number of multipoles of that specific order. Note, that this number does not have to be equal to the total number of sites. This is followed by a list of multipoles where each line gives the multipole of a site. The lines begin with a number that specifies which site the multipole is placed. Only the symmetry-independent Cartesian multipoles (given in a.u.) should be provided using an ordering such that the components are stepped from the right, e.g. xx xy xz yy yz zz or xxx xxy xxz xyy xyz xzz yyy yyz yzz zzz. Note, that the multipoles should in general be traceless, however, for multipoles up to and including octopoles (i.e. ORDER 3) the trace is removed if present. Furthermore, the current implementation is limited to fifth order multipoles.

#### **@POLARIZABILITIES**

The polarizabilities section is also subdivided into orders, i.e. ORDER 1 1 for dipole-dipole polarizabilities, which is the only type supported in the current release. The format is the same as for multipoles, i.e. first line contains number of polarizabilities which is followed by a list of the polarizabilities using the same ordering as the multipoles. The polarizabilities should also be given in a.u. In addition, there is also the exclusion lists (EXCLISTS section). Here the first line gives the number of lists (i.e. the number of lines) and the length of the exclusion lists (i.e. the number of entries per line). The exclusion lists specify the polarization rules. There is a list attached to each polarizable site that specifies which sites are not allowed to polarize it, e.g. 1 2 3 4 5 means that site number 1 cannot be polarized by sites 2, 3, 4 and 5.

### **PE-CC** example

The PE-CC calculations in the current release uses an older implementation and therefore requires different input. Note, that in a future release the current implementation will be replaced with newer code that takes advantage of the PE library. To run a PE-CC calculation an input like the following can be used:

#### \*\*DALTON

```
.RUN WAVEFUNCTION
*QMMM
. QMMM
**WAVE FUNCTIONS
.CC
*CC INP
.CCSD
*CCSLV
.CCMM
.MXSLIT ! max. no. t/t-bar iterations in solution of coupled t/bar-t eqs.
200
.MXINIT ! max. no. of steps in the t and t-bar solver, respectively
 45
*CCEXCI
.NCCEXCI
2
*CCLRSD
.DIPOLE
**END OF
```

For details regarding the general input for CC calculations we refer to Chapters 21 and 31. The required input here is the \*QMMM section and .QMMM under the general \*\*DALTON input section. The default is to use the direct solver for the induced dipoles. Add the .MMITER in the \*QMMM section to use the iterative solver. Furthermore, it is also necessary to include the .CCMM keyword under the \*CCSLV section. Also given in the example is the maximum number of  $t/\bar{t}$  iterations in the solution of the coupled  $t/\bar{t}$  equations (.MXSLIT) and the maximum number of steps in the t and  $\bar{t}$  solver (.MXINIT).

The potential input format is also different in the old implementation. Again it is easiest to use an example to describe the format:

#### AA

6 2 2 3 1
1 2 3 8 0.975 1.507 -0.082 -0.739 -0.130 0.053 0.127 -3.891 0.304 0.173 -4.459 0.423 -4.191 5.476 -0.086 -0.072 5.582 -0.124 5.513
2 1 3 1 0.023 1.300 -0.088 0.367 0.206 0.034 -0.015 -0.005 0.100 -0.012 -0.496 -0.009 -0.522 3.488 0.298 -0.071 1.739 -0.350 1.360
3 1 2 1 1.113 2.040 0.704 0.371 -0.012 -0.115 -0.176 -0.512 0.030 0.049 -0.348 0.235 -0.153 1.185 0.051 0.313 2.359 0.746 2.948
4 5 6 8 1.633 -1.233 -0.078 -0.739 0.104 0.119 0.105 -4.353 -0.233 0.425 -3.805 -0.203 -4.384 5.555 0.085 -0.120 5.451 0.074 5.566 5 4 6 1 1.600 -0.258 -0.086 0.367 -0.007 -0.209 -0.012 -0.519 -0.002 -0.007 0.013 0.010 -0.519 1.509 -0.036 -0.366 3.554 0.100 1.528 6 4 5 1 2.303 -1.461 0.570 0.371 -0.149 0.032 -0.145 -0.250 -0.075 0.246 -0.497 -0.073 -0.266 2.658 -0.248 0.746 1.223 -0.356 2.608

Note that the lines have been wrapped to fit the document width and the numbers truncated. The first line specifies the unit of the coordinates (multipoles and polarizabilities are always in a.u.). The second line specifies the number of sites, the order of the multipoles, the polarizability type, exclusion list length and whether nuclear charges are included, respectively. Thus, we have six sites with multipoles up to quadrupoles (highest order supported in this implementation). The polarizability type can be 0 meaning no polarizabilities, 1 indicating isotropic polarizabilities or 2 specifying anisotropic polarizabilities. The following lines contain all parameters for each site individually. The first integers are the exclusion list (in this case three numbers) that are explained in the previous section. If nuclear charges are included (indicated by the last number of the second line, i.e. 0 or missing means no charges and 1 means include nuclear charges) then the following integer is the nuclear charge. Following this is the coordinates, multipoles and polarizability, in that order.
# Chapter 16

# Vibrational corrections

DALTON provides an efficient automated procedure for calculating rovibrationally averaged molecular  $r_{\alpha}$  geometries, as well as an automated procedure for calculating vibrational averages of a large range of second-order molecular properties, for SCF and MCSCF wave functions. In the current implementation, it is not possible to exploit point-group symmetry, and one must ensure that the symmetry is turned off in the calculation.

**Reference literature:** 

Effective geometries: P.-O. Åstrand, K. Ruud and P. R. Taylor. J. Chem. Phys, **112**, , 2655 (2000).

Vibrational averaged properties: K. Ruud, P.-O. Åstrand and P. R. Taylor. J. Chem. Phys., **112**, 2668, (2000).

Temperature and isotope effects: K. Ruud, J. Lounila and J. Vaara. J. Chem. Phys., to be published.

#### 16.1 Effective geometries

The (ro)vibrationally averaged geometries can be calculated from a knowledge of part of the cubic force field

$$\langle r_i \rangle = r_{e,i} - \frac{1}{4\omega_i^2} \sum_{j=1}^{3N-6} \frac{V_{ijj}^{(3)}}{\omega_j}$$
 (16.1)

where the summation runs over all normal modes in the molecule and where  $\omega_i$  is the harmonic frequency of normal mode *i* and  $V_{ijj}^{(3)}$  is the cubic force field. A typical input for determining (ro)vibrationally averaged Hartree–Fock geometries for different water isotopomers will look like

**\*\*DALTON INPUT** 

```
.WALK
*WALK
.ANHARM
.DISPLACEMENT
0.001
. TEMPERATURES
4
0.0 300.0 500.0 1000.0
**WAVE FUNCTIONS
.HF
*SCF INPUT
.THRESH
 1.0D-10
**START
*RESPONS
.THRESH
 1.0D-5
**EACH STEP
*RESPONS
.THRESH
 1.0D-5
**PROPERTIES
.VIBANA
*RESPONS
. THRESH
 1.0D-5
*VIBANA
.ISOTOP
33
 1 2 1
 1 2 2
2 1 1
**END OF DALTON INPUT
```

The calculation of (ro)vibrationally averaged geometries are invoked be the keyword . ANHARM in the \*WALK input module. In this example, the full cubic force field will be determined as first derivatives of analytical molecular Hessians. This will be done in Cartesian coordinates, and the calculation will therefore require the evaluation of 6K + 1 analytical Hessians, where K is the number of atoms in the molecules. Although expensive, it allows

(ro)vibrational corrections to be calculated for any isotopic species, in the above example for  $H_2$  <sup>16</sup>O, HD <sup>16</sup>O, D<sub>2</sub> <sup>16</sup>O, H<sub>2</sub> <sup>18</sup>O. This is directed by the keyword .ISOTOP. We note that the most abundant isotope will always be calculated, and is therefore not included in the list above.

We have requested that rovibrationally averaged geometries be calculated for 5 different temperatures. By default, these geometries will include centrifugal distortions [120]. This can be turned by using the keyword .NO CENT in the \*WALK input module.

By default, the numerical differentiation will use a step length of 0.0001 bohr. Experience show this to be too short [121], and we have therefore changed this to be 0.001 bohr in the example above by the use of the keyword .DISPLACMENT in the \*WALK input module.

If only one (or a few) isotopic species are of interest, we can significantly speed up the calculation of the (ro)vibrationally averaged geometries by doing the numerical differentiation in the normal coordinates of the isotopic species of interest. This can be requested through the keyword .NORMAL. The relevant part of the cubic force field is then calculated as numerical second derivatives of analytical gradients. We note that the suggested step length in this case should be set to 0.0075 [121]. We note that we will still need to calculate one analytical Hessian in order to determine the normal coordinates.

The default maximum number of iterations is 20. However, DALTON will automatically reset the maximum number of iterations to 6K+1 in case of vibrational averaging calculations. The maximum number of iterations can also be set explicitly by using the keyword .MAX IT in the \*\*DALTON INPUT module.

#### 16.2 Vibrational averaged properties

The change in the geometry accounts for part of the contribution to a vibrationally averaged property, namely that due to the anharmonicity of the potential [122]. Although this term is important, we need to include also the contribution from the averaging of the molecular property over the harmonic oscillator wave function in order to get an accurate estimate of the vibrational corrections to the molecular property.

At the effective geometry, this contribution to for instance the nuclear shielding constants can be obtained from the following input

```
**DALTON INPUT
.WALK
*WALK
.VIBAVE
.DISPLACEMENT
0.05
```

```
. TEMPERATURES
 1
 300.0
**WAVE FUNCTIONS
.HF
*SCF INPUT
. THRESH
 1.0D-10
**START
.SHIELD
*LINRES
. THRESH
 1.0D-6
*RESPONS
. THRESH
 1.0D-5
**EACH STEP
.SHIELD
*LINRES
. THRESH
 1.0D-6
*RESPONS
. THRESH
 1.0D-5
**END OF DALTON INPUT
```

This input will calculate the harmonic contribution to the (ro)vibrational average to the nuclear shielding constants at 300K for <sup>17</sup>ODH. It is important to realize that since each isotopic species for each temperature will have its own unique (ro)vibrationally averaged geometry, we will have to calculate the harmonic contribution for each temperature and each isotopic species separately. The isotopic constitution is specified in the MOLECULE. INP file as described in Chapter 26.

We note that we may reuse the property derivatives from a different geometry for calculating the harmonic contribution to the vibrational correction at the given geometry by using the keyword .REUSE in the \*WALK module. A new force field is calculated, but the property derivatives are assumed to remain unchanged. The approximation has been tested and been shown to account, through the change in the effective geometry for different temperatures, for a very large fraction of the temperature effects on molecular properties [120].

This calculation will always be done in normal coordinates, and the recommended

step length is 0.05 [123]. As for the calculation of (ro)vibrationally averaged geometries in normal coordinates, the calculation requires the determination of one analytical Hessian in order to determine the harmonic force field.

The default maximum number of iterations is 20. However, DALTON will automatically reset the maximum number of iterations to 6K+1 in case of vibrational averaging calculations. The maximum number of iterations can also be set explicitly by using the keyword .MAX IT in the \*\*DALTON INPUT module.

It is important to understand that in some cases a property will acquire a non-zero value only after the walk to the "effective" geometry has lowered the symmetry of the system, an example being the dipole moment of CH3CD3: CH3CH3 has at least  $D_3$  symmetry whereas CH3CD3 at the effective geometry has either  $C_3$  or at most  $C_{3v}$  symmetry, and the latter cases permit a non-vanishing dipole moment. A consequence of this is that if the walk to the effective geometry does not lower the symmetry of the Born-Oppenheimer Hamiltonian, then a property that vanishes at the equilibrium geometry will remain zero at the effective geometry. This is the case for diatomic molecules. There is only one geometry parameter, and varying it does not change the symmetry of the electronic Hamiltonian of the system. A property that is nonzero at the equilibrium bond length, such as the dipole moment of CO, or the quadrupole moment of  $H_2$ , can and most likely will have a nonzero value at the effective geometry for a particular isotopically substituted species such as HD. But the dipole moment of a homonuclear molecule is zero at any geometry, because of the symmetry of the Hamiltonian and of the eigenfunctions of that Hamiltonian. Further, symmetry dictates that the vibrational averaging contribution will also be zero. Hence, for example, it is not possible to obtain the (known) dipole moment of HD this way, because within the Born-Oppenheimer approximation this quantity is zero.

#### 16.3 Vibrationally averaged spin–spin coupling constants

For the calculation of vibrational corrections in indirect spin–spin coupling constants, DAL-TON provides an alternative approach to the calculation of vibrational corrections, in which also the full point-group symmetry of the molecule is exploited in order to reduce the number of displaced geometries that need to be included. An example of such an input is :

```
**DALTON INPUT
.NMDDRV
**NMDDRV
.SYMMETRY
C2v
.VIBANA
```

```
.DISPLA
 0.01
*PROPAV
.ANHA-P
**WAVE FUNCTIONS
.HF
*SCF INPUT
. THRESH
 1.0D-12
**EACH STEP
.SPIN-SPIN
*SPIN-S
.SELECT
  3
  1
     2
        3
*TRPRSP
.THRESH
1.0D-12
*LINRES
.THRESH
1.0D-12
*END OF INPUT
```

We note that the input includes the full point group symmetry of the molecule (in this case the water molecule, and thus here given as  $C_{2v}$ ). In this case, the vibrational corrections are evaluated at the equilibrium geometry of the molecule, and both the harmonic and anharmonic contributions are included. The approach is very similar to that presented in the previous two sections, and a detailed description of the two approaches and a comparison of the two methods are given in Ref. [124]. For information about the special input modules used in the above input example, we refer to Sec. 24.2.

# Chapter 17

# **Relativistic Effects**

The following approaches to treat relativistic effects are available in DALTON:

ECP The Effective Core Potential approach of Pitzer and Winter [125] is available for singlepoint calculations by asking for ECP as the basis set for the chosen element. So far, only a limited set of elements is covered by the basis set library. See the rsp\_ecp example in the test-suite. The corresponding spin-orbit operators are not implemented.

```
Reference literature:
R. M. Pitzer and N. M. Winter. Int. J. of Quantum Chem., 40, 773 (1991)
L. E. McMurchie and E. R. Davidson. J. Comp. Phys., 44, 289 (1981)
```

Douglas-Kroll The Douglas-Kroll scalar relativistic one-electron integrals are available by adding the .DOUGLAS-KROLL keyword

\*\*DALTON INPUT .DOUGLAS-KROLL .RUN WAVE FUNCTIONS ....

See also the energy\_douglaskroll example in the test suite.

NOTE: Exact analytical gradients and Hessians are not available at the moment, the approximate gradient and Hessians does, however, give fairly accurate geometries. For this approach, only basis sets should be used where the contraction coefficients were optimized including the Douglas-Kroll operators. DALTON currently provides: DK-Pol (relativistic version of Sadlej's POL basis sets), raf-r for some heavy elements,

and the relativistically recontracted correlation-consistent basis sets of Dunning (cc-pVXZ-DK, X=D,T,Q,5). The combination with property operators should be done with care, *e.g.* the standard magnetic property operators are not suitable in this case.

Reference literature:
M. Douglas and N. M. Kroll. Ann. Phys. (N.Y.), 82, 89 (1974)
B. A. Hess. Phys. Rev. A, 33, 3742 (1986)

Spin-orbit Mean-Field The spin-orbit mean-field approach can be used for either replacing the Breit-Pauli spin-orbit operator, or as an operator with suitable relativistic corrections in combination with the Douglas-Kroll approach. It is based on an effective one-electron operator, where the two-electron terms are summed in a way comparable to the Fock operator [126]. As all multi-center integrals are neglected, this scheme is very fast, avoids the storage of the two-electron spin-orbit integrals, and can therefore be used for large systems.

```
.....
**INTEGRALS
.MNF-S0 replaces .SPIN-ORBIT
.....
```

For properties, the same substitution should be made, in the case of special components, X1SPNORB labels are replaced by X1MNF-SO and so on, whereas the two-electron terms will be skipped completely. For calculating phosphorescence with the quadratic response scheme, .PHOSPHORESENCE should be just replaced by .MNFPHO which takes care of choosing the appropriate integrals.

Reference literature:
B. A. Hess, C. M. Marian, U. Wahlgren and O. Gropen. Chem. Phys. Lett., 251, 365 (1996)

#### NOTE:

The choice between the Breit-Pauli or Douglas-Kroll mean-field operator is done by (not) providing the .DOUGLAS-KROLL keyword. It is therefore not possible to combine *e.g.* non-relativistic wave-functions with the Douglas-Kroll spin-orbit integrals.

In the present implementation, the mean-field approach works only for basis sets with a generalized contraction scheme such as the ANO basis sets, raf-r, or cc-pVXZ(-DK). For other types of basis sets, the program might work without a crash, but it will most likely provide erroneous results.

# Chapter 18

# SOPPA, SOPPA(CC2), SOPPA(CCSD) and RPA(D)

The DALTON program system can also be used to perform Second-Order Polarization Propagator Approximation (SOPPA) [38, 40, 52, 53, 127], Second-Order Polarization Propagator Approximation with CC2 Amplitudes [SOPPA(CC2)] [42] or Second-Order Polarization Propagator Approximation with Coupled Cluster Singles and Doubles Amplitudes [SOPPA(CCSD)] [43] calculations of optical properties like singlet or triplet excitation energies and oscillator strengths as well as the following list of electric and magnetic properties

polarizability magnetizability rotational g tensor nuclear magnetic shielding constant nuclear spin-rotation constant indirect nuclear spin-spin coupling constant

as well as all the linear response functions described in chapter 11. Furthermore it can be used to calculate singlet excitation energies and oscillator strengths at the SOPPA,[127] SOPPA(CCSD) [128] and RPA(D) [129] using an atomic integral direct implementation of

## 18.1 General considerations

the SOPPA methods.

The Second-Order Polarization Propagator Approximation is a generalization of the SCF linear response function [38, 39, 40, 127]. In SOPPA, the SCF reference wave function

in the linear response function or polarization propagator is replaced by a Møller-Plesset wave function and all matrix elements in the response function are then evaluated through second order in the fluctuation potential. This implies that electronic excitation energies and oscillator strengths as well as linear response functions are correct through second order. Although it is a second-order method like MP2, the SOPPA equations differ significantly from the expressions for second derivatives of an MP2 energy.

In the RPA(D) model [129] the excitation energies and transition moments of the random phase approximation / time-dependent Hartree-Fock or SCF linear response theory are corrected with a non-iterative second order doubles correction derived from the SOPPA model using pseudo-perturbation theory [130]. The RPA(D) is thus similar to the CIS(D) model [131], but is based on the RPA model instead of on a simple CIS model. The performance of both methods has been compared e.g. in Ref. [128].

In the Second Order Polarization Propagator Approximation with Coupled Cluster Singles and Doubles Amplitudes [SOPPA(CCSD)] [43, 41, 53, 50, 128] or the Second Order Polarization Propagator Approximation with CC2 Amplitudes [SOPPA(CC2)] [42] methods, the Møller-Plesset correlation coefficients are replaced by the corresponding CCSD or CC2 singles and doubles amplitudes. Apart from the use of the CCSD or CC2 amplitudes, the equations are essentially the same as for SOPPA. Note that the SOPPA(CCSD) or SOPPA(CC2) polarization propagators are not coupled cluster linear response functions and neither SOPPA, SOPPA(CC2) nor SOPPA(CCSD) are the same as the CC2 model, and although they all three are of at least second order in all terms they differ in the terms included. The SOPPA(CC2) and SOPPA(CCSD) models are thus not implemented in the CC module but are implemented in the RESPONSE and ABACUS modules of the DALTON program, using the same code as SOPPA. Starting with Dalton2011 a second implementation of the SOPPA equations is available which makes use of an atomic integral direct algorithm thereby avoiding the storage of the two-electron repulsion integrals in the molecular orbital basis [129, 127, 128]. This implementation can currently be used to calculate singlet electronic excitation energies and corresponding transition moments and oscillator strengths using the SOPPA, SOPPA(CCSD) and RPA(D) models. The AO based implementation can be run in parallel.

#### 18.2 Input description molecular orbital based SOPPA

#### **Reference literature:**

General reference : E. S. Nielsen, P. Jørgensen, and J. Oddershede. J. Chem. Phys., 73, 6238, (1980).
General reference : J. Oddershede, P. Jørgensen and D. Yeager, Comput. Phys. Rep., 2, 33, (1984).
General reference SOPPA(CCSD): S. P. A. Sauer, J. Phys. B: At. Mol. Phys., 30, 3773, (1997).
General reference SOPPA(CC2): H. Kjær, S. P. A. Sauer, and J. Kongsted. J. Chem. Phys., 133, 144106, (2010).
Excitation energy : M. J. Packer, E. K. Dalskov, T. Enevoldsen, H. J. Aa. Jensen and J. Oddershede, J. Chem. Phys., 105, 5886, (1996).
SOPPA(CCSD) excitation energy : H. H. Falden, K. R. Falster-Hansen, K. L. Bak, S. Rettrup and S. P. A. Sauer, J. Phys. Chem. A, 113,

K. L. Bak, S. Rettrup and S. P. A. Sauer, J. Phys. Chem. A, 113, 11995, (2009).

Rotational g tensor : S. P. A. Sauer, *Chem. Phys. Lett.* **260**, 271, (1996).

Polarizability : E. K. Dalskov and S. P. A. Sauer. J. Phys. Chem. A, **102**, 5269, (1998).

Spin-Spin Coupling Constants : T. Enevoldsen, J. Oddershede, and S. P. A. Sauer. *Theor. Chem. Acc.*, **100**, 275, (1998)

CTOCD-DZ nuclear shieldings: A. Ligabue, S. P. A. Sauer, P. Lazzeretti. *J.Chem.Phys.*, **118**, 6830, (2003).

A prerequisite for any SOPPA calculation is that the calculation of the MP2 energy and wavefunction is invoked by the keyword .MP2 in the **\*\*WAVE FUNCTIONS** input module. Furthermore in the **\*\*PROPERTIES** or **\*\*RESPONSE** input modules it has to be specified by the keyword .SOPPA that a SOPPA calculation of the properties should be carried out.

A typical input file for a SOPPA calculation of the indirect nuclear spin-spin coupling constants of a molecule will be:

```
**DALTON INPUT
.RUN PROPERTIES
**WAVE FUNCTIONS
.HF
.MP2
**PROPERTIES
.SOPPA
```

.SPIN-S \*\*END OF DALTON INPUT

whereas as typical input file for the calculation of triplet excitation energies with the **\*\*RESPONSE** module will be:

\*\*DALTON INPUT .RUN RESPONSE \*\*WAVE FUNCTIONS .HF .MP2 \*\*RESPONSE .TRPFLG .SOPPA \*LINEAR .SINGLE RESIDUE .ROOTS 4 \*\*END OF DALTON INPUT

A prerequisite for any SOPPA(CC2) calculation is that the calculation of the CC2 amplitudes for the SOPPA program is invoked by the keyword .CC in the **\*\*WAVE FUNCTIONS** input module together with the .SOPPA2 option in the **\*CC INPUT** section. Furthermore, in the **\*\*PROPERTIES** or **\*\*RESPONSE** input modules it has to specified by the keyword .SOPPA(CCSD) that a SOPPA(CC2) calculation of the properties should be carried out.

A typical input file for a SOPPA(CC2) calculation of the indirect nuclear spin-spin coupling constants of a molecule will be:

```
**DALTON INPUT
.RUN PROPERTIES
**WAVE FUNCTIONS
.HF
.CC
*CC INPUT
.SOPPA2
**PROPERTIES
.SOPPA(CCSD)
.SPIN-S
**END OF DALTON INPUT
```

whereas as typical input file for the calculation of triplet excitation energies with the **\*\*RESPONSE** module will be:

\*\*DALTON INPUT .RUN RESPONSE \*\*WAVE FUNCTIONS .HF .CC \*CC INPUT .SOPPA2 \*\*RESPONSE .TRPFLG .SOPPA(CCSD) \*LINEAR .SINGLE RESIDUE .ROOTS 4 \*\*END OF DALTON INPUT

A prerequisite for any SOPPA(CCSD) calculation is that the calculation of the CCSD amplitudes for the SOPPA program is invoked by the keyword .CC in the **\*\*WAVE** FUNCTIONS input module together with the .SOPPA(CCSD) option in the **\*CC** INPUT section. Furthermore, in the **\*\*PROPERTIES** or **\*\*RESPONSE** input modules it has to specified by the keyword .SOPPA(CCSD) that a SOPPA(CCSD) calculation of the properties should be carried out.

A typical input file for a SOPPA(CCSD) calculation of the indirect nuclear spin-spin coupling constants of a molecule will be:

```
**DALTON INPUT
.RUN PROPERTIES
**WAVE FUNCTIONS
.HF
.CC
*CC INPUT
.SOPPA(CCSD)
**PROPERTIES
.SOPPA(CCSD)
.SPIN-S
**END OF DALTON INPUT
```

whereas as typical input file for the calculation of triplet excitation energies with the **\*\*RESPONSE** module will be:

\*\*DALTON INPUT .RUN RESPONSE \*\*WAVE FUNCTIONS .HF .CC \*CC INPUT .SOPPA(CCSD) \*\*RESPONSE .TRPFLG .SOPPA(CCSD) \*LINEAR .SINGLE RESIDUE .ROOTS 4 \*\*END OF DALTON INPUT

#### 18.3 Input description atomic orbital based SOPPA module

#### Reference literature:

General reference : K. L. Bak, H. Koch, J. Oddershede, O. Christiansen and S. P. A. Sauer, *J. Chem. Phys.*, **112**, 4173, (2000).

RPA(D) excitation energy : O. Christiansen, K. L. Bak, H. Koch and S. P. A. Sauer, Chem. Phys. *Chem. Phys.*, **284**, 47, (1998).

SOPPA(CCSD) excitation energy : H. H. Falden, K. R. Falster-Hansen, K. L. Bak, S. Rettrup and S. P. A. Sauer, *J. Phys. Chem. A*, **113**, 11995, (2009).

A prerequisite for any atomic orbital based RPA(D), SOPPA or SOPPA(CCSD) calculation of electronic singlet excitation energies and corresponding oscillator strengths is the calculation of the Møller-Plesset first order doubles and second order singles correlation coefficients or the CCSD singles and doubles amplitudes. This is invoked by the keyword .CC in the **\*\*WAVE FUNCTIONS** input module together with the keywords .MP2 and .AO-SOPPA or .CCSD and .AO-SOPPA in **\***CC INPUT section of the **\*\*WAVE FUNCTIONS** input module. Furthermore in the **\*\*PROPERTIES** input module it has to be specified by the keywords .SOPPA and .EXCITA that a SOPPA calculation of optical properties should be carried out. Finally in the **\*SOPPA** section of the **\*\*PROPERTIES** input module the atomic integral

direct calculation has to be invoked by the one of the three keywords .AOSOP, .DCRPA or .AOSOC for either a SOPPA, RPA(D) or SOPPA(CCSD) calculation. Alternatively an atomic integral direct SOPPA or SOPPA(CCSD) calculation can be invoked by adding the .DIRECT keyword in the \*SOPPA section of the \*\*PROPERTIES input module in addition to the .SOPPA and .EXCITA or .SOPPA(CCSD) and .EXCITA keywords in the \*\*PROPERTIES input module. Further keywords, which control the details of an atomic orbital direct SOPPA, RPA(D) or SOPPA(CCSD) calculation, are described in chapter 28.1.16.

A typical input file for an atomic integral direct calculation of 5 electronic singlet excitation energies to states which transform like the totally symmetric irreducible representation and their corresponding oscillator and rotatory strengths at the RPA(D), SOPPA and SOPPA(CCSD) level of a molecule will be:

**\*\*DALTON INPUT** .RUN PROPERTIES **\*\*WAVE FUNCTIONS** .HF .CC \*CC INPUT .CCSD .AO-SOPPA \*\*PROPERTIES .SOPPA .EXCITA \*SOPPA .DCRPA . AOSOP . AOSOC \*EXCITA .DIPSTR .ROTVEL .NEXCITA 5 0 0 0 0 0 0 \*\*END OF DALTON INPUT

The corresponding inputs for calculations using only the RPA(D) model will be

0

\*\*DALTON INPUT .RUN PROPERTIES \*\*WAVE FUNCTIONS .HF

```
.CC
*CC INPUT
.MP2
.AO-SOPPA
**PROPERTIES
.SOPPA
.EXCITA
*SOPPA
.DCRPA
*EXCITA
.DIPSTR
.ROTVEL
.NEXCITA
                       0 0 0 0
   5 0 0
                  0
**END OF DALTON INPUT
and using only the SOPPA model:
**DALTON INPUT
.RUN PROPERTIES
**WAVE FUNCTIONS
.HF
.CC
*CC INPUT
.MP2
.AO-SOPPA
**PROPERTIES
.SOPPA
.EXCITA
*SOPPA
.DIRECT
*EXCITA
.DIPSTR
.ROTVEL
.NEXCITA
   5
                  0
                       0
                            0
                               0
                                      0
        0
             0
**END OF DALTON INPUT
and finally using only the SOPPA(CCSD) model:
```

\*\*DALTON INPUT

```
.RUN PROPERTIES
**WAVE FUNCTIONS
.HF
.CC
*CC INPUT
.CCSD
.AO-SOPPA
**PROPERTIES
.SOPPA(CCSD)
.EXCITA
*SOPPA
.DIRECT
*EXCITA
.DIPSTR
.ROTVEL
.NEXCITA
    5
         0
                         0
                              0
                                 0
                                        0
              0
                   0
**END OF DALTON INPUT
```

However, one should note, that is computationally advantageous to combine calculations at different SOPPA levels in one input file, as the program automatically uses the converged solutions of the lower level as start guess for the higher levels.

# Chapter 19

# **NEVPT2** calculations

#### **19.1** General considerations

NEVPT2 is a form of second-order multireference perturbation theory which can be applied to CAS–SCF wavefunctions or, more generally, to CAS–CI wavefunctions. The term NEVPT is an acronym for "*n*–electron valence state perturbation theory". While we refer the reader to the pertinent literature [132, 133, 134], we limit ourselves to recalling here that the most relevant feature of NEVPT2 consists in that the first order correction to the wave function is expanded over a set of properly chosen *multireference* functions which correctly take into consideration the two–electron interactions occurring among the active electrons. Among the properties ensured by NEVPT2 we quote:

- Strict separability (size consistence): the energy of a collection of non-interacting systems equals the sum of the energies of the isolated systems
- Absence of intruder states: the zero-order energies associated to the functions of the outer space are well separated from the zero-order energy of the state being studied, thus avoiding divergences in the perturbation summation
- The first order correction to the wavefunction is an eigenfunction of the spin operators  $S^2$  and  $S_z$
- Electronically excited states are dealt with at the same level of accuracy as the ground state
- NEVPT2 energies are invariant under a unitary transformation of the active orbitals. Furthermore, the choice of canonical orbitals for the core and virtual orbitals (the default choice) ensure that the results coincide with those of an enlarged version of the theory fully invariant under rotations in the core and virtual orbital spaces, respectively.

• NEVPT2 coincides with MP2 in the case of a HF wave function

NEVPT2 has been implemented in two variants both of which are present in DAL-TON, these are the *strongly contracted* (SC) and the *partially contracted* (PC) variants. The two variants differ by the number of perturber functions employed in the perturbation summation. The PC–NEVPT2 uses a richer function space and is in general more accurate than the SC–NEVPT2. The results of SC–NEVPT2 and PC–NEVPT2 are anyway usually very close to one another.

**Reference literature:** 

General reference : C. Angeli, R. Cimiraglia, S. Evangelisti, T. Leininger and J. P. Malrieu, *J. Chem. Phys.*, **114**, 10252, (2001)

General reference : C. Angeli, R. Cimiraglia and J. P. Malrieu, *Chem. Phys. Lett.*, **350**, 297, (2001)

General reference : C. Angeli, R. Cimiraglia and J. P. Malrieu, J. Chem. Phys., **117**, 9138, (2002)

Excited states : C. Angeli, S. Borini and R. Cimiraglia, *Theor. Chem. Acc.*, **111**, 352 (2004)

#### **19.2** Input description

NEVPT2 must follow a CAS-SCF or CAS-CI calculation. The keyword .NEVPT2 has to be specified in the **\*\*WAVE FUNCTIONS** data section. Furthermore a small **\***NEVPT2 data group can be specified providing the few input data that can optionally be provided by the user: .THRESH, the threshold to discard small coefficients in the CAS wavefunction (default = 0.0), .FROZEN, a vector specifying for each symmetry the core orbitals which are excluded from the correlation treatment (the default is no freezing) and .STATE, the state in a CASCI calculation. This keyword is unnecessary (ignored) in the CASSCF case. An example of a NEVPT2 calculation is

\*\*DALTON INPUT \*\*WAVE FUNCTIONS .MCSCF .NEVPT2 \*NEVPT2 .THRESH 1.OD-12 .FROZEN 1 0 1 0 \*\*END OF DALTON INPUT

# Chapter 20

# Examples of generalized active space CI calculations

In this Chapter we discuss three examples of input files (DALTON.INP) for generalized active space (GAS) and restricted active space (RAS) CI calculations based on the LUCITA module of the DALTON program. Other examples may be found in the test suite.

## 20.1 Energy calculation with a GAS-type active space decomposition I

LUCITA allows for the calculation of the ground and excited state energies of a given system based on the definition of active spaces using the concept of generalized active spaces. A list of compulsory and optional keywords can be found in Section 30.2. The following input describes the calculation of SCF and GASCI ground state energies of HBr as well as of the first excited singlet state of A1 symmetry:

```
**DALTON INPUT
.RUN WAVE FUNCTIONS
**WAVE FUNCTIONS
.HF
.GASCI
*SCF INPUT
.DOUBLY OCCUPIED
9 4 4 1
*LUCITA
.TITLE
HBr molecule ground state + first excited state in A1
.INIWFC
```

HF_SCF	! we start from a closed-shell HF reference wave function				
.CITYPE					
GASCI	! GASCI calculation				
.SYMMET					
1	! symmetry irrep A1				
.MULTIP					
1	! singlet states				
.INACTIVE					
7 3 3 1	! inactive (doubly occupied) orbitals				
.GAS SHELLS					
3	! number of GA spaces				
5 8 / 2 1 1 0	! min max # of accumulated e- / orbitals in GA space I				
6 8 / 4 2 2 1	! min max # of accumulated e- / orbitals in GA space II				
8 8 / 3 1 1 0	! min max # of accumulated e- / orbitals in GA space III				
.NROOTS					
2	! we want to converge on two eigenstates				
.MAXITR					
16	! stop the calculation after 16 Davidson CI iterations				
.MXCIVE					
6	! subspace dimension				
.ANALYZ	! print leading coefficients of the final CI wave function				
.DENSI					
1	! print natural orbital occupation numbers				
**END OF DALTON INPUT					

The basis set input (MOLECULE.INP) used in this example reads as:

BASIS cc-pVDZ HBr with small basis set 2 2 X Y a 1. 1 H 0.000000000 0.00000000 1.414431 35. 1 Br 0.000000000 0.0000000 0.000000 FINISH

## 20.2 Energy calculation with a GAS-type active space decomposition II

We now repeat the GASCI calculation from the previous section with a modified GA space input (yielding nevertheless the **same** CI configuration space) and asking for the first two triplet states in B1 symmetry. Note in particular the splitting of the former GAS II space into three spaces with the " $\sigma$ "-, " $\pi$ "- and " $\delta$ "-like orbitals separated into different spaces. Remember that the min/max number of electrons in the final GAS space have to be always identical and specify the number of active ("correlated") electrons. The keyword .NACTEL is thus merely optional for GASCI calculations whereas it is mandatory for RASCI calculations (see next section 20.3).

ALL DAL TON TNDIT	
**DALIUN INPUI	
.RUN WAVE FUNCTIONS	
**WAVE FUNCTIONS	
.HF	
.GASCI	
*SCF INPUT	
.DOUBLY OCCUPIED	
9441	
*LUCITA	
.TITLE	
HBr molecule ground state +	first excited state in A1
.INIWFC	
HF_SCF	! we start from a closed-shell HF reference wave function
.CITYPE	
GASCI	! GASCI calculation
.SYMMET	
3	! symmetry irrep B1
.MULTIP	
3	! triplet states
.INACTIVE	1
7 3 3 1	! inactive (doubly occupied) orbitals
.GAS SHELLS	
5	! number of GA spaces
5 8 / 2 1 1 0	! min max # of accumulated e- / orbitals in GA space I
5 8 / 4 0 0 0	<pre>/ min max # of accumulated e- / orbitals in GA space II</pre>
5 8 / 0 2 2 0	min max # of accumulated e- / orbitals in GA space III
	1  min max # of accumulated e- / orbitals in GA space IV
	. min max # of accumutated e / ofbitats in GA space IV

```
8 8 / 3 1 1 0  ! min max # of accumulated e- / orbitals in GA space V
.NROOTS
2  ! we want to converge on two eigenstates
.ANALYZ  ! print leading coefficients of the final CI wave function
.DENSI
1  ! print natural orbital occupation numbers
**END OF DALTON INPUT
```

## 20.3 Energy calculation with a RAS-type active space decomposition

In order to show the simple relation between RASCI and GASCI calculations with LUCITA we now consider the test case from Section 20.1 based on the definition of RA spaces. Note, that internally the RASCI input is converted to a GASCI input which will be printed in the output.

```
**DALTON INPUT
.RUN WAVE FUNCTIONS
**WAVE FUNCTIONS
.HF
.GASCI
*SCF INPUT
.DOUBLY OCCUPIED
9441
*LUCITA
.TITLE
HBr molecule ground state + first excited state in A1
.INIWFC
HF_SCF
                               ! we start from a closed-shell HF reference wave function
. CTTYPE
RASCI
                               ! RASCI calculation
.SYMMET
 1
                               ! symmetry irrep A1
.MULTIP
                               ! singlet states
 1
.NACTEL
8
                               ! number of active electrons
.INACTIVE
 7331
                               ! inactive (doubly occupied) orbitals
```

#### CHAPTER 20. EXAMPLES OF GENERALIZED ACTIVE SPACE CI CALCULATIONS157

.RAS1	
2 1 1 0	! orbital distribution in RA space I
3	! maximum number of holes
.RAS2	
4 2 2 1	! orbital distribution in RA space II
.RAS3	
3 1 1 0	! orbital distribution in RA space III
2	! maximum number of e-
.NROOTS	
2	! we want to converge on two eigenstates
.MAXITR	
16	! stop the calculation after 16 Davidson CI iterations
.MXCIVE	
6	! subspace dimension
.ANALYZ	! print leading coefficients of the final CI wave function
.DENSI	
1	! print natural orbital occupation numbers
**END OF DALTON INPUT	

# Chapter 21

# Examples of coupled cluster calculations

We collect in this Chapter a few examples of input files (DALTON.INP) for calculations one might want to carry out with the CC modules of the DALTON program. Other examples may be found in the test suite.

It should be stressed that all modules in CC can be used simultaneously—assuming they are all implemented for the chosen wavefunction model(s). For instance, linear, quadratic and cubic response functions can be obtained within the same calculation.

#### 21.1 Multiple model energy calculations

CC allows for the calculation of the ground state energy of the given system using a variety of wavefunction models, listed in Section 31.1. For any model specified, the Hartree–Fock energy is always calculated. The following input describes the calculation of SCF, MP2, CCSD and CCSD(T) ground state energies:

```
**DALTON INPUT
.RUN WAVE FUNCTIONS
**WAVE FUNCTIONS
.CC
*CC INPUT
.MP2
.CCSD
.CC(T)
**END OF DALTON INPUT
```

Note that SCF, MP2 and CCSD energies are obtained by default if CCSD(T) (.CC(T))

is required. Therefore the keywords .MP2 and .CCSD may also be omitted in the previous example.

#### 21.2 First-order property calculation

The following input exemplifies the calculation of all orbital-relaxed first-order one-electron properties available in CC, for the hierarchy of wavefunction models SCF (indirectly obtained through CCS), MP2, CCSD and CCSD(T). For details, see Section 31.2.

```
**DALTON INPUT
.RUN WAVE FUNCTIONS
**INTEGRALS
.DIPLEN
.SECMOM
.THETA
.EFGCAR
.DARWIN
.MASSVELO
**WAVE FUNCTIONS
.CC
*CC INPUT
.CCS
                   (gives SCF First order properties)
.MP2
                   (default if CCSD is calculated)
.CCSD
.CC(T)
*CCFOP
.ALLONE
**END OF DALTON INPUT
```

## 21.3 Static and frequency-dependent dipole polarizabilities and corresponding dispersion coefficients

The following input describes the calculation of the electric dipole polarizability component  $\alpha_{zz}(\omega)$ , for  $\omega = 0.00$  and  $\omega = 0.072$  au, and its dispersion coefficients up to order 6, in the hierarchy of CC models CCS, CC2 and CCSD. The calculation of the electric dipole moment has been included in the same run.

\*\*DALTON INPUT .RUN WAVE FUNCTIONS

```
**INTEGRALS
.DIPLEN
**WAVE FUNCTIONS
.CC
*CC INPUT
.CCS
.CC2
.CCSD
*CCFOP
.DIPMOM
*CCLR
.OPERATOR
ZDIPLEN ZDIPLEN
.FREQUENCIES
  2
0.00 0.072
.DISPCF
  6
**END OF DALTON INPUT
```

## 21.4 Static and frequency-dependent dipole hyperpolarizabilities and corresponding dispersion coefficients

The previous input can be extended to include the calculation of the electric first and second hyperpolarizability components  $\beta_{zzz}(\omega_1, \omega_2)$  and  $\gamma_{zzzz}(\omega_1, \omega_2, \omega_3)$ , for  $\omega_1 = \omega_2 = (\omega_3 =) 0.00$  and  $\omega_1 = \omega_2 = (\omega_3 =) 0.072$  au. The corresponding dispersion coefficients up to sixth order are also calculated. For other specific cases, see Sections 31.4 and 31.5

```
**DALTON INPUT
.RUN WAVE FUNCTIONS
**INTEGRALS
.DIPLEN
**WAVE FUNCTIONS
.CC
*CC INPUT
.CCS
.CC2
.CCSD
*CCFOP
```

```
.DIPMOM
*CCLR
.OPERATOR
ZDIPLEN ZDIPLEN
.FREQUENCIES
  2
0.00 0.072
.DISPCF
  6
*CCQR
.OPERATOR
ZDIPLEN ZDIPLEN ZDIPLEN
.MIXFRE
  2
0.00 0.072
                                  !omega_1
0.00 0.072
                                  !omega_2
*CCCR
.OPERATOR
ZDIPLEN ZDIPLEN ZDIPLEN ZDIPLEN
.MIXFRE
 2
0.00 0.072
                                  !omega_1
0.00 0.072
                                  !omega_2
0.00 0.072
                                  !omega_3
.DISPCF
6
**END OF DALTON INPUT
```

Obviously, linear, quadratic and cubic response modules can also be run separately.

## 21.5 Excitation energies and oscillator strengths

This is an example for the calculation of singlet excitation energy and oscillator strength for a system with NSYM = 2 ( $C_s$ ).

```
**DALTON INPUT
.RUN WAVE FUNCTIONS
**INTEGRAL
.DIPLEN
```

```
**WAVE FUNCTIONS
.CC
*CC INPUT
.CCSD
.NSYM
2
*CCEXCI
.NCCEXCI !number of excited states
2 1 !2 states in symmetry 1 and 1 state in symmetry 2
*CCLRSD
.DIPOLE
**END OF DALTON INPUT
```

Triplet excitation energies can be obtained adding an extra line to .NCCEXCI specifying the number of required triplet excited states for each symmetry class. Note however that linear residues are not available for triplet states (The \*CCLRSD sections should be removed).

## 21.6 Gradient calculation, geometry optimization

Available for CCS, CC2, MP2, CCSD and CCSD(T) using integral direct analytic gradient. For a single integral-direct gradient calculation:

```
**DALTON INPUT
.DIRECT
.RUN WAVE FUNCTIONS
**INTEGRAL
.DIPLEN
.DEROVL
.DERHAM
**WAVE FUNCTIONS
.CC
*CC INP
.CCSD
*DERIVATIVES
**END OF DALTON INPUT
```

Note that if several wavefunction models are specified, the gradient calculation is performed only for the "lowest-level" model in the list. For a geometry optimization: \*\*DALTON INPUT .OPTIMIZE \*\*INTEGRAL .DIPLEN .DEROVL .DERHAM \*\*WAVE FUNCTIONS .CC \*CC INPUT .CC(T) \*\*END OF DALTON INPUT

## 21.7 R12 methods

At present available at the MP2 level. The input for an MP2-R12/A calculation is as follows (the key .R12AUX is used only if an auxiliary basis is employed):

\*\*DALTON INPUT .DIRECT .RUN WAVE FUNCTIONS \*MOLBAS .R12AUX \*\*INTEGRAL .R12 \*\*WAVE FUNCTIONS .CC \*CC INPUT .MP2 \*R12 .NO A' .NO B \*\*END OF DALTON INPUT

## Chapter 22

# Examples of Cholesky decomposition-based calculations

We present in this Chapter some examples of input files DALTON.INP for calculations that can be done with Cholesky-based algorithms available in the DALTON program using either decomposed two-electron integrals [135, 136, 137] either decomposed orbital energy denominators [138, 139]. A few more examples may be found in the test suite.

## 22.1 Hartree-Fock energy and polarizability

CHOLESKY allows for the calculation of Hartree-Fock energy and properties. The use of decomposed integrals is invoked by the keyword .CHOLES in the **\*\*DALTON** input module. The next example shows how to compute the dipole polarizability in this way.

```
**DALTON INPUT
.RUN PROPER
.CHOLES
**CHOLES
.REDUCE
.THRCOM
1.OD-6
**WAVE FUNCTIONS
.HF
**PROPERTIES
.ALPHA
*ABALNR
.FREQUE
2
```

0.0 0.0932 \*END OF

Observe, that actually the keyword .REDUCE it is not needed, since it is the default. The threshold of the decomposition is  $10^{-6}$ , which is enough to converge the wave function until the default value of  $10^{-5}$ . Furthermore, note that the full section **\*\*CHOLES** could have been omitted if the decomposition would have been carried out until its default value of  $10^{-8}$ .

### 22.2 KT3 magnetic properties using London orbitals

The following input exemplifies the calculation of magnetic properties (magnetizabilities and nuclear shielding constants) using Cholesky decomposed two-electron integrals, as required by keyword .CHOLES. The decomposition uses default values since no **\*\*CHOLES** appears. Just to show how to do it, it has been required a tighter convergence of the linear equations. Note that otherwise the input is basically identical to a standard (or direct) calculation of magnetic properties with DALTON.

```
**DALTON INPUT
.RUN PROPERTIES
. CHOLES
**WAVE FUNCTIONS
.DFT
 KT3
*HF INPUT
. THRESHOLD
 1.0D-8
**PROPERTIES
.MAGNET
.SHIELD
*LINRES
. THRESH
 1.0D-6
*END OF INPUT
```

#### 22.3 MP2 energy

This example shows how to compute the MP2 energy using decomposed integrals. Observe that, since default values for the decompositions all used throughout the whole calculation, the only difference with respect to a standard MP2 calculation using the CC code is the presence of the keyword .CHOLES in the **\*\*DALTON** general input section.

CHAPTER 22. EXAMPLES OF CHOLESKY DECOMPOSITION-BASED CALCULATIONS166

**\*\*DALTON INPUT** .CHOLES .RUN WAVE **\*\*WAVE FUNCTIONS** .CC \*SCF IN . THRESHOLD 5.0D-8 .MAX DIIS 100 \*CC INP .PRINT 3 .FREEZE 54 0 .MP2 \*END OF DALTON INPUT

## 22.4 Restart of MP2 energy

Let's assume now that the above example did not finish because, say, it exceeded the CPU time limit of the queue system. In addition to the standard output, one can find in the scratch directory the file CHOMP2\_RST with, for instance, the following contents:

Cholesky MP2 restart information

-----

FstSy	FstOr	Bfrst	LstSy	LstOr	Blast	Energy
1	1	1	1	37	37	-0.7588448137
1	38	38	1	74	74	-1.1690778421
1	75	75	1	111	111	-1.4200963373
1	112	112	1	148	148	-1.5553412292
1	149	149	1	185	185	-1.6280847066
1	186	186	2	35	222	-2.3350317768
2	36	223	2	72	259	-2.7682043113
2	73	260	2	109	296	-3.0257337904
2	110	297	2	146	333	-3.1460304016

2	147	334	2	183	370	-3.2146165324
2	184	371	3	35	407	-3.8731562262
3	36	408	3	72	444	-4.2604843530
3	73	445	3	109	481	-4.4682304682
3	110	482	3	146	518	-4.5838011660

Looking at the last line, we can know that the calculation died after having finished the (outermost) virtual orbital batch comprising from virtual orbital nr. 482, i.e. Bfrst, to virtual orbital nr. 518, i.e. Blast. This last orbital is nr. 146 (LstOr) of symmetry 3 (LstSy), the MP2 contribution until this point being -4.5838 a.u. With this information, it is possible to restart the calculation by means of the following input:

**\*\*DALTON INPUT** .CHOLES .RUN WAVE FUNCTIONS \*CHOLES .RSTDIA ! Requires file CHODIAG ! Requires files CHOLESKY.RST and CHOLES\_\* .RSTCHO \*\*SIRIUS .CC \*SCF INPUT . THRESHOLD 5.0D-8 .MAX DIIS 100 \*ORBITAL .MOSTART NEWORB \*CC INP .PRINT 3 .FREEZE 54 0 .MP2 \*CHOMP2 .SKIPTR ! Requires files CHOIA\_\* .RSTMP2 3 147 ! The virtual loop begins in orbital 147 of symmetry 3. .OLDEN2

-4.5838011660 ! The contribution of previous orbitals. \*\*END OF DALTON INPUT

Of course, the comments (marked by the ! sign) are not needed. In addition, keep in mind that if the default value .REDUCE is used in the original AO decomposition, it is not possible to modify the decomposition threshold later on.

### 22.5 CC2 magnetic properties using the CTOCD/DZ method

This example shows how to compute CTOCD/DZ magnetic properties at the CC2 level with Cholesky decomposed integrals. Again, default values are used in the decomposition and, therefore, the same input can be used in standard calculations provided that the keyword .CHOLES is deleted. In that case, CCSD calculations are also possible. Note that the needed one-electron integrals are explicitly required by the keywords .DIPVEL and so on. The code does not check if they are not available, but simply takes them as zero!

```
**DALTON INPUT
.RUN WAVE
. CHOLES
**INTEGRAL
.DIPVEL
                      ! Susceptibilities and shiledings
                      ! Susceptibilities
.RANGMO
. ANGMOM
                      ! Susceptibilities and shiledings
.RPSO
                      ! Shieldings
.PSO
                      ! Shieldings
**WAVE FUN
.CC
*SCF INPUT
. THRESHOLD
 1.0D-8
*CC INP
.CC2
.MAX IT
 150
. THRENR
 1.0D-8
. THRLEQ
 1.0D-6
*CCLR
```

.CTOSUS ! Compute susceptibilities (magnetizabilities) .CTOSHI ! Compute nuclear magnetic shieldings. \*END OF DALTON INPUT

Obviously, it is not needed to compute simultaneously the two magnetic properties presented here.

### 22.6 Cholesky/CC2 excitation energies

In this example we calculate at the CCS and CC2 levels some excitation energies of a molecule using Cholesky-decomposed two-electron integrals. As disccused in Ref. [137], the CC2 Jacobian is diagonal in the doubles-doubles block, which allows for extracting the excitation energies from an effective Jacobian that has the dimension of the single excitation manifold, but depends on its eigenvalues. The pseudo-eigenvalue problem must then be solved self-consistenly. As a consequence, the default Davidson solver scales quadratically with the number of computed excited states, but we have also implemented a DIIS solver which scales linearly although it it less robust than the default Davidson diagonalization. In the following example, the DIIS solver is invoked through keyword .CHEXDI in the \*CCEXCI input section. Moreover, Davidson preconditioner is used as required by keyword .DV4DIS. Note that using .CHEXDI without simultaneous use of .DV4DIS can lead to incorrect results in some cases.

\*\*DALTON .RUN WAVE . CHOLES ! Invoke Cholesky-based algorithms \*\*INTEGRALS .DIPLEN \*\*CHOLESKY . REDUCE .SPANDI 1.0d-3 . THRCOM 1.0D-8 **\*\*WAVE FUNCTION** .CC \*SCF INPUT . THRESH 1.0D-8 \*CC INP
CHAPTER 22. EXAMPLES OF CHOLESKY DECOMPOSITION-BASED CALCULATIONS170

```
.PRINT
  3
.FREEZE
  16
       0
.CCS
.CC2
*CHOCC2
. CHOMO
                 ! Use decomposed amplitudes
*CCEXCI
.CHEXDI
                 ! Call DIIS solver
.DV4DIS
                 ! Run Davidson preconditioner
.NCCEXCI
  3
      2
**END OF DALTON INPUT
```

Standard Davidson diagonalization (still restricted to the singles manifold) can be carried out by deleting keywords .CHEXDI and .DV4DIS.

# 22.7 CCSD(T) energy calculation using decomposed energy denominators

We now illustrate the use of Cholesky-based techniques to calculate the CCSD(T) energy correction. The calculation of molecular properties has not been implemented yet with this method. Finally, note that standard (not decomposed) two-electron integrals are used. More detailed information can be found at section 31.19.

```
**DALTON INPUT
.RUN WAVE
**WAVE FUNCTION
.CC
*SCF INPUT
.THRESH
1.Od-8
*CC INPUT
.THRENR
1.Od-08
.PRINT
3
.FREEZE
```

```
24 0
.CHO(T)
*CHO(T)
.THRCHO ! Converge each term only to 1.0D-6 a.u.
1.0D-6
.MXCHVE ! Trunk the expansion of the denominators after the 6th term.
6
*END OF DALTON INPUT
```

# 22.8 CCSD excitation energies using a reduced active subsystem

We finally present an example of using active subsystems to reduce the computational cost. In particular, the input below is used to calculate the two lowest excitations of butanal declaring as active the orbitals localized on the aldehyde group (atoms 4, 5 and 13 of MOLECULE.INP)

```
**GENERAL
.RUN WAVE FUNCTIONS
**INTEGRALS
.NOSUP
**WAVE FUNCTIONS
.CC
*SCF INPUT
. THRESHOLD
 1.0D-8
.MAX DIIS
 100
*ORBITAL
.MOSTART
HUCKEL
*CC INPUT
.PRINT
3
.CCSD
*CHOACT
.ATOMIC
   3
   4
       5
            13
```

CHAPTER 22. EXAMPLES OF CHOLESKY DECOMPOSITION-BASED CALCULATIONS172

.THACOC 0.15 .THACVI 0.1 \*CCEXCI .THREXC 1.OD-3 .NCCEXCI 2 \*END OF

# Chapter 23

# Aspects of symmetry in Dalton

It is not always straightforward for new Dalton users to understand why some aspects of symmetry handling are the way they are. The order of "symmetries", that is, irreducible representations, is for example often so different from what a user might see looking at character tables in books or online that it may be confusing, and the question "why do symmetries come out in this bizarre order?" is not an unfamiliar one. This section is an attempt to explain to users how the symmetry handling in Dalton "works", why things are the way they are, and how to exploit the considerable potential for computational saving that the symmetry handling presents, both for the point groups that Dalton uses explicitly  $(D_{2h}$  and its subgroups), and for higher symmetry systems.

# 23.1 Specifying symmetry by generators

Dalton follows the lead established by Almlöf [140] more than forty years ago, by describing, and internally treating, the system symmetry by means of group generators. In the mathematics of group theory, the operations of groups of very high order (much higher order than the point groups we encounter in chemistry!) are specified in terms of a set of elementary operations called "generators", together with the complete set of relations these operators obey, so that by constructing appropriate products of the generators according to these relations, all of the operations in the group can be obtained. Dalton internally uses the great simplification of treating at most  $D_{2h}$  symmetry explicitly, and this has a consequent simplification for the use of generators. To be specific, Dalton explicitly handles the following groups.

- Group of order 1:  $C_1$ .
- Groups of order 2:  $C_s$ ,  $C_2$ ,  $C_i$  (also referred to as  $S_2$ ).
- Groups of order 4:  $C_{2v}$ ,  $D_2$ ,  $C_{2h}$ .

• Group of order 8:  $D_{2h}$ .

These groups have generators the number of which behaves as  $\log_2 g$ , where g is the order of the group, so respectively 0, 1, 2, 3.

Obviously the only operator in  $C_1$  is E, the identity: end of story. For the groups of order 2, there is an additional generator  $G_1$ : these groups are isomorphic (that is, they have the same group multiplication table). The groups of order 4 have two generators that we denote  $G_1$  and  $G_2$ : these groups are also isomorphic to one another. We note at this point that there is another group of order 4: the group isomorphic to the group  $C_4$ , the cyclic group of order 4. This group cannot be treated by the methods coded in Dalton. Finally,  $D_{2h}$  requires the specification of three generators,  $G_1$ ,  $G_2$ , and  $G_3$ . There are two other point groups of order 8: groups isomorphic to  $D_{2d}$  (or equivalently to  $D_4$  or to  $C_{4v}$ ) and to  $C_8$ . Again, none of the latter groups can be treated explicitly within Dalton.

Because all of the groups that Dalton handles internally are Abelian, that is,

$$G_i G_j = G_j G_i, \forall i, j,$$

the situation with the generators and the group operations their products generate is relatively simple. The *presentation* (that is, the complete specification of the groups in terms of generators), is given by just two rules: the commutation relation given immediately above and

$$G_i^2 = E, \forall i.$$

For the groups of order 2 the operations are trivially E and  $G_1$ . For the groups of order 4 they are E,  $G_1$ ,  $G_2$ , and  $G_1G_2$ . For the group of order 8 the latter are augmented with  $G_3$ ,  $G_1G_3$ ,  $G_2G_3$ , and  $G_1G_2G_3$ . We emphasize here that this ordering is important: this is how Dalton assembles the symmetry operations when specifying internally the point group. In particular, Table 23.1 shows the specific ordering of the elements given a particular set of generators, as well as the symmetry properties — symmetric (+) or antisymmetric (-) — under each generator. It is this ordering that determines the ordering of the irreducible representations in a calculation. This shows that (for example) the eighth symmetry in Dalton comprises functions that are antisymmetric with respect to all three generators.

If the sequence numbers given in Table 23.1 are used to label each symmetry operation, then the group multiplication table is as given in Table 23.2.

It is significant to note here that with this ordering of generators, the multiplication table of the groups of order 4 comprise the first four rows/columns of the multiplication table of the group of order 8, as is clear from inspection of Table 23.2, and the multiplication table for the groups of order 2 form the first 2-by-2 subarray. This is an important feature of symmetry handling internal to Dalton: it is not significant to a user, but it is exploited heavily inside the code.

-

	Generator	Behaviour under				
	Product	$G_1$	$G_2$	$G_3$		
1	E	+	+	+		
2	$G_1$	_	+	+		
3	$G_2$	+	_	+		
4	$G_1G_2$	—	_	+		
5	$G_3$	+	+	—		
6	$G_1G_3$	—	+	_		
7	$G_2G_3$	+	_	_		
8	$G_1G_2G_3$	—	_	—		

Table 23.1: Symmetry/antisymmetry behaviour under generator products

Table 23.2: Group multiplication table (products of operations)

	1	2	3	4	5	6	7	8
1	1	2	3	4	5	6	7	8
2	2	1	4	3	6	5	8	7
3	3	4	1	2	7	8	5	6
4	4	3	2	1	8	7	6	5
<b>5</b>	5	6	7	8	1	2	3	4
6	6	5	8	7	2	1	4	3
$\overline{7}$	7	8	5	6	3	4	1	2
8	8	7	6	5	4	3	2	1

We can now see how Dalton handles the symmetry input, including the case of automatic symmetry detection. First, we look for planes of symmetry. In part this is historical: the original MOLECULE code benefitted most, in terms of reduction of numerical operations, from reflection planes, followed by rotational axes, followed by the "least useful" case of the inversion. If we, as a user, know that the molecule we are interested in has  $D_{2h}$  symmetry, we can specify this explicitly. The most straightfoward way to do this is in terms of the generators that correspond to reflections: in particular, we specify X Y Z as our generators. That is, we assert that reflection in the yz plane, followed by reflection in the xz plane, followed by reflection in the xy plane are our generators  $G_1$ ,  $G_2$ ,  $G_3$ . This also illustrates how generators/symmetry operations are specified in the input to Dalton: they indicate which coordinates change sign under a particular operation. Thus X is the operation that changes the sign of the x coordinate (only): that is, reflection in the yz-plane.

Similarly, XY changes the sign of both the x and y coordinates: this thus denotes two-fold rotation around the z axis. Finally, XYZ changes the sign of all coordinates, corresponding to inversion through the coordinate origin.

It is important to emphasize two key points here. First, the user must specify the *minimal* number of generators required to define the group. That is, it is an error to specify three operations, X Y XY, say, to try to use the group  $C_{2v}$ , because the third operation is redundant. Second, the ordering of the generators will affect the order in which the irreducible representations appear. It is perfectly legitimate in principle to define  $D_{2h}$  using the generators Z Y X, but as we now discuss, there is a set of conventions both for orienting molecules that have symmetry, and for defining appropriate generators for their point groups. We repeat that it is not necessary to follow these conventions to obtain correct results, but it is essential then to take great care in comparing with other calculations and with experimental results.

There is a set of conventions for the various point groups, largely consistent with those proposed many years ago by Mulliken [141] (note that this article was published anonymously but is widely accepted to be Mulliken's work). See also Hurley [142]. In particular, for  $C_s$  the symmetry plane is conventionally taken as the xy plane, as it is for  $C_{2h}$ , so in the latter the  $C_2$  axis is the z-axis, and the z-axis is also the usual choice for the symmetry axis in  $C_{2v}$ . We can therefore list the "conventional" choices of generators, and their order, for the eight point groups that Dalton treats explicitly: again, this is what the program uses internally in automatic symmetry detection.

- Group of order 1
  - $-C_1$  (no operations)
- Groups of order 2
  - $-C_s: Z$
  - $C_2: XY$
  - $C_i$ : XYZ
- Groups of order 4
  - $C_{2v}$ : X Y
  - $C_{2h}$ : Z XY
  - $D_2$ : XZ YZ
- Group of order 8

 $- D_{2h}$ : X Y Z

The conventions in this paragraph specify fully how the program chooses planes and axes, at least for molecules that have at most  $D_{2h}$  symmetry themselves. Molecules that have higher intrinsic symmetry may require special consideration, and this is taken up in a later section.

As noted above, there is also a historical aspect here: in Almlöf's original scheme [140] reflections were preferred over rotational axes because they led to fewer nonvanishing integrals. This is less of an issue in Dalton, but for many reasons it is convenient to prefer planes to rotational axes, and in turn over the inversion, as the symmetry specification. This is also the order of preference that Dalton exercises internally when determining symmetry automatically.

# 23.2 Labelling of irreducible representations

We can now see why Dalton produces an ordering of irreducible representations that appears counterintuitive when compared to many standard character tables. Let us consider as an example the case of  $C_{2v}$ , specified (or determined automatically by the program) by the operations **X Y**, reflection respectively in the yz and xz planes. Using these generators as  $G_1$  and  $G_2$ , the operations of the point group will be E,  $G_1$ ,  $G_2$ , and  $G_1G_2$ , and following the conventions of Table 23.1 this means that the irreducible representations will appear in the order  $A_1$ ,  $B_2$ ,  $B_1$ , and  $A_2$ , using the Mulliken convention that for a planar  $C_{2v}$  molecule the irreducible representations  $A_1$  and  $B_2$  are symmetric with respect with respect to the molecular plane (and  $B_1$  and  $A_2$  are antisymmetric). This is why Dalton lists irreducible representations in the order that it does: the ordering is derived from the behaviour under the group generators as given in Table 23.1.

We emphasize again that it is important to understand that the labelling of the irreducible representations within Dalton is determined by a particular choice of molecular orientation. For example, although this would be considered unconventional, there is nothing to prevent someone from labelling the  $B_1$  and  $B_2$  irreducible representations opposite from the Mulliken convention, and thus opposite from the Dalton internal choice. Similarly, it cannot necessarily be assumed that Dalton's labelling of the irreducible representations in  $D_{2h}$  symmetry is consistent with some paper in the literature — it is important to establish what conventions are being used in both cases.

# 23.3 Nuclear coordinates; symmetry-lowering

If the user specifies the symmetry, it is only necessary to include atoms that are symmetrydistinct. That is, if the generators **X Y** are input to specify the  $C_{2v}$  symmetry of the water molecule, only the coordinates of the oxygen atom and one hydrogen atom are required. Indeed, the user should not enter the coordinates for the second atom, because the program will already have generated an atom at that point, and the explicit input of the second one would be treated as an error (two nuclei trying to occupy the same point).

It is obvious that isotopic substitution will lower or even destroy the symmetry of some systems: HOD has only  $C_s$  symmetry. If the main interest is, however, the effect of isotopic substitution on the harmonic vibrational frequencies, it is not necessary to explicitly lower the symmetry, because the harmonic force constant matrix (in the Born-Oppenheimer approximation) displays the full symmetry of the system as it does not depend on the nuclear masses. Dalton can thus use the full symmetry of H<sub>2</sub>O in calculating the force constants, and the lowering of symmetry by isotopic substitution is handled internally when computing the vibrational frequencies. The user should note that the calculation of vibrationally averaged *properties* in Dalton is done using a modified geometry, and the treatment of symmetry here can be considerably more complicated. In fact, at present such calculations can only be run in  $C_1$  symmetry. Other properties such as the rotational g-tensor are defined with respect to the centre of mass of the molecule, and again the symmetry of the actual nuclear framework for a given case may have to be considered.

Another situation which may yield lower symmetry than the nuclear framework displays is when an external perturbation, such as an electric field or field gradient, is included. For example, imposing an electric field in the z direction will necessarily destroy symmetries such as inversion, reflection in the xy plane, and  $C_2$  operations around the x and/or y axes. Such symmetry lowering is not accounted for automatically in Dalton: the program uses the symmetry as specified by the user. It is therefore necessary for the user to analyze what symmetry remains, and to specify that using the group generators, when including external perturbations. Perhaps a more elegant way to state this is that one should look at the symmetry of the total Hamiltonian, that is, the original Born-Oppenheimer Hamiltonian plus the various perturbations.

# 23.4 Treatment of higher symmetries

It is not uncommon that symmetrical molecules have a higher symmetry than that treated explicitly by Dalton. Common examples include linear symmetry and higher-order (than 2) axes; the cubic groups (tetrahedral symmetry, etc.) may also be encountered. We give here a few conventions for treating these systems, as well as the behaviour of different oneelectron basis functions and many-electron states within  $D_{2h}$  and its subgroups, and some tactics for treating these higher symmetries.

In general, it is preferable to use the z-axis as the principal axis for molecules belonging to groups with a single higher-order axis. This is consistent with most group theory texts, for example. Most users will already be aware that in order to obtain the desired symmetry among molecular orbitals, property values, etc., it may be necessary both to specify the geometry to very high precision (in order to ensure factors of, say,  $\sqrt{3}$ or other irrationals are handled properly), and to ensure that the calculation is converged adequately — the default convergence thresholds in Dalton are tighter than most programs, but are still not necessarily stringent enough to recover the full symmetry in some cases. There is a difference of opinion about the positioning of atomic centres in some situations: for example, when there is a four-fold axis. There are advantages in the symmetry analysis both for positioning atoms on the xz and yz planes, and for positioning them between these planes. The latter convention seems more common, but this is up to the user.

For linear molecules the use of the z-axis as the principal axis is universal, and if (and only if!) the conventions of the previous section are followed for specifying  $C_{2v}$  symmetry, or  $D_{2h}$  symmetry for a centrosymmetric system, we can list the properties of various linear system irreducible representations within the Dalton symmetries. Note that we give here the Dalton symmetry ordering: we do not use the (say)  $D_{2h}$  labels. In the case of  $C_{\infty v}$  the correspondence is that the  $D_{2h}$  symmetries that appear as 5, 6, 7, and 8 map respectively onto symmetries 1 through 4 in  $C_{2v}$ , so our tabulation below can be used for both cases. For  $D_{\infty h}$  we have the following behaviour of one-electron functions:

- $\sigma_g$ : 1
- *σ*<sub>*u*</sub>: 5
- $\pi_g: 6, 7$
- $\pi_u$ : 2, 3
- $\delta_g: 1, 4$
- $\delta_u$ : 5, 8
- $\phi_g: 6, 7$
- $\phi_u$ : 2, 3

and the behaviour of higher angular momentum functions should be obvious from this. In the linear symmetry case there are also the  $\Sigma^-$  irreducible representations, for which there are no one-electron basis functions (basis functions for these symmetries cannot be represented using the coordinates of only one electron). These fall in the Dalton symmetries 4 and 8, depending on whether, given a centrosymmetric system, the desired  $\Sigma^-$  state is even or odd (g or u) under inversion.

Atoms present additional problems, despite their geometrical simplicity! An atomic state can be even or odd under inversion, and this creates some complications. Specifically,

for a single-configuration orbital occupation, the parity is determined by  $\sum_i l_i$ , where the sum is over all unpaired electrons and  $l_i$  is the orbital angular momentum of the *i*th electron. Hence the  $2s^22p^3$  occupation of the nitrogen atom gives rise to three *odd parity* states  ${}^4S_u$ ,  ${}^2D_u$ , and  ${}^2P_u$ , whereas the  $2s^22p^2$  occupation of carbon yields even parity  ${}^3P_g$ ,  ${}^1D_g$ , and  ${}^1S_g$  states. The parity is usually not indicated in atomic state labels, but it is important here: the ground state of the nitrogen atom appears in symmetry 8 for the usual specification of  $D_{2h}$  using the generators X Y Z, and will never be in symmetry 1, irrespective of how the generators are specified, unless the calculation is explicitly directed to use no symmetry! A list of the various angular momenta and parities is given here for the "standard"  $D_{2h}$  specification with generators X Y Z.

- $S_g: 1$
- Su: 8
- $P_g: 4, 6, 7$
- $P_u: 2, 3, 5$
- $D_g$ : 1, 1, 4, 6, 7
- $D_u$ : 2, 3, 5, 5, 8
- $F_g: 1, 4, 4, 6, 6, 7, 7$
- $F_u$ : 2, 2, 3, 3, 5, 5, 8
- $G_g$ : 1, 1, 1, 4, 4, 6, 6, 7, 7
- $G_u$ : 2, 2, 3, 3, 5, 5, 5, 8, 8

The pattern here should again be obvious for extension to higher total angular momenta. There are reasons (computational, not theoretical), by the way, for using symmetry 1, when possible, for states derived from  $d^n$  occupations, as discussed by Hay [143] and by Walch and Bauschlicher [144].

The cubic groups create interesting issues of their own. The naive tendency for treating, e.g., methane is often to use the  $C_{2v}$  subgroup of  $T_d$ . In order to ensure exact tetrahedral symmetry this requires the user to input the geometry to very high accuracy because of the factors of  $\sqrt{3}$ . A better solution when exact tetrahedral symmetry is required is to use  $D_2$ , with generators XZ YZ, as this generates four equivalent hydrogens, and thus exact tetrahedral symmetry, irrespective of how accurately the position of the symmetry-distinct hydrogen is specified as long as the (absolute values of) the x, y, and z coordinates of that distinct hydrogen are exactly the same.

The SIRIUS code within Dalton can recognize and exploit higher symmetries automatically for SCF, MCSCF, and MP2 calculations, and for response calculations invoked through the .RUN RESPONSE command in the general input section: one supplies the keyword .SUPSYM in the \*ORBITAL INPUT section. Automatic detection of higher symmetry requires that the geometry displays that symmetry to within a threshold that can be adjusted (see the manual section on this keyword): as noted above this may require care in specifying the coordinate input. Note that calculations run via the ABACUS code within Dalton cannot utilize higher symmetries, and thus the .SUPSYM keyword is not compatible with runs in which the general input \*\*PROPERTIES is used, thereby invoking ABACUS.

This by no means exhausts the complexities and issues associated with symmetry that may confront a new user when using the Dalton program. For example, the use in some situations of the point group  $D_2$  may cause difficulties, typically when the point group element  $G_1G_2$  leaves an atom fixed that was transformed into a new centre under  $G_1$  and/or  $G_2$ . These caveats notwithstanding, the capability of using symmetry to reduce the computational labour in a given calculation (a reduction by a factor of between q and  $q^2$ , where q is the order of the point group Dalton uses, and where the exponent varies according to the type of calculation) and to ensure that Dalton finds a wave function that has the particular desired symmetry properties, is a very powerful one. Complications and issues with using symmetry will normally find a ready response on the Dalton mailing list. And it is appropriate to close this little exposition by again referring to the analysis [140] of the late Jan Almlöf, who laid the foundations for the implementation of symmetry in Dalton and other programs in terms of elementary bit operations such as AND, OR, and XOR. The underlying mathematics is treated at length in the language of group theory in the paper by Davidson [145], although a more pedagogical and accessible treatment, including the handling of property operators, gradients, etc., is perhaps available in the work of Taylor [146]. The latter article is reprinted every two years in the lecture notes for the European Summerschool in Quantum Chemistry.

# Part III DALTON Reference Manual

# Chapter 24

# General input module

In this chapter the general structure of the input file for DALTON is described, as well as the possible input cards that can be entered in the **\*\*DALTON** input module. This input section should always begin an input file for the DALTON program.

# 24.1 General input to DALTON : **\*\*DALTON**

This input module describes the overall type of calculation that is to be done. It also contains eight submodules describing the control of the two different geometry optimization routines, the control of the three different environment models, PCM, QM3 and PE, the control of the reading of the molecule and basis set input in the ".mol" file, tuning of the performance of parallel calculations, as well as control of the general routines for calculating numerical geometrical derivatives of molecular energies or selected first- and second-order properties.

We note that **\*\*DALTON** identifies that what follows is general input. This input section is compulsory to do a calculation, by default DALTON does nothing (will stop with the error message: End of file on DALTON.INP, no **\*\*DALTON** input found. Lines before the first line with **\*\*DALTON** are ignored by DALTON. The general input module stops at the next line starting with two stars: **\*\*anything**.

If more than one node, DALTON will automatically perform those modules in parallel which are implemented in parallel, and Fock matrix calculations will be done AO-direct, also if you don't specify .DIRECT. In contrast to previous releases of the DALTON program, it will not quit in the non-parallelized modules but run them on the master node while the other nodes are idling. The keyword .PARALLEL of previous releases is still recognized for backward compatibility, but it is not needed any more. Note that in order to evaluate the parallelization efficiency, a print level of at least 2 is needed in the **\*PARALLEL** submodule.

. CHOLES The calculation will use Cholesky decomposed two-electron integrals [135] where

implemented. At present, the use of decomposed integrals is only implemented for calculation of energy and first and second order molecular properties for limited models. In particular, it is possible to carry out Hartree-Fock , Density Functional Theory , second-order Møller-Plesset perturbation theory (MP2), and CC2 coupled cluster calculations. Note that the decomposition of differentiated integrals is not implemented yet and, therefore, gradients cannot be computed. Moreover, Cholesky CCSD(T) calculations, where the energy dominators are Cholesky decomposed, are carried out using standard integrals (or in a direct fashion).

- .DIRECT The calculation is to be done in a direct manner, that is, the two-electron integrals are to be constructed "on the fly" and not written to disc as is the default. This keyword will only work for SCF wave functions, Density Functional Theory calculation and for coupled cluster (CC) calculations. In HF and DFT calculations the two-electron integrals (and differentiated two-electron integrals) will not be written to disc in any part of the calculation, whereas in the direct CC approach, the two-electron integrals will be stored in three general-indexed batches, thus requiring a loop over all basis functions for one of the two-electron integral indices [147].
- .DOUGLAS-KROLL Include scalar relativistic effects by using the Douglas-Kroll-Hess secondorder (DKH2) transformed one-electron potential and kinetic energy Hamiltonian.
- .INPTES Test the input of the **\*\*DALTON INPUT** input module. The program will abort after the completion of the input test, and no calculations will be executed.
- . INTEGRALS Invoke the HERMIT and/or the ERI module for generating molecular one- and two-electron integrals. See Chapter 25 for the HERMIT and Section 25.2.4 for the ERI module, respectively.

#### .ITERATION

## READ (LUCMD, '(15)') ITERNR

Tells the program at which iteration to start the geometry optimization using the **\*\*WALK** module. Note that this will *not* affect which molecule input file that is going to be read, as this is handled by the job script dalton. It only determines what number the output of the predicted molecular geometry will be, as well as where to start writing information on the files containing information about an IRC calculation (DALTON.IRC) or a dynamical trajectory walk (DALTON.TRJ).

# .MAX IT

# READ (LUCMD, '(15)') ITERMX

Change the maximum number of geometry iterations that can be done. Default is 20. For numerical differentiation/vibrational averaging, the number of iterations will be

reset to 6N + 1 (where N is the number of nuclei) as the number of required iterations for these calculations are well defined. This number has to be increased in Intrinsic Reaction Coordinate (IRC) or dynamical trajectory studies. However, changing this variable will override this reset option.

- .NEWTRA Requests that the two-electron integral transformation routines of Bjørn Roos should be used during execution of the program, also if less than 256 orbitals. The "new" transformation is always used if more than 255 orbitals.
- .NMDDRV Calls for a generalized numerical geometry differentiation. These routines will take advantage of the full molecular point group in order to minimize the number of points to be calculated. What order of derivatives and whether any analytical derivatives are to be used is determined in the \*NMDDRV input module.
- .OPTIMIZE Perform a geometry optimization. The default is a geometry minimization: an optimization of the molecular geometry to a stationary point with no negative molecular Hessian eigenvalues (a local minimum) will be done using the default first-order methods. However, this may be changed using appropriate keywords in the submodule **\*OPTIMIZE**, and we refer to examples in the chapter on potential energy surfaces (Chapter 6), and subsection 24.1.1 describing the input cards for the **\*OPTIMIZE** submodule for a more detailed description of possible options.
- .PEQM Include environment effects by using the polarizable embedding (PE) model (See Ref. [114] for details on the PE-HF/DFT model, Ref. [115] for PE-MP2/SOPPA and Ref. [117] for PE-CC). This will embed the core molecular system, as defined in the MOLECULE.INP file, in a polarizable embedding potential given in the POTENTIAL.INP file. See further input options in the \*PEQM input section (see subsection 24.1.3). See Chapter 15 for an introduction to polarizable embedding calculations.

#### .PRIERR

**READ (LUCMD, \*) IPRERR** Reads in the print level that is to be used in the DAL-TON.ERR file. Default print level is IPRUSR+1.

# .PRINT

**READ (LUCMD, \*) IPRUSR** Reads in the print level that is to be used the rest of the subsequent calculations. Default is a print level of 0.

.PROPERTIES Invoke the ABACUS module for the evaluation of static and dynamic properties, using a previously converged and saved wave function and saved integral files. See Chapter 28.

- .QFIT Invoke the calculation of atomic partial charges fitted to reproduce the molecular electrostatic potential after the wave function has converged.
- .RESPONSE Invoke the RESPONSE module for the evaluation of static and dynamic properties, using a previously converged and saved wave function and saved integral files. See Chapter 29.
- .RUN ALL Invoke all the modules HERMIT, SIRIUS, RESPONSE, and ABACUS for a single point calculation.
- .RUN PROPERTIES Invoke the modules HERMIT, SIRIUS, and ABACUS for a single point calculation.
- .RUN RESPONSE Invoke all the modules HERMIT, SIRIUS, and RESPONSE for a single point calculation.
- .RUN WAVE FUNCTIONS Invoke the modules HERMIT and SIRIUS for a single point energy calculation.
- .RUNERI Force the use of the vectorized 2-electron integral code ERI where possible.
- .TOTSYM Consider only totally symmetric perturbations. This option only affects geometric perturbations calculated using the second-order based .WALK option and static electric-field perturbations requested through the keyword .POLARI.

#### .VECLEN

#### READ(LUCMD,\*) IVECLN

Set the number of Fock matrices to be used during Fock-matrix constructions in direct calculations. This function is only of interest for vector machines. The default is 128. The larger the number, the more memory will be required in the calculation.

- .WALK Do a geometry walk. If no input is given in the \*WALK input submodule, an optimization of the molecular geometry to a stationary point with no negative molecular Hessian eigenvalues (a local minimum) will be done using a second-order method with analytical molecular Hessians. However, this may be changed by appropriate keywords in the submodule \*WALK, and we refer to examples in the chapter on potential energy surfaces (Chapter 6), and subsection 24.1.8 describing the input cards for the \*WALK submodule for a more detailed description of possible options.
- .WAVE FUNCTIONS Invoke the SIRIUS module for the evaluation of SCF, MP2, Coupled Cluster, MCSCF, NEVPT2, GASCI wave functions as well as DFT calculations, according to input. Necessary one-electron integrals *must already be evaluated and available*

(AOPROPER and AOONEINT files). The two-electron integrals will be generated if needed and not available (AOTWOINT file). Invoke the modules HERMIT and SIRIUS for a single point energy calculation using old saved integral files (AOONEINT, AO-PROPER, and - if not .DIRECT or .CHOLESKY - AOTWOINT). See Chapter 27.

# 24.1.1 Geometry optimization module 1: \*OPTIMIZE

This submodule is the usual driver for geometry optimizations, but we note that the \*WALK module contains another second-order geometry optimization driver for HF, DFT, and MCSCF. Only for analytical second-order optimization of HF, DFT, and MCSCF can you choose any of the two geometry optimization modules. For the unique capabilities of the other module, see subsection 24.1.8.

The **\*OPTIMIZE** module contains both first and second-order methods for locating minima and transition states (geometry optimization). Most of the molecular Hessian updating schemes were taken from ref. [148] and [8]. The implementation of redundant internal coordinates follows the work of Peng *et al.* [149]. In addition to this, several keywords for VRML visualization are included [150].

- .1STORD Use default first-order method. This means that the BFGS update will be used, and that the optimization is carried out in redundant internal coordinates. Same effect as the combination of the two keywords .BFGS and .REDINT. Since the .BFGS method ensures a positive definite Hessian, the .BOFILL optimization method is used by default in case of searches for transition states.
- .2NDORD Use default second-order method. Molecular Hessians will be calculated at every geometry. The level-shifted Newton method and Cartesian coordinates are used. Identical to specifying the keywords .NEWTON and .CARTES.
- .BAKER Activates the convergence criteria of Baker [19]. The minimum is then said to be found when the largest element of the gradient vector (in Cartesian or redundant internal coordinates) falls below  $3.0 \cdot 10^{-4}$  and either the energy change from the last iteration is less than  $1.0 \cdot 10^{-6}$  or the largest element of the predicted step vector is less  $3.0 \cdot 10^{-4}$ .
- .BFGS Specifies the use of a first-order method with the Broyden-Fletcher-Goldfarb-Shanno (BFGS) update formula for optimization. This is the preferred first-order method for minimizations, as this update is able to maintain a positive definite Hessian. Note that this also makes it unsuitable for transitions state optimization (where one negative eigenvalue is sought).
- .BFGSR1 Use a linear combination of the BFGS and the symmetric rank one updating schemes in the same fashion as Bofill's update. Only suitable for minimizations.

- .BOFILL Bofill's update[20] is the default updating scheme for transition state optimizations. It's a linear combination of the symmetric rank one and the PSB updating schemes, automatically giving more weight to PSB whenever the rank one potentially is numerically unstable.
- .CARTES Indicates that Cartesian coordinates should be used in the optimization. This is the default for second-order methods.
- .CMBMOD Uses a combination of the BFGS update and the model molecular Hessian (diagonal in redundant internal coordinates). The two have equal weight in the first iteration of the geometry optimization, then for each subsequent iteration the weight of the model Hessian is halved. Only suitable for minimizations.

.CONDIT

READ (LUCMD,\*) ICONDI

Set the number of convergence criteria that should be fulfilled before convergence occurs. There are three different convergence thresholds, one for the energy, one for the gradient norm and one for the step norm. The possible values for this variable is therefore between 1 and 3. Default is 2. The three convergence thresholds can be adjusted with the keywords .ENERGY, .GRADIE and .STEP T.

.CONSTRAINT

```
READ (LUCMD, *) NCON
DO I = 1, NCON
READ(LUCMD,*) ICON
ICNSTR(ICON) = 1
END DO
```

Request a constrained geometry optimization. Only works when using redundant internal coordinates. The number of primitive coordinates that should be frozen has to be specified (NCON), then a list follows with the individual coordinate numbers. The coordinate numbers can be found by first running DALTON with the .FINDRE keyword. Any number of bonds, angles and dihedral angles may be frozen. NOTE: Symmetry takes precedence over constraints, if you *e.g.* want to freeze just one of several symmetric bonds, symmetry must be lowered or switched off.

.DELINT Use delocalized internal coordinates. These are built up as non-redundant linear combinations of the redundant internal coordinates. Performance is more or less the same as for the redundant internals, but the transformation of displacements (step) is slightly less stable.

.DFP Specifies that a first-order method with the Davidon-Fletcher-Powell (DFP) update formula should be used for optimization. May be used for both minimizations and transition state optimizations.

# .DISPLA

#### READ (LUCMD,\*) DISPLA

Read one more line containing the norm of the displacement vector to be used during numerical evaluation of the molecular gradient, as is needed when doing geometry optimizations with CI or MP2 wave functions. Default is  $1.0 \cdot 10^{-3}$  a.u.

#### .ENERGY

#### READ(LUCMD,\*) THRERG

Set the convergence threshold for the energy (in a.u.). This is one of the three convergence thresholds (the keywords .GRADIE and .STEP T control the other two). Default value is the maximum of  $1.0 \cdot 10^{-6}$  and two times the threshold for the wave function gradient.

- .FINDRE Determines the redundant internal coordinate system then quits without doing an actual calculation. Useful for setting up constrained geometry optimizations, where the numbers of individual primitive internal coordinates are needed.
- .GDIIS Use the Geometrical DIIS[151] algorithm to control the step. Works in much the same way as DIIS for wave functions. However, the rational function and level-shifted Newton methods are generally more robust and more efficient. Can only be used for minimizations.
- .GEDANA Enables an analysis of the molecular geometry in terms of bond lengths and bond angles at each new geometry predicted during the optimization procedure.

#### .GRADIE

#### READ(LUCMD,\*) THRGRD

Set the convergence threshold for the norm of the molecular gradient (in a.u.). This is one of the three convergence thresholds (the keywords .ENERGY and .STEP T control the other two). Default value is the maximum of  $1.0 \cdot 10^{-4}$  and two times the threshold for the wave function gradient.

.GRDINI Specifies that the molecular Hessian should be reinitialized every time the norm of the gradient is larger than norm of the gradient two iterations earlier. This keyword should only be used when it's difficult to obtain a good approximation to the molecular Hessian during optimization. Only applies to first-order methods.

- .HELLMA Use gradients and Hessians calculated using the Hellmann-Feynman approximation. Currently not working properly
- .HESFIL Specifies that the initial molecular Hessian should be read from the file DALTON.HES. This applies to first-order methods, and the Hessian in the file must have the correct dimensions. This option overrides other options for the initial Hessian.

Each time a Hessian is calculated or updated, it's written to this file (in Cartesian coordinates). If an optimization is interrupted, it can be restarted with the last geometry and the molecular Hessian in DALTON.HES, minimizing the loss of information. Another useful possibility is to transfer the molecular Hessian from a calculation on the same molecule with another (smaller) basis and/or a cheaper wave function. Finally, one can go in and edit the file directly to set up a specific force field.

- .INIMOD Use a simple model Hessian [18] diagonal in redundant internal coordinates as the initial Hessian. All diagonal elements are determined based on an extremely simplified molecular mechanics model, yet this model provides Hessians that are good starting points for most systems, thus avoiding any calculation of the exact Hessian. This is the default for first-order methods.
- .INIRED Specifies that the initial molecular Hessian should be diagonal in redundant internal coordinates. The different diagonal elements are set equal to 0.5 for bonds, 0.2 for angles and 0.1 for dihedral angles, unless .INITEV has been specified. If the optimization is run in Cartesian coordinates, the diagonal internal molecular Hessian is transformed to Cartesians. Only applies to first-order methods.

.INITEV

READ(LUCMD,\*) EVLINI The default initial molecular Hessian for first-order minimizations is the identity matrix when Cartesian coordinates are used, and a diagonal matrix when redundant internal coordinates are used. If .INITEV is used, all the diagonal elements (and therefore the eigenvalues) are set equal to the value EVLINI. This option only has effect when first-order methods are used and .INITHE and .HESFIL are non-present.

. INITHE Specifies that the initial molecular Hessian should be calculated (analytical molecular Hessian), thus yielding a first step that is identical to that of second-order methods. This provides an excellent starting point for first-order methods, but should only be used when the molecular Hessian can be calculated within a reasonable amount of time. It has only effect for first-order methods and overrides the keywords .INITEV and .INIRED. It has no effect when .HESFIL has been specified.

- .LINE S Turns on line searching, using a quartic polynomial. By default this is turned off, as there seems to be no gain in efficiency. Can only be used for minimizations.
- .M-BFGS A list of old geometries and gradients are kept. At each new point, displacements and gradient difference for the last few steps are calculated, and all of these are then used to sequentially update the molecular Hessian, the most weight being given to the last displacement and gradient difference. Each update is done using the BFGS formula, and it's thus only suitable for minimizations. Only applies to first-order methods.
- .M-PSB This identical to .M-BFGS, except the PSB formula is used for the updating. Only applies to first-order methods, but it can be used for both minimizations and saddle point optimizations.

#### .MAX IT

READ(LUCMD,\*) ITRMAX

Read the maximum number of geometry iterations. Default value is 25.

.MAX RE

READ(LUCMD,\*) MAXREJ

Read maximum number of rejected steps in each iterations, default is 3.

#### .MODE

READ(LUCMD,\*) NSPMOD

Only has effect when doing saddle point optimizations. Determines which molecular Hessian eigenmode should be maximized (inverted in the image method). By default this is the mode corresponding to the lowest eigenvalue, *i.e.* mode 1. If an optimization does not end up at the correct transition state, it may be worthwhile following other modes (only the lower ones are usually interesting).

- .MODHES Determine a new model molecular Hessian (see .INIMOD) at every geometry without doing any updating. The model is thus used in much the same manner as an exact molecular Hessian, though it is obviously only a relatively crude approximation to the analytical molecular Hessian.
- .NEWTON Specifies that a second-order Newton method should be used for optimization that is, the analytical molecular Hessian will be calculated at every geometry. By default the level-shifted trust region method will be used, but it is possible to override this by using one of the two keywords .RF or .GDIIS.

- .NOAUX Only has effect when using redundant internal coordinates. The default for minimizations is to add auxiliary bonds between atoms that are up to two and half times further apart then regular (chemical) bonds. This increases the redundancy of the coordinate system, but usually speeds up the geometry optimization slightly. .NOAUX turns this off. For saddle point optimizations and constrained geometry optimization this is off by default (cannot be switched on).
- .NOBREA Disables breaking of symmetry. The geometry will be optimized within the given symmetry, even if a non-zero molecular Hessian index is found. The default is to let the symmetry be broken until a minimum is found with a molecular Hessian index of zero. This option only has effect when second-order methods are used.
- .NODIHE Only has effect when using redundant internal coordinates. Removes all coordinates that are dihedral angles, leaving only bonds and angles. Not too useful, but may be used if one wants to limit the number of internal coordinates. Constrained geometry optimizations can sometimes benefit from having all dihedral angles removed (assuming no dihedral angles needs to be frozen).
- .NOTRUS Turns off the trust radius, so that a full Newton step is taken in each iteration. This should be used with caution, as global convergence is no longer guaranteed. If long steps are desired, it is safer to adjust the initial trust radius and the limits for the actual/predicted energy ratio.

```
.PREOPT
```

```
READ (LUCMD,*) NUMPRE
DO I = 1, NUMPRE
    READ (LUCMD,*) PREBTX(I)
END DO
```

First we read the number of basis sets that should be used for preoptimization, then we read those basis set names as strings. These sets will be used for optimization in the order they appear in the input. One should therefore place the smaller basis at the top. After the preoptimization, optimization is performed with the basis specified in the molecule input file.

#### .PRINT

```
READ (LUCMD,*) IPRINT
```

Set print level for this module. Read one more line containing print level. Default value is 0, any value higher than 12 gives debugging level output.

.PSB Specifies that a first-order method with the Powell-Symmetric-Broyden (PSB) update formula should be used for optimization.

- .RANKON Specifies that a first-order method with the rank one update formula should be used for optimization. This updating is also referred to as symmetric rank one (SR1) or Murtagh-Sargent (MS).
- .REDINT Specifies that redundant internal coordinates should be used in the optimization. This is the default for first-order methods.
- .REJINI Specifies that the molecular Hessian should be reinitialized after every rejected step, as a rejected step indicates that the molecular Hessian models the true potential surface poorly. Only applies to first-order methods.

.REMOVE

```
READ (LUCMD, *) NREM
DO I = 1, NREM
    READ(LUCMD,*) IREM
    ICNSTR(IREM) = 2
END DO
```

Only has effect when using redundant internal coordinates. Specifies internal coordinates that should be removed. The input is identical to the one for .CONSTRAINT, that is one has to specify the number of coordinates that should be removed, then the number of each of those internal coordinates. The coordinate numbers can first be determined by running with .FINDRE set.

Removing certain coordinates can sometimes be useful in speeding up constrained geometry optimization, as certain coordinates sometimes "struggle" against the constraints. See also .NODIHE.

.RF Use the rational function method [12] instead of level-shifted Newton which is the default. The RF method is often slightly faster than the level-shifted Newton, but also slightly less robust.

For saddle point optimizations there's a special partitioned rational function method (used automatically when both .RF and .SADDLE are set). However, this method is both slower and less stable than the default trust-region level-shifted image method (which is the default).

.SADDLE Indicates that a saddle point optimization should be performed rather than a minimization. The default method is to calculate the molecular Hessian analytically at the initial geometry, then update it using Bofill's update. The optimization is performed in redundant internal coordinates and using the trust-region level-shifted image method to control the step. That is by default all the keywords .INITHE,

.BOFILL and .REDINT are already set, but this can of course be overridden by specifying other keywords. If locating the desired transition state is difficult, and provided analytical molecular Hessians are available, it may sometimes be necessary to use the .NEWTON keyword so that molecular Hessians are calculated at every geometry.

.SCHLEG Specifies that a first-order method with Schlegel's updating scheme [152] should be used. This makes use of all previous displacements and gradients, not just the last, to update the molecular Hessian.

#### .SP BAS

# READ(LUCMD,\*) SPBSTX

Read a string containing the name of a basis set. When the geometry has converged, a single-point energy will be calculated using this basis set.

# .STABILIZE

# READ(LUCMD,\*) ISTBLZ

Tries to "stabilize" the predicted new molecular geometries (and thus reduce the risk of symmetry breaking) by ignoring all numbers appearing in the Cartesian coordinates of the atoms beyond digit number ISTBLZ.

.STEEPD Specifies that the first-order steepest descent method should be used. No update is done on the molecular Hessian, so the optimization will be guided by the gradient alone. The "pure" steepest descent method is obtained when the molecular Hessian is set equal to the identity matrix. Each step will then be the negative of the gradient vector, and the convergence towards the minimum will be extremely slow. However, this option can be combined with other initial molecular Hessians in Cartesian or redundant internal coordinates, giving a method where the main feature is the lack of molecular Hessian updates (static molecular Hessian).

#### .STEP T

# READ(LUCMD,\*) THRSTP

Set the convergence threshold for the norm of the geometry step (in a.u.). This is one of the three convergence thresholds (the keywords .ENERGY and .GRADIE control the other two). Default value is  $1.0 \cdot 10^{-4}$ .

.SYMTHR

#### READ(LUCMD,\*) THRSYM

Determines the gradient threshold (in a.u.) for breaking of the symmetry. That is, if the index of the molecular molecular Hessian is non-zero when the gradient norm drops below this value, the symmetry is broken to avoid unnecessary iterations within the wrong symmetry. This option only applies to second-order methods and when the keyword .NOBREA is not present. The default value of this threshold is  $5.0 \cdot 10^{-3}$ .

#### .TR FAC

READ(LUCMD,\*) TRSTIN, TRSTDE

Read two factors that will be used for increasing and decreasing the trust radius respectively. Default values are 1.2 and 0.7.

.TR LIM

READ(LUCMD,\*) RTENBD, RTENGD, RTRJMN, RTRJMX

Read four limits for the ratio between the actual and predicted energies. This ratio indicates how good the step is—that is, how accurately the quadratic model describes the true energy surface. If the ratio is below RTRJMN or above RTRJMX, the step is rejected. With a ratio between RTRJMN and RTENBD, the step is considered bad an the trust radius decreased to less than the step length. Ratios between RTENBD and RTENGD are considered satisfactory, the trust radius is set equal to the norm of the step. Finally ratios above RTENGD (but below RTRJMX) indicate a good step, and the trust radius is given a value larger than the step length. The amount the trust radius is increased or decreased can be adjusted with .TR FAC. The default values of RTENBD, RTENBD, RTENGD, RTRJMN and RTRJMX are 0.4, 0.8, -0.1 and 3.0 respectively.

.TRSTRG Specifies that the level-shifted trust region method should be used to control the step. This is the default, so the keyword is actually redundant at the moment. Alternative step control methods are .RF and .GDIIS.

#### .TRUSTR

#### READ(LUCMD,\*) TRSTRA

Set initial trust radius for calculation. This will also be the maximum step length for the first iteration. The trust radius is updated after each iteration depending on the ratio between predicted and actual energy change. The default trust radius is 0.5 a.u.

- .VISUAL Specifies that the molecule should be visualized, writing a VRML file of the molecular geometry. No optimization will be performed when this keyword is given. See also related keywords .VR-BON, .VR-COR, .VR-EIG and .VR-VIB.
- .VRML Specifies that the molecule should be visualized. VRML files describing both the initial and final geometry will be written (as initial.wrl and final.wrl). The file final.wrl is updated in each iteration, so that it always reflects the latest geometry. See also related keywords .VR-BON, .VR-COR, .VR-EIG and .VR-VIB.

- .VR-BON Only has effect together with .VRML or .VISUAL. Specifies that the VRML files should include bonds between nearby atoms. The bonds are drawn as grey cylinders, making it easier to see the structure of the molecule. If .VR-BON is omitted, only the spheres representing the different atoms will be drawn.
- .VR-COR Draws x-, y- and z-axis in the VRML scenes with geometries. Somewhat useful if one is struggling to build a reasonable geometry by adjusting coordinates manually.
- .VR-EIG Only has effect together with .VRML or .VISUAL. Specifies that the eigenvectors of the molecule (that is the eigenvectors of the molecular Hessian, which differs from the normal modes as they are not mass-scaled) should be visualized. These are written to the files eigv\_###.wrl.
- .VR-SYM Draws in all symmetry elements of the molecule as vectors (rotational axes) and semi-transparent planes (mirror planes).
- .VR-VIB Similar to .VR-EIG, but more useful as it draws the actual normal mode vectors (the mass-weighted eigenvectors). These are written to the files norm\_###.wrl. Keyword only has effect when a vibrational analysis has been requested.

# 24.1.2 Parallel calculations : \*PARALLEL

This submodule controls the performance of the parallel version of DALTON. The implementation has been described elsewhere [96]. DALTON only supports MPI as message passing interface in the current release.

.DEBUG Transfers the print level from the master to the slaves, otherwise the print level on the slaves will always be zero. Only for debugging purposes.

#### .DEGREE

```
READ (LUCMD,*) NDEGDI
```

Determines the percent of available tasks that is to be distributed in a given distribution of tasks, where a distribution of tasks is defined as the process of giving batches to *all* slaves. The default is 5%, which ensures that each slave will receive 20 tasks during one integral evaluation, which will give a reasonable control with the idle time of each slave.

.NODES

#### READ (LUCMD,\*) NODES

When MPI is used as message passing interface, the default value is the number of nodes that has been assigned to the job, and these nodes will be partitioned into one master and NODES-1 slaves. In most cases the program will find the number of nodes from the run-shell environment and setting equal to the number of nodes requested when submitting the MPI job minus 1.

.PRINT

READ (LUCMD, \*) IPRPAR

Read in the print level for the parallel calculation. A print level of at least 2 is needed in order to be able to evaluate the parallel efficiency. A complete timing for all nodes will be given if the print level is 4 or higher.

#### 24.1.3 Polarizable embedding model: \*PEQM

This input section controls calculations using the polarizable embedding (PE) model[111, 112] which can include effects from a structured environment on a core molecular system described using quantum mechanics. The core system is defined by the MOLECULE.INP file. The environment can be described by multipole moment expansions and anisotropic dipole-dipole polarizabilities provided in the POTENTIAL.INP file. See Chapter 15 for an introduction to calculations using the polarizable embedding model. See also Ref. [114] for details on the PE-HF/DFT model, Ref. [115] for PE-MP2/SOPPA, Ref. [116] for PE-MCSCF and Ref. [117] for PE-CC. Note, that the input options shown here cannot be used with PE-CC, because it is based on an older implementation (see Section 15.2 for PE-CC input examples).

#### .POTENTIAL

#### READ (LUCMD, \*) POTFILE

This option can be used to specify a non-default name of the POTENTIAL.INP input file that contains the embedding potential parameters. The default name is POTENTIAL.INP.

#### .DIRECT

Use the direct solver to determine the induced dipole moments. It will explicitly build a classical response matrix of size 3S(3S+1)/2, where S is the number of polarizable sites and is therefore only recommendable for smaller molecular systems. Note that this solver is not parallelized. The default is to use the iterative solver.

#### .ITERATIVE

READ (LUCMD, \*) THRITER (optional)

Use the iterative solver to determine the induced dipole moments. This is the default. The convergence threshold defaults to  $1.0 \cdot 10^{-8} > \sum_{s=1}^{S} |\mu_s^{(k)} - \mu_s^{(k-1)}|$ , where k is the current iteration, but can also be provided with this option.

#### .BORDER

# 1) READ (LUCMD, \*) BORDER\_TYPE, RMIN, AUORAA

2) READ (LUCMD, \*) BORDER\_TYPE, REDIST\_ORDER, RMIN, AUORAA, NREDIST Controls the handling of the border between the core molecular system and its environment described by the embedding potential. There are two mutually exclusive schemes: 1) BORDER\_TYPE = REMOVE and 2) BORDER\_TYPE = REDIST. The first option will remove all multipoles and polarizabilities that are within the given distance RMIN from any atom in the core molecular system. The AUORAA variable specifies whether the distance threshold is given in angström (AA) or bohr (AU). The second option will redistribute parameters that are within the given threshold RMIN from any atom in the core system to nearest sites in the environment. The order of multipoles up to which will be redistributed is determined by the REDIST\_ORDER variable, e.g. **REDIST\_ORDER = 1** means that only charges will be redistributed and all other parameters removed, REDIST\_ORDER = 2 means charges and dipoles are redistributed and so on. The sign of REDIST\_ORDER specifies if the polarizabilities are redistributed. Positive means that the polarizabilities are removed and negative means redistributed. The number of sites that parameters on a given site are redistributed to is determined by the NREDIST variable which can be between 1 and 3. The default is to redistribute charges within 2.2 bohr to its nearest site and removing all other parameters.

#### .DAMP (deprecated)

READ (LUCMD, \*) DAMP (optional)

This option has been deprecated and will be removed in a future release (see below for other options). Damp the interactions between inducible dipole moments using Thole's exponential damping scheme [153, 154]. The default damping coefficient is the standard 2.1304 [154].

#### .DAMP INDUCED

READ (LUCMD, \*) IND\_DAMP (optional)

Damp the electric fields from induced dipole moments using Thole's exponential damping scheme [153, 154]. The default damping coefficient is the standard 2.1304 [154].

# .DAMP MULTIPOLE

READ (LUCMD, \*) MUL\_DAMP (optional)

Damp the electric fields from permanent multipole moments using Thole's exponential damping scheme [153, 154]. This option requires polarizabilities on all sites with permanent multipole moments. The default damping coefficient is the standard 2.1304 [154].

#### .DAMP CORE

Damp the electric fields from the electrons and nuclei in the core region based on Thole's exponential damping scheme [153, 154]. The damping coefficient is optional unless isotropic polarizabilities are present. The default damping coefficient is the standard 2.1304 [154]. Standard polarizabilities from ref 154 have been implemented and will be used if none are given as input. However, only H, C, N, O, F, S, Cl, Br and I are available.

#### .GSPOL

Activate the ground-state polarization approximation, i.e. freeze the embedding potential according to the ground-state density. This means that the polarizable environment is self-consistently relaxed during the optimization of the ground-state density/wave function of the core molecular system and then kept frozen in any following response calculations.

#### .NOMB

Remove many-body effects in the environment. This is done by deactivating interactions between inducible dipole moments.

#### .RESTART

Use any existing files to restart calculation.

#### . CUBE

```
    READ (LUCMD, *) STD_GRID
    READ (LUCMD, *) OPTION
    IF (OPTION == 'GRID') THEN
    READ (LUCMD, *) XSIZE, XGRID, YSIZE, YGRID, ZSIZE, ZGRID
    END IF
    IF (OPTION == 'FIELD') THEN
    FIELD = .TRUE.
    END IF
```

Create cube file for the core molecular system containing the electrostatic potential due to the final converged polarizable embedding potential. The grid density can be specified either using 1) standard grids (COARSE (3 points/bohr), MEDIUM (6 points/bohr) or FINE (12 points/bohr) and in all cases 8.0 bohr are added in each direction) or 2) the GRID option which gives full control of the cube size and density, and requires

an additional input line specifying the extent added (in bohr) in each direction and density (in points/bohr). The default grid density is MEDIUM and default cube size is the extent of the molecule plus 8.0 bohr in plus and minus each Cartesian coordinate. If the FIELD option is given then also three cube files containing the Cartesian components of the electric field from the embedding potential will be created.

# .ISOPOL

Converts all anisotropic polarizabilities into isotropic polarizabilities.

.ZEROPOL

Remove all polarizabilities.

# .ZEROMUL

READ (LUCMD, \*) ZEROMUL\_ORDER (optional)

Remove all multipoles of order ZEROMUL\_ORDER and up. The default is to remove all dipoles and higher-order multipoles (i.e.  $ZEROMUL_ORDER = 1$ ).

# .VERBOSE

Verbose output. Currently this will print the final converged induced dipole moments.

.DEBUG

Debug output. Prints the total electric field and the induced dipole moments in each iteration. WARNING: for large systems this will produce very large output files.

# 24.1.4 QM/MM model: \*QM3

Not documented. Examples can be found by grepping for "QM3" in the "DALTON/test" directory in the distribution. See also .PEQM and \*PEQM (introduction in Chapter 15) for a newer more general and efficient implementation with many of the same capabilities.

# 24.1.5 Polarizable continuum model: \*PCM

This input section, together with the **\*PCMCAV** input section, controls the polarizable continuum model (PCM) to describe the environment effects. See Section 14.1 for an introduction to calculations using PCM in DALTON.

.SOLVNT

READ (LUCMD, \*) RZSOL

Solvent. The following solvents are supported in DALTON

Formula	Keyword
H2O	WATER
CH3OH	METHANOL
C2H5OH	ETHANOL
CHCL3	CLFORM
CH2CL2	METHYLCL
C2H4CL2	12DICLET
CCL4	TETRACLC
C6H6	BENZENE
C6H5CH3	TOLUENE
C6H5CL	CLBENZ
CH3NO2	NITROMET
C7H16	N-EPTANE
C6H12	CYCLOHEX
C6H5NH2	ANILINE
CH3COCH3	ACETONE
THF	TETHYDFU
DMSO	DIMETSOX
CH3CN	ACETONIT

It is also possible to define a solvent by the keywords .EPS, .EPSINF and .RSOLV.

# .NEQRSP

Non-equilibrium contributions of the solvent to the response calculation.

#### .ICESPH

READ (LUCMD, \*) ICESPH

Define how to put the spheres when generating the PCM cavity. ICESPH can either be 0, 1 or 2.

- 0 automatic assignment of spheres on all atoms following the tabulated radii values. This is the default.
- 1 reading the sphere centers coordinates in the MOLECULE.INP file, as (X,Y,Z,R), with R the radius of the sphere. Be aware that this option is not working if molecular symmetry is detected.
- 2 specifying the nuclei associated with the sphere. This is used together with the .NESFP keyword and, from the \*PCMCAV section (see below), the .INA and .RIN keywords

.NESFP

READ (LUCMD, \*) NESFP Number of spheres (only if ICESPH is defined as 2).

#### .EPS

READ (LUCMD, \*) EPS Defines the static dielectric constant.

# .EPSINF

READ (LUCMD, \*) EPSINF Defines the optical dielectric constant.

#### .RSOLV

READ (LUCMD, \*) RSOLVI Defines the solvent radius.

# .PRINT

**READ (LUCMD, \*) IPRPCM** Print level for the PCM calculation (default 0).

# 24.1.6 The PCM cavity: \*PCMCAV

This input section is only used right after the \*PCM section (Section 24.1.6), and is used to specify the cavity.

#### .AREATS

```
READ (LUCMD, *) AREATS
```

Defines the maximum area of a tessera in the initial tesselation of a sphere. (default 0.4)

#### .INA

```
DO I = 1, NESFP
    READ (LUCMD, *) INA(I)
END DO
List of atoms to put a sphere on
```

# .RIN

```
DO I = 1, NESFP
    READ (LUCMD, *) RIN(I)
END DO
The radii of each sphere, given in ångström.
```

#### .ALPHA

```
DO I = 1, NESFP
READ (LUCMD, *) ALPHA(I)
END DO
```

Scaling factor for the sphere radii (default 1.2). If parameter ALPHA(I) is greater than 0 the I-th radius RIN(I) is multiplied by ALPHA(I). This allows to use radii=R(van der Waals)\*ALPHA in the calculation of electrostatic (and eventually SCF disp-rep) contribution, and radii = R(van der Waals) for the cavitation.

.CENTER

DO I = 1, NESFP
 READ (LUCMD, \*) XE(I),YE(I),ZE(I)
END DO
xvz coordinates of the center of cavity spheres, given in ångström.

# 24.1.7 Potential derived charges: \*QFIT

Directives controlling the evaluation of charges (and higher ranks of multipole moments, see MPRANK below) fitted to reproduce the molecular electrostatic potential evaluated on a grid surrounding the molecule. Currently, the only built-in grid is a Connolly-Merz grid where NSHELL shells around the molecule are constructed from Van der Waal spheres placed on each nuclei of the molecule. The initial spheres are scaled by VDWSCL and succesive spheres are scaled in increments of VDVINC. Alternatively, a file with grid points given through the MEPFIL keyword can be provided instead.

.MPRANK

READ (LUCMD,\*) MPRANK

Specifies the highest rank of the multipole moments which are fitted to reproduce the electrostatic potential. It is possible to specify either 0 (charges) or 1 (charges and dipoles). Please note, that using the dipole constraint (see CONSTR below) with a rank >= 1 is meaningless and will be ignored. The default value is 0 (charges).

.CONSTR

READ (LUCMD,\*) CONSTR

Set the type of constraint on the derived charges. Options are NONE, CHARGE or DIPOLE which sets no constraints, constraints the sum of potential derived charges to be equal to the molecular charge or constraints the sum and dipole moment of the potential derived charges to be equal to the molecular charge and permanent molecular dipole moment, respectively. Setting the constraint to NONE will lead to additional charge being generated or removed and should be used carefully. The default value is CHARGE.

.VDWSCL

READ (LUCMD,\*) VDWSCL

Sets the scaling factor,  $R_{scl}$ , for the Van der Waal radii of the nuclei when generating the surface. The default value is 1.4.

#### .VDWINC

READ (LUCMD,\*) VDWINC

Sets the increment of scaling,  $R_{inc}$ , for successive shells past the first. See option NSHELL. The total scaling factor for each Van der Waal sphere for shell n can be calculated from  $R = R_{vdw} \cdot (R_{scl} + (n-1) \cdot R_{inc})$ . The default value is 0.2 ångström per shell.

#### .NSHELL

READ (LUCMD,\*) NSHELL

Generate NSHELL shells of points around the molecule. The default value is 4.

#### .PTDENS

READ (LUCMD,\*) PTDENS

The surface point density. Default value is 0.28 points au<sup>-2</sup>.

#### .MEPFIL

READ (LUCMD,\*) MEPFIL

Specifies the file to load from the work directory containing coordinates of a molecular surface. The format for the surface is an .xyz-file with a title specifying either angström (AA) or bohr (AU) units:

20 AA Х -2.9160.154 -0.000 Х -3.6830.221 -0.000 . . . . • • -0.000 Х 1.291 2.156 Х 1.687 2.819 0.001

# 24.1.8 Geometry optimization module 2: \*WALK

Directives controlling one of the two second-order geometry optimizations as well as the execution of dynamical walks and numerical differentiation in calculations of Raman intensities and optical activity, appear in the **\*WALK** section. ANHARM Requests that a determination of the cubic force field is to be determined. By default this is done calculating numerical derivatives of analytical molecular Hessians in Cartesian coordinates.

## .DISPLA

READ (LUCMD, \*) DISPLC

Displacement taken in a numerical differentiation. This applies both for a numerical molecular molecular Hessian, as well as in calculation of Raman intensities and optical activity. Read one more line specifying value (\*). Default is  $10^{-4}$  a.u. However, note that this variable do not determine the displacements used when evaluating numerical gradient for use in first-order geometry optimizations with MP2 or CI wave functions, which is controlled by the .DISPLA keyword in the \*OPTIMIZE module.

.DYNAMI Perform a "dynamic walk": integrate the classical equations of motion for the nuclei analytically on a locally quadratic surface. The method is discussed in Ref. [13] as well as in Section 6.2.2.

.ECKART

```
DO I = 1, NUCDEP

READ (LUCMD,'(7X,F17.10,2F24.10)')

& (ECKGEO(J,I), J = 1, 3)

END DO
```

During a vibrational averaging, ensure that the properties are transformed to the appropriate Eckart axis system. The coordinate system given should be that of the equilibrium geometry of the molecule. The coordinates should be given in bohr, and should be given for all symmetry generated atoms in the order given in the input.

.EIGEN Take a step to the boundary of the trust region along the eigenvector mode specified by .MODE.

```
.FRAGME
```

```
READ (LUCMD, *) NIP
READ (LUCMD, *) (IPART(IP), IP = 1, NIP)
```

Identify which fragments atoms belong to in a dynamic walk. Read one more line specifying the number of atoms (the total number of atoms in the molecule), then one more line identifying which fragment an atom belongs to. The atoms in the molecule are given a number, different for each fragment. See also the discussion in Sec. 6.2.2.

.GRDEXT Perform a gradient extremal-based optimization. The algorithm used in this kind of optimization is thoroughly described in Ref.[10]. This is the default walk type if
the index of the critical point searched is higher than 1. See also the discussion in Sec. 6.1.4.

#### .HARMON

READ (LUCMD, \*) ANHFAC

Threshold for harmonic dominance. Read one more line specifying value. Default is 100. This is another way of changing the criterion for changes of the trust radius. See also the keyword .TRUST.

. IMAGE Locate a transition state using a trust-region-based image surface minimization. Note that only a point with a molecular Hessian index of 1 can currently be located with this method, not higher-order stationary points. See also the discussion in Sec. 6.1.2.

## .INDEX

#### READ (LUCMD,\*) IWKIND

Desired molecular Hessian index (strictly speaking, of the totally symmetric block of the molecular Hessian) at the optimized geometry. Read one more line specifying value. Default is 0 (minimum). Note that a stationary point with the wrong molecular Hessian index will not be accepted as an optimized geometry.

#### .IRC

## READ (LUCMD, \*) IRCSGN

Set the geometry walk to be an Intrinsic Reaction Coordinate (IRC) as described in Ref. [22]. Read one more line containing the sign (-1 or 1) of the reaction coordinate. It cannot be decided in advance which reaction pathway a specific sign is associated with. See also the discussion in Sec. 6.2.1.

- .KEEPSY Ensure that the symmetry of the molecule is not broken. The threshold for determining a mode as breaking symmetry is controlled by the keyword .ZERGRD.
- .MASSES Mass-scale the atomic coordinates. This is the default for dynamic walks, gradient extremal walks and in calculations of Intrinsic Reaction Coordinates (IRCs).

#### .MAXNUC

READ (LUCMD, \*) XMXNUC

Maximum displacement allowed for any one atom as a result of the geometry update. Read one more line specifying value. Default is 0.5.

## .MAXTRU

READ (LUCMD, \*) TRUMX1

Set the maximum arc length in an Intrinsic Reaction Coordinate (IRC) walk. Read one more line containing the maximum arc length. Default is 0.10. Note that this arc length is also affected by the .TRUST keyword, and if both are specified, the arc length will be set to the minimum value of these to.

.MODE

READ (LUCMD,\*) IMODE

Mode to follow in level-shifted Newton optimizations for transition states. Read one more line specifying mode. Default is to follow the lowest mode (mode 1).

.MODFOL Perform a mode-following (level-shifted Newton) optimization. This is the default for minimizations and localization of transition states. See also discussion in Section 6.1.5.

.MOMENT

```
READ (LUCMD, *) NSTMOM
DO IP = 1, NSTMOM
    READ (LUCMD, *) ISTMOM(IP), STRMOM(IP)
END DO
```

Initial momentum for a dynamic walk. Read one more line specifying the number of modes to which there is added an initial momentum. Then read one line for each of these modes, containing first the number of the mode, and then the momentum. The default is to have no momentum. See also the section describing how to perform a dynamic walk, Sec. 6.2.2.

- .NATCON Use the natural connection when orthogonalizing the predicted molecular orbitals at the new geometry. By default the symmetric connection is used.
- .NEWTON Use a strict Newton-Raphson step to update the geometry. This means that no trust region will be used.
- .NO CENTRIFUGAL FORCES Do not include contributions from centrifugal forces when calculating vibrationally averaged geometries at a finite temperature.

.NOGRAD

READ (LUCMD, \*) NZEROG

READ (LUCMD, \*) (IZEROG(I), I = 1, NZEROG)

Set some gradient elements to zero. Read one more line specifying how many elements to zero, then one or more lines listing their sequence numbers.

- .NOORTH The predicted molecular orbitals at the new geometry are *not* orthogonalized. Default is that the orbitals are orthogonalized with the symmetric connection. Orthogonalization can also be done with the natural connection [54]. See the keyword .NATCON.
- .NOPRED No prediction of the energy of the wave function at the updated geometry.
- .NORMAL Do the calculation of effective (vibrationally averaged) geometries in normal coordinates. This will restrict the calculation of the effective geometry to one isotopic species (by default the most abundant one).
- .NUMERI Do a numerical differentiation, for instance when calculating Raman intensities or Raman optical activity, see Sections 7.4 and 10.5.

## .PRINT

READ (LUCMD,\*) IPRWLK

Set the print level in the prediction of new geometry steps. Read one more line containing print level. Default value is the value of IPRDEF in the general input module.

#### .RATLIM

## READ (LUCMD, \*) RTRMIN, RTRGOD, REJMIN, REJMAX

Limits on ratios between predicted and observed energy change. Read one more line specifying four values (\*). These are respectively the bad prediction ratio, good prediction ratio, low rejection ratio and high rejection ratio. Defaults are 0.4, 0.8, 0.1, and 1.9.

.REJECT Signals that the previous geometry step was rejected, and the trust region is reduced. This keyword is used in case of restarts to tell the program that when the program was stopped, the last geometry was in fact rejected.

## .REPS

```
READ (LUCMD, *) NREPS
READ (LUCMD, *) (IDOREP(I), I = 1, NREPS)
```

Consider perturbations of selected symmetries only. Read one more line specifying how many symmetries, then one line listing the desired symmetries. Note that only those symmetries previously defined to be true with the keyword .REPS from the ABACUS input modules will be calculated. This keyword thus represents a subset of the .REPS of the general input module.

.RESTART Tells the program that this is a restarted geometry optimization and that information may therefore be available on the DALTON.WLK file. .REUSE Use the property derivatives available on the file DALTON.WLK in a calculation of the harmonic contribution to the vibrational average. In this case, only a new force field will be calculated.

.SCALE

```
READ (LUCMD, *) NUMNUC
DO INUC = 1, NUMNUC
READ (LUCMD, *) IATOM,(SCALCO(J,IATOM), J = 1, 3)
END DO
```

Scale the atomic coordinates. Read one more line specifying how many atoms to scale, then one line for each of these atoms (\*) specifying the atom number and scale factors for all three Cartesian coordinates. Default is no scaling of the atomic coordinates.

#### . TEMPERATURES

READ (LUCMD, \*) NTEMP READ (LUCMD, \*) (TEMP(ITMP), ITMP=1,NTEMP)

Read a set of temperatures for which the effective (rovibrationally averaged) geometries are to be calculated. Read one more line containing the number of different temperatures, and another line containing the list of temperatures.

.TOLERANCE

READ (LUCMD, \*) TOLST

Threshold for convergence of the geometry optimization (on gradient norm). Read one more line specifying the threshold (\*). Default is  $10^{-5}$ .

## .TRUST

READ (LUCMD, \*) TRUSTR, TRUSTI, TRUSTD

Trust region information. Read one more line specifying three values (\*): initial trust radius, factor by which radius can be incremented, and factor by which it can be decremented. Defaults are 0.5, 1.2 and 0.7, respectively; initial trust radius default is 0.3 if desired molecular Hessian index is greater than zero. In dynamic walks the trust radius is by default put to 0.005, and in walks along an Intrinsic Reaction Coordinate (IRC) the default trust radius is 0.02. For dynamical walks the default increment and decrement factor is changed to 2.0 and 0.8 respectively.

.VIBAVE Request the calculation of the harmonic contribution to the vibrational average of a molecular property.

## .ZERGRD

READ (LUCMD, \*) ZERGRD

Threshold below which gradient elements are treated as zero. Read one more line specifying value (\*). Default is  $10^{-5}$ . This keyword is mainly used for judging which modes are symmetry breaking when using the keyword .KEEPSY as well as when deciding what step to take when starting a walk from a transition state.

## 24.1.9 Molecule geometry and basis sets, \*MOLBAS

The directives in this section extend or modify the reading of the molecule geometry and basis set specifications in the ".mol" input file (internally MOLECULE.INP).

#### .CM FUN

READ (LUCMD,\*) LCMMAX, CMSTR, CMEND

Use Rydberg basis functions (center of mass functions) as suggested by Kaufman *et al.* [155]. LCMMAX denoted the maximum quantum number of the Rydberg functions, basis functions for all quantum up to and including LCMMAX will be generated (s=0, p=1 etc.) CMSTR and CMEND are the half-integer start- and ending quantum number for the Rydberg basis functions. The basis functions will be placed at the position of a dummy center indicated as X in the MOLECULE.INP file. The charge of the ion-core is determined by the keyword .ZCMVAL (default: +1). If no center named X is present in the MOLECULE.INP file, this input will be ignored.

#### .CONTINUUM

READ (LUCMD,\*) LCNTMAX, CNTSTR, CNTEND

Use continuum-like basis functions (Gaussian continuum-like) as suggested by Kaufman *et al.* [155] (cf. eq. 20). LCNTMAX denoted the maximum quantum number of the continuum functions, basis functions for all quantum up to and including LCNTMAX will be generated (s=0, p=1 etc.) CNTSTR and CNTEND are the integer start- and ending quantum number for the continuum basis functions. The basis functions will be placed at the position of a dummy center indicated as X in the MOLECULE. INP file. No charge of the ion-core is required for these functions. If no center named X is present in the MOLECULE. INP file, this input will be ignored.

#### .MAXPRI

## READ (LUCMD,\*) MAXPRI

Set maximum number of primitives in any contraction. Read one more line containing number. Default is 25, except for the Cray-T3D/E, where the default is 14.

.OLDNORM Use the normalization scheme for spherical AO's used in Dalton 1.0. From Dalton 1.1, all components of spherical AO's are normalized to 1. This was not the case

in Dalton 1.0, and this option is needed to read MO's correctly from an old file generated by Dalton 1.0 if spherical AO's were used. (Cartesian AO's are still not all normalized to 1 for d-orbitals and higher l's.)

## .PRINT

READ (LUCMD,\*) IPREAD

Set print level for input processing. Read one more line containing print level. Default is the IPRDEF from the **\*\*INTEGRALS** input module.

.R12AUX An auxiliary basis is used. Basis sets must be identified as either orbital basis or auxiliary basis in the MOLECULE.INP file (line 5). See Sec. 26.5 on page 254.

#### .SYMTHR

READ (LUCMD,\*) TOLLRN

Read threshold for considering atoms to be related by symmetry. Used in the automatic symmetry detection routines. Default is  $5.0 \cdot 10^{-6}$ .

- .UNCONT Force the program to use all input basis sets as primitive (completely decontracted) sets.
- .WRTLIN Write out the lines read in during the input processing of the MOLECULE.INP file. Primarily for debugging purposes or for analyzing input errors in the MOLECULE.INP file.

## .ZCMVAL

READ (LUCMD,\*) ZCMVAL

Read the charge of the ion-core center for the Rydberg basis functions specified by the .CM FUN keyword. Default is a charge of one.

# 24.2 Numerical differentiation : **\*\*NMDDRV**

This module can calculate any geometrical derivative of the energy using either as high analytical derivatives as possible, or using the specified level of analytical derivatives (assuming implemented for the choice of wave function) [156]. Also performs vibrational averaging over selected first- and second-order molecular properties.

## .DISPLACEMENT

READ (LUCMD,\*) DISPLC

Reads in the step lengths (in atomic units) that is to be used in the numerical differentiation scheme. Default is 1.0D-2.

## .DORDR

## READ (LUCMD,\*) NMORDR, NAORDR

Sets the numerical (NMORDR) and analytical (NAORDR) differentiating order for calculating force constants. Current implementation has an artificial boundary at the 5. numerical derivative (independent of the analytical differentiation order). Notice that if you would like to calculating 4.derivatives from analytical 2.derivatives the input would be 2 2, since you would like to get 2.order numerical derivatives from 2.order analytical derivatives.

#### .DRYRUN

```
READ (LUCMD,*) NMREDU
READ (LUCMD,*) (KDRYRN(II),II=1,NMREDU)
```

The numerical derivatives will not be calculated, and the program will just set up the required displacement. An optional number of redundant coordinate displacements can be specified, corresponding to translational and rotational degrees of freedom.

## .HARMONIC FORCE FIELD

At the end of the calculation, perform a vibrational analysis using the calculated molecular Hessian matrix.

## .MANUAL

Dump each individual geometry input file to the DALTON.OUT file. Primarily of interest for debugging purposes.

.NORMAL Using this keyword the numerical differentiation will be carried out with respect to normal coordinates. The program will then do the necessary numerical differentiation to get the molecular Hessian (and thus normal coordinates), before it will carry on calculating the higher-order force field requested with respect to the calculated normal coordinates.

## .PRECALCULATED HESSIAN

Use a precalculated molecular Hessian available on the DALTON.HES file when defining normal coordinates. Only active in combination with the keyword .NORMAL.

## .PRINT

READ (LUCMD,\*) IDRPRI

Control the print level in the numerical derivative routines. Default is the same as the general print level IPRUSR.

#### .PROPAV

Indicates that a averaging over vibrational motions of a molecular property (including the energy) is to be performed. The input for what kind of a vibrational analysis is to be performed is specified in the **\*PROPAV** module.

#### .PROPER

### READ (LUCMD,\*) NMRDRP, NARDRP

Sets the numerical (NMRDRP) and analytical (NARDRP) differentiating order for calculating geometrical derivatives of molecular properties. Currently, the possible presence of analytical property derivatives cannot be taken advantage of, and the default value of 0 for NARDRP should be used. Currently, dipole transition strengths and vibrationally averaged spin-spin coupling constants have been implemented, the former also for Coupled-Cluster wave functions. Notice that vibrationally averaged spin-spin coupling the .VIBANA and \*VIBANA keywords and input section.

.RESTRT Controls the restart procedure. If a calculation crashes during a force constant calculation, there will be a file in the work directory called RSTRT.FC. This will contain all of the information necessary to restart the calculation. If this file is available and the keyword is used, DALTON will attempt to restart the calculation.

#### .REUSE HESSIAN

If a (mixed) numerical molecular Hessian has been calculated, it will be saved in the file DALTON.HES for possible future use.

#### .SDRTST

If analytical molecular Hessian also has been requested and second-order numerical derivatives, a comparison of the numerical and analytical molecular Hessians will be done. Primarily used for testing.

## .SPECTRO INTERFACE

Write an interface file DALTON. SPC containing force fields of different orders suited for analysis with the SPECTRO program [157].

## .SYMMET

READ (LUCMD,\*) FCLASS

Assigns the molecular point group of the molecule (FCLASS). For instance for water FCLASS would be equal to "C2v". Main rotational axis needs to be set to the z-axis in the .mol file. Additional generating elements needs to be the x-axis for a C2 rotation, and the xy plane for a mirror plane. The current implementation only allows for symmetry use, when differentiating from energies.

#### .TEST N

Test if the normal coordinates used for calculated geometrical derivatives give rise to force fields with appropriate symmetries. Mainly for debugging purposes.

## 24.2.1 Vibrational averaging of molecular properties: \*PROPAV

This module sets up overall numerical or mixed-numerical/analytical geometrical property derivative calculations, as well as performs selected post-analyses of the calculated energy and property derivatives in terms of zero-point vibrationally averaged properties.

## .ANHA-P

Requests the calculation of the anharmonic contribution to a vibrationally averaged molecular property, requiring the use of the first-order perturbed vibrational wave function (requiring knowledge about parts of the cubic force field) and the first derivative of the molecular property. See also the keyword .HARM-P. Currently only implemented for spin-spin coupling constants.

#### .CUBIC

Calculate the molecular Hessian and third derivatives and write the files DALTON.HES and DALTON.WLK for use in future calculation of the vibrationally averaged properties using the .ONLY-P keyword.

#### .EFFECTIVE GEOMETRY

The effective geometry is calculated. This geometry corresponds to the zero-point vibrationally averaged geometry and is thus often referred to as the  $r_z$  geometry. For more information on the effective geometry, see Refs. [121, 123].

## .HARM-P

Requests the calculation of the harmonic contribution to a vibrationally averaged molecular property, requiring the use of the unperturbed vibrational wave function and the second derivative of the molecular property. See also the keyword .ANHA-P. Currently only implemented for spin-spin coupling constants.

## .MODE ANALYSIS

Request an analysis of the contribution of the different vibrational modes to the total zero-point vibrational corrections to a molecular property.

#### .ONLY-P

Calculate only the equilibrium value and derivatives of the molecular property required to calculate the (harmonic and anharmonic) vibrational average. In order for this to work, DALTON.HES and DALTON.WLK files containing the molecular Hessian and third derivatives of the molecule must be available from a previous calculation.

#### .P-BASIS

## READ (LUCMD,\*) PRPBTX

When calculating vibrationally averaged properties use the basis set in the .mol file for calculating the force-constants and PRPBTX for calculating the property and property derivatives.

## .SPIN-SPIN COUPLINGS

Requests the calculation of indirect spin-spin coupling constants. If combined with the keywords .HARM-P and .ANHA-P, the zero-point vibrational corrections to these coupling constants will be calculated.

## 24.2.2 Vibrational analysis: \*VIBANA

This section is identical to the vibrational analysis module described in Chapter 28.1.21, but appears in the **\*\*NMDDRV** module if the molecular Hessian has been calculated numerically using the .NMDDRV keyword. We refer to Chapter 28.1.21 for a list of available keywords.

# 24.3 Decomposition of two-electron integrals : **\*\***CHOLES

In this Section, we describe the keywords controlling the algorithm to decompose the twoelectron integrals, which is activated by the keyword .CHOLES in the **\*\*DALTON** input module. Note that apart from the options related to restart and, sometimes, to the decomposition threshold, the following keywords are very seldom needed, except for pathological cases. The same is the case for the sections **\*CHOMP2 31.17** and **\*CHOCC2 31.18** of the coupled cluster input.

Note that two types of Cholesky decomposition are implemented in DALTON. The other type, the Cholesky decomposition of energy denominators in CCSD(T) is invoked with keyword .CHO(T) (or \*CHO(T) 31.19 if closer control is needed) in \*CC INP.

**Reference literature:** 

H. Koch, A. M. J. Sánchez de Merás, and T. B. Pedersen *J. Chem. Phys.*, **118**, 9481, (2003).

.COMPLE Do not use the reduced set to store the vectors, but keep them in full dimension. Mainly for debugging purposes.

.DENDEC

READ (LUCMD,\*) THRDC

Decompose the density matrix in DIIS SCF. In the next line, the threshold for that decomposition is read. The default is not to decompose the density matrix.

.LENBUF

READ (LUCMD,\*) LENBUF

Buffer length used in the decomposition. Mainly for debugging purposes.

- .NOSCDI Do not screen the initial diagonal  $D_{\alpha\beta}^{(0)} = M_{\alpha\beta,\alpha\beta}^{(0)} = (\alpha\beta \mid \alpha\beta)$  of the two-electron integral matrix  $(\alpha\beta \mid \gamma\delta)$ . (Default: screen the diagonal).
- .REDUCE Use the reduced set to store the Cholesky vectors in disk, that is, keep only the elements corresponding to those not screened in the initial diagonal. This is the default.
- .RSTCHO Restart the decomposition. File CHOLESKY.RST must be available.
- .RSTDIA Use the diagonal computed in a previous calculation. File CHODIAG must be available.

.SPANDI

READ (LUCMD,\*) SPAN

Span factor (s) used in the decomposition. Only diagonals elements

$$D_{\alpha\beta}^{(J)} \ge s \times (D_{\alpha\beta}^{(J)})_{max}$$

are decomposed. (Default: 1.0D-3).

## . THRCOM

READ (LUCMD,\*) THRCOM

Threshold  $(\Delta)$  for the decomposition. Convergence is achieved when all the diagonal elements  $D_{\alpha\beta}^{(J)} < \Delta$ . (Default: 1.0D-8)

#### .THINDI

READ (LUCMD,\*) THINDI

Threshold  $(\tau_0)$  to zero out elements in the initial diagonal. Diagonal elements are zeroed when  $D_{\alpha\beta}^{(0)} \times (D_{\alpha\beta}^{(0)})_{max} < \Delta^2/\tau_0$ . (Default: 1.0D0).

## .THSUDI

READ (LUCMD,\*) THRCC2

Threshold  $(\tau_1)$  to zero out elements in the subsequent diagonals along the decomposition. Diagonal elements are zeroed when  $D_{\alpha\beta}^{(J)} \times (D_{\alpha\beta}^{(J)})_{max} < \Delta^2/\tau_1$ . (Default: 1.0D3).

# Chapter 25

# Integral evaluation, HERMIT

# 25.1 General

HERMIT is the integral evaluation part of the code. In ordinary calculations there is no need to think about integral evaluation, as this will be automatically taken care of by the program. However, HERMIT has an extensive set of atomic one- and two-electron integrals, and some users may find it useful to generate explicit integrals using HERMIT. This is for instance necessary if the RESPONSE module (dynamic properties) is to be used, as described in Chapter 29. Disk usage may also be reduced by not calculating the supermatrix, and this is also controlled in the **\*\*INTEGRALS** input section.

It is worth noticing that the two-electron part of HERMIT is actually two integral modules. TWOINT is the more general one and is invoked by default in sequential calculations; ERI (Electron Repulsion Integrals) is a highly vectorized two-electron integral code with orientation towards integral distributions. ERI is invoked by default in integral-direct coupled cluster calculations and may in other cases be invoked by specifying the .RUNERI keyword in the **\*\*DALTON INPUT** input section, which ensures that ERI rather than TWOINT is called whenever ERI has the required functionality. DALTON will, however, automatically revert to TWOINT for any two-electron integral not available in ERI: ERI cannot be used in parallel calculations and it contains only first-derivatives (for the Hartree–Fock molecular gradient), thus it cannot be used to calculate molecular Hessians or to calculate MCSCF molecular gradients.

Input to integral evaluation is indicated by the keyword **\*\*INTEGRALS**, and the section may be ended with **\*END** OF or any keyword starting with two stars (like *e.g.* **\*\*WAVE FUNCTIONS**). The intermediate input is divided into two sections: one general input section describing what molecular integrals are to be evaluated, and then a set of modules controlling the different parts of the calculation of atomic integrals and the (possible) formation of a supermatrix as defined in for instance Ref. [158].

## 25.2 **\*\*INTEGRALS** directives

The following directives may be included in the input to the integral evaluation. They are organized according to the section names in which they can appear.

## 25.2.1 General: \*\*INTEGRALS

General-purpose directives are given in the **\*\*INTEGRALS** section. This mainly includes requests for different atomic integrals, as well as some directives affecting the outcome of such an integral evaluation. Note that although not explicitly stated, *none of the test options work with symmetry*.

For all atomic integrals, the proper expression for the integral is given, together with the labels written on the file AOPROPER, for reference in later stages of a DALTON calculation (like for instance in during the evaluation of dynamic response properties, or for non-DALTON programs).

We also note that as long as any single atomic property integral is requested in this module, the overlap integrals will also be calculated. Note also, that unless the Hückel starting guess is turned off, this overlap matrix will not only be calculated for the requested basis set, but also for a "ghost" ano-4 basis set appended to the original set in order to do the Hückel starting guess.

.1ELPOT One-electron potential energy integrals.

```
Integral: \sum_{K} \left\langle \chi_{\mu} \left| \frac{Z_{K}}{r_{K}} \right| \chi_{\nu} \right\rangle
Property label: POTENERG
```

.AD2DAR

READ (LUCMD,\*) DARFAC

Integral:  $\frac{\alpha^2}{4} \langle \chi_{\mu} \chi_{\nu} | \delta(\mathbf{r}_{12}) | \chi_{\rho} \chi_{\sigma} \rangle$ 

Add two-electron Darwin integrals to the standard electron-repulsion integrals with a perturbation factor DARFAC.

ANGLON Contribution to the one-electron contribution of the magnetic moment using London orbitals arising from the differentiation of London-orbital transformed Hamiltonian, see Ref. [159].

> Integral:  $\langle \chi_{\mu} | \mathbf{L}_{N} | \chi_{\nu} \rangle$ Property labels: XANGLON , YANGLON , ZANGLON

. ANGMOM Angular momentum around the molecular origin. This can be adjusted by changing the gauge origin through the use of the .GAUGEO keyword.

Integral:  $\langle \chi_{\mu} | \mathbf{L}_{O} | \chi_{\nu} \rangle$ 

Property labels: XANGMOM , YANGMOM , ZANGMOM

.CARMOM

READ (LUCMD,\*) IORCAR

Cartesian multipole integrals to order IORCAR. Read one more line specifying order. See also the keyword .SPHMOM.

Integral:  $\left\langle \chi_{\mu} \left| x^{i} y^{j} z^{k} \right| \chi_{\nu} \right\rangle$ Property labels: CMiijjkk

where ii + jj + kk = IORDER, and where ii = (i/10)\*10 + mod(i,10).

.CM-1

READ (LUCMD, '(A7)') FIELD1

First derivative of the electric dipole operator with respect to an external magnetic field due to differentiation of the London phase factors, see Ref. [98]. Read one more line giving the direction of the electric field (A7). These include X-FIELD, Y-FIELD, and Z-FIELD.

Integral:  $Q_{MN} \langle \chi_{\mu} | \mathbf{r} D | \chi_{\nu} \rangle$ Property labels: D-CM1 X , D-CM1 Y , D-CM1 Z

where D is the direction of the applied electric field as specified in the input.

.CM-2

READ (LUCMD, '(A7)') FIELD2

Second derivative electric dipole operator with respect to an external magnetic field due to differentiation of the London phase factors, see Ref. [98]. Read one more line giving the direction of the electric field (A7). These include X-FIELD, Y-FIELD, and Z-FIELD.

Integral:  $Q_{MN} \left\langle \chi_{\mu} \left| \mathbf{r} \mathbf{r}^T D \right| \chi_{\nu} \right\rangle Q_{MN}$ 

Property labels: D-CM2 XX, D-CM2 XY, D-CM2 XZ, D-CM2 YY, D-CM2 YZ, D-CM2 ZZ

where D is the direction of the applied electric field as specified in the input.

.DARWIN One-electron Darwin integrals [160].

```
Integral: \frac{\pi \alpha^2}{2} \langle \chi_{\mu} | \delta(\mathbf{r}) | \chi_{\nu} \rangle
Property label: DARWIN
```

- .DCCR12 Only required for interfaces to other implementations of the R12 approach. Obsolete, do not use.
- .DEROVL Geometrical first derivatives of overlap integrals.

Integral:  $\frac{\partial}{\partial \mathbf{R}_K} \langle \chi_\mu \mid \chi_\nu \rangle$ Property labels: 1D0VLxyz

where xyz is the symmetry adapted nuclear coordinate.

.DERHAM Geometrical first derivatives of the one-electron Hamiltonian matrix.

Integral:  $\frac{\partial}{\partial \mathbf{R}_{K}} \left\langle \chi_{\mu} \left| \sum_{K} \frac{Z_{K}}{r_{K}} - \frac{1}{2} \nabla^{2} \right| \chi_{\nu} \right\rangle$ Property labels: 1DHAMxyz

where xyz is the symmetry adapted nuclear coordinate.

.DIASUS Diamagnetic magnetizability integrals, as calculated with London atomic orbitals, see Ref. [159]. It is calculated as the sum of the three contributions DSUSLH, DSUSLL, and DSUSNL.

Integral:  $\frac{1}{4} \left[ \left\langle \chi_{\mu} \left| r_{N}^{2} I - \mathbf{r}_{N} \mathbf{r}_{N}^{T} \right| \chi_{\nu} \right\rangle + \overline{Q_{MN}} \left\langle \chi_{\mu} \left| \mathbf{r} \mathbf{L}_{N}^{T} \right| \chi_{\nu} \right\rangle + Q_{MN} \left\langle \chi_{\mu} \left| \mathbf{r} \mathbf{r}^{T} h \right| \chi_{\nu} \right\rangle Q_{MN} \right]$ Property label: XXdh/dB2, XYdh/dB2, XZdh/dB2, YYdh/dB2, YZdh/dB2, ZZdh/dB2

.DIPGRA Calculate dipole gradient integrals, that is, the geometrical first derivatives of the dipole length integrals.

Integral:  $\frac{\partial}{\partial \mathbf{R}_K} \langle \chi_\mu | \mathbf{r} | \chi_\nu \rangle$ Property labels: abcDPG d

where *abc* is the symmetry adapted nuclear coordinate, and *d* the direction (x/y/z) of the dipole moment.

.DIPLEN Dipole length integrals.

Integral:  $\langle \chi_{\mu} | \mathbf{r} | \chi_{\nu} \rangle$ 

Property labels: XDIPLEN, YDIPLEN, ZDIPLEN

.DIPORG

READ (LUCMD, \*) (DIPORG(I), I = 1, 3)

Specify the dipole origin to be used in the calculation. Read one more line containing the three Cartesian components in bohrs (\*). Default is (0,0,0).

.DIPVEL Dipole velocity integrals.

Integral:  $\langle \chi_{\mu} | \nabla | \chi_{\nu} \rangle$ Property label: XDIPVEL , YDIPVEL , ZDIPVEL

.DNS-KE Kinetic-energy correction to the diamagnetic contribution to nuclear shielding constants with a common gauge origin, see Ref. [161].

Integral: 
$$\frac{3}{4} \left\langle \chi_{\mu} \left| \left[ \nabla^2, \frac{\mathbf{r}_O^T \mathbf{r}_K - \mathbf{r}_O \mathbf{r}_K^T}{r_K^3} \right]_+ \right| \chi_{\nu} \right\rangle$$

Property label: abcNSKEd, where abc is the number of the symmetry-adapted nuclear magnetic moment coordinate, and d refers to the x, y, or z component of the magnetic field. O is the gauge origin.

.DPTOVL DPT (Direct Perturbation Theory) integrals: Small-component one-electron overlap integrals.

> Integral:  $\left\langle \chi_{\mu} \left| \frac{\partial^2}{\partial \mathbf{r}^2} \right| \chi_{\nu} \right\rangle$ Property labels: dd/dxdx, dd/dxdy, dd/dxdz, dd/dydy, dd/dydz, dd/dzdz

.DPTPOT DPT (Direct Perturbation Theory) integrals: Small-component one-electron potential energy integrals.

Integral:  $\left\langle \chi_{\mu} \left| \frac{\partial}{\partial \mathbf{r}} \frac{1}{\mathbf{R}_{K}} \frac{\partial}{\partial \mathbf{r}} \right| \chi_{\nu} \right\rangle$ 

Property labels: DERXXPVP, DERXY+YX, DERXZ+ZX, DERYY, DERYZ+ZY, DERZZ

.DPTPXP DPT (Direct Perturbation Theory) integrals: Small-component dipole length integrals for Direct Perturbation Theory.

> Integral:  $\frac{1}{4} \langle \nabla \chi_{\mu} | \mathbf{r} | \nabla \chi_{\nu} \rangle$ Property labels: PXPDIPOL, PYPDIPOL, PZPDIPOL.

.DSO Diamagnetic spin-orbit integrals. These are calculated using Gaussian quadrature as described in Ref. [162]. The number of quadrature point is controlled by the keyword .POINTS.

Integral:  $\left\langle \chi_{\mu} \left| \frac{\mathbf{r}_{K}^{T}\mathbf{r}_{L}I - \mathbf{r}_{K}\mathbf{r}_{L}^{T}}{r_{K}^{3}r_{L}^{3}} \right| \chi_{\nu} \right\rangle$ 

Property labels: DSO abcd where ab is the symmetry coordinate of a given component for the symmetry-adapted nucleus K, and cd is in a similar fashion the symmetry coordinate for the symmetry-adapted nucleus L.

.DSO-KE Kinetic energy correction to the diamagnetic spin-orbit integrals. These are calculated using Gaussian quadrature as described in Ref. [162]. The number of quadrature point is controlled by the keyword .POINTS. Please note that this integral has not been extensively tested, and the use of this integral is at the risk of the user.

Integral: 
$$\left\langle \chi_{\mu} \left| \left[ \nabla^2, \frac{\mathbf{r}_K^T \mathbf{r}_L I - \mathbf{r}_K \mathbf{r}_L^T}{r_K^3 r_L^3} \right]_+ \right| \chi_{\nu} \right\rangle$$

Property labels: DSOKabcd where ab is the symmetry coordinate of a given component for the symmetry-adapted nucleus K, and cd is in a similar fashion the symmetry coordinate for the symmetry-adapted nucleus L.

.DSUSLH The contribution to diamagnetic magnetizability integrals from the differentiation of the London orbital phase-factors, see Ref. [159].

Integral: 
$$\frac{1}{4}Q_{MN} \left\langle \chi_{\mu} \left| \mathbf{r} \mathbf{r}^{T} h \right| \chi_{\nu} \right\rangle Q_{MN}$$
  
Property labels: XXDSUSLH, XYDSUSLH, XZDSUSLH, YZDSUSLH, ZZDSUSLH

.DSUSLL The contribution to the diamagnetic magnetizability integrals from mixed differentiation on the Hamiltonian and the London orbital phase factors, see Ref. [159].

Integral:  $\frac{1}{4} \overline{Q_{MN} \langle \chi_{\mu} | \mathbf{r} \mathbf{L}_{N}^{T} | \chi_{\nu} \rangle}$ 

Property labels: XXDSUSLL, XYDSUSLL, XZDSUSLL, YYDSUSLL, YZDSUSLL, ZZDSUSLL

.DSUSNL The contribution to the diamagnetic magnetizability integrals using London orbitals but with contributions from the differentiation of the Hamiltonian only, see Ref. [159].

> Integral:  $\frac{1}{4} \left\langle \chi_{\mu} \left| r_{N}^{2} I - \mathbf{r}_{N} \mathbf{r}_{N}^{T} \right| \chi_{\nu} \right\rangle$ Property labels: XXDSUSNL, XYDSUSNL, XZDSUSNL, YYDSUSNL, YZDSUSNL, ZZDSUSNL

- .DSUTST Test of the diamagnetic magnetizability integrals with London atomic orbitals. Mainly for debugging purposes.
- .EFGCAR Cartesian electric field gradient integrals.

Integral:  $\frac{1}{3} \left\langle \chi_{\mu} \left| \frac{3\mathbf{r}_{K}\mathbf{r}_{K}^{T} - \mathbf{r}_{K}^{T}\mathbf{r}_{K}I}{r_{K}^{5}} \right| \chi_{\nu} \right\rangle$ 

Property labels: xyEFGabc, where x and y are the Cartesian directions, abc the number of the symmetry independent center, and c that centers c'th symmetry-generated atom.

- . EFGSPH Spherical electric field gradient integrals. Obtained by transforming the Cartesian electric-field gradient integrals (see . EFGCAR) to spherical basis.
- .ELGDIA Diamagnetic one-electron spin-orbit integrals without London orbitals.

Integral:

Property labels: D1-S0 XX, D1-S0 XY, D1-S0 XZ, D1-S0 YX, D1-S0 YY, D1-S0 YZ, D1-S0 ZX, D1-S0 ZY, D1-S0 ZZ

. ELGDIL Diamagnetic one-electron spin-orbit integrals with London orbitals.

Integral:

Property labels: D1-SOLXX, D1-SOLXY, D1-SOLXZ, D1-SOLYX, D1-SOLYY, D1-SOLYZ, D1-SOLZX, D1-SOLZY, D1-SOLZZ

## .EXPIKR

READ (LUCMD, \*) (EXPKR(I), I = 1, 3)

Cosine and sine integrals. Read one more line containing the wave numbers in the three Cartesian directions. The center of expansion is always (0,0,0).

Integral:

Property labels: COS KX/K, COS KY/K, COS KZ/K, SIN KX/K, SIN KY/K, SIN KZ/K.

.FC Fermi contact integrals, see Ref. [51].

Integral:  $\frac{4\pi g_e}{3} \langle \chi_{\mu} | \delta(\mathbf{r}_K) | \chi_{\nu} \rangle$ 

Property labels: FC NAMab, where NAM is the three first letters in the name of this atom, as given in the MOLECULE. INP file, and ab is the number of the symmetry-adapted nucleus.

.FC-KE Kinetic energy correction to Fermi-contact integrals, see Ref. [161].

Integral:  $\frac{2\pi g_e}{3} \left\langle \chi_{\mu} \left| \left[ \nabla^2, \delta \left( \mathbf{r}_K \right) \right]_+ \right| \chi_{\nu} \right\rangle$ 

Property labels: FCKEnacd, where na is the two first letters in the name of this atom, as given in the MOLECULE.INP file, and cd is the number of the symmetry-adapted nucleus.

.FINDPT

READ (LUCMD, \*) DPTFAC

A direct relativistic perturbation is added to the Hamiltonian and metric with the perturbation parameter DPTFAC, where the actually applied perturbation is DPTFAC\* $\alpha_{fs}^2$ .

.GAUGEO

READ (LUCMD, \*) (GAGORG(I), I = 1, 3)

Specify the gauge origin to be used in the calculation. Read one more line containing the three Cartesian components (\*). Default is (0,0,0).

.HBDO Symmetric combination of half-differentiated overlap matrix with respect to an external magnetic field perturbation when London orbitals are used.

> Integral:  $-\frac{1}{4} \left( Q_{MO} + Q_{NO} \right) \left\langle \chi_{\mu} | \mathbf{r} | \chi_{\nu} \right\rangle$ Property labels: HBDO X , HBDO Y , HBDO Z .

.HD0 Symmetrized, half-differentiated overlap integrals with respect to geometric distortions, see Ref. [163]. Differentiation on the ket-vector.

Integral:  $\left\langle \frac{\partial \chi_{\mu}}{\partial R_{ab}} \mid \chi_{\nu} \right\rangle - \left\langle \chi_{\mu} \mid \frac{\partial \chi_{\nu}}{\partial R_{ab}} \right\rangle$ 

Property label: HDO abc , where abc is the number of the symmetry-adapted coordinate being differentiated.

.HDOBR Geometric half-differentiated overlap matrix differentiated once more on the ketvector with respect to an external magnetic field, see Ref. [81].

Integral:  $-\frac{1}{2}Q_{NO}\left\langle \frac{\partial\chi_{\mu}}{\partial R_{K}}\left|\mathbf{r}\right|\chi_{\nu}\right\rangle$ 

Property labels: abcHBD d, where abc is the symmetry coordinate of the nuclear coordinate being differentiations, and d is the coordinate of the external magnetic field.

.HDOBRT Test the calculation of the .HDOBR integral. Mainly for debugging purposes.

- . INPTES Test the correctness of the **\*\*INTEGRALS**-input. Mainly for debugging purposes, but also a good option to check if the MOLECULE. INP input has been typed in correctly.
- .KINENE Kinetic energy integrals. Note however, that the kinetic energy integrals used in the wave function optimization is generated in the **\*ONEINT** section.

Integral:  $-\frac{1}{2} \langle \chi_{\mu} | \nabla^2 | \chi_{\nu} \rangle$ Property label: KINENERG.

.LONMOM Contribution to the London magnetic moment from the differentiation with respect to magnetic field on the London orbital phase factors, see Ref. [159].

> Integral:  $\frac{1}{4}Q_{MN}\langle \chi_{\mu} | \mathbf{r}h | \chi_{\nu} \rangle$ Property labels: XLONMOM , YLONMOM , ZLONMOM .

- .LRINTS All needed integrals to compute relativisctic corrections to nuclear magnetic shielding will be done.
- .MAGMOM One-electron contribution to the magnetic moment around the nuclei to which the atomic orbitals are attached. This is the London atomic orbital magnetic moment as defined in Eq. (35) of Ref. [46]. The integral is calculated as the sum of .LONMOM and .ANGLON.

Integral:  $\left\langle \chi_{\mu} \left| \mathbf{L}_{N} + \frac{1}{4} Q_{MN} \mathbf{r} h \right| \chi_{\nu} \right\rangle$ Property label: dh/dBX , dh/dBY , dh/dBZ .

.MASSVE Mass-velocity integrals.

Integral:  $\frac{\alpha^2}{8} \langle \chi_{\mu} | \nabla^2 \cdot \nabla^2 | \chi_{\nu} \rangle$ Property label: MASSVELO.

.MGMO2T Test of two-electron integral contribution to magnetic moment.

.MGMOMT Test the calculation of the .MAGMOM integrals.

.MGMTHR

READ (LUCMD, \*) PRTHRS

Set the threshold for which two-electron integrals should be tested with the keyword .MGM02T. Default is  $10^{-10}$ .

- .MNF-SO Calculates the atomic mean-field spin-orbit integrals as described in Ref. [126]. As the calculation of these integrals require a proper description of the atomic states, reliable results can only be expected for generally contracted basis sets such as the ANO sets, and in some cases also the correlation-consistent basis sets ((aug-)cc-p(C)VXZ)).
- .NELFLD Nuclear electric field integrals.

Integral:  $\left\langle \chi_{\mu} \left| \frac{\mathbf{r}_{K}}{r_{K}^{3}} \right| \chi_{\nu} \right\rangle$  where K is the nucleus of interest.

Property labels: NEF abc , where abc is the number of the symmetry-adapted nuclear coordinate.

- .NO HAM Do not calculate ordinary one- and two-electron Hamiltonian integrals. Delete any old files with one- and two-electron integrals.
- .NO2SO Do not calculate two-electron contribution to spin-orbit integrals.
- .NOPICH Do not add direct perturbation theory correction to Hamiltonian integral, see keyword .FINDPT.
- .NOSUP Do not calculate the supermatrix integral file. This may be required in order to reduce the amount of disc space used in the calculation (to approximately one-third before entering the evaluation of molecular properties). Note however, that this will increase the time used for the evaluation of the wave function significantly in ordinary Hartree–Fock runs. It is default for direct and parallel calculations.
- .NOTV12 Obsolete keyword, do not use.
- .NOTWO Only calculate the one-electron part of the Hamiltonian integrals. It is default for direct and parallel calculations. NOTE: old files with two-electron integrals are deleted.
- .NPOTST Test of the nuclear potential integrals calculated with the keyword .NUCPOT. Mainly for debugging purposes.
- .NSLTST Test of the integrals calculated with the keyword .NSTLON. Mainly for debugging purposes.
- .NSNLTS Test of the integrals calculated with the keyword .NSTNOL. Mainly for debugging purposes.
- .NST Calculate the one-electron contribution to the diamagnetic nuclear shielding tensor integrals using London atomic orbitals, see Ref. [159]. It is calculated as the sum of NSTLON and NSTNOL.

Integral:  $\frac{1}{2} \left\langle \chi_{\mu} \left| \frac{\mathbf{r}_{N}^{T} \mathbf{r}_{K} - \mathbf{r}_{N} \mathbf{r}_{K}^{T}}{r_{K}^{3}} + Q_{MN} \frac{\mathbf{r}_{N}^{T} \mathbf{l}_{K}}{r_{K}^{3}} \right| \chi_{\nu} \right\rangle$  where K is the nucleus of interest. Property label: **abcNST d**, where **abc** is the number of the symmetry-adapted nuclear magnetic moment coordinate, and **d** refers to the x, y, or z component of the magnetic field.

.NSTCGO Calculate the diamagnetic nuclear shielding tensor integrals without using London atomic orbitals. Note that the gauge origin is controlled by the keyword .GAUGEO.

Integral:  $\frac{1}{2} \left\langle \chi_{\mu} \left| \frac{\mathbf{r}_{O}^{T} \mathbf{r}_{K} - \mathbf{r}_{O} \mathbf{r}_{K}^{T}}{r_{K}^{3}} \right| \chi_{\nu} \right\rangle$  where *K* is the nucleus of interest. Property label: **abcNSCOd**, where **abc** is the number of the symmetry-adapted nuclear magnetic moment coordinate, and **d** refers to the x, y, or z component of the magnetic field. O is the gauge origin.

.NSTLON Calculate the contribution to the London orbital nuclear shielding tensor from the differentiation of the London orbital phase-factors, see Ref. [159].

Integral:  $\frac{1}{2}Q_{MN}\left\langle \chi_{\mu} \left| \frac{\mathbf{r}_{N}^{T}\mathbf{l}_{K}}{r_{K}^{3}} \right| \chi_{\nu} \right\rangle$  where K is the nucleus of interest.

Property labels: abcNSLOd, where abc is the number of the symmetry-adapted nuclear magnetic moment coordinate, and d refers to the x, y, or z component of the magnetic field.

.NSTNOL Calculate the contribution to the nuclear shielding tensor when using London atomic orbitals from the differentiation of the Hamiltonian alone, see Ref. [159].

Integral:  $\frac{1}{2} \left\langle \chi_{\mu} \left| \frac{\mathbf{r}_{N}^{T} \mathbf{r}_{K} - \mathbf{r}_{N} \mathbf{r}_{K}^{T}}{r_{K}^{3}} \right| \chi_{\nu} \right\rangle$  where K is the nucleus of interest.

Property label: abcNSNLd, where abc is the number of the symmetry-adapted nuclear magnetic moment coordinate, and d refers to the x, y, or z component of the magnetic field.

.NSTTST Test the calculation of the one-electron diamagnetic nuclear shielding tensor using London atomic orbitals.

. NUCMOD

READ (LUCMD, \*) INUC

Choose nuclear model. A 1 corresponds to a point nucleus (which is the default), and 2 corresponds to a Gaussian distribution model.

.NUCPOT Calculate the nuclear potential energy. Currently this keyword can only be used in calculations not employing symmetry. Integral:  $\left\langle \chi_{\mu} \left| \frac{Z_{K}}{r_{K}} \right| \chi_{\nu} \right\rangle$  where K is the nucleus of interest.

Property labels: POT.E ab, where ab are the two first letters in the name of this nucleus. Thus note that in order to distinguish between integrals, the first two letters in an atom's name must be unique.

.OCTGRA Calculate octupole gradient integrals, that is, the geometrical first derivatives of the third moment integrals (.THIRDM). (note: it is NOT the gradient of the .OCTUPO integrals).

Integral:  $\frac{\partial}{\partial \mathbf{R}_{K}} \langle \chi_{\mu} | \mathbf{r}^{3} | \chi_{\nu} \rangle$ Property labels: abODGcde

where ab is the symmetry adapted nuclear coordinate, and cde the component (x/y/z) of the third moment tensor. Currently, this integral does not work with symmetry.

.OZ-KE Calculates the kinetic energy correction to the orbital Zeeman operator, see Ref. [161].

Integral:  $\left\langle \chi_{\mu} \left| \left[ \nabla^2, \mathbf{l}_O \right]_+ \right| \chi_{\nu} \right\rangle$ Property labels: XOZKE , YOZKE , ZOZKE

.PHASEO

```
READ (LUCMD, *) (ORIGIN(I), I = 1, 3)
```

Set the origin appearing in the London atomic orbital phase-factors. Read one more line containing the Cartesian components of this origin (\*). Default is (0,0,0).

.POINTS

READ (LUCMD,\*) NPQUAD

Read the number of quadrature points to be used in the evaluation of the diamagnetic spin-orbit integrals, as requested by the keyword .DSO. Read one more line containing the number of quadrature points. Default is 40.

.PRINT

READ (LUCMD,\*) IPRDEF

Set default print level during the integral evaluation. Read one more line containing print level. Default is the value of IPRDEF from the general input module for DALTON.

.PROPRI Print all one-electron property integrals requested.

.PSO Paramagnetic spin-orbit integrals, see Ref. [51].

Integral:  $\left\langle \chi_{\mu} \left| \frac{\mathbf{l}_{K}}{r_{K}^{3}} \right| \chi_{\nu} \right\rangle$  where K is the nucleus of interest.

Property label: PSO abc , where abc is the number of the symmetry-adapted nuclear magnetic moment coordinate.

.PSO-KE Kinetic energy correction to the paramagnetic spin-orbit integrals, see Ref. [161].

Integral:  $\left\langle \chi_{\mu} \left| \left[ \nabla^2, \frac{\mathbf{l}_K}{r_K^3} \right]_+ \right| \chi_{\nu} \right\rangle$  where *K* is the nucleus of interest.

Property label: PSOKEabc, where abc is the number of the symmetry-adapted nuclear magnetic moment coordinate.

.PSO-OZ Orbital-Zeeman correction to the paramagnetic spin-orbit integrals, see Ref. [161].

Integral:  $\left\langle \chi_{\mu} \left| \left[ \mathbf{l}_{O}, \frac{\mathbf{l}_{K}}{r_{K}^{3}} \right]_{+} \right| \chi_{\nu} \right\rangle$  where *K* is the nucleus of interest.

Property label: abcPS0Zd, where abc is the number of the symmetry-adapted nuclear magnetic moment coordinate, and d is the direction (x/y/z) of the external magnetic field (corresponding to the component of the orbital Zeeman operator).

. PVP Calculate the pVp integrals that appear in the Douglas-Kroll-Heß transformation [164].

Integral:  $\left\langle \chi_{\mu} \left| \nabla \left( \sum_{K} \frac{Z_{K}}{r_{i}K} \right) \nabla \right| \chi_{\nu} \right\rangle$ Property labels: pVpINTEG.

.PVIOLA Parity-violating electroweak interaction.

Integral:

Property labels: PVIOLA X, PVIOLA Y, PVIOLA Z.

.QDBINT

READ (LUCMD, '(A7)') FIELD3

London orbital corrections arising from the second-moment of charge operator in finite-perturbation calculations involving an external electric field gradient. Possible values for the perturbation (FIELD3) may be XX/XY/XZ/YY/YZ/ZZ-FGRD.

Integral:

Property labels: ab-QDB X, ab-QDB Y, ab-QDB Z, where *ab* is the component of the electric field gradient operator read in the variable FIELD3.

.QDBTST Test of the .QDBINT integrals, mainly for debugging purposes.

.QUADRU Quadrupole moment integrals. For traceless quadrupole moment integrals as defined by Buckingham [32], see the keyword .THETA.

Integral:  $\frac{1}{4} \left\langle \chi_{\mu} \left| r_{O}^{2} I_{3} - \mathbf{r}_{O} \mathbf{r}_{O}^{T} \right| \chi_{\nu} \right\rangle$ Property label: XXQUADRU, XYQUADRU, XZQUADRU, YYQUADRU, YZQUADRU, ZZQUADRU

.QUAGRA

Calculate quadrupole gradient integrals, that is, the geometrical first derivatives of the second moment integrals (*i.e.* .SECMOM, note: it is NOT the gradient of the .QUADRU integrals).

Integral: 
$$\frac{\partial}{\partial \mathbf{R}_{K}} \left\langle \chi_{\mu} \left| \mathbf{r} \mathbf{r}^{T} \right| \chi_{\nu} \right\rangle$$
  
Property labels: abcQDGde

where abc is the symmetry adapted nuclear coordinate, and de the component (xx/xy/xz/yy/yz/zz) of the second moment tensor. Currently symmetry can not be used with these integrals.

- .QUASUM Calculate all atomic integrals as square matrices, irrespective of their inherent Hermiticity or anti-Hermiticity.
- .RANGMO Calculate the diamagnetic magnetizability integrals using the CTOCD-DZ method, see Ref. [48, 49]. The gauge origin is, as default, in the center of mass.

```
Integral: \langle \chi_{\mu} | \mathbf{r}_{O} \mathbf{L}_{N}^{T} | \chi_{\nu} \rangle
Property label: XXRANG, XYRANG, XZRANG, YXRANG, YYRANG, YZRANG, ZXRANG, ZYRANG, ZZRANG
```

.R12 Perform integral evaluation as required by the R12 method. One-electron integrals for the R12 method (Cartesian multipole integrals up to order 2) are precomputed and stored on the file AOPROPER. Two-electron integrals are computed in direct mode.

## .R12EXP

READ (LUCMD,\*) GAMMAC

Same as .R12 but with Gaussian-damped linear  $r_{12}$  terms of the form  $r_{12} \exp -\gamma r_{12}^2$ . The value of  $\gamma$  is read from the input line.

.R12INT Calculation of two-electron integrals over r12.

.ROTSTR Rotational strength integrals in the mixed representation [165].

Integral:  $\left\langle \chi_{\mu} \left| \nabla \mathbf{r}^{T} + \mathbf{r} \nabla^{T} \right| \chi_{\nu} \right\rangle$ 

Property labels : XXROTSTR, XYROTSTR, XZROTSTR, YYROTSTR, YZROTSTR, ZZROTSTR.

.RPSO Calculate the diamagnetic nuclear shielding tensor integrals using the CTOCD-DZ method, see Ref. [48, 49, 50]. The gauge origin is, as default, at the center of mass. Setting the gauge origin somewhere else will give wrong results in calculations using symmetry.

Integral:  $\left\langle \chi_{\mu} \left| \frac{\mathbf{r}_{O}^{T} \mathbf{l}_{K}}{r_{K}^{3}} \right| \chi_{\nu} \right\rangle$  where K is the nucleus of interest. Property label: **abcRPSOd**, where **abc** is the number of the symmetry-adapted nuclear magnetic moment coordinate and **d** refers to the x, y, z component of the magnetic field

.S1MAG Calculate the first derivative overlap matrix with respect to an external magnetic field by differentiation of the London phase factors, see Ref. [159].

Integral:  $\frac{1}{2}Q_{MN} \langle \chi_{\mu} | \mathbf{r} | \chi_{\nu} \rangle$ Property labels: dS/dBX , dS/dBY , dS/dBZ

.S1MAGL Calculate the first magnetic half-differentiated overlap matrix with respect to an external magnetic field as needed with the natural connection, see Ref. [47]. Differentiated on the bra-vector.

Integral:  $\frac{1}{2}Q_{MO} \langle \chi_{\mu} | \mathbf{r} | \chi_{\nu} \rangle$ Property label: d<S|/dBX, d<S|/dBY, d<S|/dBZ

.S1MAGR Calculate the first magnetic half-differentiated overlap matrix with respect to an external magnetic field as needed with the natural connection, see Ref. [47]. Differentiated on the ket-vector.

Integral:  $\frac{1}{2}Q_{ON} \langle \chi_{\mu} | \mathbf{r} | \chi_{\nu} \rangle$ Property labels: d|S>/dBX, d|S>/dBZ, d|S>/dBZ

- .S1MAGT Test the integrals calculated with the keyword .S1MAG. Mainly for debugging purposes.
- .S1MLT Test the integrals calculated with the keyword .S1MAGL. Mainly for debugging purposes.

- .S1MRT Test the integrals calculated with the keyword .S1MAGR. Mainly for debugging purposes.
- .S2MAG Calculate the second derivative of the overlap matrix with respect to an external magnetic field by differentiation of the London phase factors, see Ref. [159].

Integral:  $\frac{1}{4}Q_{MN} \left\langle \chi_{\mu} \left| \mathbf{r} \mathbf{r}^{T} \right| \chi_{\nu} \right\rangle Q_{MN}$ Property labels: dS/dB2XX, dS/dB2XY, dS/dB2XZ, dS/dB2YZ, dS/dB2ZZ

- .S2MAGT Test the integrals calculated with the keyword .S2MAG. Mainly for debugging purposes.
- .SD Spin-dipole integrals, , see Ref. [51].

Integral:  $\frac{g_e}{2} \left\langle \chi_{\mu} \left| \frac{3 \mathbf{r}_K \mathbf{r}_K^T - r_K^2}{r_K^5} \right| \chi_{\nu} \right\rangle$ 

Property label: SD abc d, where abc is the number of the first symmetryadapted coordinate (corresponding to symmetry-adapted nuclear magnetic moments) and d is the x, y, or z component of the magnetic moment with respect to spin coordinates.

.SD+FC Calculate the sum of the spin-dipole and Fermi-contact integrals .

 $\text{Integral: } \underline{g_e}{2} \left\langle \chi_{\mu} \left| \frac{3\mathbf{r}_K \mathbf{r}_K^T - r_K^2}{r_K^5} \right| \chi_{\nu} \right\rangle + \frac{4\pi g_e}{3} \left\langle \chi_{\mu} \left| \delta\left( \mathbf{r}_K \right) \right| \chi_{\nu} \right\rangle$ 

Property label: SDCabc d, where abc is the number of the first symmetryadapted coordinate (corresponding to symmetry-adapted nuclear magnetic moments) and d is the x, y, or z component of the magnetic moment with respect to spin coordinates.

.SD-KE Kinetic energy correction to spin-dipole integrals, see Ref. [161].

Integral: 
$$\frac{g_e}{4} \left\langle \chi_{\mu} \left| \left[ \nabla^2, \frac{3\mathbf{r}_K \mathbf{r}_K^T - r_K^2}{r_K^5} \right]_+ \right| \chi_{\nu} \right\rangle$$

Property label: SDKEab c, where ab is the number of the first symmetry-adapted coordinate (corresponding to symmetry-adapted nuclear magnetic moments) and c is the x, y, or z component of the magnetic moment with respect to spin coordinates.

.SECMOM Second moment integrals .

Integral:  $\left\langle \chi_{\mu} \left| \mathbf{r} \mathbf{r}^{T} \right| \chi_{\nu} \right\rangle$ 

Property labels: XXSECMOM, XYSECMOM, XZSECMOM, YYSECMOM, YZSECMOM, ZZSECMOM

```
.SELECT
```

READ (LUCMD, \*) NPATOM
READ (LUCMD, \*) (IPATOM(I), I = 1, NPATOM

Select which atoms for which a given atomic integral is to be calculated. This applies mainly to property integrals for which there exist a set of integrals for each nucleus. Read one more line containing the number of atoms selected, and then another line containing the numbers of the atoms selected. Most useful when calculating diamagnetic spin-orbit integrals, as this is a rather time-consuming calculation. The numbering is of symmetry-independent nuclei.

.SOFIEL External magnetic-field dependence of the spin-orbit operator integrals [166].

Integral:  $\frac{1}{2} \sum_{K} Z_{K} \left\langle \chi_{\mu} \left| \frac{\mathbf{r}_{O}^{T} \mathbf{r}_{K} - \mathbf{r}_{O} \mathbf{r}_{K}^{T}}{r_{K}^{3}} \right| \chi_{\nu} \right\rangle$ Property labels: SOMF XX, SOMF XY, SOMF XZ, SOMF YX, SOMF YY, SOMF YZ, SOMF ZX, SOMF ZY, SOMF ZZ.

.SOMAGM Nuclear magnetic moment dependence of the spin-orbit operator integrals [167].

Integral:  $\sum_{K} Z_{K} \left\langle \chi_{\mu} \left| \frac{\mathbf{r}_{K}^{T} \mathbf{r}_{L} I - \mathbf{r}_{K} \mathbf{r}_{L}^{T}}{r_{K}^{3} r_{L}^{3}} \right| \chi_{\nu} \right\rangle$ 

Property label: abcSOMMd, where abc is the number of the symmetry-adapted nuclear magnetic moment coordinate, and d refers to the x, y, or z component of the spin-orbit operator.

.SORT I Requests that the two-electron integrals should be sorted for later use in the "new" integral transformation. This option is deprecated, since 2011 the default is not to presort the integrals, but rather to obtain them directly from the AOTWOINT file. This saves a lot of disk space for big basis sets.

.SOTEST Test the calculation of spin-orbit integrals as requested by the keyword .SPIN-O.

#### .SPHMOM

READ (LUCMD,\*) IORSPH

Spherical multipole integrals to order IORSPH. Read one more line specifying order. See also the keyword .CARMOM.

Property label: CMiijjkk

where i + j + k = IORDER, and where ii = (i/10)\*10 + mod(i,10).

.SPIN-O Spatial spin-orbit integrals, see Ref. [168]. Both the one- and the two-electron integrals are calculated, the latter is stored on the file AO2SOINT.

One-electron Integral:  $\sum_{A} Z_A \left\langle \chi_{\mu} \left| \frac{\mathbf{1}_A}{r_A^3} \right| \chi_{\nu} \right\rangle$  where  $Z_A$  is the charge of nucleus A and the summation runs over all nuclei of the molecule.

Property labels: X1SPNORB, Y1SPNORB, Z1SPNORB

Two-electron Integral:  $\left\langle \chi_{\mu}\chi_{\nu} \left| \frac{\mathbf{l}_{12}}{r_{12}^3} \right| \chi_{\rho}\chi_{\sigma} \right\rangle$ 

Property labels: X2SPNORB, Y2SPNORB, Z2SPNORB

.SQHDOR Square, non-symmetrized half-differentiated overlap integrals with respect to geometric distortions, see Ref. [163]. Differentiation on the ket-vector.

Integral:  $\left\langle \chi_{\mu} \mid \frac{\partial \chi_{\nu}}{\partial R_{ab}} \right\rangle$ 

Property label: SQHDRabc, where abc is the number of the symmetry-adapted coordinate being differentiated.

- .SUPONL Only calculate the supermatrix. Requires the presence of the two-electron integral file.
- . SUSCGO Diamagnetic magnetizability integrals calculated without the use of London atomic orbitals. The choice of gauge origin can be controlled by the keyword .GAUGEO.

Integral:  $\frac{1}{4} \left\langle \chi_{\mu} \left| r_{O}^{2} I - \mathbf{r}_{O} \mathbf{r}_{O}^{T} \right| \chi_{\nu} \right\rangle$ Property labels: XXSUSCGO, XYSUSCGO, XZSUSCGO, YZSUSCGO, ZZSUSCGO

.THETA Traceless quadrupole moment integrals as defined by Buckingham [32].

Integral:  $\frac{1}{2} \left\langle \chi_{\mu} \left| 3\mathbf{r}\mathbf{r}^{T} - r^{2}I_{3} \right| \chi_{\nu} \right\rangle$ Property labels: XXTHETA, XYTHETA, XZTHETA, YYTHETA, YZTHETA, ZZTHETA

.THIRDM Third moment integrals .

Integral:  $\langle \chi_{\mu} | \mathbf{r}^3 | \chi_{\nu} \rangle$ 

Property labels: XXX 3MOM, XXY 3MOM, XXZ 3MOM, XYY 3MOM, XYZ 3MOM, XZZ 3MOM, YYY 3MOM, YYZ 3MOM, YYZ 3MOM, ZZZ 3MOM.

. U12INT Calculation of two-electron integrals over  $[T1, r_{12}]$ .

.U21INT Calculation of two-electron integrals over  $[T2, r_{12}]$ .

## .WEINBG

READ (LUCMD,\*) BGWEIN

Read in the square of the sin of the Weinberg angle appearing in the definition of parity-violating integrals, see .PVIOLA. The Weinberg angle factor will if this keyword is used be set to [1-4\*BGWEIN].

.XDDXR3 Direct perturbation theory paramagnetic spin-orbit like integrals.

Integral:

Property labels: ALF abcd, where a is ????.

## 25.2.2 One-electron integrals: \*ONEINT

Directives affecting the one-electron undifferentiated Hamiltonian integral calculation appear in the **\*ONEINT** section.

## .CAVORG

READ (LUCMD, \*) (CAVORG(I), I = 1, 3

Read one more line containing the origin to be used for the origin of the cavity in self-consistent reaction field calculations. The default is that this origin is chosen to be the center of mass of the molecule.

.NOT ALLRLM Save only the totally symmetric multipole integrals calculated in the solvent run on disc. Default is that multipole integrals of all symmetries are written disc. May be used in calculations of the energy alone in order to save disc space.

.PRINT

```
READ (LUCMD,*) IPRONE
```

Set print level during the calculation of one-electron Hamiltonian integrals. Read one more line containing print level. Default is the value of IPRDEF from the **\*\*INTEGRALS** input module.

.SKIP Skip the calculation of one-electron Hamiltonian integrals. Mainly for debugging purposes.

.SOLVEN

READ (LUCMD,\*) LMAX

Integral:  $\left\langle \chi_{\mu} \left| x^{i} y^{j} z^{k} \right| \chi_{\nu} \right\rangle$  for all integrals where  $i + j + k \leq \text{LMAX}$ . Property label: Not extractable. Integrals written to file AOSOLINT Calculate the necessary integrals needed to model the effects of a dielectric medium by a reaction-field method as described in Ref. [104]. Read one more line containing maximum angular quantum number for the multipole integrals used for the reaction field.

## 25.2.3 Two-electron integrals using TWOINT: \*TWOINT

Directives affecting the two-electron undifferentiated Hamiltonian integral calculation appear in the **\*TWOINT** section.

.ICEDIF

READ (LUCMD, \*) ICDIFF, IEDIFF

Screening threshold for Coulomb and exchange contributions to the Fock matrix in direct and parallel calculations. The thresholds for the integrals are ten to the negative power of these numbers. By default the same screening threshold will be used for Coulomb and exchange contribution which will change dynamically as the wave function converges more and more tightly.

.IFTHRS

READ (LUCMD,\*) IFTHRS

Screening threshold used in direct and parallel calculations. The integral threshold will be ten to the negative power of this number. The default is that this value will change dynamically as the wave function converges more and more tightly.

.PANAS Calculates scaled two-electron integrals as proposed by Panas as a simple way of introducing electron correlation in calculations of molecular energies [169].

.PRINT

READ (LUCMD, \*) IPRINT, IPRNTA, IPRNTB, IPRNTC, IPRNTD

Set print level for the derivative integral calculation of a particular shell quadruplet. Read one more line containing print level and the four shell indices. The print level is changed from the default for this quadruplet only.

- .RETURN Stop after the shell quadruplet specified under .PRINT above. Mainly for debugging purposes.
- .SKIP Skip the calculation of two-electron Hamiltonian integrals. An alternative keyword is .NOTWO in the **\*\*INTEGRALS** input module.
- .SOFOCK Construct the Fock matrix in symmetry-orbital basis during a direct or parallel calculation. Currently not active.

.THRFAC Not used in DALTON.

.TIME Provide detailed timing breakdown for the two-electron integral calculation.

#### 25.2.4 Two-electron integrals using ERI: \*ER2INT

Directives controlling the two-electron integral calculation with ERI are specified in the **\*ER2INT** section. By default ERI is only used for integral-direct coupled cluster calculations. However, ERI can also be invoked by specifying the **.RUNERI** keyword in the **\*\*DALTON** INPUT input section. Note that DALTON will automatically use TWOINT for all integrals not available in ERI.

#### . AOBTCH

#### READ (LUCMD,\*) IAOBCH

Only integrals with the first integral index belonging to AO batch number IAOBCH will be calculated.

#### .BUFFER

READ (LUCMD,\*) LBFINP

This option may be used to set the buffer length for integrals written to disk. For compatibility with TWOINT, the default buffer length is 600. Longer buffer lengths may give more efficient I/O.

- .DISTRI Use distributions for electron 1. Must be used in connection with the keyword .SELCT1.
- .DISTST Test the calculation of two-electron integrals using distributions.
- .DOERIP Use the ERI integral program for the calculation of two-electron integrals instead of TWOINT.

.EXTPRI

READ (LUCMD,\*) IPROD1, IPROD2

Full print for overlap distribution (OD) classes IPROD1 and IPROD2.

- .GENCON Treat all AOs as generally contracted during integral evaluation.
- .GRDZER During evaluation of the molecular gradient, the gradient is set to zero upon each entry into ERIAVE (for debugging).
- . INTPRI Force the printing of calculated two-electron integrals.

. INTSKI Skip the calculation of two-electron integrals in the ERI calculation.

## .MAXDIS

READ (LUCMD,\*) MAXDST

Read in the maximum number of integral distributions calculated in each call to the integral code. Default value is 40.

.MXBCH

READ (LUCMD,\*) MXBCH

Read in the maximum number of integral batches to be treated simultaneously, and thus determines the vector lengths. Default value is 1000000000.

- .NCLERI Calculate only integrals with non-classical contributions.
- .NEWCR1 Use an old transformation routine for the generation of the Cartesian integrals for electron 1.
- .NOLOCS Do not use local symmetry during evaluation.
- .NONCAN Do not sort integral indices in canonical order. This option is applicable only for undifferentiated integrals written fully to disk (without the use of distributions). This option may save some time but will lead to incorrect results whenever canonical ordering is assumed.
- .NO12GS During sorting of OD batches, treat batches containing one and two distinct AOs as equivalent.
- .NOPS12 Do not assume permutational symmetry between the two electrons.

.NOPSAB Do not assume permutational symmetry between orbitals of electron 1.

- .NOPSCD Do not assume permutational symmetry between orbitals of electron 2.
- .NOSCRE Do not do integral screening before integrals are written to disk.

.NOWRIT Do not write integrals to disk.

## .NSPMAX

## READ (LUCMD,\*) NSPMAX

Allows for the reduction of the number of symmetry generations for each basis function in order to reduce memory requirements. Mainly for debugging purposes, do not use.

.OFFCNT During sorting of OD batches, treat batches containing one and two distinct AO centers as equivalent.

## .PRINT

READ (LUCMD,\*) IPRERI, IPRNT1, IPRNT2

Print level for ERI. By giving numbers different from zero for IPRNT1 and IPRNT2, extra print information may be given for these overlap distributions, after which the program will exit.

.RETURN Stop after the shell quadruplet specified under .PRINT above. Mainly for debugging purposes.

.SELCT1

READ (LUCMD,\*) NSELCT(1)
READ (LUCMD,\*) (NACTAO(I,1),I=1,NSELCT(1))

Calculate only integrals containing indices NACTAO(I,1) for the first AO.

.SELCT2 Same as .SELCT1 but for the second AO.

.SELCT3 Same as .SELCT1 but for the third AO.

.SELCT4 Same as .SELCT1 but for the fourth AO.

.SKIP Skip the calculation of two-electron Hamiltonian integrals. An alternative keyword is .NOTWO in the **\*\*INTEGRALS** input module.

.TIME Provide detailed timings for the two-electron integral calculation in ERI.

.WRITEA Write all integrals to disk without any screening.

## 25.2.5 Integral sorting: \*SORINT

Affects the sorting of the two-electron integrals over AOs for the "new" integral transformation. You will in general not need to change any of the default settings below.

Note that this AO integral sorting is not needed any more. Since 2011 the default is not to presort the integrals, but rather to obtain them directly from the AOTWOINT file. This saves a lot of disk space for big basis sets. However, if you insist, you can get the old behavior with sorted AO integrals with the keyword .SORT I (see p. 25.2.1)).

.DELAO Delete AOTWOINT file from Hermit after the integrals have been sorted.

.INTSYM

READ (LUCMD, \*) ISNTSYM

Symmetry of the two-electron integrals that are to be sorted. Default is totallysymmetric two-electron integrals (ISNTSYM = 1).

## .IO PRI

READ (LUCMD, \*) ISPRFIO

Set the print level in the fast-I/O routines. Default is a print level of 0.

#### .KEEP

READ (LUCMD, \*) (ISKEEP(I), I=1,8)

Allowed values: 0 and 1. A value of "1" indicates that the basis functions of this symmetry will not be used and the integrals with these basis functions are omitted.

## .PRINT

READ (LUCMD, \*) ISPRINT

Print level in the integral sorting routines.

#### . THRQ

READ (LUCMD, \*) THRQ2

Threshold for setting an integral to zero. By default this threshold is 1.0D-15.

## 25.2.6 Construction of the supermatrix file: \*SUPINT

Directives affecting the construction of the supermatrix file is given in the **\*SUPINT** section.

.NOSYMM No advantage is taken of integral symmetry in the construction of the supermatrix file. This may increase the disc space requirements as well as CPU time. Since ABACUS do not use the supermatrix file (which it in the current version does not), this keyword is mainly for debugging purposes.

.PRINT

READ (LUCMD,\*) IPRSUP

Set the print level during the construction of the supermatrix file. Read one more line containing the print level. Default is the value of IPRDEF in the **\*\*INTEGRALS** input module.

.SKIP Skip the construction of the supermatrix file. An alternative keyword is .NOSUP in the **\*\*INTEGRALS** input module.

.THRESH

READ (LUCMD,\*) THRSUP

Threshold for the supermatrix integrals. Read one more line containing the threshold. Default is the same as the threshold for discarding the two-electron integrals (see the chapter describing the molecule input format, Ch. 26).
# Chapter 26

# Molecule input format

The molecule input format is originally based on the input for the MOLECULE integral program by Almlöf [170]. However, there are few remnants of the original input structure. For users of earlier releases of the DALTON program, note should be taken of the fact that the input structure for the MOLECULE. INP file has undergone major changes, however, backward compatibility has in most cases been retained<sup>1</sup>. The program supports both Cartesian and Z-matrix input of the molecular coordinates. However, the Z-matrix input provided is only a convenient way of describing the molecular geometry, as the Z-matrix is converted to Cartesian coordinates, which are then used in the subsequent calculations. Note that the Z-matrix input can only be used together with the basis set library ("BASIS" in first line).

The program includes an extensive basis set library, which are described below. There are additional possibilities for choosing the number of primitive and contracted orbitals to be used with the Atomic Natural Orbital (ANO) basis sets and the "Not Quite van Duijneveldt" (NQvD) basis sets. The large number of different basis sets provided is in part related to the variety of molecular properties with very different basis set requirements that can be calculated with DALTON. Most of the basis sets have been downloaded from the EMSL basis set library service<sup>2</sup> Only a small number of the basis sets were obtained from different sources:

- The ano-1, ano-2, ano-3, ano-4 and Sadlej-pVTZ basis sets which where downloaded from the MOLCAS home-page (http://www.teokem.lu.se/molcas/).
- The NQvD (The Not Quite van Duijneveldt) basis sets were constructed by Knut Fægri [172].

<sup>&</sup>lt;sup>1</sup>The two exceptions to the backward are that when using the **ATOMBASIS** keyword, the basis set name has to be preceded by "Basis=". When specifying the Cartesian coordinates of the atoms, it is no longer required that the coordinates start at position 5. However, blanks are no longer allowed in the names of atoms, and the atom names are still restricted to 4 characters.

<sup>&</sup>lt;sup>2</sup>http://www.emsl.pnl.gov:2080/forms/basisform.html [171]

- The Turbomole-X X = SV,SVP,DZ,DZP,TZ,TZP,TZV,TZVP,TZVPP,TZVPPP basis sets have been downloaded from Turbomole(http://www.turbomole.com)
- The pc-n and apc-n polarization-consistent basis sets were provided to us by Frank Jensen.

We note that each file containing a given basis set in the BASIS directory contains the proper reference to be used when doing a calculation with a given basis set. For convenience we also list these references with the basis sets in Section 26.6.

The description of the MOLECULE input is divided into five parts. Section 26.1 describes the general section of the molecule input, section 26.2 describes the Cartesian coordinate input, section 26.3 describes the Z-matrix input, and finally Section 26.4 describes the basis set library. Section 26.6 lists the basis sets (including references and supported elements) in the basis set library.

# **26.1 General** MOLECULE input

In the general input section of the MOLECULE input file, we will consider such information as molecular symmetry, number of symmetry distinct atoms, generators of a given molecular point group, and so on. This information usually constitutes the four/five first lines of the input.

The input is best described by an example. The following is the first lines of an input for tetrahedrane, treated in  $C_{2v}$  symmetry, with a 4-31G<sup>\*\*</sup> basis. The line numbers are for convenience in the subsequent input description and should *not* appear in the actual input. Note also that in order to fit the example across the page some liberties have been taken with column spacings.

1:INTGRL

We now define the input line-by-line. The FORMAT is given in parenthesis.

1 The word INTGRL (A6).

2-3 Two arbitrary title lines (A72).

#### 4 General instructions about the molecule.

This line is keyword-driven. The general structure of the input is Keyword=. The input is case sensitive, but DALTON will recognize the keywords whether specified

with only three characters (minimum) or the full name (or any intermediate option). The order of the keywords is arbitrary. The following keywords are recognized for this line:

- Angstrom Indicates that the atomic Cartesian coordinates are given in ångström, and not in bohr (atomic units) which is the default.
- Atomtypes (Integer). This keyword is required. Number of atom types (number of atoms specified in separate blocks). For a Z-matrix input this will be the total number of atoms in the molecule, the Z-matrix module will then extract the number of atom types.
- Cartesian Indicates that a Cartesian Gaussian basis set will be used in the calculations.
- Charge (Integer). The charge of the molecule. Will be used by the program to determine the Hartree–Fock occupation.
- Generators (Integer+Character). Number of symmetry generators. If this keyword is not specified (and Nosymmetry not invoked) the automatic symmetry detection routines of the program will be invoked. Symmetry can be turned off (needed for instance if starting a walk at a highly symmetric structure which one knows will break symmetry) using the keyword Nosymmetry. DALTON is restricted to the Abelian subgroups of  $D_{2h}$ , and thus there can be 1 to 3 generating elements.

The number of generators is followed the equally many blocks of characters specifying which Cartesian axis change sign during each of the generators. X is reflection in the yz-plane, XY is rotation about the z-axis, and XYZ denotes inversion. Due to the handling of symmetry in the program, it is recommended to use mirror planes as symmetry generating elements if possible.

- Integrals (Real). Indicates the threshold for which integrals smaller than this will be considered to be zero. If not specified, a threshold of 1.0D-15 will be used. A threshold of 1.0D-15 will give integrals correct to approximately 1.0D-13.
- Nosymmetry Indicates that the calculation is to be run without the use of point-group symmetry. Automatic symmetry detection will also be disabled.
- **Own** Indicates that a user-supplied scheme for generating transformed angular momentum basis functions will be used.
- Spherical Default. Indicates that a spherical Gaussian basis set will be used in the calculations.

Note that if one wants to use a basis set library, there are two options. One option is

to use a common basis set for the entire molecule in which the first line should be replaced by two lines, which for a calculation using the 4-31G<sup>\*\*</sup> basis would look like:

# 1:BASIS

#### 2:4-31G\*\*

This option will not be active with customizable basis sets like the ANO or NQvD sets.

Alternatively you may specify different basis sets for different atoms, in which case the first line should read

## 1:ATOMBASIS

The fourth line (fifth in a calculation using the basis set library with "BASIS" in line 1) looks a bit devastating. However, for ordinary Hartree–Fock or MP2 calculations, only the number of different atom types and the charge need to be given (if the molecule is charged), as symmetry and Hartree–Fock occupation will be taken care of by the program. Thus this line could in the above example be reduced to

# 4:Atomtypes=2

or even more concisely (though not more readable) as

#### 4:Ato=2

Let us finally give some remarks about the symmetry detection routines. These routines will detect any symmetry of a molecule by explicit testing for the occurrence of rotation axes, mirror planes and center of inversion. The occurrence of a symmetry element is tested in the program against a threshold which may be adjusted by the keyword .SYMTHR in the \*MOLBAS input section. By default, the program will require geometries that are correct to the sixth decimal place in order to detect all symmetry elements.

The program will translate and rotate the molecule into a suitable reference geometry before testing for the occurrence of symmetry operations. The program will not, due to the handling of symmetry in the program, transform the molecule back to original input coordinates. Furthermore, if there are symmetry equivalent nuclei, these will be removed from the input, and a new, standardized molecule input file will be generated and used in subsequent iterations of for instance a geometry optimization. This standardized input file (including basis set) is printed to the file DALTON.BAS, which is among the files copied back after the end of a calculation.

DALTON can only take advantage of point groups that are subgroups of  $D_{2h}$ . If symmetry higher than that is detected, the program will use the highest common subgroup of the symmetry group detected and  $D_{2h}$ .

We recommend that the automatic symmetry detection feature is not used when doing MCSCF calculations, as symmetry generators and their order in the input determines the order of the irreducible representations needed when specifying active spaces. Thus, for MCSCF calculations we recommend that the symmetry is explicitly specified through the appropriate symmetry generators, as well as the explicit Hartree–Fock occupation numbers.

# 26.2 Cartesian geometry input

Assuming that we have given the general input as indicated above, we now want to specify the spatial arrangements of the atoms in a Cartesian coordinate system. We will also for sake of illustration assume that we have given explicitly the generators of the point group to be used in the calculation (in this case  $C_{2v}$ , with the yz- and xz-planes as mirror planes).

In tetrahedrane we will have two different kinds of atoms, carbon and hydrogen, as indicated by the number 2 on the fourth line of the input. We will also assume that we enter the basis set ourselves, in order to present the input format for the basis set.

For tetrahedrane, the input would then look like

```
1:INTGRL
```

```
2:
           Tetrahedrane, Td_symmetric geometry
 3:
                     4-31G** basis
 4:Atomtypes=2 Generators=2 X Y Integrals=1.00D-15
 5:Charge=6.0 Atoms=2 Blocks=3 1 1 1
 6:C1
         1.379495419
                                                   0.975450565
                                .0
 7:C2
           .0
                              1.379495419
                                                    -.975450565
 8:
       8
            3
9:486.9669
               .01772582
10:73.37109
               .1234779
11:16.41346
               .4338754
12:4.344984
               .5615042
13:8.673525
                        -.1213837
14:2.096619
                        -.2273385
15:.6046513
                         1.185174
16:.1835578
                                   1.00000
17:
       4
            2
18:8.673525
               .06354538
19:2.096619
               .2982678
20:.6046513
               .7621032
21:.1835578
                         1.000000
22:
       1
            1
23:0.8
              1.0
24:Charge=1.0 Atoms=2 Blocks=2 1 1
```

25:H1 3.020386510 .0 2.1357357837 26:H2 .0 3.020386510 -2.1357357837 27: 4 2 28:18.73113 .03349460 29:2.825394 .2347270 30:.6401218 .8137573 31:.1612778 1.000000 32: 1 1 33:0.75 1.0

Lines 1-4 are already described. The different new types of lines are:

- 5 This line is keyword-driven. The general structure of the input is Keyword=. The input is case sensitive, but it will recognize the keywords whether specified with only three characters (minimum) or the full name (or any intermediate option). The order of the keywords is arbitrary. The following keywords are recognized for this line:
  - Atoms (Integer). Number of *symmetry-distinct* atoms of this type (or, if the symmetry detection routines are being used, all atoms of this kind).
  - Basis (Character). If ATOMBASIS has been specified, the keyword is required, and have to be followed by the name of the basis set that is to be used for this group of atoms, *e.g.* Basis=6-31G\*\*. By specifying Basis=pointcharge, the atoms in this block will be treated as point charges, that is, having only a charge but no basis functions attached to them.

Different effective core potentials (ECP) could be used when ATOMBASIS is specified. For instance, Stuttgart ECPs with corresponding Stuttgart double zeta basis sets can be used by specifying Basis=ecp-sdd-DZ ECP=ecp-sdd-DZ (see test/rsp\_ecp for example).

Blocks (Integers). Maximum angular quantum number + 1 used in the basis set for this atom type (s = 1, p = 2, etc.). Ignored if library basis sets are being used (BASIS or ATOMBASIS in first line). This number is followed by one integer for each angular momentum used in the basis, indicating the number of groups (blocks) of generally contracted functions of angular quantum number I-1. Ignored if the basis set library is used.

It is noteworthy that DALTON collects all basis functions into one such shell, and evaluates all integrals arising from that shell simultaneously, and the memory requirements grow rapidly with the number of basis functions in a shell (note for instance that four g functions actually are 36 basis functions, as there are 9 components of each g function). Memory requirements can therefore be reduced by splitting basis functions of the quantum number into different blocks. However, this will decrease the performance of the integral calculation.

Charge (Real). This keyword is required. Charge of this atom or point charge.

- Pol (Integer+real). This keyword adds single, primitive basis function of a given quantum number (quantum number + 1 given in the input) and a given exponent. An arbitrary number of polarization functions can be given. For instance, we can add a p function with exponent 0.05 and a d function with exponents 0.6 we can write Pol 2 0.05 3 0.6.
- Set (Integer). Indicates whether the basis set specified is the ordinary orbital basis or the auxiliary basis set needed for instance in certain r12 calculations, see Sec. 26.5. The keyword is only active when the keyword .R12AUX has been specified in the \*MOLBAS input section.

6 NAME X Y Z Isotope=18

- NAME Atom name. A different name should be used for each atom of the same type, although this is not required. Note that only the first four characters of the atom name will be used by the program.
- X x-coordinate (in atomic units, unless ångström has been requested on line 4 of the input).
- Y y-coordinate.
- Z z-coordinate.
- **Isotope=** Specify the atomic mass of the nucleus (closest integer number). By default the mass of the most abundant isotope of the element will be used. When automatic symmetry detection is used, the program will distinguish between different nuclei if they have different atomic mass number. A calculation of HDO would thus be run in  $C_s$  symmetry.

The Cartesian coordinates may be given in free format.

- 7 This is the other symmetry-distinct center of this type.
- 8 FRMT, NPRIM, NCONT, NOINT (A1, I4, 215).
  - FRMT A single character describing the input format of the basis set in this block. The default format is (8F10.4) which will be used if FRMT is left blank. In this format the first column is the orbital exponent and the seven last columns are contraction coefficients. If no numbers are given, a zero is assumed. If more than 7 contracted functions occur in a given block, the contraction coefficients may

be continued on the next line, but the first column (where the orbital exponents are given) must then be left blank.

An F or f in the first position will indicate that the input is in free format. This will of course require that all contraction coefficients need to be typed in, as all numbers need to be present on each line. However, note that this options is particularly handy together with completely decontracted basis sets, as described below. Note that the program reads the free format input from an internal file that is 80 characters long, and no line can therefore exceed 80 characters.

One may also give the format H or h. This corresponds to high precision format (4F20.8), where the first column again is reserved for the orbital exponents, and the three next columns are designated to the contraction coefficients. If no number is given, a zero is assumed. If there are more than three contracted orbitals in a given block, the contraction coefficients may be continued on the next line, though keeping the column of the orbital exponents blank.

NPRIM Number of primitive Gaussians in this block.

NCONT Number of contracted Gaussians in this block. If a zero is given, an uncontracted basis set will be assumed, and only orbital exponents need to be given.

9 EXP, (CONT(I), I=1,NCONT)

EXP Exponent of this primitive.

CONT(I) Coefficient of this primitive in contracted function I.

We note that the format of the orbital exponents and the contraction coefficients are determined from the value of FRMT defined on line 8.

10-16 These lines complete the specification of this contraction block: the s basis here.

17-21 New contraction block (see lines 8 and 9 above).

22-23 New contraction block.

24-33 Specifies a new atom type: coordinates and basis set.

# 26.3 Z-matrix input

The Z-matrix input provided with DALTON is quite rudimentary, and common options like parameter representations of bond length and angles as well as dummy atoms are not provided. Furthermore, the Z-matrix input is not used in the program, but instead immediately converted to Cartesian coordinates which are then used in the subsequent calculation. Another restriction is that if Z-matrix input is used, one cannot punch ones own basis set, but must instead resort to one of the basis sets provided with the basis set library (i.e. BASIS in first line). Finally, you cannot explicitly specify higher symmetry in input line 4 when using Z-matrix input, you can only request no symmetry  $(C_1)$  with Nosymmetry or allow DALTON to detect symmetry automatically.

The input format is free, with the restriction that the name of each atom must be given a space of 4 characters, and none of the other input variables needed must be placed in these positions.

The program will use Z-matrix input if there is the word "ZMAT" in the first four position of line 6 in the molecule input. The following NONTYP lines contain the Z-matrix specification for the NONTYP atoms.

A typical Z-matrix input could be:

```
BASIS
6-31G**
Test Z-matrix input of ammonia
6-31G** basis set
Atomtypes=4
ZMAT
N 1 7.0
H1 2 1 1.0116 1.0
H2 3 1 1.0116 2 106.7 1.0
H3 4 1 1.0116 2 106.7 3 106.7 1 1.0
```

The five first lines should be familiar by now, and will be discussed no further here. The special 6'th line tells that this is Z-matrix input. The Z-matrix input starts on line 7, and on this first Z-matrix line only the atom name, a running number and the charge of the atom is given. The running number is only for ease of reference to a given atom, and is actually not used within the program, where any reference to an atom, is the number of the atom consecutively in the input list.

The second Z-matrix line consists of the atom name, a running number, the number of the atom to which this atom is bonded with a given bond length in ångström, and then finally the charge of this atom.

The third Z-matrix line is identical to the second, except that an extra atom number, to which the two first atoms on this line is bonded to with a given bond angle in degrees.

On the fourth Z-matrix line yet another atom has been added, and the position of this atom relative to the three previous ones on this line is dependent upon on an extra number inserted just before the nuclear charge of this atom. If the next to last number is a 0, the position of this atom is given by the dihedral angle (A1,A2,A3,A4) in degrees, where Ai denotes atom i. If, on the other hand, this next to last number is  $\pm 1$ , the position of

the fourth atom is given with respect to two angles, namely (A1,A2,A3) and (A2,A3,A4). The sign is to be +1 if the triple product  $\overrightarrow{(A_2A_1)} \cdot \left[\overrightarrow{(A_2A_3)} \times \overrightarrow{(A_2A_4)}\right]$  is positive.

# 26.4 Using basis set libraries

The use of predefined basis sets is indicated by the word BASIS or ATOMBASIS on the first line of the molecular input. (If you want Z-matrix input you must use BASIS.)

The specified basis set(s) are searched for in the following directories:

- all user specified basis set directories (with dalton -b dir1 -b dir2 ...
- the job directory
- the basis set library supplied with DALTON.

If BASIS is used, a common basis set is used for all atoms in the molecule, and the name of this basis set is given on the second line. If we want to use one basis set for all the atoms in a molecule, the molecule input file can be significantly simplified, as we may delete all the input information regarding the basis set. Thus, the input in the previous section for tetrahedrane with the  $6-31G^{**}$  basis will, if the basis set library is used, be reduced to:

```
1:BASIS
 2:6-31G**
 3:
           Tetrahedrane, Td_symmetric geometry
 4:
                     4-31G** basis
 5:Atomtypes=2 Generators=2 X Y Integrals=1.00D-15
 6:Charge=6.0 Atoms=2
         1.379495419
 7:C1
                                .0
                                                    0.975450565
 8:C2
                              1.379495419
                                                    -.975450565
          .0
 9:Charge=1.0 Atoms=2
10:H1
         3.020386510
                               .0
                                                    2.1357357837
11:H2
                              3.020386510
                                                    -2.1357357837
          .0
```

The use of the basis set library is indicated by the presence of the BASIS word in the beginning of MOLECULE.INP file instead of INTGRL.

An alternative approach would be to use different basis sets for different atoms, *e.g.* the concept of locally dense basis sets introduced in NMR calculations by Chesnut *et al.* [173]. This is for instance also required when using the ANO or NQvD basis sets. Another option is to use standards basis sets from the basis set library and add your own sets of diffuse, tight or polarizing basis functions. Returning to tetrahedrane, we could for instance use the  $6-31G^*$  basis set for carbon and the  $4-31G^{**}$  basis set for hydrogen. This could be achieved as

```
1:ATOMBASIS
2:
           Tetrahedrane, Td_symmetric geometry
3:
       Mixed basis (6-31G* on C and 4-31G** on H)
4:Atomtypes=2 Generators=2 X Y Integrals=1.00D-15
5:Charge=6.0 Atoms=2 Basis=6-31G*
6:C1
         1.379495419
                               .0
                                                   0.975450565
7:C2
          .0
                              1.379495419
                                                   -.975450565
8:Charge=1.0 Atoms=2 Basis=4-31G Pol 2 0.75D0
9:H1
         3.020386510
                              .0
                                                   2.1357357837
10:H2
          .0
                              3.020386510
                                                   -2.1357357837
```

Thus, when using ATOMBASIS the name of the basis set for a given set of identical atoms is given on the same line as the nuclear charge, indicated by the keyword "Basis=".

The string Pol denotes that the rest of the line specifies diffuse, tight or polarizing functions, all which will be added as segmented basis functions. For each basis function, its "angular momentum" (l + 1) and its exponent must be given. Thus, in the above input we indicate that we add a p function with exponent 0.75 to the hydrogen basis set. The order of these functions are arbitrary (that is, a p function can be given before an s function and so on).

Augmenting the correlation-consistent sets of Dunning [58] is a straight-forward process in DALTON. The aug-cc-pVXZ and aug-cc-pCVXZ basis sets are extended in an even-tempered manner (in the manner of Dunning [58]) by including a 'd-', 't-' or 'q-' prefix to give doubly, triply or quadruply augmented basis sets, respectively. For example, specifying t-aug-cc-pVDZ will produce a triply augmented cc-pVDZ basis. Note that these basis sets are not listed explicitly in the basis library directory, but are automatically generated within DALTON from the respective aug-cc-pVXZ basis set.

The ANO basis sets require that you give the number of contracted functions you would like to use for each of the primitive sets defined in the basis sets. Thus, assuming we would like to simulate the  $6-31G^{**}$  basis set input using an ANO basis set but with the polarization functions of the  $6-31G^{**}$  set, this could be achieved through an input like

```
1:ATOMBASIS
```

```
2: Tetrahedrane, Td_symmetric geometry

3: Mixed basis (6-31G* on C and 4-31G** on H)

4:Atomtypes=2 Generators=2 X Y Integrals=1.00D-15

5:Charge=6.0 Atoms=2 Basis=ano-1 3 2 0 0 Pol 3 0.8

6:C1 1.379495419 .0 0.975450565

7:C2 .0 1.379495419 -.975450565

8:Charge=1.0 Atoms=2 Basis=ano-1 2 0 0 Pol 2 0.75D0
```

9:H1	3.020386510	.0	2.1357357837
10:H2	.0	3.020386510	-2.1357357837

This input will give a [3s2p0d0f] ANO basis set on carbon, with a polarizing d function with exponent 0.8, and a [2s0p0d] ANO basis set on hydrogen with a polarizing p function with exponent 0.75 as above.

Note that the number of contracted functions in the ANO set has to be given for all primitive blocks, even though you do not want any contracted functions of a given quantum number. Here also, Pol separates the number of contracted functions from polarization functions.

The NQvD basis set [172] was constructed in order to provide, in electronic form, a basis set compilation very similar to original set of van Duijneveldt [174]. The sets are in general as good, or slightly better, than the original van Duijneveldt basis, with only minor changes in the orbital exponents.

In the NQvD basis set, you need not only to pick the number of contracted functions, but also your primitive set. The contracted basis set will be constructed contracting the (NPRIM-NCONT + 1) tightest functions with contraction coefficients based on the eigenvectors from the atomic optimization, keeping the outermost orbitals uncontracted.

NOTE: As is customary, the orbital exponents of all hydrogen basis functions are automatically multiplied by a factor of 1.44.

Thus, an input for tetrahedrane employing the NQvD basis set might look like

```
1:ATOMBASIS
```

2:	Tetrahedrane	e, Td_symmetric geomet	ry	
3:	Mixed basis (6-3	31G* on C and 4-31G**	on H)	
4:Ato	mtypes=2 Generato	ors=2 X Y Integrals=1.	00D-15	
5:Cha	5:Charge=6.0 Atoms=2 Basis=NQvD 8 4 3 2 Pol 3 0.8D0			
6:C1	1.379495419	.0	0.975450565	
7:C2	.0	1.379495419	975450565	
8:Charge=1.0 Atoms=2 Basis=NQvD 4 2 Pol 2 0.75D0				
9:H1	3.020386510	.0	2.1357357837	
10:H2	.0	3.020386510	-2.1357357837	

This input will use an (8s4p/4s) primitive basis set on carbon and hydrogen respectively, contracting it to a [3s2p/2s] set. The polarization functions should not require further explanation at this stage.

The only limitations to the use of polarization functions when ATOMBASIS is used, is that the length of the line must note exceed 80 characters. If that happens, we recommend collecting a standard basis set from the file DALTON.BAS, and then adding functions to this set.

# 26.5 Auxiliary basis sets

It is possible to specify more than one basis set. For example, by typing

# 1:BASIS

2:4-31G\*\* 6-311++G(3df,3pd)

the basis set 6-311++G(3df,3pd) will be used as an auxiliary basis. When using an auxiliary basis, each atom line must contain a basis-set identifier, which at present may take the values Set=1 (orbital basis) or Set=2 (auxiliary basis). Basis sets with Set=1 must be read first, basis sets with Set=2 thereafter. The above also applies to the ATOMBASIS and INTGRL input modes. Examples are provided by the following input files:

```
1:BASTS
2:cc-pVDZ cc-pCVQZ
3:Direct MP2-R12/cc-pVDZ calculation on H20
4:Auxiliary basis: cc-pCVQZ
5:Atomtypes=1 Generators=1 X
6:Charge=8.0 Atoms=1 Set=1
7:0
           .000000000000000
                               .0000000000000 -0.12430900000000
8:Charge=1.0 Atoms=1 Set=1
9:H
         1.42745020000000
                               .000000000000000
                                                 0.98643700000000
10:Charge=8.0 Atoms=1 Set=2
11:0
           .000000000000000
                               .0000000000000 -0.12430900000000
12:Charge=1.0 Atoms=1 Set=2
13:H
         1.42745020000000
                               .0000000000000000
                                                 0.98643700000000
1:ATOMBASIS
2:Direct MP2-R12/cc-pVDZ calculation on H20
3:Auxiliary basis: cc-pCVQZ
4:Atomtypes=4 Generators=1 X
5:Charge=8.0 Atoms=1 Set=1 Basis=cc-pVDZ
6:0
           .000000000000000
                               .0000000000000 -0.12430900000000
7:Charge=1.0 Atoms=1 Set=1 Basis=cc-pVDZ
                               .000000000000000
8:H
         1.42745020000000
                                                 0.98643700000000
9:Charge=8.0 Atoms=1 Set=2 Basis=cc-pCVQZ
10:0
           .000000000000000
                               .0000000000000 -0.12430900000000
11:Charge=1.0 Atoms=1 Set=2 Basis=cc-pCVQZ
                               .000000000000000
12:H
          1.42745020000000
                                                 0.98643700000000
```

Note that the keyword .R12AUX must be specified in the \*MOLBAS input section to be able to read the above inputs.

# 26.6 The basis sets supplied with DALTON

As was mentioned above, all the basis sets supplied with this release of the DALTON program — with a few exceptions — have been obtained from the EMSL basis set library [171]. Supplied basis sets include the STO-nG and Pople style basis sets, Dunning's correlation-consistent basis sets, Ahlrichs Turbomole basis sets and Huzinaga basis sets. In a very few cases we have corrected the files as obtained from EMSL, however we take no responsibility for any errors inherent in these files.

The ANO and Sadlej-pVTZ polarization basis sets have been obtained from the MOLCAS homepage without any further processing, and should therefore be free of errors. The NQvD basis provided to us by Knut Fægri along with the Turbomole basis sets have been slightly reformatted for more convenient processing of the file, hopefully without having introduced any errors. The pc-n and apc-n basis sets have been provided to us by Frank Jensen.

We have included several Turbomole basis sets, although we have retained the Ahlrichs basis sets from the EMSL basis set library. We recommend the Turbomole basis sets rather than the Ahlrichs basis sets from EMSL, which contain some errors. The Ahlrichs-VDZ basis is similar to the Turbomole-SV basis, while the Ahlrichs-VTZ is a combination of the Turbomole-TZ (H–Ar) and Turbomole-DZ (K–Kr).

Below we give a comprehensive list of all basis sets included in the basis set library, together with a list of the elements supported, and the complete reference to be cited when employing a given basis set in a calculation.

Basis set name	Elements	References
STO-nG		
STO-2G	H-Ca, Sr	[175, 176]
STO-3G	H–Cd	[175, 176, 177, 178]
STO-6G	H–Ar	[175, 176]
Pople-style basis sets		
3-21G	H–Cs	[179,180,181,182,183,184]
3-21G*	H–Cl	3-21G, pol. funcs. from [185]
		<i>Note</i> : pol. funcs. only on Na–Cl
3-21++G	m H,  Li- m Ar	3-21G, diffuse funcs. from $[186]$
$3-21++G^*$	H–Cl	$3-21++G$ and $3-21G^*$
4-31G	H–Ar	[ <b>187</b> , <b>180</b> ] He,Ne from G90
6-31G	H-Ar, Zn	[188, 189, 190, 191] He,Ne from G90
6-31G*	H–Ar	6-31G, pol. funcs. from [192, 190]
6-31G**	H–Ar	$6-31G^*$ , pol. funcs. from [192]
6-31+G	H–Ar	6-31G, diffuse funcs. from $[186]$

Basis sets included in the Dalton2016 release.

Basis set name	Elements	References
6-31++G	H–Ar	6-31G, diffuse funcs. from $[186]$
6-31+G*	H-Ar	$6-31+G$ and $6-31G^*$
$6-31++G^*$	H–Ar	$6-31++G$ and $6-31G^*$
6-31++G**	H-Ar	$6-31++G$ and $6-31G^{**}$
6-31G(3df,3pd)	H–Ar	6-31G, pol. funcs. from [193]
6-311G	H-Ar, Br, I	[194, 195, 196, 197]
6-311G*	H-Ar, Br, I	6-311G, pol. funcs. from [194, 196]
6-311G**	H–Ar, Br, I	6-311G, pol. funcs. from [194, 196]
6-311+G*	H–Ne	$6-311G^*$ diffuse funcs. from [186]
6-311++G**	H–Ne	$6-311G^{**}$ diffuse funcs. from [186]
6-311G(2df, 2pd)	H–Ne	6-311G, pol. funcs. from [193].
6-311++G(2d,2p)	H–Ne	6-311++G, pol. funcs. from [193].
6-311++G(3df,3pd)	H–Ar	6-311++G, pol. funcs. from [193].
Huckel	H–Cd	· ~ L J
MINI(Huzinaga)	H–Ca	[198]
MINI(Scaled)	H–Ca	[198, 199]
Dunning-Hay basis sets		n / a
SV(DH.)	H, Li–Ne	[200]
SVP(DH.)	H, Li–Ne	SV, pol. funcs. from [200, 201].
SVP+Diffuse(DH.)	H, Li–Ne	SVP diffuse funcs. from [200, 201].
SV+Rydberg(DH.)	H, Li–Ne	SV, pol. funcs. from [202].
SV+DoubleRydberg(DH.)	H, Li–Ne	SV, pol. funcs. from [202].
DZ(D.)	H, B–Ne, Al–Cl	[203, 200]
DZP(D.)	H, B–Ne, Al–Cl	DZ, pol. funcs. from [200, 201].
DZP+Diffuse(D.)	H, B–Ne	DZP diffuse funcs. from [200, 201].
DZ+Rydberg(D.)	H, B–Ne, Al–Cl	DZ, pol. funcs. from [202].
DZP+Rvdberg(D.)	H, B–Ne, Al–Cl	DZP, pol. funcs. from [202].
TZ(D.)	H, Li–Ne	[204]
Dunning's correlation-co	onsistent basis sets	
Note: H,He (valence only)	are included in all core-valence	e basis sets for convenience.
cc-pVXZ	H–Ne, Al–Ar,	[55, 58, 57, 205, 206]
(X = D, T, Q, 5, 6)	Ca, Ga–Kr	Note: 6Z incl. only H,C–O
cc-pCVXZ	H, He, B–Ne,	cc-pVXZ,
(X = D, T, Q, 5)	Na–Ar	core funcs. from [55, 205, 207]
		Note: 5Z incl. only H, He, B–Ne.
cc-pwCVXZ	H, He, C–Ne,	cc-pCVXZ,
(X = D, T, Q, 5)	Al–Ar	core funcs. from [55, 208]
aug-cc-pVXZ	H, He, B–Ne,	cc-pVXZ,
(X = D, T, Q.5)	Al–Ar, Ga–Kr	aug. funcs. from [55, 56, 57, 58]
V67	$H H_{\Theta} B_{-} N_{\Theta}$	[200 210]

Basis sets included in the Dalton2016 release.

Basis set name	Elements	References
	Al–Ar	aug. funcs. from [209, 210, 211]
aug-cc-pCVXZ	H, He, B–F,	aug-cc-pVXZ, cc-pCVXZ
$(\mathbf{X} = \mathbf{D}, \mathbf{T}, \mathbf{Q}, 5)$	Ne, Al-Ar	and [207, 208]
		<i>Note</i> : Ne only avail. for TZ and $QZ$
n-aug-cc-pVXZ	as aug-cc-pVXZ	aug-cc-pVXZ. See Sec. 26.4
$(n = \mathrm{d,t,q})$		
n-aug-cc-pCVXZ	as aug-cc-pCVXZ	aug-cc-pCVXZ. See Sec. 26.4
(n = d,t,q)		
cc-pVXZdenfit	H, B–F, Al–Cl	Turbomole program
(X = T, Q, 5)		
cc-pVXZ-DK	H,He,B-Ne,	[55, 58, 57, 206] cc-pVXZ
$(\mathbf{X} = \mathbf{D}, \mathbf{T}, \mathbf{Q}, 5)$	Al–Ar, Ga–Kr	re-contr. for Douglas-Kroll calcs.
Frank Jensen's polarizati	$on-consistent \ basis \ sets$	
pc-n	$ m H, \ C-F$	[212, 213]
(n = 0, 1, 2, 3, 4)	Si–Cl	[214]
$\operatorname{apc-}n$	$\mathrm{H}, \mathrm{C-F}$	pc- $n$ , aug. funcs. from [215]
(n = 0, 1, 2, 3, 4)	Si–Cl	[214]
Ahlrich's Turbomole basi	s sets	
Note: See text above – Turbe	omole basis sets are preferred	l over EMSL sets
Turbomole-SV	H–Kr	[61] Turbomole SV basis
Turbomole-XZ	H–Kr	[61] Turbomole DZ,TZ basis
(X=D,T)		
Turbomole-TZV	H–Kr	[62] Turbomole TZV basis
Turbomole-XP	H–Kr	[61, 62] Turbomole pol. basis
(X = SV, DZ, TZ, TZV)		
Turbomole-TZVPP	H–Kr	[62] Turbomole TZVPP basis
Turbomole-TZVPPP	H–He,B–Ne,Al–Ar	[62] Turbomole TZVPPP basis
Ahlrichs-VXZ	H–Kr	[61] From EMSL
(X=D,T)		
Ahlrichs-pVDZ	H–Kr	[61] From EMSL: pol. funcs. unpubl.
Huzinaga basis sets		
Huz-II	$\mathrm{H,\ C-F,\ P,\ S}$	[216, 217, 218] All the Huz basis
Huz-IIsu2	$\mathrm{H,\ C-F,\ P,\ S}$	[216, 217, 218] sets are of approx.
Huz-III	$\mathrm{H,\ C-F,\ P,\ S}$	[216, 217, 218] valence TZ quality
Huz-IIIsu3	$\mathrm{H,\ C-F,\ P,\ S}$	[216,  217,  218]
Huz-IV	H, C–F, P, S	[216,  217,  218]
Huz-IVsu4	H, C–F, P, S	[216,  217,  218]
GAMESS-VTZ	H, Be–Ne, Na–Ar	[204, 195, 219] From GAMESS
GAMESS-PVTZ	H, Be–Ne	[204, 195, 219] From GAMESS
McLean-Chandler-VTZ	Na–Ar	[195]

Basis sets included in the Dalton2016 release.

Basis set name	Elements	References	
Wachtersa+f	Sc–Cu	[219, 220] f funcs. from $[221]$	
Sadlej-pVTZ	H–I	[222, 223, 224, 225]	
	Note: No rare-gas, B, Al, C	a or In basis sets	
Sadlej-pVTZ-J	H, C–O, S	[73] Sadlej-pVTZ opt. for NMR	
aug-cc-pVTZ-J	H, C-F, S	[73] aug-cc-pVTZ opt. for NMR	
aug-cc-pVTZ-lresc	H, C, F, Cl, Br, Sn, I, Xe.	[65] opt. for NMR / LRESC	
ANO basis sets – see Sec	. 26.4.		
NQvD		[172]	
Almlof-Taylor-ANO	H-Ne	[226] Almlof and Taylor ANO	
NASA-Ames-ANO	H, B–Ne, Al,	[226, 227]	
	P, Ti, Fe, Ni		
ano-1	H–Ne	[3] Roos Augmented ANO	
ano-2	Na–Ar	[4]	
ano-3	Sc–Zn	[228]	
ano-4	H–Kr	[229] Roos ANO	
Wahlgren/Faegri relativistic basis set			
raf-r	O, Y–Pd, Hf–Tl,		
	Po, Th, U		

Basis sets included in the Dalton2016 release.

Effective core potential (ECP) basis sets

*Note*: ecp-sdd-DZ is Stuttgart ECP valence basis sets included in previous Dalton releases, see http://www.theochem.uni-stuttgart.de/pseudopotentials/index.en.html. Others are from EMSL with the EMSL name given in the third column, please check EMSL for complete reference.

$aug\_cc\_pvdz\_pp$	Cu-Kr, Y-Xe,	aug-cc-pVDZ-PP
	Hf-Rn	
$aug\_cc\_pvtz\_pp$	Cu-Kr, Y-Xe,	aug-cc-pVTZ-PP
	Hf-Rn	
aug_cc_pvqz_pp	Cu-Kr, Y-Xe,	aug-cc-pVQZ-PP
	Hf-Rn	
$aug\_cc\_pv5z\_pp$	Cu-Kr, Y-Xe,	aug-cc-pV5Z-PP
	Hf-Rn	
$cc_pvdz_pp$	Cu-Kr, Y-Xe,	cc-pVDZ-PP
	Hf-Rn	
$cc_pvtz_pp$	Cu-Kr, Y-Xe,	cc-pVTZ-PP
	Hf-Rn	
cc_pvqz_pp	Cu-Kr, Y-Xe,	cc-pVQZ-PP
	Hf-Rn	
$cc_pv5z_pp$	Cu-Kr, Y-Xe,	cc-pV5Z-PP
	Hf-Rn	
$cc_pwcvdz_pp$	Cu, Zn, Y-Cd,	cc-pwCVDZ-PP

Basis set name	Elements	References
	I, Hf-Hg	
$cc_pwcvtz_pp$	Cu, Zn, Y-Cd,	cc-pwCVTZ-PP
	I, Hf-Hg	
cc_pwcvqz_pp	Cu, Zn, Y-Cd,	cc-pwCVQZ-PP
	I, Hf-Hg	
cc_pwcv5z_pp	Cu, Zn, Y-Cd,	cc-pwCV5Z-PP
	Hf-Hg	-
crenbl_ecp	H, Li-Uus	CRENBL ECP
crenbs_ecp	Sc-Zn, Y-Cd, La,	CRENBS ECP
-	Hf-Rn, Rf-Uus	
def2_qzvp	H-La, Hf-Rn	Def2-QZVP
def2_qzvpp	H-La, Hf-Rn	Def2-QZVPP
def2_sv_p	H-La, Hf-Rn	Def2-SV(P)
def2_svp	H-La, Hf-Rn	Def2-SVP
def2_tzvp	H-La, Hf-Rn	Def2-TZVP
def2_tzvpp	H-La, Hf-Rn	Def2-TZVPP
dzq	Y-Ag	DZQ
ecp-sdd-DZ	Li, B-F, Na-Cl,	Stuttgart ECP valence basis sets
*	K-Sc, Cr-Kr, Sr,	5
	Zr-Ba, Hf-Bi	
hay_wadt_mb_n1_ecp	K-Cu, Rb-Ag,	Hay-Wadt MB $(n+1)$ ECP
	Cs-Au	
hay_wadt_vdz_n1_ecp	K-Cu, Rb-Ag,	Hay-Wadt VDZ $(n+1)$ ECP
	Cs-Au	
lanl08	Na-La, Hf-Bi	LANL08
lanl08_f	Sc-Cu, Y-Ag,	LANL08(f)
	La, Hf-Au	
lanl08_p	Sc-Zn	LANL08+
lanl08d	Si-Cl, Ge-Br,	LANL08d
	Sn-I, Pb-Bi	
lanl2dz_ecp	H, Li-La, Hf-Au,	LANL2DZ ECP
-	Pb-Bi, U-Pu	
lanl2dzdp_ecp	C-F, Si-Cl,	LANL2DZdp ECP
1 1	Ge-Br, Sn-I,	
	Pb-Bi	
lanl2tz	Sc-Zn, Y-Cd,	LANL2TZ
	La, Hf-Hg	
$lanl2tz_{f}$	Sc-Cu, Y-Ag,	LANL2TZ(f)
	La, Hf-Au	( )
$lanl2tz_p$	Sc-Zn	LANL2TZ+

Basis sets included in the Dalton2016 release.

Basis set name	Elements	References
modified_lanl2dz	Sc-Cu, Y-Ag,	modified LANL2DZ
	La, Hf-Au	
sbkjc_polarized_p_2d_lfk	H-Ca, Ge-Sr,	SBKJC Polarized (p,2d) - LFK
	Sn-Ba, Pb-Rn	
sbkjc_vdz_ecp	H-Ce, Hf-Rn	SBKJC VDZ ECP
sdb_aug_cc_pvqz	Ga-Br, In-I	SDB-aug-cc-pVQZ
sdb_aug_cc_pvtz	Ga-Br, In-I	SDB-aug-cc-pVTZ
sdb_cc_pvqz	Ga-Kr, In-Xe	SDB-cc-pVQZ
sdb_cc_pvtz	Ga-Kr, In-Xe	SDB-cc-pVTZ
$stuttgart_rlc_ecp$	Li-Ca, Zn-Sr,	Stuttgart RLC ECP
	In-Ba, Hg-Rn,	
	Ac-Lr	
$stuttgart_rsc_1997\_ecp$	K-Zn, Rb-Cd,	Stuttgart RSC 1997 ECP
	Cs-Ba, Ce-Yb,	
	Hf-Hg, Ac-Lr,	
	Db	
$stuttgart_rsc_ano\_ecp$	La-Lu	Stuttgart RSC ANO/ECP
$stuttgart\_rsc\_segmented\_ecp$	La-Lu	Stuttgart RSC Segmented/ECP

Basis sets included in the Dalton2016 release.

In the following, we give the comprehensive list of all ECPs included in the current release, together with a list of the elements supported. The ecp-sdd-DZ is Stuttgart ECPs included in previous Dalton releases <sup>3</sup>, while others are from EMSL, please check EMSL for the complete reference to be cited.

ECP name	Elements	EMSL name
aug_cc_pvdz_pp	Cu-Kr, Y-Xe, Hf-Rn	aug-cc-pVDZ-PP
$aug\_cc\_pvtz\_pp$	Cu-Kr, Y-Xe, Hf-Rn	aug-cc-pVTZ-PP
aug_cc_pvqz_pp	Cu-Kr, Y-Xe, Hf-Rn	aug-cc-pVQZ-PP
aug_cc_pv5z_pp	Cu-Kr, Y-Xe, Hf-Rn	aug-cc-pV5Z-PP
$cc_pvdz_pp$	Cu-Kr, Y-Xe, Hf-Rn	cc-pVDZ-PP
$cc_pvtz_pp$	Cu-Kr, Y-Xe, Hf-Rn	cc-pVTZ-PP
cc_pvqz_pp	Cu-Kr, Y-Xe, Hf-Rn	cc-pVQZ-PP
$cc_pv5z_pp$	Cu-Kr, Y-Xe, Hf-Rn	cc-pV5Z-PP
$cc_pwcvdz_pp$	Cu, Zn, Y-Cd, I,	cc-pwCVDZ-PP
	Hf-Hg	
$cc_pwcvtz_pp$	Cu, Zn, Y-Cd, I,	cc-pwCVTZ-PP
	Hf-Hg	

ECPs included in the Dalton2016 release.

<sup>3</sup>see http://www.theochem.uni-stuttgart.de/pseudopotentials/index.en.html

ECP name	Elements	EMSL name
cc_pwcvqz_pp	Cu, Zn, Y-Cd, I,	cc-pwCVQZ-PP
	Hf-Hg	
cc_pwcv5z_pp	Cu, Zn, Y-Cd, Hf-Hg	cc-pwCV5Z-PP
crenbl_ecp	Li-Uus	CRENBL ECP
crenbs_ecp	Sc-Zn, Y-Cd, La,	CRENBS ECP
	Hf-Rn, Rf-Uus	
def2_qzvp	Rb-La, Hf-Rn	Def2-QZVP
def2_qzvpp	Rb-La, Hf-Rn	Def2-QZVPP
def2_sv_p	Rb-La, Hf-Rn	Def2-SV(P)
def2_svp	Rb-La, Hf-Rn	Def2-SVP
def2_tzvp	Rb-La, Hf-Rn	Def2-TZVP
def2_tzvpp	Rb-La, Hf-Rn	Def2-TZVPP
dzq	Y-Ag	DZQ
ecp-sdd-DZ	Li-Mg, Si-Ce, Nd,	Stuttgart ECPs
	Sm-Tb, Ho, Yb-Bi,	
	Rn	
hay_wadt_mb_n1_ecp	K-Cu, Rb-Ag, Cs-La,	Hay-Wadt MB $(n+1)$ ECP
° I	Hf-Au	
hay_wadt_vdz_n1_ecp	K-Cu, Rb-Ag, Cs-La,	Hay-Wadt VDZ $(n+1)$ ECP
· -	Ta-Au	· · · · · · · · · · · · · · · · · · ·
lanl08	Na-La, Hf-Bi	LANL08
lanl08_f	Sc-Cu, Y-Ag, La,	LANL08(f)
	Hf-Au	
lanl08_p	Sc-Zn	LANL08+
lanl08d	Si-Cl, Ge-Br, Sn-I,	LANL08d
	Pb-Bi	
lanl2dz_ecp	Na-La, Hf-Au, Pb-Bi,	LANL2DZ ECP
-	U-Pu	
lanl2dzdp_ecp	Si-Cl, Ge-Br, Sn-I,	LANL2DZdp ECP
	Pb-Bi	-
lanl2tz	Sc-Zn, Y-Cd, La,	LANL2TZ
	Hf-Au	
lanl2tz_f	Sc-Cu, Y-Ag, La,	LANL2TZ(f)
	Hf-Au	
lanl2tz_p	Sc-Zn	LANL2TZ+
modified_lanl2dz	Sc-Cu, Y-Ag, La,	modified LANL2DZ
	Hf-Au	
sbkjc_polarized_p_2d_lfk	Li-Ca, Ge-Sr, Sn-Ba,	SBKJC Polarized (p,2d) - LFK
. I I	Pb-Rn	
sbkjc_vdz_ecp	Li-Ce, Hf-Rn	SBKJC VDZ ECP

ECPs included in the Dalton2016 release.

ECP name	Elements	EMSL name
sdb_aug_cc_pvqz	Ga-Br, In-I	SDB-aug-cc-pVQZ
$sdb\_aug\_cc\_pvtz$	Ga-Br, In-I	SDB-aug-cc-pVTZ
sdb_cc_pvqz	Ga-Kr, In-Xe	SDB-cc-pVQZ
sdb_cc_pvtz	Ga-Kr, In-Xe	SDB-cc-pVTZ
$stuttgart_rlc_ecp$	Li-Ca, Zn-Sr, In-Ba,	Stuttgart RLC ECP
	Hg-Rn, Ac-Lr	
$stuttgart_rsc_1997\_ecp$	K-Zn, Rb-Cd, Cs-Ba,	Stuttgart RSC 1997 ECP
	Cs-Yb, Hf-Hg, Ac-Lr,	
	Db	
$stuttgart_rsc_ano\_ecp$	La-Lu	Stuttgart RSC ANO/ECP
$stuttgart\_rsc\_segmented\_ecp$	La-Lu	Stuttgart RSC Segmented/ECP

ECPs included in the Dalton2016 release.

# Chapter 27

# Molecular wave functions, SIRIUS

# 27.1 General notes for the SIRIUS input reference manual

SIRIUS is the part of the code that computes the wave function/density.

The following sections contain a list of all generally relevant keywords to SIRIUS, only currently inactive keywords and some special debug options are omitted.

1. The input for the wave function section must begin with

# **\*\*WAVE FUNCTIONS**

with no leading blanks. The preceding lines in the input file may contain arbitrary information.

2. Input is directed by keywords written in upper case. Only the first 7 characters including the prompt are significant. The keywords are divided in a number of main input groups. Each main input group is initiated by a "\*". For example

### \*ORBITAL INPUT

marks the beginning of the input group for orbital input.

- 3. The keywords belonging to one of the main input groups begin with the prompt ".".
- 4. Keywords that are necessary to specify are marked by "Required". For other keywords the default values can be used in ordinary runs.
- 5. Any keyword line beginning with a "!" or "#" will be treated as a comment line. An illegal keyword will cause a dump of all keywords for the current input section.
- 6. A dump of keywords can be obtained in any input section by specifying the keyword ".OPTIONS". For example, the input

\*\*WAVE FUNCTIONS
.OPTIONS
\*\*END OF DALTON INPUT
will cause a dump of the labels for the main input groups in SIRIUS, while
\*\*WAVE FUNCTIONS
\*ORBITAL INPUT
.OPTIONS
\*\*END OF DALTON INPUT
will cause a dump of the labels for the "\*ORBITAL INPUT" input group in SIRIUS.

7. The SIRIUS input is finished with a line beginning with two stars, e.g.

\*\*END OF DALTON INPUT

# 27.2 Main input groups in the **\*\*WAVE FUNCTIONS** input module

The main input groups (those with the "\*" prompt) are listed here and the full descriptions are given in the designated sections.

The first input group is always required in order to specify the type of calculation, and follows immediately after the **\*\*WAVE FUNCTIONS** keyword.

The remaining input groups may be specified in any order. In this chapter they are grouped alphabetically, although the short presentation below gather them according to purpose.

The following two input groups are used to modify the molecular environment by adding field-dependent terms in the Hamiltonian and by invoking the self-consistent reaction field model for solvent effects, respectively:

Section 27.2.8 \*HAMILTONIAN

Section 27.2.16 \*SOLVENT

The next input group specifies the configurations included in the MCSCF and CI wave functions:

Section 27.2.5 \*CONFIGURATION INPUT

The two next groups are used to specify initial orbitals and initial guess for the CI vector: Section 27.2.12 \*ORBITAL INPUT

Section 27.2.4 \*CI VECTOR

The two following input groups control the second-order MCSCF optimization:

Section 27.2.11 \*OPTIMIZATION

Section 27.2.17 \*STEP CONTROL

The next five groups have special input only relevant for the respective calculation types: Section 27.2.15 \* SCF INPUT Section 27.2.6 \*DFT INPUT Section 27.2.9 \*MP2 INPUT Section 27.2.10 \*NEVPT2 INPUT Section 27.2.3 \*CI INPUT

The next section is used to select some types of analysis of the final Hartree–Fock, DFT, MCSCF, or CI wave function:

Section 27.2.13 \*POPULATION ANALYSIS

The next section is used to change the default integral transformation and specify any final integral transformation after convergence (a program following SIRIUS may need a higher transformation level):

Section 27.2.18 \*TRANSFORMATION

The next two input groups control the amount of printed output and collect options not fitting in any of the other groups:

Section 27.2.14 \*PRINT LEVELS Section 27.2.2 \*AUXILIARY INPUT

Finally we note that there is an input module controlling the calculation of coupled cluster wave functions. This is treated in a separate chapter:

Chapter 31 \*CC INPUT

The wave function input is finished when a line is encountered beginning with two stars, for example

\*\*END OF DALTON INPUT

or

\*\*MOLORB
... formatted molecular orbitals coefficients
\*\*END OF DALTON INPUT

The **\*\*MOLORB** keyword or the **\*\*NATORB** keyword must be somewhere on the input file and be followed by molecular orbital coefficients if the option for formatted input of molecular orbitals has been specified. Apart from this requirement, arbitrary information can be written to the following lines of the input file.

# 27.2.1 **\*\*WAVE FUNCTIONS**

# **Purpose:**

Specification of which wave function calculation is to be performed.

Primary keywords, listed in the order the corresponding modules will be executed by the program (if the keyword is set):

.HF Restricted closed-shell or one open-shell Hartree–Fock calculation. The occupied orbitals, optimization control etc. are specified in the "\*SCF INPUT" submodule. Note: you cannot specify both ".HF" and ".DFT" keywords. .DFT READ (LUINP, '(A80)) LINE

Restricted closed-shell, one open-shell or high-spin spin-restricted Kohn-Sham density functional theory calculation. On the following line you must specify which functional to use. The occupied orbitals, optimization control etc. are specified in the "\*SCF INPUT" submodule shared with the ".HF" option. The DFT specific input options are collected in the "\*DFT INPUT" input submodule. Note: you cannot specify both ".HF" and ".DFT" keywords.

- .MP2 Møller-Plesset second-order perturbation theory calculation. Requires ".HF" or previously calculated canonical Hartree–Fock orbitals.
- (".FC MVO" in "\*SCF INPUT" Calculation of modified virtual SCF orbitals based on the potential determined by the keyword (see comments below). The occupied SCF orbitals are not modified. Note that this keyword is not located in this module but in the "\*SCF INPUT" submodule. It is mentioned here to make clear at what point this transformation will be performed, if requested.
- .CI Configuration interaction calculation.
- .MCSCF Multiconfiguration self-consistent field (MCSCF) calculation.
- .NEVPT2 Multireference second-order perturbation theory calculation.
- .CC Coupled cluster calculation. Automatically activates Hartree–Fock (".HF"). After the Hartree–Fock calculation, the CC module is called to do a coupled cluster (response) calculation. For further input options for the CC module see Section 31.1.
- .CC ONLY Skip the calculation of a Hartree–Fock wave function, and start directly in the coupled cluster part. Convenient for restarts in the coupled cluster module.

#### Secondary keywords (in alphabetical order):

.FLAGS READ (LUINP, NMLSIR)

```
Read namelist $NMLSIR ... $END
```

Only for debugging. Set internal control flags directly. Usage is not documented.

.INTERFACE Write the "SIRIFC" interface file for post-processing programs.

# .PRINT READ (LUINP,\*) IPRSIR

General SIRIUS print level and default for all other print parameters in this module.

.RESTART restart SIRIUS, the SIRIUS restart file (SIRIUS.RST) must be available

# .STOP READ (LUINP,'(A20)') REWORD

Terminate SIRIUS according to the instruction given on the following line. Three stop points are defined:

- 1. " AFTER INPUT"
- 2. " AFTER MO-ORTHONORMALIZATION"
- 3. " AFTER GRADIENT" (only for MCSCF and 2nd-order HF or DFT)
- .TITLE READ (LUINP, '(A)') TITLE(NTIT) Any number of title lines (until next line beginning with "." or "\*" prompt). Up to 6 title lines will be saved and used in the output, additional lines will be discarded.

.WESTA Write the "SIRIFC" interface file for the WESTA post-processing program.

# 27.2.2 \*AUXILIARY INPUT

# **Purpose:**

Input which does not naturally fit into any of the other categories.

.NOSUPMAT Do not use P-SUPERMATRIX integrals, but calculate Fock matrices from AO integrals (slower, but requires less disk space). The default is to use the supermatrix file if it exists. See option .NOSUP in Chapter 25.1.

# 27.2.3 \*CI INPUT

# **Purpose:**

Options for a CI calculation.

- .CIDENSITY Calculate CI one-electron density matrix and natural orbital occupations after convergence.
- .CINO Generate CI natural orbitals for CI root number ISTACI, clear the SIRIUS.RST file and write the orbitals with label "NEWORB". The ".STATE" option must be specified.
- .CIROOTS Default: NROOCI = 1 READ (LUINP,\*) NROOCI Converge the lowest NROOCI CI roots to threshold.
- .DISKH2 Active two-electron MO integrals on disk (see comments below).

```
.MAX ITERATIONS READ (LUINP,*) MXCIMA
Max iterations in iterative diagonalization of CI matrix (default = 20).
```

# .STATE Default: not specified

READ (LUINP,\*) ISTACI

Alternative to ".CIROOTS". Converge root number ISTACI to threshold, converge all lower roots only to THQMIN (from the "\*OPTIMIZATION" input group, see p. 284).

# . THRESH Default = 1.D-05

```
READ (LUINP,*) THRCI
```

Threshold for CI energy gradient. The CI energy will be converged to approximately the square of this number.

. THRPWF Default = 0.05D0 for electronic ground states, and 0.10D0 for excited states READ (LUINP,\*) THRPWF

Only CI coefficients greater than threshold are printed (PWF: print wave function).

.WEIGHTED RESIDUALS Use energy weighted residuals (see comments below).

. ZEROELEMENTS Zero small elements in CI trial vectors (see comments below).

# **Comments:**

DISKH2: By default the CI module will attempt to place the two-electron integrals with four active indices in memory for more efficient calculation of CI sigma vectors, if memory is insufficient for this the integrals will automatically be placed on disk. The DISKH2 keyword forces the integrals always to be on disk.

WEIGHTED RESIDUALS: Normally the CI states will be converged to a residual less than the specified threshold, and this will give approximately the squared number of decimal places in the energy. Depending on the value of the energy, the eigenvectors will be converged to different accuracy. If the eigenvectors are wanted with, for instance at least 6 decimal places for property calculations, specify a threshold of 1.0D-6 and weighted residuals.

ZEROELEMENTS: an experimental option that might save time (if the CI module can use sparseness) by zeroing all elements less than 1.0D-3 times the largest element in the CI trial vector before orthonormalization against previous trial vectors. See also ".SYM CHECK" under "\*OPTIMIZATION" (p. 284).

# 27.2.4 \*CI VECTOR

# **Purpose:**

To obtain initial guess for CI vector(s).

- .PLUS COMBINATIONS Use with ".STARTHDIAGONAL" to choose plus combination of degenerate diagonal elements (STRONGLY RECOMMENDED for calculation of singlet states with ".DETERMINANTS").
- .SELECT READ (LUINP,\*) ICONF Select CSF (or determinant if ".DETERMINANTS") no. ICONF as start configuration.
- .STARTHDIAGONAL Select configurations corresponding to the lowest diagonal elements in the configuration part of the Hessian (this is the default option).
- .STARTOLDCI Start from old CI-vector stored saved on the SIRIUS.RST file.

# 27.2.5 \*CONFIGURATION INPUT

# **Purpose:**

To specify the configuration part in MCSCF and CI calculations.

```
.CAS SPACE READ (LUINP,*) (NASH(I),I=1,NSYM)
```

CAS calculation: Active orbitals in each symmetry.

.ELECTRONS Required.

READ (LUINP,\*) NACTEL

Number of active electrons (the number of electrons to be distributed in the active orbitals). The total number of electrons is this number plus two times the total number of inactive orbitals.

- . INACTIVE ORBITALS Required. READ (LUINP,\*) (NISH(I),I=1,NSYM) Number of inactive orbitals each symmetry.
- .RAS1 ELECTRONS READ (LUINP,\*) NEL1MN,NEL1MX Minimum and maximum number of RAS1 electrons; this can alternatively be specified with ".RAS1 HOLES"
- .RAS1 HOLES READ (LUINP,\*) NHL1MN,NHL1MX Minimum and maximum number of holes in RAS1; alternative to ".RAS1 ELECTRONS"
- .RAS1 SPACE READ (LUINP,\*) (NAS1(I),I=1,NSYM) RAS calculation: RAS1 orbital space
- .RAS2 SPACE READ (LUINP,\*) (NAS2(I),I=1,NSYM) RAS calculation: RAS2 orbital space

- .RAS3 ELECTRONS READ (LUINP,\*) NEL3MN, NEL3MX Minimum and maximum number of RAS3 electrons
- .RAS3 SPACE READ (LUINP,\*) (NAS3(I),I=1,NSYM) RAS calculation: RAS3 orbital space

.SPIN MULTIPLICITY Required for MCSCF and CI wave functions. READ (LUINP,\*) ISPIN For CSF basis: state spin multiplicity = 2S + 1, where S is the spin quantum number. For determinant basis this option determines the minimum spin multiplicity. The  $M_S$  value is determined as (ISPIN-1)/2.

.SYMMETRY Required for MCSCF and CI wave functions. READ (LUINP,\*) LSYM Spatial symmetry of CI and/or MCSCF wave function

# **Comments:**

SYMMETRY Specifies total spatial symmetry of the wave function in  $D_{2h}$  symmetry or one of its subgroups:  $C_{2v}$ ,  $C_{2h}$ ,  $D_2$ ,  $C_s$ ,  $C_i$ ,  $C_2$ ,  $C_1$ . The symmetry number of wave function follows MOLECULE output ordering of symmetries ( $D_{2h}$  subgroup irreps).

CAS and RAS are exclusive and both cannot be specified in the same MCSCF or CI calculation. One of them must be specified.

# 27.2.6 \*DFT INPUT

# **Purpose:**

To specify the parameters of the DFT integration and the optional use of empirical corrections.

# .DFTAC READ (LUINP,\*) RTYPE

# READ (LUINP,\*) CTYPE

# READ (LUINP,\*) DFTIPTA, DFTIPTB, DFTBR1, DFTBR2

Switches on the asymptotic correction of the exchange correlation potential. This correction is a pointwise manipulation of the exchange–correlation potential. This implies activation of the .DFTVXC keyword in the SCF stage. RTYPE defines the potential to be used to replace the asymptotic GGA potential, possible options are MULTPOLE (a simple multipole model) and LB94 (the potential from the LB94 model potential [230]). CTYPE defines how the potential of the parent functional is connected to the asymptotic model, possible options are LINEAR (as used in the Tozer-Handy correction [231]), TANH (a modified connection by Tozer [232], which

removes discontinuities associated with linear interpolation) and GRAC (the gradient-regulated asymptotic correction of Grüning *et. al.* [233]).

Four numerical input parameters are then input the first two are the  $\alpha$  and  $\beta$  ionization potentials (either calculated or experimental). If GRAC is chosen for the connection type then the last two value specify the parameters  $\alpha$  and  $\beta$  (see Ref. [233] for details). Recommended values are 0.5 and 40.0. Otherwise the last two parameters specify multiples of the Bragg Radii and are used to define the core, interpolation and asymptotic regions. For grid points within DFTBR1 Bragg Radii of each atom the potential is unmodified, for points outside DFTBR2 Bragg Radii the potential is replaced with its asymptotic model. In between the interpolation model is used. Recommended values in this case are 3.5 and 4.7. Care should be taken when choosing alternative values for the final two parameters in each scheme, inappropriate values can make SCF convergence difficult.

- .DFTD2 switches on Grimmes DFT-D2 empirical dispersion correction [234]. The code will attempt to assign the correct functional dependent parameters based on the chosen DFT functional. Analytic gradient contributions are available.
- .D2PAR READ (LUINP,\*) D2\_s6\_inp, D2\_alp\_inp, D2\_rs6\_inp using this keyword user input values of the  $s_6$ ,  $\alpha$  and  $s_{r,6}$  DFT-D2 parameters may be specified. If supplied these values override any values defined within the code.
- .DFTD3 switches on Grimmes DFT-D3 empirical dispersion correction [235]. The code will attempt to assign the correct functional dependent parameters based on the chosen DFT functional. Analytic gradient contributions are available.
- .DFD3BJ switches on Grimmes DFT-D3 empirical dispersion correction with Becke-Johnson damping [236]. The code will attempt to assign the correct functional dependent parameters based on the chosen DFT functional. Analytic gradient contributions are available. This is the presently recommended version.
- .3B0DY keyword for adding 3-body terms to the DFT-D3 dispersion energy. Note that gradients are not implemented for these corrections
- .D3PAR READ (LUINP,\*) D3\_s6\_inp, D3\_alp\_inp, D3\_rs6\_inp, D3\_rs18\_inp, D3\_s18\_inp keyword for specifying the  $s_6$ ,  $\alpha$ ,  $s_{r,6}$ ,  $s_{r,8}$  and  $s_8$  parameters of the DFT-D3 methods. Note, take care to match the parameter values to the correct version of the DFT-D3 correction.
- .DFTELS READ (LUINP,\*) DFTELS

safety threshold – stop if the charge integration gives too large error.

## .DFTTHR READ (LUINP,\*) DFTHRO, DFTHRI

Thresholds determining accuracy of the numerical integration. The first number determines the density threshold (contributions to a property from places where the density is below the threshold will be skipped) and the second one – orbital threshold (orbitals are assumed to be exactly 0 if they are below the threshold). The default value for DFTHR0 is 1.0D - 9 and for DFTRHI is 1.0D - 13.

.DFTVXC keyword to specify explicit construction of the exchange–correlation potential for GGA forms. This is automatically invoked when .DFTAC is selected and not recommended for use otherwise.

# .RADINT READ (LUINP,\*) RADINT

Determines the quality of the radial part of the grid and corresponds to the upper limit of the error in case of an integration on an atom. Default value is 1.0D - 13.

# .ANGINT READ (LUINP,\*) ANGINT

Determines the quality of the angular Lebedev grid – the angular integration of spherical harmonics will be exact up to the specified order. Default value is 35.

# .GRID TYPE READ (LUINP,\*) LINE

Allows specification of different partitioning methods and radial schemes. BECKE is Becke partitioning scheme with correction for atomic sizes using Bragg sizes, BECKEORIG is the same Becke partitioning scheme but without correction. SSF is a partitioning scheme for large molecules designed to reduce the grid generation time. LMG select LMG radial scheme adjusted to currently used basis set. Gauss-Chebychev radial scheme of second order is provided for reference and can be selected by keyword GC2.

- .COARSE Shortcut keyword for radial integration accuracy  $10^{-11}$  and angular expansion order equal to 35.
- .NORMAL Shortcut keyword for radial integration accuracy  $10^{-13}$  and angular expansion order equal to 35.
- .FINE Shortcut keyword for radial integration accuracy  $10^{-13}$  and angular expansion order equal to 42.
- .ULTRAF Shortcut keyword for radial integration accuracy  $10^{-15}$  and angular expansion order equal to 65.

# 27.2.7 DFT functionals

In general, functionals in DALTON can be divided into two groups: generic exchange and correlation functionals and combined functionals. Combined functionals are a linear combination of generic ones. There are a large number of combined functionals defined below, however the user can also create their own combined functionals with the **Combine** keyword. A number of standalone functionals are also included within DALTON. In addition a number of double-hybrid functionals (energies only) are available, which include a post-SCF second-order perturbation theory contribution.

It should be noted that the input is not case sensitive, although the notation employed in this manual makes use of case to emphasize exchange or correlation functional properties and reflect the original literature sources.

## 27.2.7.1 Exchange Functionals

Slater Dirac-Slater exchange functional [237, 238, 239].

- Becke 1988 Becke exchange GGA correction [240]. Note that the full Becke88 exchange functional is given as Slater + Becke.
- mBecke 1998 modified Becke exchange correction presented in reference [241] for use in the EDF1 functional. The  $\beta$  value of 0.0042 in Becke is changed to 0.0035.
- B86 Becke 1986 exchange functional, a divergence free, semi-empirical gradient-corrected exchange functional [242, 243]. This functional corresponds to the B86R functional of the Molpro program.
- B86mx B86 exchange functional modified with a gradient correction for large density gradients [244].
- DBx Double-Becke exchange functional defined in 1998 by Gill et al.[241, 245] for use in the EDF1 functional. The full DBx functional is defined as

 $1.030952^{*}$ Slater -  $8.44793^{*}$ Becke +  $10.4017^{*}$ mBecke

- DK87x DePristo and Kress' 1987 rational function GGA exchange functional (equation 7) from Ref. [246]. The full exchange functional is defined as Slater + DK87x.
- G96x Gill's 1996 GGA correction exchange functional [247]. The complete exchange functional is given by Slater + G96x.
- $\label{eq:LG93x 1993 GGA exchange functional [248, 249]. The full LG93 exchange functional is given by Slater + LG93x$

- LRC95x 1995 GGA exchange functional with correct asymptotic behavior [250]. The LRC95x exchange functional includes the Slater exchange (Eq 6 from original reference).
- KTx Keal and Tozer's 2003 GGA exchange functional. Note that the gradient correction pre-factor constant,  $\gamma$ , is not included in the KT exchange definition, but rather in the KT1, KT2 and KT3 definitions. The full KT exchange is given by [251], Slater +  $\gamma$ KTx ( $\gamma$  is -0.006 for KT1,KT2 and -0.004 for KT3).
- **OPTX** Handy's 2001 exchange functional correction [252]. The full OPTX exchange functional is given by 1.05151\*Slater 1.43169\*OPTX.
- PBEx Perdew, Burke and Ernzerhof 1996 exchange functional [253].
- revPBEx Zhang and Wang's 1998 revised PBEx exchange functional, with  $\kappa$  of 1.245 [254].
- **RPBEx** Hammer, Hansen and Nørskov's 1999 revised PBEx exchange functional [254].
- mPBEx Adamo and Barone's 2002 modified PBEx exchange functional [255].
- PW86x Perdew and Wang 1986 exchange functional (the PWGGA-I functional) [256].
- PW91x Perdew and Wang 1991 exchange functional (the pwGGA-II functional) and includes Slater exchange [257]. This functional is also given in a separate parameterization in Refs. [247, 258], which is labeled PW91x2, and is defined as PW91x = Slater + PW91x2.
- mPW Adamo and Barone's 1998 modified PW91x GGA correction exchange functional [257, 258]. The full exchange functional is given by Slater + mPW.

# 27.2.7.2 Correlation Functionals

- VWN3 correlation functional of Vosko, Wilk and Nusair, 1980 (equation III) [259]. This is the form used in the Gaussian program.
- VWN5 correlation functional of Vosko, Wilk and Nusair, 1980 (equation V the recommended one). The VWN keyword is a synonym for VWN5 [259].
- LYP correlation functional by Lee, Yang and Parr, 1988 [260, 261].
- LYPr 1998 modified LYP functional, which is the re-parameterized EDF1 version with modified parameters (0.055, 0.158, 0.25, 0.3505) [260, 261, 241].
- P86c non-local part of the correlation functional of the Perdew 1986 correlation functional [262]. PZ81 (1981 Perdew local) is usually used for the local part of the functional, with a total corelation functional of P86c + PZ81.

- PBEc Perdew, Burke and Ernzerhof 1996 correlation functional, defined as PW91c local and PBEc non-local correlation [253].
- PW91c 1991 correlation functional of Perdew and Wang (the pwGGA-II functional) [257]. This functional includes both the PW91c non-local and PW91c local (ie PW92c) contributions. The non-local PW91c contribution may be determined as PW91c -PW92c.
- PW92c local correlation functional of Perdew and Wang, 1992 [257, 263]. This functional is the local contribution to the PW91c correlation functional.
- PW92ac gradient correction to the PW91c correlation functional of Perdew and Wang, equation 16 from Ref. [257, 263]. The PWGGA-IIA functional as defined in the original reference is PW91c + PW92ac.
- PZ81 local correlation functional of Perdew and Zunger, 1981 [264].
- Wigner original 1938 spin-polarized correlation functional [265].
- WL90c Wilson and Levy's 1990 non-local spin-dependent correlation functional (equation 15 from Ref. [266]).

## 27.2.7.3 Standalone Functionals

- LB94 asymptotically correct functional of Leeuwen and Baerends 1994 [230]. This functional improves description of the asymptotic density on the expense of core and inner valence.
- B97 Becke 1997 functional [267].
- B97-1 Hamprecht et al.'s 1998 re-parameterization of the B97 functional [268].
- B97-2 Modification of B97 functional in 2001 by Wilson, Bradley and Tozer [269].
- B97-D Grimme's re-parameterization of the B97-1 functional for use with empirical dispersion correction [270].
- B97-K Boese and Martin 2004 re-parameterization of the B97-1 functional for kinetics [268].
- HCTH is a synonym for the HCTH407 functional (detailed below). [271].
- 1-4 The "quarter" functional of Menconi, Wilson and Tozer [272].
- HCTH93 Original 1998 HCTH functional, parameterized on a set of 93 training systems [273].

HCTH120 The HCTH functional parameterized on a set of 120 training systems in 2000 [274].

HCTH147 The HCTH functional parameterized on a set of 147 training systems in 2000 [274].

HCTH407 The HCTH functional parameterized on a set of 407 training systems in 2001 [271].

HCTH407p The HCTH407 functional re-parameterized in 2003 on a set of 407 training systems and ammonia dimer to incorporate hydrogen bonding [275].

#### 27.2.7.4 Combined functionals

Combine is a universal keyword allowing users to manually construct arbitrary linear combinations of exchange and correlation functionals from the list above. Even fractional Hartree–Fock exchange can be specified. This keyword is to be followed by a string of functionals with associated weights. The syntax is NAME=WEIGHT .... As an example, B3LYP may be constructed as:

.DFT Combine HF=0.2 Slater=0.8 Becke=0.72 LYP=0.81 VWN=0.19

The following GGA and hybrid functional aliases are defined within DALTON and provide further examples of the Combine keyword.

- SVWN5 is a sum of Slater functional and VWN (or VWN5) correlation functional. SVWN is a synonym for SVWN5. It is equivalent to Combine Slater=1 VWN5=1
- SVWN3 is a sum of the Slater exchange functional and VWN3 correlation functional. It is
   equivalent to the Gaussian program LSDA functional and can alternatively be selected
   by following set of keywords
   Combine Slater=1 VWN3=1
- LDA A synonym for SVWN5 (or SVWN).
- BVWN is a sum of the Slater functional, Becke correction and VWN correlation functional. It is equivalent to Combine Slater=1 Becke=1 VWN=1
- BLYP is a sum of Slater functional, Becke88 correction and LYP correlation functional. It is equivalent to Combine Slater=1 Becke=1 LYP=1
- B3LYP 3-parameter hybrid functional [276] equivalent to: Combine HF=0.2 Slater=0.8 Becke=0.72 LYP=0.81 VWN=0.19

- B3LYPg hybrid functional with VWN3 form used for correlation—this is the form used by the Gaussian quantum chemistry program. Keyword B3LYPGauss is a synonym for B3LYPg. This functional can be explicitly set up by Combine HF=0.2 Slater=0.8 Becke=0.72 LYP=0.81 VWN3=0.19
- B1LYP 1-parameter hybrid functional with 25% exact exchange [277]. Equivalent to: Combine HF=0.25 Slater=0.75 Becke=0.75 LYP=1
- BP86 Becke88 exchange functional and Perdew86 correlation functional (with Perdew81 local correlation). The explicit form is: Combine Slater=1 Becke=1 PZ81=1 P86c=1
- B3P86 variant of B3LYP with VWN used for local correlation and P86 for the nonlocal part. Combine HF=0.2 Slater=0.8 Becke=0.72 P86c=0.81 VWN=1
- B3P86g variant of B3LYP with VWN3 used for local correlation and P86 for the nonlocal part. This is the form used by the Gaussian quantum chemistry program. Combine HF=0.2 Slater=0.8 Becke=0.72 P86c=0.81 VWN3=1
- BPW91 Becke88 exchange functional and PW91 correlation functional. The explicit form is: Combine Slater=1 Becke=1 PW91c=1
- B3PW91 3-parameter Becke-PW91 functional, with PW91 correlation functional. Note that PW91c includes PW92c local correlation, thus only excess PW92c local correlation is required (coefficient of 0.19). Combine HF=0.2 Slater=0.8 Becke=0.72 PW91c=0.81 PW92c=0.19
- B1PW91 1-parameter hybrid functional [277] equivalent to: Combine HF=0.25 Slater=0.75 Becke=0.75 PW91c=1
- B86VWN is a sum of Slater and B86x exchange functionals and the VWN correlation functional. It is equivalent to Combine Slater=1 B86x=1 VWN=1
- B86LYP is a sum of Slater and B86x exchange functionals and the LYP correlation functional. It is equivalent to Combine Slater=1 B86x=1 LYP=1
- B86P86 is a sum of Slater and B86x exchange functionals and the P86c correlation functional. It is equivalent to Combine Slater=1 B86x=1 P86c=1
- B86PW91 is a sum of Slater and B86x exchange functionals and the PW91c correlation functional. It is equivalent to Combine Slater=1 B86x=1 PW91c=1
- BHandH is an simple Half-and-half functional. Combine HF=0.5 Slater=0.5 LYP=1
- BHandHLYP is another simple Half-and-half functional. Combine HF=0.5 Slater=0.5 Becke=0.5 LYP=1
- BW is the sum of the Becke exchange and Wigner correlation functionals [265, 278]. Combine Slater=1 Becke=1 Wigner=1
- CAMB3LYP Coulomb Attenuated Method Functional of Yanai, Tew and Handy [279]. This functional accepts additional arguments alpha, beta and mu to modify the fraction of HF exchange for short-range interactions, additional fraction of HF exchange for longrange interaction and the interaction switching factor  $\mu$ . This input can be specified as follows:

.DFT CAMB3LYP alpha=0.190 beta=0.460 mu=0.330

- rCAMB3LYP Revised version of the CAMB3LYP Functional [280] designed to give near piecewise linear behaviour of the energy vs. particle number. This functional accepts additional arguments alpha, beta and mu with the same meanings and syntax as for the CAMB3LYP functional.
- DBLYP is a sum of the Double-Becke exchange functional and the LYP correlation functional
  [240, 241, 260, 261].
  Combine Slater=1.030952 Becke=-8.44793 mBecke=10.4017 LYP=1
- DBP86 is the sum of the Double-Becke exchange functional and the P86 correlation functional [240, 241, 262]. Combine Slater=1.030952 Becke=-8.44793 mBecke=10.4017 P86c=1 PZ81=1
- DBPW91 is a sum of the Double-Becke exchange functional and the PW91 correlation functional [240, 241, 257]. Combine Slater=1.030952 Becke=-8.44793 mBecke=10.4017 PW91c=1
- EDF1 is a fitted functional of Adamson, Gill and Pople [241]. It is a linear combination of the Double-Becke exchange functional and the revised LYP functional LYPr. Combine Slater=1.030952 Becke=-8.44793 mBecke=10.4017 LYPr=1

- EDF2 is a linear combination of the Hartree-Fock exchange and the Double-Becke exchange, Slater exchange, LYP correlation, revised LYPr correlation and VWN correlation functionals [245]. Combine HF=0.1695 Slater=0.2811 Becke=0.6227 mBecke=-0.0551 VWN=0.3029 LYP=0.5998 LYPr=-0.0053
- G96VWN is the sum of the G96 exchange functional and the VWN correlation functional [247]. Combine Slater=1 G96x=1 VWN=1
- G96LYP is the sum of the G96 exchange functional and the LYP correlation functional [247]. Combine Slater=1 G96x=1 LYP=1
- G96P86 is the sum of the G96 exchange functional and the P86 correlation functional [247]. Combine Slater=1 G96x=1 P86c=1
- G96PW91 is the sum of the G96 exchange functional and the PW91 correlation functional [247]. Combine Slater=1 G96x=1 PW91c=1
- G961LYP is a 1-parameter B1LYP type functional with the exchange gradient correction
   provided by the G96x functional [249].
   Combine HF=0.25 Slater=0.75 G96x=0.75 LYP=1
- KMLYP Kang and Musgrave 2-parameter hybrid functional with a mixture of Slater and Hartree-Fock exchange and VWN and LYP correlation functionals. [281]. Combine HF=0.557 Slater=0.443 VWN=0.552 LYP=0.448
- KT1 Slater-VWN5 functional with the KT GGA exchange correction [251, 282]. Combine Slater=1 VWN=1 KT=-0.006
- KT2 differs from KT1 only in that the weights of the Slater and VWN5 functionals are from an empirical fit (not equal to 1.0) [251, 282]. Combine Slater=1.07173 VWN=0.576727 KT=-0.006
- KT3 a hybrid functional of Slater, OPTX and KT exchange with the LYP correlation functional [283]. The explicit form is Combine Slater=1.092 KT=-0.004 LYP=0.864409 OPTX=-0.925452
- LG1LYP is a 1-parameter B1LYP type functional with the exchange gradient correction provided by the LG93x functional [249]. Combine HF=0.25 Slater=0.75 LG93x=0.75 LYP=1

- mPWVWN is the combination of mPW exchange and VWN correlation functionals [258, 259]. Combine Slater=1 mPW=1 VWN=1 .
- mPWLYP is the combination of mPW exchange and LYP correlation functionals [258, 259]. Combine Slater=1 mPW=1 LYP=1 .
- mPWP86 is the combination of mPW exchange and P86 correlation functionals [258, 259]. Combine Slater=1 mPW=1 P86c=1 PZ81=1 .
- mPWPW91 is the combination of mPW exchange and PW91 correlation functionals [258, 257]. Combine Slater=1 mPW=1 PW91c=1 .
- mPW3PW91 is a 3-parameter combination of mPW exchange and PW91 correlation functionals, with the PW91 (PW92c) local correlation [258]. Combine HF=0.2 Slater=0.8 mPW=0.72 PW91c=0.81 PW92c=0.19 .
- mPW1PW91 is a 1-parameter combination mPW exchange and PW91 correlation functionals with 25% Hartree-Fock exchange [258]. Combine HF=0.25 Slater=0.75 mPW=0.75 PW91c=1 .
- mPW1K optimizes mPW1PW91 for kinetics of H abstractions, with 42.8% Hartree-Fock exchange [284]. Combine HF=0.428 Slater=0.572 mPW=0.572 PW91c=1 .
- mPW1N optimizes mPW1PW91 for kinetics of H abstractions, with 40.6% Hartree-Fock exchange [285]. Combine HF=0.406 Slater=0.594 mPW=0.594 PW91c=1 .
- mPW1S optimizes mPW1PW91 for kinetics of H abstractions, with 6% Hartree-Fock exchange [286]. Combine HF=0.06 Slater=0.94 mPW=0.94 PW91c=1 .
- OLYP is the sum of the OPTX exchange functional with the LYP correlation functional [252, 260, 261]. Combine Slater=1.05151 OPTX=-1.43169 LYP=1
- OP86 is the sum of the OPTX exchange functional with the P86 correlation functional
   [252, 262].
   Combine Slater=1.05151 OPTX=-1.43169 P86c=1 PZ81=1
- OPW91 is the sum of the OPTX exchange functional with the PW91 correlation functional
   [252, 257].
   Combine Slater=1.05151 OPTX=-1.43169 PW91c=1

- PBEO a hybrid functional of Perdew, Burke and Ernzerhof with 0.25 weight of exact exchange, 0.75 of PBEx exchange functional and the PBEc correlation functional [287]. Alternative aliases are PBE1PBE or PBE0PBE. Combine HF=0.25 PBEx=0.75 PBEc=1
- PBE same as above but with exchange estimated exclusively by PBEx functional [253]. Alias of PBEPBE. This is the form used by CADPAC and NWChem quantum chemistry programs. Combine PBEx=1 PBEc=1 Note that the Molpro quantum chemistry program uses the PW91c non-local correlation functional instead of PBEc, which is equivalent to the following: Combine PBEx=1 PW91c=1.
- RPBE is a revised PBE functional that employs the RPBEx exchange functional. Combine RPBEx=1 PBEc=1
- revPBE is a revised PBE functional that employs the revPBEx exchange functional. Combine revPBEx=1 PBEc=1
- mPBE is a revised PBE functional that employs the mPBEx exchange functional. Combine mPBEx=1 PBEc=1
- PW91VWN is the combination of PW91 exchange and VWN correlation functionals [257, 259]. Combine PW91x=1 VWN=1 .
- PW91LYP is the combination of PW91 exchange and LYP correlation functionals [257, 260, 261].

Combine PW91x=1 LYP=1 .

- PW91P86 is the combination of PW91 exchange and P86 (with Perdew 1981 local) correlation functionals [257, 288, 264]. Combine PW91x=1 P86c=1 PZ81=1 .
- PW91PW91 is the combination of PW91 exchange and PW91 correlation functionals. Equivalent to PW91 keyword [257]. Combine PW91x=1 PW91c=1 .
- XLYP is a linear combination of Slater, Becke and PW91x exchange and LYP correlation functionals [289, 290]. Combine Slater=1 Becke=0.722 PW91x=0.347 LYP=1 .
- X3LYP is a linear combination of Hartree–Fock, Slater, Becke and PW91x exchange and VWN and LYP correlation functionals [289, 290].

Combine HF=0.218 Slater=0.782 Becke=0.542 PW91x=0.167 VWN=0.129 LYP=0.871

Note that combinations of local and non-local correlation functionals can also be generated with the Combine keyword. For example, Combine P86c=1 PZ81=1 combines the PZ81 local and P86c non-local correlation functional, whereas Combine VWN=1 P86c=1 combines the VWN local and P86 non-local correlation functionals.

Linear combinations of all exchange and correlation functionals listed above are possible with the Combine keyword.

#### 27.2.7.5 Double-hybrid functionals

B2PLYP is the double hybrid of Ref. [291]

B2TPLYP is a modification of the B2PLYP functional for thermodynamics [292]

- mPW2PLYP a double hybrid using an alternative GGA exchange contribution and tested on the G3/05 benchmark dataset [293]
- B2GPLYP is a modification of the B2PLYP functional for general purpose calculations [292]
- B2PIPLYP is a form related to B2PLYP but designed to give better performance for sterically crowed or stacked aromatic ring systems [294]

PBEODH a theoretically derived double-hybrid parameterization [295]

Note that at present double-hybrid functionals are implemented for energies only, analytic gradient contributions are not implemented.

#### 27.2.8 \*HAMILTONIAN

#### **Purpose:**

Add extra terms to the Hamiltonian (for finite field calculations).

.FIELD TERM Default = no fields.

READ (LUINP, \* ) EFIELD(NFIELD)

READ (LUINP,'(A)') LFIELD(NFIELD)

Enter field strength (in atomic units) and property label on separate lines where label is a MOLECULE-style property label on file AOPROPER produced by the property module, see Chapter 25. The calculation of the necessary property integral(s) must be requested in the "\*\*INTEGRALS" input module.

NOTE: This keyword may be repeated several times for adding more than one finite field (max 20 fields).

.PRINT Default = 0.

#### READ (LUINP,\*) IPRH1

If greater than zero: print the one-electron Hamiltonian matrix, including specified field-dependent terms, in AO basis.

#### **Comments:**

Up to 20 simultaneous fields may be specified by repeating the ".FIELD TERM" keyword. The field integrals are read from file AOPROPER with the specified label.

```
27.2.9 *MP2 INPUT
```

#### **Purpose:**

To direct MP2 calculation. Note that MP2 energies as well as properties also are available through the coupled cluster module, see Chapter 31.

.MP2 FROZEN Default = no frozen orbitals READ (LUINP,\*) (NFRMP2(I),I=1,NSYM) Orbitals frozen in MP2 calculation

.PRINT READ (LUINP,\*) IPRMP2 Print level for MP2 calculation

#### **Comments:**

The MP2 module expects canonical Hartree–Fock orbitals. The MP2 module will check the orbitals and exits if the Fock matrix has off-diagonal non-negligible elements. If starting from saved canonical Hartree–Fock orbitals from a previous calculations, although no Hartree–Fock calculation will be done the number of occupied Hartree–Fock orbitals in each symmetry must anyway be specified with the ".DOUBLY OCCUPIED" under "\*SCF INPUT".

The MP2 calculation will produce the MP2 energy and the natural orbitals for the density matrix through second order. The primary purpose of this option is to generate good starting orbitals for CI or MCSCF wave functions, but it may of course also be used to obtain the MP2 energy, perhaps with frozen core orbitals. For valence MCSCF calculations it is recommended that the ".MP2 FROZEN" option is used in order to obtain the appropriate correlating orbitals as start for an MCSCF calculation. As the commonly used basis sets do not contain correlating orbitals for the core orbitals and as the core correlation energy therefore becomes arbitrary, the ".MP2 FROZEN" option can also be of benefit in MP2 energy calculations.

#### 27.2.10 \*NEVPT2 INPUT

#### **Purpose:**

Calculation of the second order correction to the energy for a CAS–SCF or CAS– CI zero order wavefunction. The user is referred to Chapter 19 on page 151 for a brief introduction to the n-electron valence state second order perturbation theory (NEVPT2).

.THRESH Default = 0.0D0 READ (LUINP,\*) THRNEVPT Threshold to discard small coefficients in the CAS wavefunction

**.FROZEN** Default = no frozen orbitals

READ (LUINP,\*) (NFRNEVPT2(I),I=1,NSYM) Orbitals frozen in NEVPT2 calculation

.STATE No default provided

READ (LUINP,\*) ISTNEVCI

Root number in a CASCI calculation. This keyword is unnecessary (ignored) in the CASSCF case.

#### **Comments:**

The use of canonical orbitals for the core and virtual orbitals is strongly recommended since this choice guarantees compliance of the results with a totally invariant form of NEVPT2 (see page 151).

At present the NEVPT2 module can deal with active spaces of dimension not higher than 14.

#### 27.2.11 \*OPTIMIZATION

#### **Purpose:**

To change defaults for optimization of an MCSCF wave function. Some of the options also affect a QC-HF optimization.

#### .ABSORPTION READ (LUINP,'(A8)') RWORD

RWORD = 'LEVEL 1', 'LEVEL 2', or 'LEVEL 3'

Orbital absorption in MCSCF optimization at level 1, 2, or 3, as specified (normally level 3, see comments below). This keyword may be repeated to specify more than one absorption level, the program will then begin with the lowest level requested and, when that level is converged, disable the lower level and shift to the next level.

.ACTROT include specified active-active rotations

```
READ (LUINP,*) NWOPT
DO I = 1,NWOPT
READ (LUINP,*) JWOP(1,I),JWOP(2,I)
END DO
```

JWOP(1:2,I) denotes normal molecular orbital numbers (not the active orbital numbers).

.ALWAYS ABSORPTION Absorption in all MCSCF macro iterations (default is to disable absorption in local region or after ".MAXABS" macro iterations, whichever comes first). Absorption is always disabled after Newton-Raphson algorithm has been used, and thus also when doing ".CORERELAX", because absorption may cause variational collapse if the desired state is excited.

```
.CI PHP MATRIX Default : MAXPHP = 1 (Davidson's algorithm)
READ (LUINP,*) MAXPHP
```

PHP is a subblock of the CI matrix which is calculated explicitly in order to obtain improved CI trial vectors compared to the straight Davidson algorithm. The configurations corresponding to the lowest diagonal elements are selected, unless ".PHPRESIDUAL" is specified. MAXPHP is the maximum dimension of PHP, the actual dimension will be less if MAXPHP will split degenerate configurations.

#### .COREHOLE READ (LUINP,\*) JCHSYM, JCHORB

JCHSYM = symmetry of core orbital

JCHORB = the orbital in symmetry JCHSYM with a single core hole

Single core hole MCSCF calculation. The calculation must be of RAS type with only the single core-hole orbital in RAS1, the state specified with ".STATE" is optimized with the core-hole orbital frozen. The specified core hole orbital must be either inactive or the one RAS1 orbital, if it is inactive then it will switch places with the RAS1 orbital and it will not be possible to also specify ".REORDER". If explicit reordering is required you must reorder the core orbital yourself and let JCHORB point to the one RAS1 orbital. Orbital absorption is activated at level 2. See comments below for more information.

.CORERELAX (ignored if ".COREHOLE" isn't also specified)

Optimize state with relaxed core orbital (using Newton-Raphson algorithm, it is not necessary to explicitly specify ".NR ALWAYS"). It is assumed that this calculation follows an optimization with frozen core orbital and that the orbital has already been moved to the RAS1 space (*i.e.*, the specific value of "JCHORB" under ".COREHOLE" is ignored). Any orbital absorption will be ignored.

.DETERMINANTS Use determinant basis instead of CSF basis (see comments).

- .EXACTDIAGONAL Default for RAS calculations. Use the exact orbital Hessian diagonal.
- .FOCKDIAGONAL Default for CAS calculations. Use an approximate orbital Hessian diagonal which only uses Fock contributions.
- .FOCKONLY Activate TRACI option (default : program decides). Modified TRACI option where all orbitals, also active orbitals, are transformed to Fock type orbitals in each iteration.
- .FROZEN CORE ORBITALS READ (LUINP,\*) (NFRO(I),I=1,NSYM) Frozen orbitals : Number of inactive (doubly occupied) orbitals to be frozen in each symmetry (the first NFRO(I) in symmetry I) in MCSCF. Active orbitals and specific inactive orbitals can be frozen with ".FREEZE" under \*ORBITAL INPUT. Frozen orbitals in SCF are specified in the \*SCF INPUT input module.
- .MAX CI READ (LUINP,\*) MAXCIT maximum number of CI iterations before MCSCF (default = 3).
- .MAX MACRO ITERATIONS READ (LUINP,\*) MAXMAC maximum number of macro iterations in MCSCF optimization (default = 25).
- .MAX MICRO ITERATIONS READ (LUINP,\*) MAXJT maximum number of micro iterations per macro iteration in MCSCF optimization (default = 24).
- .MAXABS READ (LUINP,\*) MAXABS maximum number of macro iterations with absorption (default = 3).
- .MAXAPM READ (LUINP,\*) MAXAPM maximum number orbital absorptions within a macro iteration (APM : Absorptions Per Macro iteration; default = 5)
- .NATONLY Activate TRACI option (default : program decides). Modified TRACI option where the inactive and secondary orbitals are not touched (these two types of orbitals are already natural orbitals).
- .NEO ALWAYS Always norm-extended optimization (never switch to Newton-Raphson). Note: ".NR ALWAYS" and ".CORERELAX" takes precedence over ".NEO ALWAYS".
- .NO ABSORPTION Never orbital absorption (default settings removed)

- .NO ACTIVE-ACTIVE ROTATIONS No active-active rotations in RAS optimization.
- .NOTRACI Disable TRACI option (default : program decides).
- .NR ALWAYS Always Newton-Raphson optimization (never NEO optimization). Note: ".NR ALWAYS" takes precedence over ".NEO ALWAYS".
- .OLSEN Use Jeppe Olsen's generalization of the Davidson algorithm.
- .OPTIMAL ORBITAL TRIAL VECTORS Generate "optimal" orbital trial vectors [6].
- .ORB\_TRIAL VECTORS Use also orbital trial vectors as start vectors for auxiliary roots in each macro iteration (CI trial vectors are always generated).
- .PHPRESIDUAL Select configurations for PHP matrix based on largest residual rather than lowest diagonal elements.

.SIMULTANEOUS ROOTS Default : NROOTS = ISTATE, LROOTS = NROOTS READ (LUINP,\*) NROOTS, LROOTS NROOTS = Number of simultaneous roots in NEO LROOTS = Number of simultaneous roots in NEO at start

- .STATE Default = 1
  - READ (LUINP,\*) ISTATE

Index of MCSCF Hessian at convergence (1 for lowest state, 2 for first excited state, etc. within the spatial symmetry and spin symmetry specified under \*CONFIGURATION INPUT).

.SYM CHECK Default: ICHECK = 2 when NROOTS > 1, else ICHECK = -1. READ (LUINP,\*) ICHECK

Check symmetry of the LROOTS start CI-vectors and remove those which have wrong symmetry (*e.g.* vectors of delta symmetry in a sigma symmetry calculation).

ICHECK < 0 :	No symmetry check.
ICHECK = 1 :	Remove those vectors which do not have the same
	symmetry as the ISTATE vector, reassign ISTATE.
ICHECK = 2 :	Remove those vectors which do not have the same
	symmetry as the lowest state vector before selecting
	the ISTATE vector.
other values:	check symmetry, do not remove any CI vectors.

The ".SIMULTANEOUS ROOTS" input will automatically be updated if CI vectors are removed.

#### .THRCGR READ (LUINP,\*) THRCGR

Threshold for print of CI gradient. Default is 0.1D0.

.THRESH Default = 1.0D-05

READ (LUINP,\*) THRMC

Convergence threshold for energy gradient in MCSCF optimization. The convergence of the energy will be approximately the square of this number.

.TRACI Activate TRACI option (default : program decides).

Active orbitals are transformed to natural orbitals and the CI-vectors are counterrotated such that the CI states do not change. The inactive and secondary orbitals are transformed to Fock type orbitals (corresponding to canonical orbitals for closed shell Hartree–Fock). For RAS wave functions the active orbitals are only transformed within their own class (RAS1, RAS2, or RAS3) as the wave function is not invariant to orbital rotations between the classes. For RAS, the orbitals are thus not true natural orbitals, the density matrix is only block diagonalized. Use ".IPRCNO" (see p. 294) to control output from this transformation.

#### **Comments:**

COREHOLE: Single core-hole calculations are performed as RAS calculations where the opened core orbital is in the RAS1 space. The RAS1 space must therefore contain one and only one orbital when the COREHOLE option is used, and the occupation must be restricted to be exactly one electron. The orbital identified as the core orbital must be either inactive or the one RAS1 orbital, if it is inactive it will switch places with the one RAS1 orbital. The core orbital (now in RAS1) will be frozen in the following optimization. After this calculation has converged, the CORERELAX option may be added and the core orbital will be relaxed. When CORERELAX is specified it is assumed that the calculation was preceded by a frozen core calculation, and that the orbital has already been moved to the RAS1 space. Default corresponds to the main peak, shake-up energies may be obtained by specifying ".STATE" larger than one. Absorption is very beneficial in core hole calculations because of the large orbital relaxation following the opening of the core hole.

ABSORPTION: Absorption level 1 includes occupied - occupied rotations only (including active-active rotations); level 2 adds inactive - secondary rotations and only active secondary rotations are excluded at this level; and finally level 3 includes all non-redundant rotation for the frozen CI vector. Levels 1 and 2 require the same integral transformation (because the inactive - secondary rotations are performed using the P-supermatrix integrals) and level 1 is therefore usually not used. Level 3 is the normal and full level, but it can be advantageous to activate level 2 together with level 3 if big inactive-active or occupied-occupied rotations are expected. ORB\_TRIAL: Orbital trial vectors as start vectors can be used for excited states and other calculations with more than one simultaneous roots. The orbital start trial vectors are based on the eigenvectors of the NEO matrix in the previous macro iterations. However, they are probably not cost-effective for multiconfiguration calculations where optimal orbital trial vectors are used and they are therefore not used by default.

SYM CHECK: The symmetry check is performed on the matrix element  $\langle VEC1 | oper | VEC2 \rangle$ , where "oper" is the CI-diagonal. It is recommended and the default to use ".SYM CHECK" for excited states, including CI vectors of undesired symmetries is a waste of CPU time.

DETERMINANTS: The kernels of the CI sigma routines and density matrix routines are always performed in determinant basis. However, this keyword specifies that the external representation is Slater determinants as well. The default is that the external representation is in CSF basis as described in chapter 8 of MOTECC-90. The external CSF basis is generally to be preferred to be sure that the converged state(s) have pure and correct spin symmetry, and to save disk space. It is recommended to specify ".PLUS COMBINATIONS" under "\*CI VECTOR" for calculations on singlet states with determinants, in particular for excited singlet states which often have lower lying triplet states.

#### 27.2.12 \*ORBITAL INPUT

#### **Purpose:**

To define an initial set of molecular orbitals and to control the use of super symmetry, frozen orbitals, deletion of orbitals, reordering and punching of orbitals.

.5D7F9G Delete unwanted components in Cartesian d, f, and g orbitals. (s in d; p in f; s and d in g). By default, HERMIT provides atomic integrals in spherical basis, and this option should therefore not be needed.

#### .AO DELETE READ (LUINP,\*) THROVL

Delete MO's based on canonical orthonormalization using eigenvalues and eigenvectors of the AO overlap matrix.

THROVL: limit for basis set numerical linear dependence (eigenvectors with eigenvalue less than THROVL are excluded). Default is  $1.0 \cdot 10^{-6}$ .

#### .CMOMAX READ (LUINP,\*) CMAXMO

Abort calculation if the absolute value of any initial MO coefficient is greater than CMAXMO (default : CMAXMO =  $10^3$ ). Large MO coefficients can cause significant loss of accuracy in the two-electron integral transformation.

#### .DELETE READ (LUINP,\*) (NDEL(I), I = 1, NSYM)

Delete orbitals, *i.e.* number of molecular orbitals in symmetry "I" is number of basis

functions in symmetry "I" minus "NDEL(I)".

Only for use with ".MOSTART" options "FORM12" or "FORM18", it cannot be used with "H1DIAG", "EWMO", or "HUCKEL", and the other restart options as "NEWORB" reads this information from file and this will overwrite what ever was specified here.

.FREEZE Default: no frozen orbitals.

```
READ (LUINP,*) (NNOR(ISYM), ISYM = 1,NSYM)
DO ISYM = 1,NSYM
IF (NNOR(ISYM) .GT. 0) THEN
READ (LUINP,*) (INOROT(I), I = 1,NNOR(ISYM))
...
END IF
END IF
```

where INOROT = orbital numbers of the orbitals to be frozen (not rotated) in symmetry "ISYM" both in SCF and MCSCF after any reordering (counting from 1 in each symmetry).

Must be specified after all options reducing the number of orbitals. Frozen occupied orbitals in SCF can only be specified in the **\*SCF INPUT** input module and frozen inactive orbitals in MCSCF can only be specified in the **\*OPTIMIZATION** input module.

#### .GRAM-SCHMIDT ORTHONORMALIZATION Default.

Gram–Schmidt orthonormalization of input orbitals.

#### .LOCALIZATION READ (LUINP,\*) REWORD

Specify that the doubly occupied (inactive) orbitals should be localized after SCF or MCSCF is converged. Two options for localization of the orbitals are currently available:

BOYLOC Use the Boys localization scheme [296].

SELECT Select a subset of the orbitals to be localized. The first line following this option contains the number orbitals to localize per symmetry, and the following lines contain which orbitals to localize within each symmetry, one line per symmetry with orbitals to localize. This option is typically used for localizing degenerate core orbitals, leaving all other orbitals intact.

READ(LUCMD,\*)(NBOYS(I),I=1,NSYM)
DO I=1,NSYM
IF (NBOYS(I).GT.0) THEN

```
READ(LUCMD,*)(BOYSORB(J,I),J=1,NBOYS(I))
END IF
END DO
```

#### .MOSTART Molecular orbital input

READ (LUINP,'(1X,A6)') RWORD where RWORD is one of the following:

- FORM12 Formatted input (6F12.8) supplied after **\*\*MOLORB** or **\*\*NATORB** keyword. Use also ".DELETE" if orbitals were deleted.
- FORM18 Formatted input (4F18.14) supplied after **\*\*MOLORB** or **\*\*NATORB** keyword. Use also ".DELETE" if orbitals were deleted.
- EWMO Start orbitals generated by projecting the EWMO Hückel eigenvectors in a good generally contracted ANO basis set onto the present basis set. The EWMO model generally works better than the EHT model. Default initial guess for molecules in which all atoms have a nuclear charge less than or equal to 36. Note: EWMO/HUCKEL is not implemented yet if any element has a charge larger than 36). The start density will thus be close to one generated from atomic densities, but with molecular valence interaction in the EWMO model. This works a lot better than using a minimal basis set for EWMO.
- HUCKEL Start orbitals generated by projecting the EHT Hückel eigenvectors in a good generally contracted ANO basis set onto the present basis set. Note: EWMO/HUCKEL is not implemented yet if any element has a charge larger than 36. The start density will thus be close to one generated from atomic densities, but with molecular valence interaction in the Hückel model. This works a lot better than using a minimal basis set for Hückel.
- H1DIAG Start orbitals that diagonalize one-electron Hamiltonian matrix (default for molecules containing elements with a nuclear larger than 36).
- NEWORB Input from SIRIUS restart file (SIRIUS.RST file) with label "NEWORB"
- OLDORB Input from SIRIUS restart file (SIRIUS.RST file) with label "OLDORB"
- SIRIFC Input from SIRIUS interface file ("SIRIFC")
- .PUNCHINPUTORBITALS Punch input orbitals with label **\*\*MOLORB**, Format (4F18.14). These orbitals may *e.g.* be transferred to another computer and read there with ".MOSTART" followed by "FORM18" on next line from this input section.
- .PUNCHOUTPUTORBITALS Punch final orbitals with label **\*\*MOLORB**, Format (4F18.14). These orbitals may *e.g.* be transferred to another computer and read there with ".MOSTART" followed by "FORM18" on next line from this input section.

.REORDER Default: no reordering.

```
For example if orbitals 1 and 5 in symmetry 1 should change place, specify .REORDER 2 0 0 0 1 5 5 1
```

Reordering of molecular orbitals (see comments).

```
.SUPSYM Default is NOSUPSYM.
```

Enforce automatic identification of "super symmetry" (see comments).

```
.SYMMETRIC ORTHONORMALIZATION Default: Gram-Schmidt orthonormalization Symmetric orthonormalization of input orbitals.
```

#### .THRSSY READ (LUINP,\*) THRSSY

Threshold for identification of "super symmetry" and degeneracies among "super symmetries" from matrix elements of the kinetic energy matrix (default: 5.0D-8).

#### **Comments:**

If ".SUPSYM" is specified, then SIRIUS automatically identifies "super symmetry", *i.e.* it assigns orbitals to the irreps of the true point group of the molecule which is a "super group" of the Abelian group used in the calculation. Degenerate orbitals will be averaged and the "super symmetry" will be enforced in the orbitals. Note that "super symmetry" can only be used in the RHF, MP2, MCSCF, and RESPONS modules, and should not be invoked if other modules are used, for example, if **\*\*PROPERTIES** (ABACUS) is invoked. Also, it cannot be used in finite field calculations where the field lowers the symmetry. The initial orbitals must be symmetry orbitals, and the super symmetry analysis is performed on the kinetic energy matrix in this basis. The ".THRSSY" option is used to define when the kinetic energy matrix element between two orbitals is considered to be zero and when two diagonal matrix elements are degenerate. In the first case the orbitals can belong to

different irreps of the supergroup and in the second case the two orbitals are considered to be degenerate. The analysis will fail if there are accidental degeneracies in diagonal elements. This can happen if the nuclear geometry deviates slightly from a higher symmetry point group, for example because too few digits has been used in the input of the nuclear geometry. If the program stops because the super symmetry analysis fails with a degeneracy error, you might consider to use more digits in the nuclear coordinates, to change THRSSY, or to disable super symmetry by not using ".SUPSYM". The value of THRSSY should be sufficiently small to avoid accidental degeneracies and sufficiently large to ignore small errors in geometry and numerical round-off errors.

.REORDER can for instance be used for linear molecules to interchange undesired delta orbitals among the active orbitals in symmetry 1 with sigma orbitals. Another example is movement of the core orbital to the RAS1 space for core hole calculation. In general, use of this option necessitates a pre-calculation with STOP AFTER MO-ORTHONORMALIZATION and identification of the various orbitals by inspection of the output.

#### 27.2.13 \*POPULATION ANALYSIS

#### **Purpose:**

To direct population analysis of the wave function. Requires a set of natural orbitals and their occupation.

- .ALL Do all options.
- .GROSSALL Do all gross population analysis. Note that this requires that the dipole length integrals are available on the file AOPROPER
- .GROSSMO Do gross MO population analysis.
- .MULLIKEN Do Mulliken population analysis
- .NETALL Do all net population analysis.
- .NETMO Do net MO population analysis.
- .PRINT Default = 1 READ (LUINP,\*) IPRMUL Print level for population analysis.
- .VIRIAL Do virial analysis.

#### 27.2.14 \*PRINT LEVELS

#### **Purpose:**

To control the printing of output.

.CANONI Generate canonical/natural orbitals if the wave function has converged.

#### .IPRAVE READ (LUINP,\*) IPRAVE

```
Sets print level for routines used in "super symmetry" averaging (default = 0).
```

#### . IPRCIX READ (LUINP,\*) IPRCIX

Sets print level for setup of determinant/CSF index information (default = 0).

.IPRCNO READ (LUINP,\*) IPRCNO

Sets print level for ".TRACI" option (default = 1, to print the natural orbital occupations in each iteration set IPRCNO = 1, higher values will give more print).

- . IPRDIA READ (LUINP,\*) IPRDIA Sets print level for calculation of CI diagonal (default = 0)
- . IPRDNS READ (LUINP,\*) IPRDNS Sets print level for calculation of CI density matrices (default = 0)
- . IPRFCK READ (LUINP,\*) IPRFCK Sets print level in the supersymmetry section (default=0).

#### .IPRKAP READ (LUINP,\*) IPRKAP

Sets print level in routines for calculation of optimal orbital trial vectors (default = 0)

- . IPRSIG READ (LUINP,\*) IPRSIG Sets print level for calculation of CI sigma vectors (default = 0)
- .IPRSOL READ (LUINP,\*) IPRSOL

Sets print level in the solvent contribution parts of the calculation (default = 5).

.NOSUMMARY No final summary of calculation.

.POPPRI READ (LUINP,\*) LIM\_POPPRI

Print Mulliken occupation of the first LIM\_POPPRI atoms in each SCF iteration. Useful for understanding convergence. (Default = 16, corresponding to two lines of output).

.PRINTFLAGS Default: flags set by general levels in ".PRINTLEVELS"

READ (LUINP,\*) NUM6, NUM4
IF (NUM6 .GT. 0) READ (LUINP,\*) (NP6PTH(I), I=1,NUM6)
IF (NUM4 .GT. 0) READ (LUINP,\*) (NP4PTH(I), I=1,NUM4)

Individual print flag settings (debug option).

```
.PRINTLEVELS Default: IPRI6 = 0 and IPRI4 = 5
READ (LUINP,*) IPRI6,IPRI4
Print levels on units LUW6 and LUW4, respectively.
```

#### .THRPWF READ (LUINP,\*) THRPWF

Threshold for printout of wave function CI coefficients (default = 0.05).

#### 27.2.15 \*SCF INPUT

#### **Purpose:**

This section deals with the closed shell, one open shell and high-spin spin-restricted Hartree-Fock cases and Kohn-Sham DFT. The input here will usually only be used if either ".DFT" or ".HF" has been specified under "\*\*WAVE FUNCTIONS" (though it is also needed for MP2 calculations based on saved closed-shell HF orbitals). High-spin spin-restricted openshell Hartree-Fock or Kohn-Sham DFT calculations are activated by using the ".SINGLY OCCUPIED" described here. Other single configuration cases with more than one open shell can be handled by the general ".MCSCF" option, by appropriate specifications in the \*CONFIGURATION INPUT section.

. AUTOCCUPATION Default for SCF calculations starting from extended Hückel, EWMO, or H1DIAG starting orbitals.

Allow the distribution of the Hartree–Fock/DFT occupation numbers over symmetries to change based on changes in orbital ordering during DIIS optimization. This keyword is incompatible with ".SINGLY OCCUPIED" and ".COREHOLE", or if the HF calculation is followed by CI or MCSCF.

.C2DIIS Use Harrell Sellers' C2-DIIS algorithm instead of Pulay's C1-DIIS algorithm (see comments).

#### .COREHOLE READ (LUINP,\*) JCHSYM, JCHORB

JCHSYM = symmetry of core orbital

JCHORB = the orbital in symmetry JCHSYM with a single core hole

Single core hole open shell RHF calculation, ".OPEN SHELL" must not be specified. The specified core orbital must be inactive. The number of doubly occupied orbitals in symmetry JCHSYM will be reduced with one and instead an open shell orbital will be added for the core hole orbital. If the specified core orbital is not the last occupied orbital in symmetry JCHSYM it will switch places with that orbital and user-defined reordering is not possible. If explicit reordering is required you must also reorder the core orbital yourself and let JCHORB point to the last occupied orbital of symmetry JCHSYM. See comments below.

.CORERELAX (ignored if ".COREHOLE" isn't also specified)

Optimize core hole state with relaxed core orbital using Newton-Raphson algorithm. It is assumed that this calculation follows an optimization with frozen core orbital and the specific value of "JCHORB" under ".COREHOLE" is ignored (no reordering will take place).

.DOUBLY OCCUPIED READ (LUINP,\*) (NRHF(I),I=1,NSYM)

Explicit specification of number of doubly occupied orbitals in each symmetry for DFT, RHF and MP2 calculations. This keyword is required when Hartree–Fock or MP2 is part of a multistep calculation which includes an MCSCF wave function. Otherwise the program by default will try to guess the occupation, corresponding to the ".AUTOCC" keyword.

.ELECTRONS READ (LUINP,\*) NRHFEL

Number of electrons in the molecule. By default, this number will be determined on the basis of the nuclear charges and the total charge of the molecule as specified in the MOLECULE.INP file. The keyword is incompatible with the keywords ".DOUBLY OCCUPIED", ".OPEN SHELL", and ".SINGLY OCCUPIED".

.FC MVO READ (LUINP,\*) (NMVO(I), I = 1,NSYM)

Modified virtual orbitals using Bauschlichers suggestion (see Ref. [297]) for CI or for start guess for MCSCF. The modified virtual orbitals are obtained by diagonalizing the virtual-virtual block of the Fock matrix constructed from NMVO(1:NSYM) doubly occupied orbitals. The occupied SCF orbitals (i.e those specified with ".DOUBLY OCCUPIED" and ".OPEN SHELL" or by automatic occupation) are not modified. The construction of modified virtual orbitals will follow any SCF and MP2 calculations. See comments below.

#### .FOCK ITERATIONS READ (LUINP,\*) MAXFCK

Maximum number of closed-shell Roothaan Fock iterations (default = 0).

#### .FROZEN CORE ORBITALS READ (LUINP,\*) (NFRRHF(I),I=1,NSYM)

Frozen orbitals per symmetry (if MP2 follows then at least these orbitals must be

frozen in the MP2 calculation). NOTE: no Roothaan Fock iterations allowed if frozen orbitals.

- .H1VIRT Use the virtual orbitals that diagonalize the one-electron Hamiltonian operator.
- .MAX DIIS ITERATIONS READ (LUINP,\*) MXDIIS Maximum number of DIIS iterations (default = 60).
- .MAX ERROR VECTORS READ (LUINP,\*) MXEVC Maximum number of DIIS error vectors (default = 10, if there is sufficient memory available to hold these vectors in memory).
- .MAX MACRO ITERATIONS READ (LUINP,\*) MXHFMA Maximum number of QCSCF macro iterations (default = 15).
- .MAX MICRO ITERATIONS READ (LUINP,\*) MXHFMI Maximum number of QCSCF micro iterations per macro iteration (default = 12).
- .NODIIS Do not use DIIS algorithms (default: use DIIS algorithm).
- .NONCANONICAL No transformation to canonical orbitals.
- .NOQCSCF No quadratically convergent SCF iterations. Default is to switch to QCSCF if DIIS doesn't converge.
- .OPEN SHELL Default = no open shell READ (LUINP,\*) IOPRHF

Symmetry of the open shell in a one open shell calculation. See also ".SINGLY OCCUPIED" for high-spin ROHF with more than one singly occupied orbital.

#### .PRINT READ (LUINP,\*) IPRRHF

Resets general print level to IPRRHF in Hartree–Fock/DFT calculations (if not specified, global print levels will be used).

#### .SHIFT READ (LUINP,\*) SHFTLVL

Initial value of level-shift parameter in DIIS iterations. The default value is 0.0D0 (no level shift). May be tried if convergence problems in DIIS. The value is added to the diagonal of the occupied part of the Fock matrix before Roothaan diagonalization, reducing the mixing of occupied and virtual orbitals (step restriction). NOTE that the value should thus be negative. The DIIS routines will automatically invoke level-shifting (step restriction) if DIIS seems to be stalling.

#### .SINGLY OCCUPIED Default = no singly occupied orbitals

READ (LUINP,\*) (NROHF(I),I=1,NSYM)

High-spin spin-restricted open-shell Hartree–Fock or Kohn-Sham DFT. Specify the number of singly occupied orbitals in each irreducible representation of the molecular point group. Only the high-spin state of these singly-occupied orbitals will be made and used in the calculations. We recommend to always run high-spin open-shell geometry optimizations as direct calculations (".DIRECT" under "\*DALTON"), because analytical molecular gradients are only implemented for direct calculations (numerical gradients will be used for non-direct calculations).

.THRESH Default = 1.0D-05 (1.0D-06 if MP2)

#### READ (LUINP,\*) THRRHF

Hartree–Fock/DFT convergence threshold for energy gradient. The convergence of the energy will be approximately the square of this number.

#### **Comments:**

By default, the RHF/DFT part of a calculation will consist of :

- 1. MAXFCK Roothaan Fock iterations (early exit if convergence or oscillations). However, the default is that no Roothaan Fock iterations are done unless explicitly requested through the keyword ".FOCK I".
- 2. MXDIIS DIIS iterations (exit if convergence, *i.e.* gradient norm less than THRRHF, and if convergence rate too slow or even diverging).
- 3. Unless NOQCSCF, quadratically convergent Hartree–Fock/DFT until gradient norm less than THRRHF.
- 4. If ".FC MVO" has been specified then the virtual SCF orbitals will be modified by diagonalizing the virtual-virtual block of a modified Fock matrix: the Fock matrix based on the occupied orbitals specified after the keyword, a good choice is the inactive (doubly occupied) orbitals in the following CI or MCSCF. The occupied SCF orbitals will not be modified. If the RHF calculation is followed by a CI or an MCSCF calculation, ".FC MVO" will usually provide much better start orbitals than the canonical orbitals (canonical orbitals will usually put diffuse, non-correlating orbitals in the active space).

WARNING: if both ".MP2" and ".FC MVO" are specified, then the MP2 orbitals will be destroyed and replaced with ".FC MVO" orbitals.

In general ".DOUBLY OCCUPIED" should be specified for CI or MCSCF wave function calculations – you anyway need to know the distribution of orbitals over symmetries

to specify the "\*CI INPUT" input. For RHF or MP2 calculations the orbital occupation will be determined on the basis of the nuclear charges and molecular charge of the molecule as specified in the MOLECULE.INP file.

By default, starting orbitals and initial orbital occupation will be determined automatically on the basis of a Hückel calculation (for molecules where all nuclear charges are less than or equal to 36), corresponding to the ".AUTOCC" keyword. If problems is experienced due to the Hückel starting guess, it can be avoided by requiring another set of starting orbitals (*e.g.* H1DIAG).

It is our experience that it is usually most efficient not to perform any Roothaan Fock iterations before DIIS is activated, therefore, MAXFCK = 0 as default. The algorithm described in Harrell Sellers, Int. J. Quant. Chem. **45**, 31-41 (1993) is also implemented, and may be selected with ".C2DIIS".

FC MVO: This option can be used without a Hartree–Fock calculation to obtain compact virtual orbitals, but ".DOUBLY OCCUPIED" must be specified anyway in order to identify the virtual orbitals to be transformed.

COREHOLE: Enable SCF single core-hole calculations. To perform an SCF core hole calculation just add the ".COREHOLE" keyword to the input for the closed-shell RHF ground state calculation, specifying from which orbital to remove an electron, and provide the program with the ground state orbitals using the appropriate ".MOSTART" option (normally NEWORB). Note that this is different from the MCSCF version of ".COREHOLE" under "\*OPTIMIZATION" (p. 284); in the MCSCF case the user must explicitly move the core hole orbital from the inactive class to RAS1 by modifying the "\*CONFIGURATION INPUT" (p. 269) specifications between the initial calculation with filled core orbitals and the core hole calculation. The core hole orbital will be frozen in the following optimization. After this calculation has converged, the CORERELAX option may be added and the core orbital will be relaxed. When CORERELAX is specified it is assumed that the calculation was preceded by a frozen core calculation, and that the orbital has already been moved to the open shell orbital. Only the main peak can be obtained in SCF calculations, for shake-up energies MCSCF must be used.

#### 27.2.16 \*SOLVENT

#### **Purpose:**

Model solvent effects with the self-consistent reaction field model. Any specification of dielectric constant(s) will activate this model.

.CAVITY Required, no defaults.

READ (LUINP,\*) RSOLAV

Enter radius of spherical cavity in atomic units (bohr).

#### .DIELECTRIC CONSTANT READ (LUINP,\*) EPSOL

Enter relevant dielectric constant of solvent.

#### .INERSINITIAL READ (LUINP,\*) EPSOL, EPPN

Enter static and optical dielectric constant of solvent for calculation of the initial state defining inertial polarization. Note that the optical dielectric constant specified here only will be used in case there is a calculation of response properties, for which this is an alternative input to the use of the keyword .INERSFINAL.

#### .INERSFINAL READ (LUINP,\*) EPSTAT, EPSOL

Enter static and optical dielectric constants of solvent for calculation of the final state with inertial polarization from a previous calculation with ".INERSINITIAL". This can for example be used to optimize an excited electronic state with inertial polarization from a previous ground state calculation. This keyword an also be used to specify the static and optical dielectric constants for non-equilibrium solvation linear, quadratic, or cubic response functions, see also Sec. 14.2.2.2, but this is usually easier done with ".INERSINITIAL" (requires only one DALTON calculation instead of two).

.MAX L Required, no defaults.

READ (LUINP,\*) LSOLMX

Enter maximum L quantum number in multipole expansion of charge distribution in cavity.

#### .PRINT READ (LUINP,\*) IPRSOL

Print level in solvent module routines (default = 0).

#### **Comments:**

One and only one of ".DIELECTRIC CONSTANT", ".INERSINITIAL", and ".INERSFINAL" must be specified.

#### 27.2.17 \*STEP CONTROL

#### **Purpose:**

User control of the NEO restricted step optimization.

.DAMPING FACTOR Default = 1.0D0 READ (LUINP,\*) BETA Initial value of damping (BETA).

.DECREMENT FACTOR Default = 0.67D0 READ (LUINP,\*) STPRED Decrement factor on trust radius .GOOD RATIO Default = 0.8D0

READ (LUINP,\*) RATGOD

Threshold ratio for good second order agreement: the trust radius can be increased if ratio is better than RATGOD.

- . INCREMENT FACTOR Default = 1.2D0READ (LUINP,\*) STPINC Increment factor on trust radius.
- .MAX DAMPING Default = 1.0D6 READ (LUINP,\*) BETMAX Maximum damping value.
- .MAX STEP LENGTH Default = 0.7D0 READ (LUINP,\*) STPMAX Maximum acceptable step length, trust radius will never be larger than STPMAX even if the ratio is good as defined by GOOD RATIO.
- .MIN DAMPING Default = 0.2D0 READ (LUINP,\*) BETMIN Minimum damping value

.MIN RATIO Default = 0.4D0 for ground state, 0.6 for excited states READ (LUINP,\*) RATMIN Threshold ratio for bad second order agreement: the trust radius is to be decreased if ratio is worse than RATMIN.

.NO EXTRA TERMINATION TESTS Skip extra termination tests and converge micro iterations to threshold. Normally the micro iterations are terminated if the reduced NEO matrix has more negative eigenvalues than corresponding to the desired state, because then we are in a "superglobal" region and we just want to step as quickly as possible to the region where the Hessian (and NEO matrix) has the correct structure. Further convergence is usually wasted.

.REJECT THRESHOLD Default = 0.25 for ground state, 0.4 for excited states READ (LUINP,\*) RATREJ Threshold ratio for unacceptable second order agreement: the step must be rejected if ratio is worse than RATREJ.

. THQKVA Default: 8.0 for MCSCF; 0.8 for QCSCF READ (LUINP,\*) THQKVA Convergence factor for micro iterations in local (quadratic) region: THQKVA\*(norm of gradient)\*\*2

.THQLIN Default: 0.2D0 READ (LUINP,\*) THQLIN Convergence factor for micro iterations in global (linear) region: THQLIN\*(norm of gradient)

- .THQMIN Default: 0.1D0 READ (LUINP,\*) THQMIN Convergence threshold for auxiliary roots in NEO MCSCF optimization.
- .TIGHT STEP CONTROL Tight step control also for ground state calculations (tight step control is always enforced for excited states)

.TOLERANCE Default = 1.1D0

READ (LUINP,\*) RTTOL

Acceptable tolerance in deviation of actual step from trust radius (the default value of 1.1 corresponds to a maximum of 10% deviation).

.TRUST RADIUS Default = STPMAX=0.7D0 or, if restart, trust radius determined by previous iteration. READ (LUINP,\*) RTRUST

Initial trust radius.

#### 27.2.18 \*TRANSFORMATION

#### **Purpose:**

Transformation of two-electron integrals to molecular orbital basis.

#### .FINAL LEVEL READ (LUINP,\*) ITRFIN

Final integral transformation level (only active if the keyword ".INTERFACE" has been specified, or this is an ABACUS or RESPONSE calculation.

.LEVEL READ (LUINP,\*) ITRLVL

Integral transformation level (see comments).

- .OLD TRANSFORMATION Use existing transformed integrals
- .PRINT READ (LUINP,\*) IPRTRA Print level in integral transformation module

#### .RESIDENT MEMORY READ (LUINP,\*) MWORK

On virtual memory computers, the transformation will run more efficiently if it can be kept within the possible resident memory size: the real memory size. SIRIUS will attempt to only use MWORK double precision words in the transformation.

#### **Comments:**

There are several types of integral transformations which may be specified by the two transformation level keywords.

- 0: CI calculations, MCSCF gradient (default if CI, but no MCSCF specified). One index all orbitals, three indices only active orbitals.
- 1: Obsolete, do not use.
- 2: Default for MCSCF optimization. All integrals needed for SIRIUS second-order MC-SCF optimization, including the integrals needed to explicitly construct the diagonal of the orbital Hessian. Two indices occupied orbitals, two indices all orbitals, with some reduction for inactive indices. Both (cd/ab) and (ab/cd) are stored.
- 3: Same integrals as 2, including also the (ii/aa) and (ia/ia) integrals for exact inactivesecondary diagonal elements of the orbitals Hessian.
- 4: All integrals with minimum two occupied indices.
- 5: 3 general indices, one occupied index. Required for MP2 natural orbital analysis (the MP2 module automatically performs an integral transformation of this level).
- 10: Full transformation.

#### 27.2.19 \*CUBE

#### **Purpose:**

Generates cube file of total SCF electron density and/or molecular orbitals after SCF calculations. The keyword ".INTERFACE" must be specified.

- .DENSITY Generates cube file "density.cube" with total SCF electron density.
- .HOMO Generates cube file "homo.cube" with the information of the highest occupied molecular orbitals.
- .LUMO Generates cube file "lumo.cube" with the information of the lowest unoccupied molecular orbitals.

```
.MO READ (LUINP,*) IDX_MO
```

Generates cube file "mo.cube" with specified indices of molecular orbitals by "IDX\_MO". For instance, valid format is like "1-6,7,10-12" only including digits, minus sign and comma.

#### .FORMAT READ (LUINP,\*) CUBE\_FORMAT

Specifies cube file format, only "GAUSSIAN" (Gaussian cube file format, see http://www.gaussian.com/g\_tech/g\_ur/u\_cubegen.htm) for the time being.

#### .ORIGIN READ (LUINP,\*) CUBE\_ORIGIN

Reads the coordinates (a.u.) of origin/initial point.

.INCREMENT READ (LUINP,\*) N1, X1, Y1, Z1

READ (LUINP,\*) N2, X2, Y2, Z2

READ (LUINP,\*) N3, X3, Y3, Z3

Reads the number of increments and increments (a.u.) along three running directions, in which "(X1,Y1,Z1)" is the slowest running direction, and "(X3,Y3,Z3)" is the fastest running direction.

As described at http://www.gaussian.com/g\_tech/g\_ur/u\_cubegen.htm, if the origin/initial point is (X0,Y0,Z0), then the point at (I1,I2,I3) has coordinates:

X-coordinate: X0+(I1-1)\*X1+(I2-1)\*X2+(I3-1)\*X3Y-coordinate: Y0+(I1-1)\*Y1+(I2-1)\*Y2+(I3-1)\*Y3Z-coordinate: Z0+(I1-1)\*Z1+(I2-1)\*Z2+(I3-1)\*Z3

#### 27.3 **\*\***MOLORB input module

If formatted input of the molecular orbitals has been specified in the **\*ORBITAL INPUT** section, then SIRIUS will attempt to find the two-star label "**\*\*MOLORB**" in the input file and read the orbital coefficients from the lines following this label.

### Chapter 28

## HF, SOPPA, and MCSCF molecular properties, ABACUS

# 28.1 Directives for evaluation of HF, SOPPA, and MCSCF molecular properties

The following directives may be included in the input to ABACUS. They are organized according to the program section (module) names in which they can appear.

#### 28.1.1 General: **\*\*PROPERTIES**

This module controls the main features of the HF, SOPPA, and MCSCF property calculations, that is, which properties is to be calculated. In addition it includes directives affecting the performance of several of the program sections. This includes HF and MCSCF molecular gradients and Hessians. It should be noted, however, that the specification of what kind of walk (minimization, location of transition states, dynamical walks) is given in the **\*WALK** or **\*OPTIMIZE** submodules in the general input module. See also Chapter 6.

See Chapter 31 for specification of CC property calculations.

Note that RESPONSE (Chapter 29) is the most general part of the code for calculating many different electronic linear, quadratic, or cubic molecular response properties based on SCF, MCSCF, or CI wave functions or Kohn–Sham DFT. Some of these SCF/MCSCF properties can also be requested from the **\*\*PROPERTIES** input modules described here. NOTE: for such properties you should request them either here or in **\*\*RESPONSE**, otherwise you will calculate them twice! Usually the output is nicest here in the **\*\*PROPERTIES** module (*e.g.* collected in tables and in often used units, most properties are only given in atomic units in RESPONSE), and nuclear contributions are included if relevant. No nuclear contributions are added in RESPONSE. Some specific properties, especially those involving nuclear derivatives, can only be calculated via **\*\*PROPERTIES**. Other properties, for example

quadratic and cubic molecular response functions can only be calculated in the  $\ast\ast\texttt{RESPONSE}$  module.

. ALPHA Invokes the calculation of frequency dependent polarizabilities. Combined with the keyword .SOPPA or .SOPPA(CCSD) it invokes a SOPPA or SOPPA(CCSD) calculation of the frequency dependent polarizability.

.CAVORG

```
READ (LUCMD,*) (CAVORG(ICOOR), ICOOR = 1, 3)
```

Reads the origin to be used for the cavity during a solvent calculation. By default this is chosen to be the center of mass. Should by used with care, as it has to correspond to the center used in the evaluation of the undifferentiated solvent integrals in the HERMIT section, see Chapter 25.2.2.

- .CTOCD Starts the calculation of the magnetic properties with the CTOCD-DZ method (Ref. [48, 49, 50]). This sets also automatically the .NOLOND option since the CTOCD-DZ formalism is gauge independent for Nuclear Magnetic Shieldings. The default gauge origin is chosen to be the center of mass. .CTOCD and .SOPPA or .SOPPA(CCSD) can be combined to perform CTOCD calculations at the SOPPA or SOPPA(CCSD) level.
- .DIPGRA Invokes the calculation of dipole moment gradients (commonly known also as Atomic Polar Tensors (APTs)) as described in Ref. [298]. If combined with a request for .VIBANA this will generate IR intensities.

.DIPORG

READ (LUCMD, \*) (DIPORG(ICOOR), ICOOR = 1, 3)

Reads in a user defined dipole origin in bohr. It is also used for second order (.SEC-MOM), quadrupole (.QUADRU), and third order (.THIRDM) moments. This may affect properties in which changes in the dipole origin is canceled by similar changes in the nuclear part. It should also be used with care, as the same dipole origin must be used during the integral evaluation sections, in particular if one is doing numerical differentiation with respect to electric field perturbations. For such finite-field calculations, we refer to Chapter 13, which deals with finite field calculations. It is primarily used for debugging.

.ECD Invokes the calculation of Electronic Circular Dichroism (ECD) as described in Ref. [82, 89]. This necessitates the specification of the number electronic excitations in each symmetry, given in the **\*EXCITA** module. The reader is referred to the section where the calculation of ECD is described in more detail (Sec. 10.3).

- .EXCITA Invokes the calculation of electronic excitation energies as residues of linear response functions as described by Olsen and Jørgensen [37]. It also calculates closely related properties like transition moments and rotatory strengths. Combined with the keyword .SOPPA or .SOPPA(CCSD) it invokes a SOPPA or SOPPA(CCSD) calculation of electronic excitation energies and transition moments.
- . EXPFCK Invokes the simultaneous calculation of two-electron expectation values and derivative Fock-matrices. This is default in direct and parallel runs in order to save memory. In ordinary calculations the total CPU time will increase as a result of invoking this option.
- .EXPGRA Calculates the gradient of the orbital exponents. This can be used to optimize the exponents in an uncontracted basis set, if combined with a suitable script for predicting new orbital exponents based on this gradient. It has been used for the optimization of polarization consistent basis sets [212].

.GAUGEO

READ (LUCMD, \*) (GAGORG(ICOOR), ICOOR = 1, 3)

Reads in a user defined gauge origin and overwrite both the .NOCMC option, as well as the default value of center-of-mass coordinates. Note that an unsymmetric position of the gauge origin will lead to wrong results in calculations employing symmetry, as the program will not be able to detect that such a choice of gauge origin breaks the symmetry of the molecule.

- .HELLMA Tells the program to use the Hellman–Feynman approximation when calculating the molecular gradients and Hessians [299, 300, 301]—that is, all contributions to the molecular gradient and Hessians from differentiation of the orbitals are ignored. Requires large basis sets in order to give reliable results, but does not require any differentiated two-electron integrals.
- .INPTES Checks the input in the **\*\*PROPERTIES** input section and then stops.
- .LINEAR Invokes the linear coupling model for estimation of Franck-Condon factors [302]. In this model, the gradient of an excited state is combined with the ground-state vibrational frequencies and normal modes to provide vibronic coupling constants. Requires that the DALTON.HES for the ground electronic state is available, and that the keyword .VIBANA also is activated.
- .LOCALI Invokes the generation of localized molecular orbitals, which are then used in the analysis of second order properties / linear response functions in terms of localized occupied and virtual molecular orbitals. Currently only Mulliken localized occupied

orbitals or Foster-Boys [296] localized occupied and virtual orbitals can be generated. Naturally, the generation of localized molecular orbitals requires that the use of point group symmetry is turned off.

.MAGNET Invokes the calculation of the molecular magnetizability (commonly known as magnetic susceptibility) as described in Ref. [46] and the rotational g tensor (see keyword .MOLGFA). By default this is done using London orbitals in order to ensure fast basis set convergence as shown in Ref. [46]. The use of London orbitals can be disabled by the keyword .NOLOND.

Furthermore, the natural connection (Ref. [54, 86]) is the default in order to ensure numerically stable results. The natural connection can be turned off by the keyword .NODIFC, in which case the symmetric connection will be used.

The gauge origin is chosen to be the center of mass of the molecule. This origin can be changed by the two keywords .GAUGEO and .NOCMC. This will of course not affect the total magnetizability, only the magnitude of the dia- and paramagnetic terms.

Combined with the keyword .SOPPA or .SOPPA(CCSD) it invokes a SOPPA or SOPPA(CCSD) calculation of the magnetizability and the rotational g tensor (Ref. [52]). London orbitals are automatically disabled in SOPPA or SOPPA(CCSD) calculations.

.MAGNET in combination with the keyword .CTOCD invokes a calculation without the use of London orbitals both with the CTOCD-DZ method (Ref [48, 49]) and with the common origin method. Changing the default value of the gauge origin could give wrong results!

.MOLGFA Invokes the calculation of the rotational g tensor as described in Ref. [68] and the molecular magnetizability (see keyword .MAGNET). By default this is done using London orbitals and the natural connection. The use of London orbitals can be turned off by the keyword .NOLOND.

By definition the gauge origin of the molecular g-factor is to be the center of mass of the molecule, and although the gauge origin can be changed through the keywords .NOCMC and .GAUGEO, this is not recommended, and may give erroneous results.

Note that if the isotopic constitution of the molecule is such that the vibrational wave function has lower symmetry than the electronic wave function, care must be taken to ensure the symmetry corresponds to the symmetry of the nuclear framework. The automatic symmetry detection routines will in general ensure that this is the case.

Combined with the keyword .SOPPA or .SOPPA(CCSD) it invokes a SOPPA or SOPPA(CCSD) calculation of the magnetizability and the rotational g tensor (Ref. [52]). London orbitals are automatically disabled in SOPPA or SOPPA(CCSD) calculations.

- .MOLGRA Invokes the calculation of the analytical molecular gradient as described in Ref. [7].
- .MOLHES Invokes the calculation of the analytical molecular Hessian and gradient as described in Ref. [7].
- .NACME Invokes the calculation of first-order non-adiabatic coupling matrix elements as described in Ref. [163]. The keyword .EXCITA in this section, the keywords .FNAC and .NEXCIT in section \*EXCITA, and the keyword .SKIP in section \*TROINV must also be specified to get the first-order non-adiabatic coupling matrix elements.
- .NMR Invokes the calculation of both parameters entering the NMR spin-Hamiltonian, that is nuclear shieldings and indirect nuclear spin-spin coupling constants. The reader is referred to the description of the two keywords .SHIELD and .SPIN-S.
- .NOCMC This keyword sets the gauge origin to the origin of the Cartesian Coordinate system, that is (0,0,0). This keyword is automatically invoked in case of VCD and OECD calculations.
- .NODARW Turns off the calculation of the Darwin correction. By default the two major relativistic corrections to the energy in the Breit-Pauli approximation, the mass-velocity and Darwin corrections, are calculated perturbatively.
- .NODIFC Disables the use of the natural connection, and the symmetric connection is used instead. The natural connection and its differences as compared to the symmetric connection is described in Ref. [54, 86].

As the symmetric connection may give numerically inaccurate results, it's use is not recommended for other than comparisons with other programs.

- .NOHESS Turns off the calculation of the analytical molecular Hessian. This option overrides any request for the calculation of molecular Hessians.
- .NOLOND Turns off the use of London atomic orbitals in the calculation of molecular magnetic properties. The gauge origin is by default then chosen to be the center of mass. This can be altered by the keywords .NOCMC and .GAUGEO.
- .NOMASV Turns off the calculation of the mass-velocity correction. By default the two major relativistic corrections to the energy in the Breit-Pauli approximation, the mass-velocity and Darwin corrections, are calculated perturbatively.
- .NQCC Calculates the nuclear quadrupole moment coupling constants.
- .NUMHES In VROA or Raman intensity calculations, use the numerical molecular Hessian calculated from the analytical molecular gradients instead of a fully analytical molecular Hessian calculation in the final geometry.

- .OECD Invokes the calculation of Oriented Electronic Circular Dichroism (OECD) as described in Ref. [83]. This necessitates the specification of the number of electronic excitations in each symmetry, given in the \*EXCITA module. Note that OECD can only be calculated at the mathematical origin and the .NOCMC option is automatically turned on. The reader is referred to Sec. 10.3 for more details.
- .OPTROT Requests the calculation of the optical rotation of a molecule [27, 84]. By default the optical rotation is calculated both with and without the use of London orbitals (using the length gauge formulation). Note that in the formalism used in DALTON, this quantity vanishes in the static limit, and frequencies need to be set in the \*ABALNR input module. See also the description in Chapter 10.4.
- .OR Requests the calculation of the optical rotation of a molecule using the manifestly origin invariant "modified" velocity gauge formulation[92]. See also the description in Chapter 10.4.

.PHASEO

READ (LUCMD, \*) (ORIGIN(ICOOR), ICOOR = 1, 3)

Changes the origin of the phase-factors entering the London atomic orbitals. This will change the value of all of the contributions to the different magnetic field dependent properties when using London atomic orbitals, but the total magnetic properties will remain unchanged. To be used for debugging purposes only.

- .POLARI Invokes the calculation of frequency-independent polarizabilities. See the keyword .ALPHA in this input section for the calculation of frequency-dependent polarizabilities.
- .POPANA Invokes a population analysis based on the dipole gradient as first introduced by Cioslowski [24]. This flag also invokes the .DIPGRA flag and the .POLARI flags. Note that the charges obtained in this approach is not without conceptual problems (as are the Mulliken charges) [303].

.PRINT

READ (LUCMD, \*) IPRDEF

Set default print level for the calculation. Read one more line containing print level. Default print level is the value of IPRDEF from the general input module.

.QUADRU Calculates the molecular quadrupole moment. This includes both the electronic and nuclear contributions to the quadrupole moments. These will printed separately only if a print level of 2 or higher has been chosen. Note that the quadrupole moment is defined according to Buckingham [32]. The quadrupole moment is printed in the molecular input orientation as well as being transformed to the principal moments of inertia coordinate system.

.RAMAN Calculates Raman intensities, as described in Ref. [27]. This property needs a lot of settings in order to perform correctly, and the reader is therefore referred to Section 10.5, where the calculation of this property is described in more detail.

.REPS

READ (LUCMD, \*) NREPS

READ (LUCMD, \*) (IDOSYM(I), I = 1, NREPS)

Consider perturbations of selected symmetries only. Read one more line specifying how many symmetries, then one line listing the desired symmetries. This option is currently only implemented for geometric perturbations.

.SELECT

READ (LUCMD,\*) NPERT
READ (LUCMD, \*) (IPOINT(I),I=1,NPERT)

Select which nuclear geometric perturbations are to be considered. Read one more line specifying how many perturbations, then on a new line the list of perturbations to be considered. By default, all perturbations are to be considered, but by invoking this keyword, only those perturbations specified in the sequence will be considered.

The perturbation ordering follows the ordering of the symmetrized nuclear coordinates. This ordering can be obtained by setting the print level in the **\*MOLBAS** module to 11 or higher.

- .SECMOM Calculates the 9 cartesian molecular second order moments. This includes both the electronic and nuclear contribution to the second order moment. These will printed separately only if a print level of 2 or higher has been chosen.
- .SHIELD Invokes the calculation of nuclear shielding constants. By default this is done using London orbitals in order to ensure fast basis set convergence as shown in Ref. [44, 45]. The use of London orbitals can be disabled by the keyword .NOLOND.

Furthermore, the natural connection (Ref. [54, 86]) is the default in order to ensure numerically stable results as well as physically interpretable results for the paramagnetic and diamagnetic terms. The natural connection can be turned off by the keyword .NODIFC in which case the symmetric connection is used instead.

The gauge origin is chosen to be the center of mass of the molecule. This origin can be changed by the two keywords .GAUGEO and .NOCMC . This choice of gauge origin

will not affect the final shieldings if London orbitals are used, only the size of the diaand paramagnetic contributions.

Combined with the keyword .SOPPA or .SOPPA(CCSD) it invokes a SOPPA or SOPPA(CCSD) calculation of the Nuclear Magnetic Shieldings (Ref. [48, 49, 50]). London orbitals are automatically disabled in SOPPA or SOPPA(CCSD) calculations. Gauge origin independent SOPPA or SOPPA(CCSD) calculations of Nuclear Magnetic Shieldings can be carried out with the CTOCD-DZ method (see Refs. [48, 49, 50]) using the keyword .CTOCD.

In combination with the keyword .CTOCD this invokes a calculation of the Nuclear Magnetic Shieldings without the use of London orbitals but with both the CTOCD-DZ method (Ref. [48, 49, 50]) and with the common origin method. For the CTOCD-DZ method the Nuclear Magnetic Shieldings are given in the output file for both the origin at the center of mass and at the respective atoms. Changing the default value of the gauge origin could give wrong results!

- .SOPPA Indicates that the requested molecular properties be calculated using the secondorder polarization-propagator approximation [40]. This requires that the MP2 energy and wave function have been calculated. London orbitals can not be used together with the SOPPA approximation. For details on how to invoke an atomic integral direct SOPPA calculation [127] see chapters 18.3 and 28.1.16.
- .SOPPA(CCSD) Indicates that the requested molecular properties be calculated using the Second-Order Polarization-Propagator Approximation with Coupled Cluster Singles and Doubles Amplitudes [43, 53, 41, 50]. This requires that the CCSD energy and wave function have been calculated. London orbitals can not be used together with the SOPPA(CCSD) approximation. For details on how to invoke an atomic integral direct SOPPA(CCSD) calculation [127, 128] see chapters 18.3 and 28.1.16.
- .SPIN-R Invokes the calculation of spin-rotation constants as described in Ref. [68]. By default this is done using London orbitals and the natural connection. The use of London orbitals can be turned off by the keyword .NOLOND.

By definition the gauge origin of the spin-rotation constant is to be the center of mass of the molecule, and although the gauge origin can be changed through the keywords .NOCMC and .GAUGEO, this is not recommended, and may give erroneous results.

In the current implementation, symmetry dependent nuclei cannot be used during the calculation of spin-rotation constants.

.SPIN-S Invokes the calculation of indirect nuclear spin-spin coupling constants. By default all spin-spin couplings between nuclei with naturally occurring isotopes with abundance more than 1% and non-zero spin will be calculated, as well as all the different contributions (Fermi contact, dia- and paramagnetic spin-orbit and spin-dipole). The implementation is described in Ref. [51].

As this is a very time consuming property, it is recommended to consult the chapter describing the calculation of NMR-parameters (Ch. 9). The main control of which contributions and which nuclei to calculate spin-spin couplings between is done in the **\*SPIN-S** module.

- .THIRDM Calculates the 27 cartesian molecular third order moments. This includes both the electronic and nuclear contribution to the third order moments. These will printed separately only if a print level of 2 or higher has been chosen.
- .VCD Invokes the calculation of Vibrational Circular Dichroism (VCD) according to the implementation described in Ref. [81]. By default this is done using London orbitals in order to ensure fast basis set convergence as shown in Ref. [87]. The use of London orbitals can be disabled by the keyword .NOLOND.

Furthermore, the natural connection (Ref. [54, 86]) is default in order to ensure numerically stable results. The natural connection can be turned off by the keyword .NODIFC in which case the symmetric connection will be used.

In the current implementation, the keyword .NOCMC will be set true in calculations of Vibrational Circular Dichroism, that is, the coordinate system origin will be used as gauge origin. Changing this default value will give incorrect results for VCD.

Note that in the current release, VCD is not implemented for Density functional theory calculations, and the program will stop if VCD is requested for a DFT calculation.

- .VIB\_G Invokes the calculation of the vibrational g factor, i.e. the non-adiabatic correction to the moment of inertia tensor for molecular vibrations. This keyword has to be combined with the keyword .SKIP in the section **\*TROINV**.
- .VIBANA Invokes a vibrational analysis in the current geometry. This will generate the vibrational frequencies in the current point. If combined with .DIPGRA the IR intensities will be calculated as well.
- .VROA Invokes the calculation of Vibrational Raman Optical Activity, as described in Ref. [27]. This property needs a lot of settings in order to perform correctly, and the reader is therefore referred to Section 10.5, where the calculation of this property is described in more detail.
- .WRTINT Forces the magnetic first-derivate two-electron integrals to be written to disc. This is default in MCSCF calculations, but not for SCF runs. This file can be very large, and it is not recommended to use this option for ordinary SCF runs.
# 28.1.2 Calculation of Atomic Axial Tensors (AATs): \*AAT

Directives for controlling the calculation of Atomic Axial Tensors, needed when calculating Vibrational Circular Dichroism (VCD).

#### .INTPRI

# READ (LUCMD,\*) INTPRI

Set the print level in the calculation of the necessary differentiated integrals when calculating Atomic Axial Tensors. Read one more line containing print level. Default value is value of IPRDEF from the general input module. The print level of the rest of the calculation of Atomic Axial Tensors are controlled by the keyword .PRINT .

- .NODBDR Skip contributions originating from first half-differentiated overlap integrals with respect to both nuclear distortions as well as magnetic field. This will give wrong results for VCD. Mainly for debugging purposes.
- .NODDY Checks the calculation of the electronic part of the Atomic Axial Tensors by calculating these both in the ordinary fashion as well as by a noddy routine. The program will not perform a comparison, and will not abort if differences is found. Mainly for debugging purposes.
- .NOELC Skip the calculation of the pure electronic contribution to the Atomic Axial Tensors. This will give wrong results for VCD. Mainly for debugging purposes.
- .NONUC Skip the calculation of the pure nuclear contribution to the Atomic Axial Tensors. This will give wrong results for VCD. Mainly for debugging purposes.
- .NOSEC Skip the calculation of second order orbital contributions to the Atomic Axial Tensors. This will give wrong results for VCD. Mainly for debugging purposes.

#### .PRINT

#### READ (LUCMD,\*) IPRINT

Set print level in the calculation of Atomic Axial Tensors (this does not include the print level in the integral calculation, which are controlled by the keyword .INTPRI). Read one more line containing print level. Default value is the value of IPRDEF from the general input module.

- .SKIP Skips the calculation of Atomic Axial Tensors. This will give wrong results for VCD, but may be of interest for debugging purposes.
- .STOP Stops the entire calculation after finishing the calculation of the Atomic Axial Tensors. Mainly for debugging purposes.

# 28.1.3 Frequency-dependent linear response calculations: \*ABALNR

Directives to control the calculation of frequency dependent linear response functions.

#### .FREQUE

READ (LUCMD,\*) NFRVAL
READ (LUCMD,\*) (FRVAL(I), I = 1, NFRVAL)

Set the number of frequencies as well as the frequency at which the frequencydependent linear response equations are to be evaluated. Read one more line containing the number of frequencies to be calculated, and another line reading these frequencies. The frequencies are to be entered in atomic units. By default only the static case is evaluated. The .FREQUE keyword may be combined with the wave length input .WAVELE (see below).

#### .DAMPING

READ (LUCMD,\*) ABS\_DAMP

Sets the lifetime of the excited states if absorption is also included in the calculation of the linear response functions as described in Ref. [304, 305]. The default is that no absorption is included in the calculation. The lifetime is given in atomic units. By default the algorithm with symmetrized trial vectors is used [306].

#### .OLDCPP

If absorption is included in the calculation of the linear response functions, the complex polarization propagator solver [307, 304] is used to solve damped response equations. .OLDCPP requires that .DAMPING is specified.

# .MAX IT

READ (LUCMD,\*) MAXITE

Set the maximum number of micro iterations in the iterative solution of the frequencydependent linear response functions. Read one more line containing maximum number of micro iterations. Default value is 60.

#### .MAXPHP

## READ (LUCMD,\*) MXPHP

Set the maximum dimension for the sub-block of the configuration Hessian that will be explicitly inverted. Read one more line containing maximum dimension. Default value is 0.

# .MAXRED

READ (LUCMD,\*) MXRM

Set the maximum dimension of the reduced space to which new basis vectors are added as described in Ref. [7]. Read one more line containing maximum dimension. Default value is 400.

.OPTORB Use optimal orbital trial vectors in the iterative solution of the frequency-dependent linear response equations. These are generated as described in Ref. [7] by solving the orbital response equation exact, keeping the configuration part fixed.

.PRINT

READ (LUCMD,\*) IPRLNR

Set the print level in the calculation of frequency-dependent linear response properties. Read one more line containing the print level. The default value is the value of IPRDEF from the general input module.

- .SKIP Skip the calculation of the frequency-dependent response functions. This will give wrong results for ROA. Mainly for debugging purposes.
- .STOP Stops the program after finishing the calculation of the frequency-dependent linear response equations. Mainly for debugging purposes.

.THRESH

READ (LUCMD,\*) THCLNR

Set the convergence threshold for the solution of the frequency dependent response equations. Read one more line containing the convergence threshold (D12.6). The default value is  $5.0 \cdot 10^{-5}$ .

.WAVELE

```
READ (LUCMD,*) NWVLEN
```

READ (LUCMD,\*) (WVLEN(I), I = 1, NWVLEN)

Set the number of wave lengths as well as the wave lengths at which the frequencydependent linear response equations are to be evaluated. Read one more line containing the number of wave lengths to be calculated, and another line reading these wave lengths. The wave lengths are to be entered in units of nanometers (nm). By default only the static case (infinite wavelength, zero frequency) is evaluated. The .WAVELE keyword may be combined with the frequency input .FREQUE (see above).

# 28.1.4 Dipole moment and dipole gradient contributions: \*DIPCTL

Directives controlling the calculation of contributions to the dipole gradient appear in the **\*DIPCTL** section.

CHAPTER 28. HF, SOPPA, AND MCSCF MOLECULAR PROPERTIES, ABACUS317

- .NODC Neglect contributions to traces from inactive one-electron density matrix. This will give wrong results for the dipole gradient. Mainly for debugging purposes.
- .NODV Neglect contributions to traces from active one-electron density matrix. This will give wrong results for the dipole gradient. Mainly for debugging purposes.

.PRINT

# READ (LUCMD,\*) IPRINT

Set print level in the calculation of the dipole gradient. Read one more line containing print level. The default is the variable IPRDEF from the general input module.

- .SKIP Skip the calculation of dipole gradient.
- .STOP Stop the program after finishing the calculation of the dipole gradient. Mainly for debugging purposes.

# 28.1.5 Calculation of excitation energies: \*EXCITA

Directives to control the calculations of electronic transition properties and excitation energies appear in the **\*EXCITA** input module. For SCF wave functions the properties are calculated using the random phase approximation (RPA) and for MCSCF wave functions the multiconfigurational (MC-RPA) is used. In the case of Kohn–Sham DFT, time-dependent linear response theory is used in the adiabatic approximation to the functional kernel.

Implemented electronic transition properties are at the moment:

- 1. Excitation Energies . These are always calculated when invoking the .EXCITA keyword in the general input module.
- 2. Oscillator Strengths which determine intensities in visible and UV absorption.
- 3. Rotatory Strengths which determine Electronic Circular Dichroism (ECD).
- .DIPSTR Calculates the dipole strengths, that is, the dipole oscillator strengths which determine the visible and UV absorption, using the dipole length form.
- .FNAC Calculate first-order non-adiabatic coupling matrix elements from the reference state to the states requested with .NEXCIT. The keyword .NACME in the parent section to \*EXCITA and the keyword .SKIP in section \*TROINV must also be specified to get the coupling elements.

.INTPRI

READ (LUCMD, \*) IPRINT

Set the print level in the calculation of the necessary differentiated integrals when calculating the linear response functions. Read one more line containing print level. Default value is the value of IPRDEF from the general input module. The print level of the rest of the calculation of electronic excitation energies are controlled by the keyword .PRINT .

.MAX IT

# READ (LUCMD,\*) MAXITE

Set the maximum number of micro iterations in the iterative solution of the linear response equations. Read one more line containing maximum number of micro iterations. Default value is 60.

#### .MAXPHP

#### READ (LUCMD,\*) MXPHP

Set the maximum dimension for the sub-block of the configuration Hessian that will be explicitly inverted. Read one more line containing maximum dimension. Default value is 0.

# .MAXRED

READ (LUCMD,\*) MXRM

Set the maximum dimension of the reduced space to which new basis vectors are added as described in Ref. [7]. Read one more line containing maximum dimension. Default value is 400.

#### .NEXCIT

READ (LUCMD,\*) (NEXCIT(I), I= 1,NSYM)

Set the number of excitation energies to be calculated in each symmetry. Read one more line containing the number of excitations in each of the irreducible representations of the molecular point group. The default is not to calculate one excitation energy in each of the irreducible representations.

.OPTORB Use optimal orbital trial vectors in the iterative solution of the eigenvalue equations in order to speed up the calculation. Only relevant for MCSCF. These are generated by solving the orbital response equation exact, keeping the configuration part fixed as described in Ref. [7].

.PRINT

READ (LUCMD,\*) IPREXE

Set the print level in the calculation of electronic excitation energies. Read one more line containing the print level. The default value is the IPRDEF from the general input module.

- .ROTVEL Calculate rotational strengths in Electronic Circular Dichroism (ECD) without using London orbitals.
- .SKIP Skip the calculation of electronic excitation energies. This will give wrong results for ECD. Mainly for debugging purposes.
- **.STOP** Stops the program after finishing the calculation of the eigenvalue equations. Mainly for debugging purposes.
- . SUMRUL Calculate oscillator strength sum rules from the calculated excitation energies and dipole oscillator strengths. Accurate results require to calculate all excitation energies supported by the one-electron basis set.

. THRESH

READ (LUCMD,\*) THREXC

Set the convergence threshold for the solution of the linear response equations. Read one more line containing the convergence threshold. The default value is  $1 \cdot 10^{-4}$ .

.TRIPLET Indicates that it is triplet excitation energies that is to be calculated instead of the default singlet excitation energies.

# 28.1.6 One-electron expectation values: \*EXPECT

Directive that control the calculation of one-electron expectation values appear in the **\*EXPECT** input module. Notice, however, that the directives controlling the calculation of one-electron expectation values needed for the molecular gradient and Hessian appear in the **\*ONEINT** section.

- .ALL CO Indicates that all components of the expectation value contributions to the nuclear shielding or indirect spin-spin coupling tensors are to be calculated at the same time. This is the default for ordinary calculations. However, in direct and parallel calculations on large molecules this may give too large memory requirements, and instead only the components of one symmetry-independent nucleus are calculated at a time. However, by invoking this keyword, all components are calculated simultaneously even in direct/parallel calculations.
- .DIASUS Invokes the calculation of the one-electron contribution to the magnetizability expectation value. By default this is done using London atomic orbitals. Default value

is TRUE if magnetizability has been requested in the general input module, otherwise FALSE.

- .ELFGRA Invokes the calculation of the electronic contribution to the nuclear quadrupole moment coupling tensor (that is, the electric field gradient). Default value is TRUE if nuclear quadrupole coupling constants have been requested in the general input module, otherwise FALSE.
- .NODC Do not calculate contributions from the inactive one-electron density matrix. This will give wrong results for the one-electron expectation values. Mainly for debugging purposes.
- .NODV Do not calculate contributions from the active one-electron density matrix. This will give wrong results for the one-electron expectation values. Mainly for debugging purposes.
- .NEFIEL Invokes the evaluation of the electric field at the individual nuclei. Default value is TRUE if spin-rotation constants have been requested in the general input module, otherwise FALSE. In the current implementation, symmetry dependent nuclei cannot be used when calculating this property.

.POINTS

#### READ (LUCMD,\*) NPOINT

Set the number of integration points to be used in the Gaussian quadrature when evaluating the diamagnetic spin-orbit integrals. Default value is 40.

# .PRINT

#### READ (LUCMD,\*) MPRINT

Set print level in the calculation of one-electron expectation values. Read one more line containing print level. Default value is the value of IPRDEF from the general input module.

- .QUADRU Calculates the electronic contribution to the molecular (traceless) quadrupole moments. Default value is TRUE if molecular quadrupole moment has been requested in the general input module, otherwise FALSE.
- .SHIELD Invokes the calculation of the one-electron contribution to the nuclear shielding expectation values. By default this is done using London atomic orbitals. Default value is TRUE if nuclear shieldings have been requested in the general input module, otherwise FALSE.

- .SKIP Skip the calculation of one-electron expectation values. This may give wrong final results for some properties. Mainly for debugging purposes.
- .SPIN-S Invokes the calculation of the diamagnetic spin-orbital integral, which is the diamagnetic contribution to indirect nuclear spin-spin coupling constants. Default value is TRUE if spin-spin couplings have been requested in the general input module, otherwise FALSE.
- .STOP Stop the entire calculation after finishing the calculation of one-electron expectation values. Mainly for debugging purposes.

#### 28.1.7 Geometry analysis: \*GEOANA

Directives controlling the calculation and printing of bond angles and dihedral angles appear in the **\*GEOANA** section. The program will also define atoms to be bonded to each other depending on their bond distance. For all atoms defined to be bonded to each other, the bond distance and bond angles will be printed.

.ANGLES

```
READ (LUCMD,*) NANG
DO I = 1, NANG
READ (LUCMD,*) (IANG(J,I), J = 1,3)
END DO
```

Calculate and print bond angles. Read one more line specifying the number of angles, and then read NANG lines containing triplets A, B, C of atom labels, each specifying a particular bond angle  $\angle ABC$ . Notice that in the current version of the program there is an upper limit of 20 bond angles that will be printed. The rest will be ignored. We also note that program always will print the angles between atoms defined to be bonded to each other on the basis of the van der Waals radii of the atoms.

# .DIHEDR

```
READ (LUCMD,*) NDIHED
DO I = 1, NDIHED
READ (LUCMD,*) (IDIHED(J,I), J = 1,4)
END DO
```

Calculate and print dihedral (torsional) angles. Read one more line specifying the number of angles, and then read NDIHED lines containing quadruplets A, B, C, D of atom labels. The angle computed is that between the planes ABC and BCD. Notice that in the current version of the program there is an upper limit of 20 dihedral angles that will be printed. The rest will be ignored.

.SKIP Skip the geometry analysis, with the exceptions mentioned in the introduction to this section. This is the default value, but it is overwritten by the keywords .ANGLES and .DIHEDR.

# 28.1.8 Right-hand sides for geometry response equations: \*GETSGY

Directives affecting the construction of the right-hand sides (RHS)—that is, wave function gradient terms—for the geometric derivative response calculations as well as some matrices needed for reorthonormalization contributions appear in the \*GETSGY section.

.ALLCOM Requests that all paramagnetic spin-orbit right-hand sides are to be calculated in one batch, and not for each symmetry-independent center at a time which is the default. This will slightly speed up the calculation, at the cost of significantly larger memory requirements.

.FCKPRI

READ (LUCMD,\*) IPRFCK

Set print level for the calculation of derivative Fock matrices. Read one more line specifying print level. The default is the value of **IPRDEF** in the general input module.

- .FCKSKI Skip the derivative Fock matrix contributions to the right-hand sides. This will give wrong results for all properties depending on right hand sides. Mainly for debugging purposes.
- .FCKTES Test the Fock matrices. Mainly for debugging purposes.
- .FSTTES Test one-index transformation of derivative Fock matrices.
- . GDHAM Write out differentiated Hamiltonian and differentiated Fock matrices to file for use in post-DALTON programs.

# .GDYPRI

#### READ (LUCMD,\*) IPRGDY

Set print level for the calculation of the Y-matrix appearing in the reorthonormalization terms, as for instance in Ref. [7]. Default is the value of IPRALL defined by the .PRINT keyword. If this has not been specified, the default is the value of IPRDEF from the general input section.

.GDYSKI Skip the calculation of the lowest-order reorthonormalization contributions to the second-order molecular properties. This will give wrong results for these properties. Mainly for debugging purposes.

#### .INTPRI

# READ (LUCMD, \*) IPRINT, IPRNTA, IPRNTB, IPRNTC, IPRNTD

Set print level for the derivative integral calculation for a particular shell quadruplet. Read one more line containing print level and the four shell indices. The print level is changed from the default for this quadruplet only. Default value is the value of IPRDEF from the general input module. Note that the print level of all shell quadruplets can be changed by the keyword .PRINT .

- . INTSKI Skip the calculation of derivative integrals. This will give wrong results for the total molecular Hessian. Mainly for debugging purposes.
- .NODC Do not calculate contributions from the inactive one-electron density matrix. This will give wrong results for the total molecular property. Mainly for debugging purposes.
- .NODDY Test the orbital part of the right-hand side. The run will not be aborted. Mainly for debugging purposes.
- .NODPTR The transformation of the two-electron density matrix is back-transformed to atomic orbital basis using a noddy-routine for comparison.
- .NODV Do not calculate contributions from the active one-electron density matrix. This will give wrong results for the molecular property. Mainly for debugging purposes.
- .NOFD Do not calculate the contribution from the differentiated Fock-matrices to the total right-hand side. This will give wrong results for the requested molecular property. Mainly for debugging purposes.
- .NOFS Do not calculate the contribution to the total right-hand side from the one-index transformed Fock-matrices with the differentiated connection matrix. This will give wrong results for the requested molecular property. Mainly for debugging purposes.
- .NOH1 Do not calculate the contribution from the one-electron terms to the total right-hand side. This will give wrong results for the requested property. Mainly for debugging purposes.
- .NOH2 Do not calculate the contribution from the two-electron terms to the total right-hand side. This will give wrong results for the requested molecular property. Mainly for debugging purposes.
- .NOORTH Do not calculate the orbital reorthonormalization contribution (the one-index transformed contributions) to the total right-hand side. This will give wrong results for the requested molecular property. Mainly for debugging purposes.

- .NOPV Do not calculate contributions from the two-electron density matrix. This will give wrong results for the requested molecular property. Mainly for debugging purposes.
- .NOSSF Do not calculate the contribution to the total right-hand side from the doubleone-index transformation between the differentiated connection matrix and the Fockmatrix. This option will only affect the calculation of the molecular Hessian, and will give a wrong result for this. Mainly for debugging purposes.

#### .PRINT

# READ (LUCMD,) IPRALL

Set print levels. Read one more line containing the print level for this part of the calculation. This will be the default print level in the calculation of differentiated two-electron integrals, differentiated Fock-matrices, derivative overlap matrices, two-electron density and derivative integral transformation, as well as in the construction of the right-hand sides. To set the print level in each of these parts individually, see the keywords .FCKPRI, .GDYPRI, .INTPRI, .PTRPRI and .TRAPRI.

# .PTRPRI

# READ (LUCMD,) IPRTRA

Set print level for the two-electron densities transformation. Read one more line containing print level. Default value is the value of IPRDEF from the general input module. Note also that this print level is also controlled by the keyword .PRINT .

- .PTRSKI Skip transformation of active two-electron density matrix. This will give wrong results for the total second-order molecular property. Mainly for debugging purposes.
- .RETURN Stop after the shell quadruplet specified under .INTPRI above. Mainly for debugging purposes.

# .SDRPRI

#### READ (LUCMD,) IPRSDR

Set the print level in the calculation of the differentiated connection matrix. Read one more line containing the print level. Default value is the value given by the keyword .PRINT . If this keyword has not been given, the default is the value of IPRDEF given in the general input module.

- .SDRSKI Do not calculate the differentiated connection matrices. This will give wrong results for properties calculated with perturbation dependent basis sets. Mainly for debugging purposes.
- . SDRTES The differentiated connection matrices will be transformed and printed in atomic orbital basis. Mainly for debugging purposes.

.SIRPR4

READ (LUCMD, \*) IPRI4

SIRIUS "output unit 4" print level. Read one more line specifying print level. Default is 0.

# .SIRPR6

```
READ (LUCMD, *) IPRI6
```

SIRIUS "output unit 6" print level. Read one more line specifying print level. Default is 0.

.SKIP Skip the calculation of right-hand sides. This will give wrong values for the requested second-order properties. Mainly for debugging purposes.

#### .SORPRI

READ (LUCMD,\*) IPRSOR

Set print level for the two-electron density matrix sorting. Read one more line containing print level. Default value is the value of IPRDEF from the general input module.

- .STOP Stop the entire calculation after finishing the construction of the right-hand side. Mainly for debugging purposes.
- .TIME Provide detailed timing breakdown for the two-electron integral calculation.

#### .TRAPRI

# READ (LUCMD,\*) IPRTRA

Set print level for the derivative integrals transformation. Read one more line specifying print level. Default is the value of IPRDEF from the general input module. Notice that the default print level is also affect by the keyword <code>.PRINT</code>.

. TRASKI Skip transformation of derivative integrals. Mainly for debugging purposes.

. TRATES Testing of derivative integral transformation. The calculation will not be aborted. Mainly for debugging purposes.

# 28.1.9 Linear response for static singlet property operators: \*LINRES

Directives to control the calculation of frequency-independent linear response functions. At present these directives only affect the calculation of frequency-independent linear response functions appearing in connection with singlet, magnetic imaginary perturbations.

# .MAX IT

# READ (LUCMD,\*) MAXITE

Set the maximum number of micro iterations in the iterative solution of the frequency independent linear response functions. Read one more line containing maximum number of micro iterations. Default value is 60.

# .MAXPHP

#### READ (LUCMD,\*) MXPHP

Set the maximum dimension of the sub-block of the configuration Hessian that will be explicitly inverted. Read one more line containing maximum dimension. Default value is 0.

# .MAXRED

#### READ (LUCMD,\*) MXRM

Set the maximum dimension of the reduced space to which new basis vectors are added as described in Ref. [7]. Read one more line containing maximum dimension. Default value is 400.

.OPTORB Use optimal orbital trial vectors in the iterative solution of the frequency-independent linear response equations. These are generate by solving the orbital response equation exact, keeping the configuration part fixed as described in Ref. [7].

#### .PRINT

# READ (LUCMD,\*) IPRCLC

Set the print level in the solution of the magnetic frequency-independent linear response equations. Read one more line containing print level. Default is the value of IPRDEF in the general input module.

- .SKIP Skip the calculation of the frequency-independent response functions. This will give wrong results for shielding, magnetizabilities, optical rotation, VCD, VROA and spinspin coupling constants. Mainly for debugging purposes.
- .STOP Stops the program after finishing the calculation of the frequency-independent linear response equations. Mainly for debugging purposes.

.THRESH

#### READ (LUCMD,\*) THRCLC

Set the convergence threshold for the solution of the frequency-independent response equations. Read one more line containing the convergence threshold. The default value is  $1.0 \cdot 10^{-4}$  for calculations which cannot take advantage of Sellers formula for

quadratic errors in the response property [308], and  $2.0 \cdot 10^{-3}$  for those calculations that can.

# 28.1.10 Localization of molecular orbitals: \*LOCALI

Directives to control the generation of localized orbitals for the use in the analysis of second order / linear response properties in localized molecular orbitals. At present these directives only affect the calculation of spin-spin coupling constants. Naturally, the generation of localized molecular orbitals requires that the use of point group symmetry is turned off.

.FOSBOY The occupied molecular orbitals are localized with the Foster-Boys localization procedure [296]. It requires the .SOSOCC keyword in the \*SPIN-S section.

.FBOCIN

```
READ (LUCMD, * ) NO2LOC
```

READ (LUCMD, \*) (NTOC2L(I), I = 1, NO2LOC)

All occupied molecular orbitals are localized with the Foster-Boys localization procedure [296]. Afterwards NO2LOC occupied orbitals are delocalized again. NTOC2L are the indices of the occupied orbitals which are delocalized again.

.FBOOCC

READ (LUCMD, \* )NO2LOC
READ (LUCMD, \* ) ( NTOC2L(I), I = 1, NO2LOC )

A subset of occupied molecular orbitals are localized with the Foster-Boys localization procedure [296]. NO2LOC occupied molecular orbitals are not localized. NTOC2L are the indices of the occupied orbitals which are not localized, but remain in canonical form.

.FBOVIR The whole set of virtual molecular orbitals is localized with the Foster-Boys localization procedure [296]. The virtual orbitals are paired with occupied orbitals. First one virtual orbital is paired with each occupied orbital. Afterwards additional sets of localized virtual orbitals are generated which are again paired with one occupied orbital each and which are orthogonalized to the already existing localized virtual orbitals. This is repeated until all virtual orbital are localized and paired to occupied orbitals. It requires the .SOSOCC keyword in the \*SPIN-S section and the .FOSBOY, .FBOCIN or .FBOOCC keyword in the \*LOCALI section.

.FBSETV

READ (LUCMD, \*) NFBSET

NFBSET sets of virtual orbitals are localized with the Foster-Boys localization procedure [296]. A set of virtual orbitals consists of as many virtual orbitals as there are occupied orbitals. It requires the .SOSOCC keyword in the \*SPIN-S section and the .FOSBOY, .FBOCIN or .FBOOCC keyword in the \*LOCALI section.

# .FBSTVO

```
READ(LUCMD, * ) NFBSET, NV2LOC
```

READ(LUCMD, \*) ( NOCVI(I), I = 1, NV2LOC )

Similar to .FBSETV, but localizes only NFBSET sets of virtual orbitals for a subset of NV2LOC occupied orbitals. In total NFBSET\*NV2LOC localized virtual orbitals will be generated. NOCVI are the indices of the occupied orbitals with which the virtual orbitals are paired. It requires the .SOSOCC keyword in the \*SPIN-S section and the .FOSBOY, .FBOCIN or .FBOOCC keyword in the \*LOCALI section.

# .LABOCC

READ (LUCMD, \* ) NOCLAB

READ (LUCMD, \* ) (TABOCL(I), I = 1, NOCLAB )

Allows one to add some labels to the occupied orbitals which are localized. Up to 20 labels of up to 8 characters can be added. It requires the .FOSBOY, .FBOCIN or .FBOOCC keyword in the \*LOCALI section.

# .LABVIR

```
READ (LUCMD, * ) NVILAB
```

```
READ (LUCMD, * ) ( TABVIL(I), I = 1, NVILAB )
```

Allows one to add some labels to the virtual orbitals which are localized. Up to 20 labels of up to 8 characters can be added. It requires the .FBOVIR, .FBSETV or .FBSTVO keyword in the \*LOCALI section.

# 28.1.11 Nuclear contributions: \*NUCREP

Directives affecting the nuclear contribution to the molecular gradient and molecular Hessian calculation appear in the **\*NUCREP** section.

.PRINT

#### READ (LUCMD,\*) IPRINT

Set the print level in the calculation of the nuclear contributions. Read one more line containing print level. Default value is the value of IPRDEF from the general input module.

- .SKIP Skip the calculation of the nuclear contribution. This will give wrong results for the total molecular gradient and Hessian. Mainly for debugging purposes.
- .STOP Stop the program after finishing the calculation of the nuclear contributions. Mainly for debugging purposes.

# 28.1.12 One-electron integrals: \*ONEINT

Directives affecting the calculation of one-electron integral contributions in the calculation of molecular gradients and molecular Hessians appear in the **\*ONEINT** section.

.NCLONE Calculate only the classical contributions to the nuclear-attraction integrals.

- .NODC Do not calculate contributions from the inactive one-electron density matrix. This will give wrong results for the total molecular gradient and Hessian. Mainly for debugging purposes.
- .NODV Do not calculate contributions from the active one-electron density matrix. This will give wrong results for the total molecular gradient and Hessian. Mainly for debugging purposes.

.PRINT

#### READ (LUCMD,\*) IPRINT

Set print level in the calculation of one-electron contributions to the molecular gradient and Hessian. Read one more line containing print level. Default value is the value of IPRDEF from the general input module.

- .SKIP Skip the calculation of one-electron integral contributions to the molecular gradient and Hessian. This will give wrong total results for these properties. Mainly for debugging purposes.
- .STOP Stop the entire calculation after the one-electron integral contributions to the molecular gradients and Hessians has been evaluated. Mainly for debugging purposes.

# 28.1.13 Relaxation contribution to geometric Hessian: \*RELAX

Directives controlling the calculation of the relaxation contributions (*i.e.* those from the response terms) to the different second-order molecular properties, appear in the **\*RELAX** section.

.NOSELL Do not use Sellers' method [308]. This method ensures that the error in the relaxation Hessian is quadratic in the error of the response equation solution, rather than linear. Mainly for debugging purposes.

.PRINT

#### READ (LUCMD,\*) IPRINT

Set the print level in the calculation of the relaxation contributions. Read one more line containing print level. Default value is the value of IPRDEF from the general input module.

- .SKIP Skip the calculation of the relaxation contributions. This does not skip the solution of the response equations. This will give wrong results for a large number of second-order molecular properties. Mainly for debugging purposes.
- .STOP Stop the entire calculation after the calculation of the relaxation contributions to the requested properties. Mainly for debugging purposes.
- .SYMTES Calculate both the ij and ji elements of the relaxation Hessian to verify its Hermiticity or anti-Hermiticity (depending on the property being calculated). Mainly for debugging purposes.

# 28.1.14 Reorthonormalization contributions to geometric Hessian: \*REORT

Directives affecting the calculation of reorthonormalization contributions to the geometric Hessian appear in the **\*REORT** section.

# .PRINT

READ (LUCMD,\*) IPRINT

Set print level in the calculation of the lowest-order reorthonormalization contributions to the molecular Hessian. Read one more line containing print level. Default value is the value of IPRDEF from the general input module.

- .SKIP Skip the calculation of the reorthonormalization contributions to the molecular Hessian. This will give wrong results for this property. Mainly for debugging purposes.
- .STOP Stop the entire calculation after finishing the calculation of the reorthonormalization contributions to the molecular Hessian. Mainly for debugging purposes.

# 28.1.15 Response calculations for geometric Hessian: \*RESPON

Directives affecting the response (coupled-perturbed MCSCF) calculation of geometric perturbations appear in the **\*RESPON** section.

- .D1DIAG Neglect diagonal elements of the orbital Hessian when generating trial vectors. Mainly for debugging purposes.
- .DONEXT Force the use of optimal orbital trial vectors in the solution of the geometric response equations as described in Ref. [7]. This is done by solving the orbital part exact while keeping the configuration part fixed.

.MAX IT

READ (LUCMD,\*) MAXNR

Maximum number of iterations to be used when solving the geometric response equations. Read one more line specifying value. Default value is 60.

## .MAXRED

READ (LUCMD,\*) MAXRED

Set the maximum dimension of the reduced space to which new basis vectors are added as described in Ref. [7]. Read one more line containing maximum dimension. Default value is the maximum of 400 and 25 times the number of symmetry-independent nuclei.

#### .MAXSIM

READ (LUCMD,\*) MAXSIM

Maximum number of geometric perturbations to solve simultaneously in a given symmetry. Read one more line specifying value. Default is 15.

- .MCHESS Explicitly calculate electronic Hessian and test its symmetry. Does not abort the calculation. Mainly for debugging purposes.
- .NEWRD Forces the solution vectors to be written to a new file. This will also imply that .NOTRIA will be set to TRUE, that is, that no previous solution vectors will be used as trial vectors.
- .NOAVER Use an approximation to the orbital Hessian diagonal when generating trial vectors.
- .NONEXT Do not use optimal orbital trial vectors.
- .NOTRIA Do not use old solutions as trial vectors, even though they may exist.

.NRREST Restart geometric response calculation using old solution vectors.

.PRINT

```
READ (LUCMD,*) IPRINT
```

Set the print level during the solution of the geometric response equations. Read one more line containing print level. Default value is the value of IPRDEF in the general input module.

# .RDVECS

READ (LUCMD, \*) NRDT READ (LUCMD, \*) (NRDCO(I), I = 1, NRDT)

Solve for specific geometric perturbations only. Read one more line specifying number to solve for and then another line specifying their sequence numbers. This may give CHAPTER 28. HF, SOPPA, AND MCSCF MOLECULAR PROPERTIES, ABACUS332

wrong results for some components of the molecular Hessian. Mainly for debugging purposes.

.SKIP Skip the solution of the geometric response equations. This will give wrong results for the geometric Hessian. Mainly for debugging purposes.

.THRESH

READ (LUCMD,\*) THRNR

Threshold for convergence of the geometric response equations. Read one more line specifying value. Default value is  $10^{-3}$ .

.STOP Stop the entire calculation after solving all the geometric response equations. Mainly for debugging purposes.

# 28.1.16 Second-order polarization propagator approximation: \*SOPPA

This input module controls the calculation of molecular properties using the second-order polarization propagator approximation, see Chapter 18.

.HIRPA Use the higher-order RPA Polarization Propagator Approximation.

.SOPW4 Requests that the W4 term in the SOPPA expressions are calculated explicitly.

- .DIRECT Requests an atomic integral direct SOPPA or SOPPA(CCSD) calculation. This is currently only possible for the calculation of electronic singlet excitation energies and corresponding oscillator and rotatory strengths. The necessary Møller-Plesset correlation coefficients or CCSD amplitudes have to be requested by the .CC keyword in the **\*\*WAVE FUNCTIONS** input module combined with the .MP2 and .AO-SOPPA or .CCSD and .AO-SOPPA keywords in the **\*CC INPUT** section of the **\*\*WAVE FUNCTIONS** input module.
- .DCRPA Requests an atomic integral direct RPA(D) calculation. This is currently only possible for the calculation of electronic singlet excitation energies and corresponding oscillator and rotatory strengths. The necessary Møller-Plesset correlation coefficients have to be requested by the .CC keyword in the **\*\*WAVE FUNCTIONS** input module combined with the .MP2 and .AO-SOPPA keywords in the **\*CC INPUT** section of the **\*\*WAVE FUNCTIONS** input module.
- .AOSOP Requests an atomic integral direct SOPPA calculation. This is currently only possible for the calculation of electronic singlet excitation energies and corresponding oscillator and rotatory strengths. The necessary Møller-Plesset correlation coefficients have to be requested by the .CC keyword in the **\*\*WAVE FUNCTIONS** input module

combined with the .MP2 and .AO-SOPPA keywords in the \*CC INPUT section of the \*\*WAVE FUNCTIONS input module.

- .AOSOC Requests an atomic integral direct SOPPA(CCSD) calculation. This is currently only possible for the calculation of electronic singlet excitation energies and corresponding oscillator and rotatory strengths. The necessary CCSD amplitudes have to be requested by the .CC keyword in the **\*\*WAVE FUNCTIONS** input module combined with the .CCSD and .AO-SOPPA keywords in the **\*CC INPUT** section of the **\*\*WAVE** FUNCTIONS input module.
- .SOPCHK Request that the E[2] and S[2] matrices are calculated explicitly and written to the output. This is only for debugging purposes of the atomic integral direct implementation.

#### .NSAVMX

#### READ (LUCMD,\*) NSAVMX

Number of optimal trial vectors, which are kept in the solution of the eigenvalue problem in the atomic integral direct implementation. The default is 3. Increasing the number might reduce the number of iterations necessary for solving the eigenvalue problem, but increases the disk space requirements.

.NEXCI2

READ (LUCMD,\*) (NEXCI2(I),I=1,NSYM)

Allows in the atomic integral direct implementation to converge the highest NEXCI2(I) excitation energies in symmetry I with a larger threshold than the other excitation energies. The larger threshold is given with the keyword .THREX2.

#### .THREX2

#### READ (LUCMD,\*) THREX2

Specifies in the atomic integral direct implementation the threshold to which the highest excitation energies are to be converged. The number of excitation energies for which this applies is chosen with the keyword .NEXCI2.

# 28.1.17 Indirect nuclear spin-spin couplings: \*SPIN-S

This input module controls the calculation of which indirect nuclear spin-spin coupling constants and what contributions to the total spin-spin coupling constants that are to be calculated.

.ABUNDA

READ (LUCMD,\*) ABUND

Set the natural abundance threshold in percent for discarding couplings between certain nuclei. By default all isotopes in the molecule with a natural abundance above this limit will be included in the list of nuclei for which spin-spin coupling constants will be calculated. Read one more line containing the abundance threshold in percent. The default value is 1.0 (*i.e.* 1%), which includes both protons and <sup>13</sup>C nuclei.

#### .COUPLING NUCLEUS

READ (LUCMD,\*) NUCSPI READ (LUCMD,\*) (IPOINT(IS), IS=1,NUCSPI)

Calculates all coupling constants in a molecule to a selected number of nuclei only. The first number NUCSPI is the number of nuclei to which couplings shall be calculated, and the next line reads in the number of the symmetry-independent nucleus as given in the MOLECULE.INP file.

#### .ISOTOP

# READ (LUCMD,\*) (ISOTPS(IS), IS=1, NATOMS)

Calculate the indirect spin–spin coupling constants for a given isotopic constitution of the molecule. The next line reads the isotope number for each of the atoms in the molecule (including also symmetry-dependent molecules). The isotopic number for each atom is given in terms of the occurrence in the list of natural abundance of the isotopes for the given atom, *i.e.* the most abundant isotope is number 1, the second-most abundant is number 2 and so on.

- .NODSO Do not calculate diamagnetic spin-orbit contributions to the total indirect spin-spin coupling constants. This will give wrong results for the total spin-spin couplings.
- .NOFC Do not calculate the Fermi contact contribution to the total indirect spin-spin coupling constants. This will give wrong results for the total spin-spin couplings.
- .NOPSO Do not calculate the paramagnetic spin-orbit contribution to the indirect spin-spin coupling constants. This will give wrong results for the total spin-spin couplings.
- .NOSD Do not calculate the spin-dipole contribution to the total indirect spin-spin coupling constants. This will give wrong results for the total spin-spin couplings.

#### .PRINT

# READ (LUCMD,\*) ISPPRI

Set the print level in the output of the final results from the spin-spin coupling constants. In order to get all individual tensor components (in a.u.), a print level of at least 5 is needed. Read one more line containing the print level. Default value is the value of IPRDEF from the general input module. .SD+FC Do not split the spin-dipole and Fermi contact contributions in the calculations.

.SDxFC ONLY Will only calculate the spin dipole–Fermi contact cross term, and the Fermi contact–Fermi contact contribution for the triplet responses. The first of these two terms only contribute to the anisotropy, and one may in this way obtain the most important triplet contributions to the isotropic and anisotropic spin-spin couplings by only solving one instead of seven response equations for each nucleus.

#### .SELECT

#### READ (LUCMD,\*) NPERT

READ (LUCMD, \*) (IPOINT(I), I = 1, NPERT)

Select which symmetry-independent nuclei for which indirect nuclear spin-spin couplings is to be calculated. This option will override any selection based on natural abundance (the .ABUNDA keyword), and at least one isotope of the nuclei requested will be evaluated (even though the most abundant isotope with a non-zero spin has a lower natural abundance than the abundance threshold). Read one more line containing the number of nuclei selected, and another line with their number (sorted after the input order). By default, all nuclei with an isotope with non-zero spin and with a natural abundance larger than the threshold will be included in the list of nuclei for which indirect spin-spin couplings will be calculated.

- .SOS Analysis of the spin-spin coupling constants in terms of pairs of occupied and virtual orbitals [309, 310]. This implies that the coupling constants are calculated as sum over all excited states, which means that it is only possible in combination with Hartree-Fock wavefunctions (RPA) or with density functional theory. The occupied and virtual orbitals can be canonical Hartree-Fock or Kohn-Sham orbitals or can be localized with the .LOCALI keyword in the **\*\*PROPERTIES** section.
- .SOSOCC Analysis of the spin-spin coupling constants in terms of pairs of occupied orbitals [309, 310]. This implies that the coupling constants are calculated as sum over all excited states, which means that it is only possible in combination with Hartree-Fock wavefunctions (RPA) or with density functional theory. The occupied orbitals can be canonical Hartree-Fock or Kohn-Sham orbitals or can be localized with the .LOCALI keyword in the **\*\*PROPERTIES** section.

.SOSOCS

READ (LUCMD,\*) NSTATI, NSTATF, NITRST

Similar to .SOSOCC but here only a window of states is included in the sum over all excited states. The first and last state to be included are specified by NSTATI and NSTATF, while one can specifies with NITRST for how many states at a time the accumulated coupling constants will be printed. The occupied orbitals can be canonical Hartree-Fock or Kohn-Sham orbitals or can be localized with the .LOCALI keyword in the **\*\*PROPERTIES** section.

.SINGST

#### READ (LUCMD, \*) NSTATS

Only the contributions from the NSTATS lowest singlet states are included in the analysis of spin-spin coupling constants in terms of pairs of occupied orbitals [309, 310].

#### .TRIPST

# READ (LUCMD, \*) NSTATT

Only the contributions from the NSTATT lowest triplet states are included in the analysis of spin-spin coupling constants in terms of pairs of occupied orbitals [309, 310].

# 28.1.18 Translational and rotational invariance: \*TROINV

Directives affecting the use of translational and rotational invariance [311] appear in the **\*TROINV** section.

.COMPAR Use both translational and rotational symmetry and check the molecular Hessian against the Hessian obtained without the use of translational and rotational invariance. This is default in a calculation of vibrational circular dichroism (VCD).

# .PRINT

# READ (LUCMD,\*) IPRINT

Set print level for the setting up and use of translational and rotational invariance. Read one more line containing print level. Default value is the value of IPRDEF from the general input module.

.SKIP Skip the setting up and use of translational and rotational invariance.

.STOP Stop the entire calculation after the setup of translational and rotational invariance. Mainly for debugging purposes.

# .THRESH

#### READ (LUCMD,\*) THRESH

Threshold defining linear dependence among supposedly independent coordinates. Read one more line specifying value. Default is 0.1. CHAPTER 28. HF, SOPPA, AND MCSCF MOLECULAR PROPERTIES, ABACUS337

# 28.1.19 Linear response for static triplet property operators: \*TRPRSP

Directives controlling the set-up of right-hand sides for triplet perturbing operators (for instance the Fermi contact and spin-dipole operators entering the nuclear spin-spin coupling constants), as well as when solving the triplet response equations appear in the **\*TRPRSP** input module.

# .INTPRI

#### READ (LUCMD ,\*) INTPRI

Set the print level in the calculation of the atomic integrals contributing to the different triplet operator right-hand sides. Read one more line containing the print level. Default is the value of IPRDEF from the general input module.

#### .MAX IT

# READ (LUCMD,\*) MAXTRP

Set the maximum number of micro iterations in the iterative solution of the triplet response equations. Read one more line containing the maximum number of iterations. Default is 60.

#### .MAXPHP

# READ (LUCMD,\*) MXPHP

Set the maximum dimension for the sub-block of the configuration Hessian that will be explicitly inverted. Read one more line containing maximum dimension. Default value is 0.

# .MAXRED

# READ (LUCMD,\*) MXRM

Set the maximum dimension of the reduced space to which new basis vectors are added as described in Ref. [7]. Read one more line containing maximum dimension. Default value is 400.

- .NORHS Skip the construction of the right-hand sides for triplet perturbations. As this by necessity implies that all right-hand sides and solution vectors are zero, this option is equivalent to .SKIP . This will furthermore give wrong results for the total spin-spin couplings. Mainly for debugging purposes.
- .NORSP Skip the solution of the triplet response equations. This will give wrong results for the total spin-spin couplings. Mainly for debugging purposes.
- .OPTORB Optimal orbital trial vectors used in the solution of the triplet response equations. These are generate by solving the orbital response equation exact, keeping the configuration part fixed as described in Ref. [7].

```
.PRINT
```

READ (LUCMD,\*) IPRTRP

Set the print level during the setting up of triplet operator right-hand sides and in the solution of the response equations for the triplet perturbation operators. Read one more line containing the print level. Default is the value of IPRDEF from the general input module.

- .SKIP Skip the construction of triplet right-hand sides as well as the solution of the response equations for the triplet perturbation operators. This will give wrong results for the indirect nuclear spin-spin couplings. Mainly for debugging purposes.
- .STOP Stop the entire calculation after generating the triplet right-hand sides, and solution of the triplet response equations. Mainly for debugging purposes.

#### .THRESH

#### READ (LUCMD,\*) THRTRP

Set the threshold for convergence in the solution of the triplet response equations. Read one more line containing the threshold. Default is  $1 \cdot 10^{-4}$ .

# 28.1.20 Two-electron contributions: \*TWOEXP

Directives affecting the calculation of two-electron derivative integral contributions to the molecular gradient and Hessian appear in the **\*TWOEXP** section.

- .DIRTST Test the direct calculation of Fock matrices and integral distributions. Mainly for debugging purposes.
- .FIRST Compute first derivative integrals but not second derivatives. This is default if only molecular gradients and not the molecular Hessian has been requested.

```
.INTPRI
```

#### READ (LUCMD,\*) IPRINT, IPRNTA, IPRNTB, IPRNTC, IPRNTD

Set print level for the derivative integral calculation for a particular shell quadruplet. Read one more line containing print level and the four shell indices. The print level is changed from the default for this quadruplet only. Default value is the value of IPRDEF from the general input module. Note that the print level of all shell quadruplets can be changed by the keyword .PRINT .

. INTSKI Skip the calculation of derivative integrals. This will give wrong results for the total molecular gradients and Hessians. Mainly for debugging purposes.

- .NOCONT Do not contract derivative integrals (program back-transforms density matrices to the primitive Gaussian basis instead).
- .NODC Do not calculate contributions from the inactive one-electron density matrix. This will give wrong results for the total molecular gradient and Hessian. Mainly for debugging purposes.
- .NODV Do not calculate contributions from the active one-electron density matrix. This will give wrong results for the total molecular gradient and Hessian. Mainly for debugging purposes.
- .NOPV Do not calculate contributions from the two-electron density matrix. This will give wrong results for the total molecular gradient and Hessian. Mainly for debugging purposes.

#### .PRINT

# READ (LUCMD,\*) IPRALL

Set print levels. Read one more line containing the print level for this part of the calculation. This will be the default print level in the two-electron density matrix transformation, the symmetry-orbital two-electron density matrix sorting, as well as the print level in the integral derivative evaluation. To set the print level in each of these parts individually, see the keywords .INTPRI, .PTRPRI, .SORPRI.

.PTRNOD The transformation of the two-electron density matrix is back-transformed to the atomic orbital basis using a noddy-routine for comparison.

# .PTRPRI

#### READ (LUCMD,\*) IPRPRT

Set print level for the two-electron density matrix transformation. Read one more line containing print level. Default value is the value of IPRDEF from the general input module. Note also that this print level is controlled by the keyword .PRINT .

- .PTRSKI Skip transformation of active two-electron density matrix. This will give wrong results for the total molecular Hessian. Mainly for debugging purposes.
- .RETURN Stop after the shell quadruplet specified under .INTPRI above. Mainly for debugging purposes.

.SORPRI

READ (LUCMD,\*) IPRSOR

Set print level for the two-electron density matrix sorting. Read one more line containing print level. Default value is the value of IPRDEF from the general input module. Note also that this print level is controlled by the keyword <code>.PRINT</code>.

- .SORSKI Skip sorting of symmetry-orbital two-electron density matrix. This will give wrong results for the total molecular Hessian. Mainly for debugging purposes.
- .SECOND Compute both first and second derivative integrals. This is default when calculating molecular Hessians.
- .SKIP Skip all two-electron derivative integral and two-electron density matrix processing.
- .STOP Stop the the entire calculation after finishing the calculation of the two-electron derivative integrals. Mainly for debugging purposes.
- .TIME Provide detailed timing breakdown for the two-electron integral calculation.

#### 28.1.21 Vibrational analysis: \*VIBANA

Directives controlling the calculation of harmonic vibrational frequencies appear in the **\*VIBANA** section, as well as properties depending on a normal coordinate analysis or vibrational frequencies. Such properties include in the present version of the program: Vibrational Circular Dichroism (VCD), Raman intensities, Raman Optical Activity (ROA), and vibrational averaging.

- .HESFIL Read the molecular Hessian from the file DALTON.HES. This file may have been made in an earlier calculation using the keyword .HESPUN, or constructed from a calculation with the GaussianXX program and converted to DALTON format using the FChk2HES.f program. Useful in VCD and VROA analyses.
- .HESPUN Write the molecular Hessian to the file DALTON.HES for use as a starting Hessian in first-order geometry optimizations (see keyword .HESFIL in the \*OPTIMIZE input module), or for later use in a vibrational analysis (see keyword .HESFIL in this input module).

```
.ISOTOP READ (LUCMD,*) NISOTP, NATM
DO 305 ICOUNT = 1, NISOTP
READ (LUCMD,*) (ISOTP(ICOUNT,N), N = 1, NATM)
END DO
```

Read in the number of different isotopically substituted species NISOTP for which we are to do a vibrational analysis. The isotopic species containing only the most abundant isotopes is always calculated. NATM is the total number of atoms in the molecules (see discussion in Section 7.1). For each isotopic species, the isotope for each atom in the molecule is read in. A 1 denotes the most abundant isotope, a 2 the second-most abundant isotope and so on.

# .PRINT

#### READ (LUCMD,\*) PRINT

Set the print level in the vibrational analysis of the molecule. Read one more line containing print level. Default value is the value of IPRDEF from the general input module.

.SKIP Skip the analysis of the vibrational frequencies and normal modes of the molecule.

# Chapter 29

# Linear and non-linear response functions, RESPONSE

# 29.1 Directives for evaluation of molecular response functions

The directives in the following subsections may be included in the input to RESPONSE. They are organized according to the program section names in which they appear.

RESPONSE is the most general part of the code for calculating many different electronic linear, quadratic, or cubic molecular response properties based on SCF, MCSCF or CI wave functions, as well as Kohn–Sham-based time-dependent density functional theory. No nuclear contributions are added.

If the final wave function from **\*\*WAVE FUNCTIONS** was .CI, then a configuration interaction response calculation will be performed. This is equivalent to a CI sum-over-states calculation of response properties, but of course calculated directly without diagonalization of the full CI Hamiltonian matrix.

Some of the SCF/MCSCF response properties can also be requested from **\*\*PROPERTIES** input modules. NOTE: for such properties you should request them either here or in **\*\*PROPERTIES**, otherwise you will calculate them twice! Usually the output is nicest in the **\*\*PROPERTIES** module (*e.g.* collected in tables and in commonly used units, most properties are only given in atomic units in RESPONSE), and nuclear contributions are included if relevant. Some specific properties, especially those involving nuclear derivatives, can only be calculated via **\*\*PROPERTIES**.

Calculations of coupled cluster response properties are performed by different modules and are described in Chapter 31 on coupled cluster calculations.

In addition, SOPPA (Second-Order Polarization Propagator approximation), SOPPA(CC2) or SOPPA(CCSD) (Second Order Polarization Propagator Approximation with Coupled

Cluster Singles and Doubles Amplitudes) for the calculation of linear response properties and excitation energies with transition moments may be requested in this input section. The current implementation of the SOPPA method is described in Ref. [40], of the SOPPA(CC2) method in Ref. [42] and of the SOPPA(CCSD) method in Ref. [43]. Note that a SOPPA calculation requires the keyword .SOPPA, whereas a SOPPA(CC2) or SOPPA(CCSD) calculation requires the keyword .SOPPA(CCSD).

#### 29.1.1 General: \*\*RESPONSE

General-purpose directives are given in the **\*\*RESPONSE** section.

After the last directive of the **\*\*RESPONSE** input group should follow another **\*\*<something>** input group (or **\*\*END OF DALTON INPUT** if this was the last input to DALTON).

- .CIS Request a CI Singles calculation, which is equivalent to invoking the Tamm-Dancoff approximation to RPA/TDHF (invoked by .TDA).
- .HIRPA Invoke the higher RPA approximation for the calculation of linear response properties. This approximation is identical to that of McKoy and coworkers [312, 313]. The requirements to the preceding wave function calculation is the same as for the .SOPPA keyword. This keyword overrides a simultaneous specification of .SOPPA.
- . INPTEST Input test. For debugging purposes only. The program stops after the input section.

# .MAXPHP

#### READ \*, MAXPHP

Change the maximum dimension of  $H_0$  subspace. Default is 100. PHP is a subblock of the CI matrix which is calculated explicitly in order to obtain improved CI trial vectors compared to the straight Davidson algorithm[314]. The configurations corresponding to the lowest diagonal elements are selected, unless .PHPRESIDUAL is specified. MAXPHP is the maximum dimension of PHP, the actual dimension will be less if MAXPHP will split degenerate configurations.

#### .MAXRM

# READ \*, MAXRM

Change the maximum dimension of the reduced space. Default is 600. When solving a linear system of equations or an eigenvalue equation, the reduced space is increased by the number of frequencies/excitations in each iteration. For single root calculations this should exceed the number of iterations required. MAXRM should be increased if many frequencies or excitation energies are to be calculated. Sharp convergence thresholds also require more iterations and thus larger dimension of the reduced space.

- .NOAVDI Do not use Fock type decoupling of the two-electron density matrix. Add  $F^{I}D$  instead of  $(F^{I} + F^{A})D$  to  $E^{[2]}$  approximate orbital diagonal. Not recommended as the approximate orbital diagonal normally will become more different from the exact orbital diagonal.
- .NODOIT Turns off direct one-index transformation [315]. In this way all one-index transformed integrals are stored on disk.
- .NOITRA No two-electron integral transformation. Normally the two-electron integrals are transformed to MO basis in the beginning of a response calculation. In a few cases this is not necessary, *e.g.*, if the response part is only used for calculating average values of an operator, or if the transformed two-electron integrals have been saved from a previous response calculation (not standard).
- . OPTORB Orbital trial vectors are calculated with the optimal orbital trial vector algorithm [7].

.ORBSFT

READ \*, ORBSFT

Change the amount for shifting the orbital diagonal of the MCSCF Hessian. May be used if there is a large number of negative eigenvalues. Default is  $10^{-4}$ .

- .ORBSPC Calculation with only orbital operators.
- .PHPRESIDUAL Select configurations for PHP matrix based on largest residual rather than lowest diagonal elements.
- .SOPPA Requests the second order polarization propagator approximation in the linear response module. The SOPPA flag requires that the preceding SIRIUS calculation has generated the MP2 correlation coefficients and written them to disk (set .RUN RESPONSE in **\*\*DALTON** input as well as .HF and .MP2 in **\*\*WAVE FUNCTIONS**). See example input in Chapter 4.

.PROPAV

READ '(A)', LABEL

Property average. The average value of an electronic one-electron operator is calculated. (Thus, no nuclear contributions are added.) The line following this option must contain the label of the operator given in the integral property file. (See section 25.)

.QRREST Restart quadratic response calculation. It is only possible to restart regular quadratic response calculations, not those involving residues (as .SINGLE RESIDUE, .TWO-PHOTON, and .DOUBLE RESIDUE). because the restarted DALTON does not know the excitation energies associated with the residues, and the excitation energies are needed to retrieve the right records from the **RSPVEC** file. Requires that *all* needed linear response solutions are available on the **RSPVEC** file.

- .SOMIX Sum rule is calculated in mixed representation, that is, calculate  $N_e = \langle 0 | [r, p] | 0 \rangle$ provided that dipole length and velocity integrals are available on the property integral file (calculated with **\*\*HERMIT** options .DIPLEN and .DIPVEL). The calculated quantity gives a measure of the quality of the basis set.
- .SOPPA(CCSD) Requests the second order polarization propagator approximation with coupled cluster singles and doubles amplitudes or the second order polarization propagator approximation with CC2 amplitudes in the linear response module. The SOPPA(CCSD) flag requires that the preceding coupled cluster calculation has generated the CC2 or CCSD amplitudes and written them to disk (set .RUN RESPONSE in \*\*DALTON input, .HF and .CC in \*\*WAVE FUNCTIONS and .SOPPA2 or .SOPPA(CCSD) in \*CC INPUT). See example input in Chapter 4.
- .SOPW4 Calculate explicitly the W4 term described by Oddershede *et al.* [39]. This term is already included in the normal SOPPA or SOPPA(CCSD) result, and used mostly for comparing to older calculations. Note that this keyword requires that .SOPPA or .SOPPA(CCSD) is set.
- .TDA Invoke the Tamm-Dancoff approximation to RPA/TDHF or TDDFT. Equivalent to the use of .CIS on a Hartree-Fock calculation.
- .TRDQF Invoke the calculation of transition density fitted charges. Only implemented for \*LINEAR with .SINGLE RESIDUE. The fitted charges will be calculated for all .ROOTS. It requires that .QFIT has been specified in \*\*WAVE FUNCTIONS.
- .TRPFLG Triplet flag. This option is set whenever triplet (spin-dependent) operators must be used in a response calculation [316, 317]. This flag forces triplet linear response for \*LINEAR, both for second order properties and electronic excitations (without and with .SINGLE RESIDUE). For quadratic response, \*QUADRATIC, .TRPFLG is necessary whenever singlet-triplet excitations are involved, for the response function as well as for its residues (.SINGLE RESIDUE and .DOUBLE RESIDUE). See section 29.1.4 for more details. For cubic response triplet excitations are not implemented.

# 29.1.2 Linear response calculation: \*LINEAR

A linear response [316, 318] calculation is performed for a given choice of operators, - $\langle\langle A; B \rangle\rangle_{\omega}$ . (Note that *minus* the linear response properties are written to output.)

#### CHAPTER 29. LINEAR AND NON-LINEAR RESPONSE FUNCTIONS, RESPONSE346

In the same RESPONSE calculation these linear response properties can be calculated together with excitation energies and with long range dispersion coefficients, but not together with quadratic or cubic response.

- . ABOCHK Sets up the orbital part of the  $E^{[2]}$  and  $S^{[2]}$  used in solving the linear response equation. Mainly for debugging purposes.
- . ECD Sets A and B to the dipole operators, the dipole-velocity operators, and the angular momentum operators.
- .DIPLEN Sets A and B to dipole operators.

.DIPLNX/Y/Z Sets A and B to the X, Y, or Z component of the dipole length operators, respectively.

- . DIPMAG Sets A and B to angular momentum operators.
- .DIPMGX/Y/Z Sets A and B to the X, Y, or Z component of the angular momentum operators.
- .DIPVEL Sets A and B to the dipole velocity operators.
- .DIPVLX/Y/Z Sets A and B to the X, Y, or Z component of the dipole velocity operator, respectively.
- .FERMI Sets A and B to be all Fermi-contact operators found on the file AOPROPER, *i.e.* all Fermi-contact operators requested in the **\*\*INTEGRALS** input module.

#### .FREQUE

# READ \*, NFREQ

READ \*, FREQ(1:NFREQ)

Response equations are evaluated at given frequencies. Two lines following this option must contain 1) The number of frequencies, 2) Frequencies in atomic units. Remember to increase .MAXRM if many frequencies are specified.

. ISTOCK Selects the starting row in setting up the orbital parts of  $E^{[2]}$  and  $S^{[2]}$  using the keyword .ABOCHK. Default is 1. Mainly for debugging purposes.

#### .MAX IT

READ (LUCMD,\*) MAXITL

Maximum number of iterations for solving a linear response equation. Default is 60.

#### .MAXITO

READ (LUCMD,\*) MAXITO

Maximum number of iterations in the optimal orbital algorithm [7]. Default is 5.

- .MAXOCK Selects the last row in setting up the orbital parts of  $E^{[2]}$  and  $S^{[2]}$  using the keyword .ABOCHK. Default is 6. Mainly for debugging purposes.
- . **DECD** Sets A and B to the dipole operators, the dipole-velocity operators, the angular momentum operators, the second-order moment (Cartesian electric quadrupole length) operators, and the Cartesian electric quadrupole velocity operators.

.PRINT

```
READ *, IPRLR
```

Sets print level for linear response module. Default is 2.

.PROPRT

READ '(A)', LABEL

Sets A and B to a given operator with label; LABEL. (The calculation of the operator must be specified to the integral module, see section 25.) This keyword may be repeated for different properties.

- .PV PSO Sets A and B in the linear response function to the parity-violating operator and the complete list of paramagnetic spin-orbit integrals. The .TRPFLG keyword will also be set by this option.
- .PV SO Sets A and B in the linear response function to the parity-violating operator and the complete list of paramagnetic spin-orbit integrals. The .TRPFLG keyword will also be set by this option.
- .PV SO1 Sets A and B in the linear response function to the parity-violating operator and the one-electron spin-orbit integrals. The .TRPFLG keyword will also be set by this option.
- .PV SO2 Sets A and B in the linear response function to the parity-violating operator and the two-electron spin-orbit integrals. The .TRPFLG keyword will also be set by this option.
- . QUADMOM Sets A and B to the quadrupole operators.
- .QUADXX/XY/XZ/YY/YZ/ZZ Sets A and B to the XX, XY, XZ, YY, YZ, or ZZ component of the quadrupole operator, respectively.
- .RESTLR Restart of response calculation. This can only be used if the operator specified is the same which was used *last* in the previous response calculation.
- . SOPRSY Calculate both  $\alpha_{ij}$  and  $\alpha_{ji}$  to test the quadratic accuracy of the calculated property. Mainly for debugging purposes.

.FERMI Sets A and B to be all spin-dipole operators found on the file AOPROPER, *i.e.* all spin-dipole operators requested in the **\*\*INTEGRALS** input module.

.SPIN-O Sets A and B to spin-orbit operators.

.SPNORX/Y/Z Sets A and B to the X, Y, or Z component of the spin-orbit operator, respectively.

. THCLR

# READ \*, THCLR

Relative convergence threshold for all requested linear response functions. Default is 1.0D-3; note that this number should be at least 10 times bigger than the final gradient norm in the SCF/MCSCF wave function optimization. The accuracy of the linear response properties will be quadratic in this threshold; thus the default corresponds to convergence to approximately 6 digits.

. TRIPLET Defines A and B to be triplet operators. Will also make a simultaneous \*LINEAR .SINGLE RESIDUE calculation to a calculation of triplet excitation energies and transition moments.

#### 29.1.3 Excitation energies calculation: \*LINEAR with .SINGLE RESIDUE

Single residues of the linear response function is computed. Residues of a linear response function correspond to transition moments and the associated poles correspond to vertical electronic excitation energies.

In the same RESPONSE calculation these excitation properties can be calculated together with linear response properties and with long range dispersion coefficients, but not together with quadratic or cubic response.

Required keywords:

.SINGLE RESIDUE Required to get excitation energies, without this keyword the linear response function will be evaluated, see Sec. 29.1.2.

Optional keywords

- . ABCHK Sets up  $E^{[2]}$  and  $S^{[2]}$  used in solving the single residue linear response equation. Only for debugging purposes.
- . ABSYM Tests the symmetry of  $E^{[2]}$  and  $S^{[2]}$  in the reduced space. Only for debugging purposes.
- ANTTES Test the antisymmetry of the single residue response vector. Only for debugging purposes.

.DIPLEN Sets A and B to dipole operators.

- .DIPLNX/Y/Z Sets A and B to the X, Y, or Z component of the dipole length operators, respectively.
- . DIPMAG Sets A and B to angular momentum operators.
- .DIPMGX/Y/Z Sets A and B to the X, Y, or Z component of the angular momentum operators.
- .DIPVEL Sets A and B to the dipole velocity operators.

.DIPVLX/Y/Z Sets A and B to the X, Y, or Z component of the dipole velocity operator, respectively.

#### .MAX IT

READ \*, MAXITP

Maximum number of iterations for solving the single residue linear response eigenvalue equation. Default is 60.

# .MAXITO

#### READ \*, MAXITO

Maximum number of iterations in the optimal orbital algorithm [7]. Default is 5.

#### .NSTART

#### READ (LUCMD,\*) (NPPSTV(J), J=1, NSYM)

The number of start vectors to be used in the optimization of the transition vectors in each symmetry. By default this is set equal to the number of excited states that have been requested through the keyword .ROOTS. It can be relevant to make the number of start vectors bigger, for example if the molecule has higher symmetry than used in the calculation. In this case one might need more start vectors to get a representative of each symmetry.

# .NSIMUL

READ (LUCMD,\*) (NPPSIM(J), J=1, NSYM)

The number of eigenvectors to solve simultaneously in each symmetry. Normally decided automatically by the program depending on available memory and size of eigenvectors.

# .PRINT

#### READ \*, IPRPP

Sets print level for single residue linear response module. Default is 2.
.PROPRT

READ '(A)', LABEL

Calculate either singlet or triplet transition moments for a given operator with label; LABEL. (The calculation of the operator must be specified to the integral module, see section 25.) This keyword may be repeated for different properties.

- . QUADMOM Sets A and B to the quadrupole operators.
- .QUADXX/XY/XZ/YY/YZ/ZZ Sets A and B to the XX, XY, XZ, YY, YZ, or ZZ component of the quadrupole operator, respectively.
- .RESTPP Restart of single residue response calculation. This can only be used if the root which is specified is the same which was used *last* in the previous single residue response calculation.

#### .ROOTS

READ \*,(ROOTS(I) I=1,NSYM)

Number of roots. The line following this option contains the number of excited states per symmetry. Excitation energies are calculated for each state and if any operators are given, symmetry-allowed transition moments are calculated between the reference state and the excited states. Remember to increase .MAXRM if many roots are specified. Default: one of each symmetry.

- .SPIN-0 Sets A and B to spin-orbit operators. Warning: this option implies .TRIPLET and forces the excitations to be of triplet symmetry, and all operators—including *e.g.* .DIPLEN—will be assumed by the program to be of triplet symmetry!!
- .SPNORX/Y/Z Sets A and B to the X, Y, or Z component of the spin-orbit operator, respectively. Warning: this option implies .TRIPLET and forces the excitations to be of triplet symmetry, and all operators—including *e.g.* .DIPLEN—will be assumed by the program to be of triplet symmetry!!

#### . THCPP

#### READ \*, THCPP

Threshold for solving the single residue linear response eigenvalue equation. Default is 1.0D-3; note that this number should be at least 10 times bigger than the final gradient norm in the SCF/MCSCF wave function optimization, otherwise you may encounter numerical problems. The accuracy of the pole (excitation energy) will be quadratic in this threshold, thus the default corresponds to approximately 6 digits. The accuracy of transition moments will be linear in this threshold. . TRIPLET Calculate triplet excitation energies and transition moments. Will also make a simultaneous linear response calculation of triplet symmetry.

.OLSEN CI trial vectors are obtained with Olsen algorithm.

#### 29.1.4 Quadratic response calculation: \*QUADRA

Calculation of third order properties as quadratic response functions. A, B, and C-named options refer to the operators in the quadratic response function  $\langle\!\langle A; B, C \rangle\!\rangle_{\omega_b,\omega_c}$  [317, 319, 320]

The second order properties from the linear response functions  $\langle\!\langle A; B, \rangle\!\rangle_{\omega_b}$  are also printed (if A and B operators have the same spin symmetry), as they can be obtained at no extra computational cost.

.A2TEST Test the contributions to the quadratic response function arising from the  $A^{[2]}$  term. Mainly for debugging purposes.

#### .APROP, .BPROP, .CPROP

READ(LUCMD, '( BN, A, I8 )')LABEL, IRANKA

Specify the operator A and optionally its spin rank. The line following this keyword should be the label of the operator as it appears in the file AOPROPER. If the line only contains the label it is assumed to be a singlet operator. To explicitly specify a triplet operator the label may be followed by the number 1. All variations of spin-orbit operators are always assumed to be triplet.

Note that giving the label ANGMOM, 1SPNORB, 2SPNORB, or MNFSPNOR, all the components of angular momentum, one-electron spin-orbit, two-electron spin-orbit or the atomic mean-field spin-orbit operator will be selected.

By specifying the labels FERMI CO, SPIN-DIP or PSO, all components of the Fermi contact, spin-dipole or paramagnetic spin-orbit integrals that can be found on the file AOPROPER will be selected. These integrals are selected by the appropriate keywords in the **\*\*INTEGRALS** input module.

#### .ASPIN, .BSPIN, .CSPIN

#### READ(LUCMD,\*)ISPINA

Spin information for quadratic response calculations. The line following these options contains the spin rank of the excitation operators that are coupled with the physical operators A, B, and C. This means that excitation spin rank may be different from operator spin rank. This is mostly relevant for open-shell singlet response functions where one of physical operators may be triplet. Note that the meaning of this keyword is a different from Dalton2011.

```
.BFREQ, .CFREQ
```

READ (LUCMD,\*) NBQRFR READ (LUCMD,\*) (BQRFR(J), J=1, NBQRFR) Individual specification of the frequencies  $\omega_b$  and  $\omega_c$ . Input as in .FREQUE above. May not be used for .SHG and .POCKEL. May not be used together with .FREQUE. Default is one frequency of each type: zero (static).

.DIPLEN Sets A, B, and C to dipole operators.

- .DIPLNX/Y/Z Sets A, B, and C operators to the X, Y, or Z component of the dipole length operators, respectively.
- **.E3TEST** Test the contributions to the quadratic response function arising from the  $E^{[3]}$  and  $S^{[3]}$  terms. Mainly for debugging purposes.

.FREQUE

READ \*, NFREQ

READ \*, FREQ(1:NFREQ)

Response equations are evaluated at given frequencies. Two lines following this option must contain 1) The number of frequencies, 2) Frequencies. For the Kerr effect only the *B*-frequency is set, and in other cases both *B* and *C*-frequencies are set. May not be used together with .BFREQ or .CFREQ. Default is one frequency of each type: zero (static).

.ISPABC

READ \*, ISPINA, ISPINB, ISPINC see above, .ISPINA, .ISPINB, .ISPINC

.MAX IT Maximum number of iterations for solving a linear response equation. Default is 60.

.MAXITO Maximum number of iterations in the optimal orbital algorithm [7]. Default is 5.

- .OPTREF Only response functions connected with optical rectification  $\beta(0; \omega, -\omega)$ , are computed. Can be specified together with .SHG and .POCKEL. Frequencies must be specified with .FREQUE. Remember to specify operators as well, *e.g.* .DIPLEN.
- .POCKEL Only response functions connected with electro-optical Pockels effect  $\beta(-\omega; \omega, 0)$ , are computed. Can be specified together with .SHG and .OPTREF. Frequencies must be specified with .FREQUE. Remember to specify operators as well, *e.g.* .DIPLEN.

.PRINT

READ \*, IPRHYP Print level. Default is 2.

- .REFCHK Only used for internal testing.
- .SHG Only response functions connected with second harmonic generation are computed,  $\beta(-2\omega, \omega, \omega)$ . Can be specified together with .POCKEL. Frequencies must be specified with .FREQUE. Remember to specify operators as well, *e.g.* .DIPLEN.
- .SOSHIE Analyze the calculated response equations to give the quadratic response spinorbit contributions to the nuclear shielding constants. Will report the spin-orbit corrections to the shieldings in ppm. Note that this keyword will not set up the required quadratic response functions, only analyze the calculated results if appropriate quadratic response functions have been requested.
- .SOSPIN Analyze the calculated response equations to give the quadratic response spinorbit contributions to the indirect spin–spin coupling constants. Will calculate the spin-orbit corrections to the reduced spin–spin coupling constants. Note that this keyword will not set up the required quadratic response functions, only analyze the calculated results if appropriate quadratic response functions have been requested.
- . THCLR Threshold for solving the linear response equations. Default is  $10^{-3}$ . The error in the calculated property is linear in this threshold.

#### .TSTJEP

#### READ(LUCMD,\*) IAABB

Include only  $\alpha - \alpha$  (IAABB=1) or  $\alpha - \beta$  (IAABB=2) components of the active density in the construction of the quadratic response function. Mainly for debugging purposes.

.X2TEST Test the contributions to the quadratic response function arising from the  $X^{[2]}$  term. Mainly for debugging purposes.

#### 29.1.5 Second order transition moments: \*QUADRA with .SINGLE RESIDUE

- .A2TEST Test the contributions to the quadratic response function arising from the  $A^{[2]}$  term. Mainly for debugging purposes.
- . APROP, .BPROP Specify the operators A and B, respectively. The line following this option should be the label of the operator as it appears in the file AOPROPER. See also Sec.29.1.4

.BFREQ, .FREQUE

READ \*, NFREQ
READ \*, FREQ(1:NFREQ)

The frequencies  $\omega_b$  in atomic units. Response equations are evaluated at given frequencies. Two lines following this option must contain 1) The number of frequencies, 2) Frequencies.

- .CPPHEC Specifies a circularly polarized phosphorescence calculation using the effective charge approximation for the spin-orbit operator, *i.e.* the spin-orbit induced singlet-triplet transition. This keyword sets up the calculation so that no further response input is required except .ROOTS; the A operator is set to the dipole velocity operators and the B operator is set to the effective charge spin-orbit operators. The set of effective charges is obtained from Koseki et al. [321, 322] for atoms with ECP:s and Ref.[323] for "all-electron" atoms. The reference state *must* be a singlet spin state. See also .PHOSPHORESCENCE, .ECPHOS, .CPPHMF, .CPPHOL, and .CPPHOV.
- .CPPHMF Specifies a circularly polarized phosphorescence calculation using the atomic mean-field approximation for the spin-orbit operator, *i.e.* the spin-orbit induced singlet-triplet transition. This keyword sets up the calculation so that no further response input is required except .ROOTS; the A operator is set to the dipole velocity operators and the B operator is set to the atomic mean-field spin-orbit operators. The reference state *must* be a singlet spin state. See also .PHOSPHORESCENCE, .MNFPHO, .CPPHEC, .CPPHOL, and .CPPHOV.
- .CPPHOL Specifies a circularly polarized phosphorescence calculation, *i.e.* the spin-orbit induced singlet-triplet transition in the length gauge. This keyword sets up the calculation so that no further response input is required except .ROOTS; the *A* operator is set to the dipole length operators and the *B* operator is set to the spin-orbit operators. [317, 324] The reference state *must* be a singlet spin state. See also .CPPHEC, .CPPHMF and .CPPHOV.
- .CPPHOV Specifies a circularly polarized phosphorescence calculation, *i.e.* the spin-orbit induced singlet-triplet transition in the velocity gauge. This keyword sets up the calculation so that no further response input is required except .ROOTS; the A operator is set to the dipole velocity operators and the B operator is set to the spin-orbit operators. [317, 324] The reference state *must* be a singlet spin state. See also .CPPHEC, .CPPHMF and .CPPHOL.
- .DIPLEN Sets A and B to x, y, z dipole operators.

.DIPLNX Sets A and B to the x dipole operator.

- .DIPLNY Sets A and B to the y dipole operator.
- .DIPLNZ Sets A and B to the z dipole operator.

#### .DIPVEL Sets A and B to x, y, z dipole velocity operators.

- .E3TEST Test the contributions to the quadratic response function arising from the  $E^{[3]}$  and  $S^{[3]}$  terms. Mainly for debugging purposes.
- . ECPHOS Specifies a phosphorescence calculation using the effective charge approximation for the spin-orbit operator, *i.e.* the spin-orbit induced singlet-triplet transition. This keyword sets up the calculation so that no further response input is required except .ROOTS; the *A* operator is set to the dipole operators and the *B* operator is set to the effective charge spin-orbit operators. The set of effective charges is obtained from Koseki et al. [321, 322] for atoms with ECP:s and Ref.[323] for "all-electron" atoms. The reference state *must* be a singlet spin state. See also .PHOSPHORESCENCE

#### .ISPABC

#### READ \*, ISPINA, ISPINB, ISPINC

Spin symmetry of excitation operators associated with physical operators A (ISPINA) and B (ISPINB), and the excited states specified with .ROOTS (ISPINC): "0" for singlet and "1" for triplet. Default is "0,0,0", *i.e.* all of singlet spin symmetry. c.f. the same keyword in section 29.1.4. Note: triplet operators are only implemented for singlet reference states.

- .MAXITL Maximum number of iterations for linear equations in this section. Default is 60.
- .MAXITP Maximum number of iterations in solving the linear response eigenvalue equations. Default is 60.
- .MAXITO Maximum number of iterations in the optimal orbital algorithm [7]. Default is 5.
- .MCDBTERM Specifies the calculation of all individual components to the  $\mathcal{B}(0 \to f)$  term of magnetic circular dichroism (MCD). This keyword sets up the calculation so that no further response input is required except .ROOTS. The A operator is set equal to the  $\alpha$  component of dipole operator and the B operator to the  $\beta$  component of the angular momentum operator. The resulting "mixed" two-photon transition moment to state f is then multiplied the dipole-allowed one-photon transition moment from state f (for the  $\gamma$  component, with  $\alpha \neq \beta \neq \gamma$ ). [94]
- .MNFPHO Specifies a phosphorescence calculation using the atomic mean-field approximation for the spin-orbit operator, *i.e.* the spin-orbit induced singlet-triplet transition. This keyword sets up the calculation so that no further response input is required except .ROOTS; the *A* operator is set to the dipole operators and the *B* operator is set to the atomic mean-field spin-orbit operators. The reference state *must* be a singlet spin state. See also .PHOSPHORESCENCE

- .PHOSPHORESCENCE Specifies a phosphorescence calculation, *i.e.* the spin-orbit induced singlet-triplet transition. This keyword sets up the calculation so that no further response input is required except .ROOTS; the A operator is set to the dipole length operators and the B operator is set to the spin-orbit operators. [317, 324] The reference state *must* be a singlet spin state.
- .PHOSPV Specifies a phosphorescence calculation, *i.e.* the spin-orbit induced singlet-triplet transition in the velocity gauge. This keyword sets up the calculation so that no further response input is required except .ROOTS; the A operator is set to the dipole velocity operators and the B operator is set to the spin-orbit operators. [317, 324] The reference state *must* be a singlet spin state.

.PRINT

READ \*, IPRSMO Print level. Default is 2.

.ROOTS

READ \*,(ROOTS(I) I=1,NSYM)

Number of roots. The line following this option contains the number of excited states per symmetry. Excitation energies are calculated for each state and if any operators are given, symmetry-allowed second order transition moments are calculated between the reference state and the excited states. Remember to increase .MAXRM if many frequencies are specified.

.SINGLE RESIDUE Required to compute the single residue of the quadratic response function. For the case of dipole operators this corresponds to two-photon transition moments.

.THCLR

```
READ *, THCLR
```

Threshold for solving the linear response equations. Default is  $10^{-3}$ .

. THCPP

READ \*, THCPP

Threshold for solving the linear response eigenvalue equation. Default is  $10^{-3}$ .

.TPCD Sets up the calculation of the tensor components of the two-photon circular dichroism rotatory strength according to [325], the TI equation. The tensor components are computed for all the excited states requested by the keyword .ROOTS, calculating the necessary quadratic response functions using the half-frequency of the excitation energy to the given state. The calculation path is identical to the one requested by .TWO-PHOTON, except that different operators are used. Please ignore the \*\*\* FINAL RESULTS FROM TWO-PHOTON CALCULATION \*\*\* output at the bottom of the output file when running TPCD. Do not forget to set .DIPVEL, .ANGMOM and .ROTSTR in \*\*INTEGRAL input section.

- .TWO-PHOTON Sets up the calculation of the two-photon transition strengths. This calculates two-photon transition strengths for all the excited states requested by the keyword .ROOTS, calculating the necessary quadratic response functions using the half-frequency of the excitation energy to the given state.
- .X2TEST Test the contributions to the quadratic response function arising from the  $X^{[2]}$  term. Mainly for debugging purposes.

# 29.1.6 Transition moments between excited states: \*QUADRA with .DOUBLE RESIDUE

Required keywords:

#### .DOUBLE RESIDUE

Compute double residues of quadratic response functions. Double residues of the quadratic response function correspond to transition moments between excited states,  $\langle B \mid A \mid C \rangle$ .

#### Optional keywords

- . A2TEST Test the contributions to the quadratic response function arising from the  $A^{[2]}$  term. Mainly for debugging purposes.
- .DIPLEN Sets A to dipole operators.
- .DIPLNX Sets A to the x dipole operator.
- . DIPLNY Sets A to the y dipole operator.
- .DIPLNZ Sets A to the z dipole operator.
- . DIPMAG Sets A to angular momentum operators.
- .DIPMGX/Y/Z Sets A to the x, y, or z component of the angular momentum operators.
- .DIPVEL Sets A to the dipole velocity operators.
- .DIPVLX/Y/Z Sets A to the x, y, or z component of the dipole velocity operator, respectively.

- **.E3TEST** Test the contributions to the quadratic response function arising from the  $E^{[3]}$  and  $S^{[3]}$  terms. Mainly for debugging purposes.
- **.EXMTES** Test that the transition moment is symmetric, *i.e.* that  $\langle i | A | j \rangle = \langle j | A | i \rangle$ . Mainly for debugging purposes.

#### .IPREXM

#### READ \*, IPREXM

Print level for special excited state transition moment routines.

#### .ISPABC

#### READ \*, ISPINA, ISPINB, ISPINC

Spin symmetry of excitation operators associated with physical operator A (ISPINA) and the left and right excitation operators (ISPINB and ISPINC) defined to generate excited states defined in given in by .ROOTS: "0" for singlet and "1" for triplet. C.f. the same keyword in section 29.1.4. Default is "0,0,0", *i.e.* all of singlet spin symmetry. Note: triplet operators are only implemented for singlet reference states.

.MAX IT Maximum number of iterations for solving linear response eigenvalue equation in this section.

.MAXITO Maximum number of iterations in the optimal orbital algorithm [7]. Default is 5.

#### .PRINT

#### READ \*,IPRPP

Print level for solving linear response eigenvalue equations.

#### . **PROPRT** Specify another A operator.

The line following this option should be the label of the operator as it appears in the file AOPROPER. This option may be repeated for different property operators.

. QUADMOM Sets A to the quadrupole operators.

.QUADXX/XY/XZ/YY/YZ/ZZ Sets A to the XX, XY, XZ, YY, YZ, or ZZ component of the quadrupole operator, respectively.

#### .ROOTS

READ (LUCMD,\*) (NPPCNV(J),J=1,NSYM)

Number of roots (excited states) to converge for each spatial symmetry. Used for  $\langle B \mid$  as well as for  $\mid C \rangle$ , singlet or triplet as specified by .ISPABC. Default: one root for each symmetry.

- .SPIN-0 Sets A to spin-orbit operators. Warning: this option implies .TRIPLET and forces the excitations to be of triplet symmetry, and all operators—including *e.g.* .DIPLEN—will be assumed by the program to be of triplet symmetry!!
- .SPNORX/Y/Z Sets A to the X, Y, or Z component of the spin-orbit operator, respectively. Warning: this option implies .TRIPLET and forces the excitations to be of triplet symmetry, and all operators—including *e.g.* .DIPLEN—will be assumed by the program to be of triplet symmetry!!

. THCPP

READ \*, THCPP

Threshold for solving the linear response eigenvalue equation. Default is  $10^{-3}$ .

.X2TEST Test the contributions to the quadratic response function arising from the  $X^{[2]}$  term. Mainly for debugging purposes.

#### 29.1.7 Cubic response calculation: \*CUBIC

Calculation of fourth-order properties as cubic response functions [326, 327, 96]. A, B, C, and D-named options refer to the operators in the cubic response function  $\langle\!\langle A; B, C, D \rangle\!\rangle_{\omega_b,\omega_c,\omega_d}$ 

- .APROP, .BPROP, .CPROP, .DPROP Specify the operators A, B, C, and D. The line following this option should be the label of the operator as it appears in the file AOPROPER.
- .BFREQ, .CFREQ, .DFREQ The frequencies  $\omega_b$ ,  $\omega_c$ , and  $\omega_d$ , respectively. Input as in .FREQUE.
- .DC-SHG Only response functions connected to the static electric field-induced second harmonic generation are computed,  $\gamma(-2\omega; \omega, \omega, 0)$ .
- .DC-KERR Only response functions connected to the static electric field induced Kerr effect are computed,  $\gamma(-\omega; \omega, 0, 0)$ .

.DIPLEN Sets A, B, C, and D to dipole operators.

.DIPLNX Sets A, B, C, and D to the x dipole operator.

.DIPLNY Sets A, B, C, and D to the y dipole operator.

.DIPLNZ Sets A, B, C and D to the z dipole operator.

.FREQUE

- READ \*, NFREQ
- READ \*, FREQ(1:NFREQ)

Sets the frequencies whenever a optical process is specified. Can also be used for the

residue calculation and in which case both  $\omega_b$  and  $\omega_c$  for the single residue and only  $\omega_b$  for the double residue.

- . IDRI Only response functions connected to the intensity dependent refractive index are computed,  $\gamma(-\omega; \omega, -\omega, \omega)$ .
- . INVEXP Solve the linear set of equations for the second-order perturbed wave function through explicit matrix inversion. Mainly for debugging purposes.
- .ISPABC

#### READ \*, ISPINA, ISPINB, ISPINC

Spin symmetry of A, B, C, and D-operators (ISPINA/B/C/D), "0" for singlet and "1" for triplet. Note that currently only singlet triplet response functions have been implemented. Do not use.

- .MAX IT Maximum number of iterations for solving linear equations, default value is 60.
- .MAXITO Maximum number of optimal orbital trial vector microiterations, default value is 5.
- .PRINT Print flag for output, default value is 2. Timer information is printed out if print flag greater than 5. Response vectors printed out if print flag greater than 10.
- . THCLR Threshold for convergence of response vectors, default value is  $10^{-3}$ .
- . THG Only response functions connected to the third harmonic generation are computed,  $\gamma(-3\omega; \omega, \omega, \omega)$  [328].
- .THRNRM Threshold for norm of property vector  $X^{[1]}$  to be considered to be greater than zero in order to solve the linear equation  $\left(E^{[2]} S^{[2]}\right) N^X = X^{[1]}, \text{ default value is } 10^{-9}.$

#### 29.1.8 Third-order transition moments: \*CUBIC with .SINGLE RESIDUE

Calculation of single residues of cubic response functions [326, 327, 96]. A, B, C, and Dnamed options refer to the operators in the cubic response function  $\langle\!\langle A; B, C, D \rangle\!\rangle_{\omega_b,\omega_c,\omega_d}$ 

- .APROP, .BPROP, .CPROP Specify the operators A, B, and C, respectively. The line following this option should be the label of the operator as it appears in the file AOPROPER.
- .BFREQ, .CFREQ The frequencies  $\omega_b$  and  $\omega_c$ , respectively. Input as in .FREQUE.
- .DIPLEN Sets A, B, C, and D to dipole operators.

.DIPLNX Sets A, B, C, and D to the x dipole operator.

.DIPLNY Sets A, B, C, and D to the y dipole operator.

.DIPLNZ Sets A, B, C and D to the z dipole operator.

.FREQUE

#### READ \*, NFREQ

READ \*, FREQ(1:NFREQ)

Sets the frequencies whenever a optical process is specified. Can also be used for the residue calculation in which case it sets both  $\omega_b$  and  $\omega_c$  for the single residue and only  $\omega_b$  for the double residue.

- .MAX IT Maximum number of iterations for solving linear equations, default value is 60.
- .MAXITO Maximum number of optimal orbital trial vector microiterations, default value is 5.
- .MAXITP Maximum number of iteration for solving eigenvalue equation, default value is 60.
- .NOHG Do not restrict the calculation to the 'harmonic generation case', that is, allow a different number and different numerical values for the frequencies of the B and C operators. By default, it is assumed that the B and C operator frequencies are identical.
- .PRINT Print flag for output, default value is 2. Timer information is printed out if print flag greater than 5. Response vectors printed out if print flag greater than 10.

.ROOTS READ (LUCMD,\*) (NTMCNV(J), J=1,NSYM) Number of roots (excited states) to converge for each spatial symmetry. Default: one of each symmetry.

.SINGLE Computes the single residue of the cubic response function. In the case of dipole operators this corresponds to three-photon absorption.

. THCLR Threshold for convergence of response vectors, default value is  $10^{-3}$ .

. THCPP Threshold for convergence of eigenvector, default value is  $10^{-3}$ .

.THREE-PHOTON Sets up the calculation of the three-photon transition strengths. This calculates two-photon transition strengths for all the excited states requested by the keyword .ROOTS, calculating the necessary cubic response functions using a third of the frequency of the excitation energy to the given state.

# 29.1.9 Second order moments between excited states and excited state polarizabilities: \*CUBIC with .DOUBLE RESIDUE

Calculation of double residues of cubic response functions [326, 327, 96]. A, B, C, and Dnamed options refer to the operators in the cubic response function  $\langle\!\langle A; B, C, D \rangle\!\rangle_{\omega_b,\omega_c,\omega_d}$ . Cand D refer to the left hand state and right hand state after the double residue has been
taken.

Excited state polarizabilites are only calculated if one or more of the keywords .DIPLEN, .DIPLNX, .DIPLNY, and .DIPLNZ are specified. Only singlet excitations and singlet property operators are implemented.

.APROP, .BPROP Specify the operators A and B, respectively. The line following this option should be the label of the operator as it appears in the file AOPROPER. These two keywords can be repeated for different properties.

.BFREQ The frequencies  $\omega_b$ . Input as in .FREQUE. Default only zero frequency (static).

. DIPLEN Sets A and B to all three dipole component operators.

.DIPLNX Sets A and B to the x dipole operator.

.DIPLNY Sets A and B to the y dipole operator.

.DIPLNZ Sets A and B to the z dipole operator.

.DOUBLE REQUIRED. Computes the double residue of the cubic response function. In the case of dipole operators this corresponds to excited state polarizabilities and two-photon transition moments between excited states [328].

.FREQUE

READ \*, NFREQ

READ \*, FREQ(1:NFREQ)

Sets the frequencies whenever a optical process is specified. Can also be used for the residue calculation and it does then set both  $\omega_b$  and  $\omega_c$  for the single residue and only  $\omega_b$  for the double residue. Default only zero frequency (static).

.MAX IT Maximum number of iterations for solving linear equations, default value is 60.

.MAXITO Maximum number of optimal orbital trial vector microiterations, default value is 5.

.MAXITP Maximum number of iteration for solving eigenvalue equation, default value is 20.

- .PRINT Print flag for output, default value is 2. Timer information is printed out if print flag greater than 5. Response vectors printed out if print flag greater than 10.
- .ROOTS READ (LUCMD, \*) (NTMCNV(J), J=1,NSYM) Number of roots (excited states) to converge for each spatial symmetry. Used for  $\langle C|$  as well as for  $|D \rangle$ . Default: one of each symmetry.
- . THCLR Threshold for convergence of A response vectors, default value is  $10^{-3}$ .
- . THCPP Threshold for convergence of excitation eigenvectors, default value is  $10^{-3}$ .

#### 29.1.10 Module for C6, C8, C10 coefficients and more\*C6

- .C6ATM, .C8ATM, .C10ATM .C6ATM, .C8ATM, .C10ATM do the same as .C6SPH etc. for atoms. Only  $M_L = 0$  is calculated and written to file (all  $M_L$  values give same multipole moment for atoms).
- .C6LMO, .C8LMO, .C10LMO .C6LMO, .C8LMO, .C10LMO is .C6SPH etc. for linear molecules. Only multipole moments with zero or positive  $M_L$  are calculated and written to file.
- .C6SPH, .C8SPH, .C10SPH Specification of one of .C6SPH, .C8SPH, .C10SPH calculates and writes to a formatted interface file (RESPONSE.C8) the spherical multipole moments in the specified/default grid points needed for C6, C8, and C10 coefficients, respectively (L = 1, L = 1, 2, 3, or L = 1, 2, 3, 4, 5; all for  $M_L = -L, \ldots, 0, \ldots, L$ ).
- .DIPLEN Sets A and B to dipole operators.
- .DIPLNX/Y/Z Sets A and B to the X, Y, or Z component of the dipole length operators, respectively.
- . DIPMAG Sets A and B to angular momentum operators.
- .DIPMGX/Y/Z Sets A and B to the X, Y, or Z component of the angular momentum operators.
- .DIPVEL Sets A and B to the dipole velocity operators.
- .DIPVLX/Y/Z Sets A and B to the X, Y, or Z component of the dipole velocity operator, respectively.

.FREQUE

READ \*, NCFREQ
READ \*, CFREQ(1:NCFREQ)

Response equations are evaluated at given frequencies. Two lines following this option must contain 1) The number of frequencies, 2) Frequencies in atomic units.

.GSLEGN Use a Gauss-Legendre grid for calculating imaginary polarizabilities.

#### .MAX IT

READ (LUCMD,\*) MAXITC

Maximum number of iterations for solving a linear response equation. Default is 60.

#### .MAXITO

READ (LUCMD,\*) MAXITO

Maximum number of iterations in the optimal orbital algorithm [7]. Default is 5.

#### .MAXMOM

READ (LUCMD,\*) MAXMOM

The maximum order of the Cauchy moments calculated. The default order is 6.

#### .GRID

READ (LUCMD,\*) NGRID

Read in the number of grid points to use in the numerical integration of the Cauchy moments. Default is 10.

. QUADMOM Sets A and B to the quadrupole operators.

.QUADXX/XY/XZ/YY/YZ/ZZ Sets A and B to the XX, XY, XZ, YY, YZ, or ZZ component of the quadrupole operator, respectively.

#### .PRINT

READ (LUCMD,\*), IPRC6

The line following gives the print level for the calculation of Cauchy moments.

#### . PROPRT

READ '(A)', LABEL

Sets A and B to a given operator with label; LABEL. (The calculation of the operator must be specified to the integral module, see section 25.) This keyword may be repeated for different properties.

#### .THCC6

READ \*, THCC6

Relative convergence threshold for all requested linear response functions. Default is 1.0D-3; note that this number should be at least 10 times bigger than the final gradient norm in the SCF/MCSCF wave function optimization.;

#### **Comments:**

You must tell the integral module to calculate the necessary one-electron integrals. For .C8SPH, .C8ATM, or .C8LMO you will need

#### \*\*INTEGRALS

#### .SPHMOM

3

which calculate spherical moments for L = 0, ..., 3. For the .C6xxx and the .C10xxx options you will need L = 0, 1 and L = 0, ..., 5, respectively.

#### 29.1.11 Damped response calculation: \*ABSORP

Input for specification of a damped response calculation. By default, the solver with symmetrized trial vectors [306] is used.

#### .ALPHA

Calculate the linear polarizability.

.MCD

Calculate the magnetic circular dichroism (MCD) and the Magnetic Optical Rotation Dispersion.

#### .NSCD

Calculate the Nuclear Spin Circular Dichroism (NSCD) and the Nuclear Spin Optical Rotation [329]. It requires specification of the .PSO integrals in the \*INTEGRALS input section. Note that at present NSCD calculations only work without symmetry. See rsp\_cpp\_nscd for an example of NSCD calculations.

#### .BETA

Calculate the first-order hyperpolarizability.

#### .SHG

Only response functions connected with second harmonic generation are computed,  $\beta(-2\omega,\omega,\omega)$ .

#### .FREQUE

READ (LUCMD, \*), ABS\_NFREQ\_ALPHA

READ (LUCMD,\*) (ABS\_FREQ\_ALPHA(I), I = 1, ABS\_NFREQ\_ALPHA) Select frequencies for which linear polarizability will be calculated. The first line contains number of frequencies and in the second line the frequencies of interest are specified.

#### .FREQ I

READ (LUCMD,\*), FREQ1 FREQ2 STEP

Select the frequency interval for which linear polarizability will be calculated. FREQ1 and FREQ2 refer to the first and the last frequency of the interval, and STEP is a step between frequencies of interest.

#### .BFREQ

READ (LUCMD, \*), ABS\_NFREQ\_BETA\_B

READ (LUCMD,\*) (ABS\_FREQ\_BETA\_B(I), I = 1, ABS\_NFREQ\_BETA\_B) The frequencies  $\omega_b$ . Input as in .FREQUE. Default only zero frequency (static).

#### .BFREQI

READ (LUCMD, \*), FREQ1 FREQ2 STEP

Select the frequency interval for  $\omega_b$ . FREQ1 and FREQ2 refer to the first and the last frequency in the interval, and STEP is a step between frequencies of interest.

#### .CFREQ

READ (LUCMD,\*),ABS\_NFREQ\_BETA\_C READ (LUCMD,\*) (ABS\_FREQ\_BETA\_C(I), I = 1, ABS\_NFREQ\_BETA\_C) The frequencies  $\omega_c$ . Input as in .FREQUE. Default only zero frequency (static).

#### .DAMPING

READ (LUCMD, \*), ABS\_DAMP Select the broadening (damping) parameter  $\gamma$ .

#### .MAXIT

READ (LUCMD, \*), ABS\_MAXITER

The maximum number of iterations. (Default = 150)

#### .MAXRM

#### READ (LUCMD,\*),ABS\_MAXRM

The maximum dimension of the reduced space. (Default = 200) The damped response equations are solved in a reduced space, which is increased in each iteration. MAXRM should be increased, if equations for many frequencies are to be solved. Sharp convergence thresholds also require more iterations and thus larger dimension of the reduced space.

#### .THCLR

READ (LUCMD,\*),ABS\_THCLR

The threshold for convergence (Default = 1.0D-3)

#### .PRINT

#### READ (LUCMD,\*), IPRABSLRS

The print level for the ABSLRS routines.

#### .XX/YY/ZZCOMP

Only XX, YY or ZZ component of linear polarizability is calculated.

#### .IMAG F

Select calculations for  $i\omega$ , *i.e.* when C<sub>6</sub> dispersion coefficients are determined, linear polarizability  $\alpha(i\omega)$  is calculated. When .IMAG F is specified, .FREQUE and .FREQ I refer to imaginary frequencies, and the damping parameter  $\gamma = 0$ .

#### .ANALYZ

Analyze the composition of the wave function.

#### .NBATCH

#### READ (LUCMD,\*),ABS\_NBATCHMAX

The number of linear transformations performed in one batch. Used in calculations for many frequencies on large systems. If calculations are performed using DFT, it is recommended to use a multiplicity of 4 to obtain full efficiency.

#### .OLDCPP

The old complex polarization propagator solver [307, 304] is used in the damped response calculations. For .MCSCF calculations, the old CPP solver is always used.

#### .EXCITA

#### READ (LUCMD, \*), NEXCITED\_STATES

Number of first eigenvectors used as the trial vectors in the CPP solver. It is neglected in the input unless the .OLDCPP is specified.

#### 29.1.12 Electron Spin Resonance: \*ESR

Calculation of ESR parameters

#### 29.1.12.1 Hyperfine coupling

Default: hyperfine coupling tensors using the Restricted-Unrestricted Approach.

#### .FCCALC

Calculate the isotropic Fermi-contact contributions to hyperfine coupling tensors

.SDCALC

Calculate the spin-dipole contributions to the hyperfine coupling tensor

#### .ATOMS

```
READ (LUCMD,*),ESRNUC
READ (LUCMD,*) (NUCINF(IG), IG = 1, ESRNUC)
Select atoms for which to calculate hyperfine coupling constants. The first line con-
```

tains the number of atoms and the second line the index of each atom (ordered as in the molecule input file MOLECULE.INP)

#### .MAX IT

READ (LUCMD, \*), MAXESR

The line following gives the maximum number of iterations. (Default = 60)

#### .PRINT

READ (LUCMD, \*), IPRESR

The line following gives the print level for the ESR routines.

#### .THCESR

READ (LUCMD, \*), THCESR

The line following is the threshold for convergence (Default = 1.0D-5)

The following options are obsolete but are kept for backward compatibility. They are replaced by .FCCALC and .SDCALC above which also enables the printing of the tensors of the most important isotopes of the atoms in commonly used units.

#### .SNGPRP

READ (LUCMD, '(A)'), LABEL

Singlet Operator. The line following is the label in the AOPROPER file.

#### .TRPPRP

READ (LUCMD, '(A)'), LABEL

Triplet Operator. The line following is the label in the AOPROPER file.

#### 29.1.12.2 Zero-field splitting: .ZFS

Calculation of the the electronic spin–spin contribution to the zero-field splitting tensor:

#### .ZFS

#### 29.1.12.3 Electronic g-tensors: .G-TENSOR

Calculation of the electronic g-tensor:

.G-TENSOR

Initializes input block for g-tensor related options

The default is to calculate all contributions. The following options selects individual contributions

.RMC Relativistic mass correction

- .0ZS01 Second-order (paramagnetic) orbital-Zeeman + 1-electron spin-orbit contributions
- .0ZS02 Second-order (paramagnetic) orbital-Zeeman + 2-electron spin-orbit contributions

.GC1 1-electron gauge correction (diamagnetic) contributions

.GC2 2-electron gauge correction (diamagnetic) contributions

. ECC Choose electron center of charge (ECC) as gauge origin.

The following are utility options for modifying the default calculational procedure.

- . ADD-SO Adds the 1- and 2-electron spin-orbit operators. This option may be used when one is not interested in the individual 1- and 2-electron contribution to the paramagnetic g-tensor, since it reduces the number of response equations to be solved.
- .MEAN-FIELD Uses the approximate atomic mean field (AMFI) spin-orbit operator for evaluating the paramagnetic contributions.
- .SCALED Uses the approximate 1-electron spin-orbit operator with scaled nuclear charges taken from Ref. [322] for evaluating the paramagnetic contributions.

#### .ZERO READ(LUCMD, '(A80)')G\_LINE

This option is mainly for linear molecules in a  $\Sigma$  state. Specifying e.g. "ZZ" on the input line instructs the program to skip the calculation of the paramagnetic contribution to  $g_{zz}$ .

#### 29.1.13 Hyperfine Coupling Constants: \*HFC

Calculation of hyperfine coupling constants using restricted-unrestricted Kohn-Sham method

.HFC-FC

Calculate the isotropic Fermi-contact contributions to hyperfine coupling tensors of all nuclei in molecule with nonzero nuclear spin.

.HFC-SD

Calculate the spin-dipole contributions to the hyperfine coupling tensor of all nuclei in molecule with nonzero nuclear spin. .HFC-SO

Calculate the spin-orbit contributions to the hyperfine coupling tensor of all nuclei in molecule with nonzero nuclear spin. By default mean field approximation is used for spin-orbit interaction.

#### .BRT-SO

Requests usage of two-electron spin-orbit interaction integrals in computation of spinorbit contribution to hyperfine coupling tensor. This keyword must be combined with .SPIN-ORBIT in the **\*\*INTEGRALS** input module.

#### .EFF-SO

Requests usage of effective scaled charge spin-orbit interaction integrals in computation of spin-orbit contribution to hyperfine coupling tensor.

#### .PRINT

READ (LUCMD, \*), IPRESR

The line following gives the print level for the HFC routines.

## Chapter 30

# Generalized Active Space CI calculation with LUCITA

#### 30.1 General

LUCITA is a Generalized Active Space CI module written by Jeppe Olsen, which has been adapted to DALTON as well as fully parallelized based on the message passing interface (MPI) paradigm by Stefan Knecht and Hans Jørgen Aagaard Jensen [330]. It is integrated in DALTON as a part of the wave function/density computation code and can be activated via the keyword .GASCI in the \*\*WAVE FUNCTIONS input section:

\*\*WAVE FUNCTIONS .GASCI

LUCITA is a string-based Hamiltonian-direct configuration interaction (CI) module, based on the LUCIA code [331]. For high efficiency the code takes advantage of point group symmetry of  $D_{2h}$  and it's subgroups.

A central feature of the module is the Generalized Active Space (GAS) concept [332], in which the underlying total orbital space is subdivided into a basically arbitrary number (save for an upper limit) of subspaces with arbitrary occupation constraints. This is the most general approach to orbital space subdivisions and allows one to do efficient CI computations at arbitrary excitation level, e.g. FCI, SDCI, RASCI, and MRCI. The module uses orbitals from either a closed- or an open-shell calculation.

The technical limitations are roughly set by several 100 million determinants in the CI expansion on desktop PCs and several billions of determinants on common computing clusters and supercomputers with ample memory.

If desired, the module also computes 1- and 2-particle densities from optimized CI wave functions. The density matrices may be printed along with natural orbital occupations and the corresponding eigenvectors (NOs).

#### 30.2 \*LUCITA directives

The following \*LUCITA directives for a successful GAS-CI calculation are subdivided into compulsory (Section 30.2.1) and optional keywords (Section 30.2.2).

#### **30.2.1** Mandatory keywords of \*LUCITA

- .INIWFC Initial wave function type, either closed-shell ("HF\_SCF") or open-shell ("RASSCF") READ(LUCMD,\*) lucita\_cfg\_ini\_wavef
- .CITYPE Type of CI calculation. Allowed values are "GASCI" and "RASCI" READ(LUCMD,\*) lucita\_cfg\_ci\_type
- .MULTIP Spin state multiplicity (singlet: 1, triplet: 3, etc.) READ(LUCMD,\*) lucita\_cfg\_is\_spin\_multiplett

"RASCI" specific input (i.e. if CITYPE == "RASCI"):

- .NACTEL Number of active electrons READ(LUCMD,\*) lucita\_cfg\_nr\_active\_e
- .RAS1 RAS1 specification and maximum number of holes. First line with orbitals per point group irrep separated by white spaces, followed by a line with the maximum number of holes in RAS1 READ(LUCMD,\*) (nas1\_lucita(i), i = 1, #point\_group\_irreps) READ(LUCMD,\*) lucita\_cfg\_max\_holes\_ras1
- .RAS2 RAS2 specification. A line with orbitals per point group irrep separated by white spaces READ(LUCMD,\*) (nas2\_lucita(i), i = 1, #point\_group\_irreps)
- .RAS3 RAS3 specification and maximum number of electrons. First line with orbitals per point group irrep separated by white spaces, followed by a line with the maximum number of electrons in RAS3 READ(LUCMD,\*) (nas3\_lucita(i), i = 1, #point\_group\_irreps) READ(LUCMD,\*) lucita\_cfg\_max\_e\_ras3

#### "GASCI" specific input (i.e. if .CITYPE == "GASCI"):

.GAS SHELLS Number and specification of GAS shell excitation constraints and orbital occupations. A integer value (NGAS) in the first line specifies the number of GA spaces to be used (minimum 1; maximum 6). The next NGAS lines comprise one line per GAS with the minimum resp. maximum number of accumulated electrons after this GAS followed by (after the "/") the number of orbitals per point group irrep, separated by white spaces READ(LUCMD,\*) NGAS READ(LUCMD,\*) (ngso\_lucita(1,i), i=1,2)/(ngsh\_lucita(1,i), i=1,#point\_group\_irreps) READ(LUCMD,\*) (ngso\_lucita(2,i), i=1,2)/(ngsh\_lucita(2,i), i=1,#point\_group\_irreps) READ(LUCMD,\*) (ngso\_lucita(3,i), i=1,2)/(ngsh\_lucita(3,i), i=1,#point\_group\_irreps) READ(LUCMD,\*) until ''j'' = NGAS for ngso\_lucita(j,\*) and ngsh\_lucita(j,\*)

#### 30.2.2 Optional keywords of \*LUCITA

```
.TITLE One line with a title of the CI calculation
READ(LUCMD,*) lucita_cfg_run_title
```

- .SYMMET State symmetry. This is the point-group irrep label referring to an irrep ordering as defined by the group generators (default: 1, e.g. totally symmetric irrep) READ(LUCMD,\*) lucita\_cfg\_ptg\_symmetry
- .INACTI Inactive doubly orbitals per point group irrep, separated by white spaces. (default: all orbitals active) READ(LUCMD,\*) (nish\_lucita(i), i = 1, #point\_group\_irreps)
- .NROOTS number of eigenstates to be calculated (default: 1) READ(LUCMD,\*) lucita\_cfg\_nr\_roots
- .MAXITR maximum number of Davidson CI iterations (default: 30) READ(LUCMD,\*) lucita\_cfg\_max\_nr\_dav\_ci\_iter
- .MXCIVE maximum dimension of Davidson subspace Hamiltonian (default: 3 × .NROOTS) READ(LUCMD,\*) lucita\_cfg\_max\_dav\_subspace\_dim

If the number of eigenstates to be calculated (.NROOTS) is "5" the default will be " $3 \times 5$ " == "15". A typical value will be given as a multiple *m* of the number of eigenstates where  $m \ge 3$ .

.ANALYZ analyze the composition of the final CI wave function

.DENSI Level of computed density matrices, "1" means one-particle density only, "2" computes one- and two-particle density matrices (default: 1) READ(LUCMD,\*) lucita\_cfg\_density\_calc\_lvl

If set to "1", LUCITA will calculate and print natural orbital occupation numbers which are a useful tool to further analyze your final CI wave function together with the composition of leading determinants (obtained via the keyword .ANALYZ).

- .NATORB LUCITA will calculate and print natural orbital occupation numbers (alias for .DENSI with input "1").
- .RSTART restart option if set to "1" (default: 0, no restart) READ(LUCMD,\*) lucita\_cfg\_restart\_ci

Allows the restart of the CI calculation from coefficients obtained in a preceding calculation which are read from the file LUCITA\_CIVECS. "SYM". The file ending "SYM" is a single character in the range [a-h] and corresponds to the symmetry as specified via the input keyword .SYMMET, e.g., for symmetry 1 the correct ending is "a", for symmetry 2 we have to specify "b", etc.

.DISTRT Specifies vector block distribution routine to be used in a **parallel calculation**; the keyword will be ignored in a serial run. (default: 2) READ(LUCMD,\*) lucipar\_cfg\_ttss\_dist\_strategy

A simple distribution routine useful in small calculations can be activated by setting the variable to "1". A more advanced and in particular for large CI expansions most efficient distribution is activated by setting the parameter to "2" (default).

## Chapter 31

## Coupled cluster calculations, CC

The coupled cluster module CC is designed for large-scale correlated calculations of energies and properties using a hierarchy of coupled cluster models: CCS, CC2, CCSD, and CC3, as well as standard methods such as MP2 and CCSD(T). The module offers almost the same options as the other parts of the DALTON program for SCF and MCSCF wave functions. It thus contains a wave function optimization section and a response function section where linear, quadratic and cubic response functions and electronic transition properties are calculated. At the moment, molecular gradients are available up to the CCSD(T) level for ground states. London orbitals have so far not been implemented for the calculation of magnetic properties. However, gauge-invariant magnetic properties can be calculated using the CTOCD-DZ method [50, 79]. In the manual, more details can be found about the specific implementations.

An additional feature of the module is that all levels of correlation treatment have been implemented using integral-direct techniques making it possible to run calculations using large basis sets [147].

In this chapter the general structure of the input for the coupled cluster module is described. The complete input for the coupled cluster module appears as sections in the input for the SIRIUS module, with the general input in the input section \*CC INPUT. In order to get into the CC module one has to specify the .CC keyword in the general input section of SIRIUS \*\*WAVE FUNCTIONS. For instance, a minimal input file for a CCSD(T) energy calculation would be:

```
**DALTON INPUT
.RUN WAVE FUNCTIONS
**WAVE FUNCTIONS
.CC
*CC INPUT
.CC(T)
```

#### \*\*END OF DALTON INPUT

Several models can be calculated at the same time by specifying more models in the CC input section. The models supported in the CC module are CCS[333], MP2[334], CC2[333], the CIS(D) excitation energy approximation [131], CCSD[335], the CCSDR(3) excitation energy approximation[130], CCSD(T)[336], and CC3[337, 338]. Several electronic properties can also be calculated in one calculation by specifying simultaneously the various input sections in the \*CC INPUT section as detailed in the following sections.

There is also a possibility for performing cavity coupled cluster self-consistentreaction field calculations for solvent modeling.

### 31.1 General input for CC: \*CC INPUT

In this section keywords for the coupled cluster program are defined. In particular the coupled cluster model(s) and other parameters common to all submodules are specified.

- .BOSKIP Skip the calculation of the B0-vectors in a restarted run. See comments under .IMSKIP.
- .CC(2) Run calculation for non-iterative CC2 excitation energy model (which is actually the well-known CIS(D) model).
- .CC(3) Run calculation for CC(3) ground state energy model.
- .CC(T) Run calculation for CCSD(T) (Coupled Cluster Singles and Doubles with perturbational treatment of triples) model.
- .CC2 Run calculation for CC2 model.
- .CC3 Run calculation for CC3 model.
- .CCD Run calculation for Coupled Cluster Doubles (CCD) model.
- .CIS Run calculation for CI Singles (CIS) method.
- .CHO(T) Run calculation for CCSD(T) (Coupled Cluster Singles and Doubles with perturbational treatment of triples) model using Cholesky-decomposed orbital energies denominators. Directives to control the calculation can be specifed in the \*CHO(T) input module.
- .CCR(3) Run calculation for CCSDR(3) excitation energy model.
- .CCS Run calculation for Coupled Cluster Singles (CCS) model.
- .CCSD Run calculation for Coupled Cluster Singles and Doubles (CCSD) model.
- .MTRIP Run calculation of modified triples corrections for (MCCSD(T) for CCSD(T) and MCC(3) for CC(3)) meaning that if the ground state lagrangian multipliers are calculated they are used instead of amplitudes. Specialists option. Do not use with analytical gradients.
- .CCSTST Test option which runs the CCS finite field calculation as a pseudo CC2 calculation. CCSTST disables all terms which depend on the double excitation amplitudes or multipliers. This flag must be set for CCS finite field calculations.
- .DEBUG Test option: print additional debug output.

- . EOSKIP Skip the calculation of the E0-vectors in a restarted run. See comment under . IMSKIP.
- .F1SKIP Skip the calculation of F-matrix transformed first-order cluster amplitude responses in a restarted run. See comment under .IMSKIP.
- .F2SKIP Skip the calculation of F-matrix transformed second-order cluster amplitude responses in a restarted run. See comment under .IMSKIP.

.FIELD

READ (LUCMD,\*) EFIELD READ (LUCMD,'(1X,A8)') LFIELD

Add an external finite field (operator label LFIELD) of strength EFIELD to the Hamiltonian (same input format as in Sec. 27.2.8). These fields are only included in the CC calculation and not in the calculation of the SCF reference state (*i.e.* the orbitals). Using this option in the calculation of numerical derivatives thus gives so-called orbitalunrelaxed energy derivatives, which is standard in coupled cluster response function theory. Note that this way of adding an external field does not work for models including triples excitations (most notably CCSD(T) and CC3). For finite field calculations of orbital-relaxed energy derivatives the field must be included in the SCF calculation. For such calculations, use the input section \*HAMILTONIAN (Sec. 27.2.8).

#### .FREEZE

#### READ (LUCMD,\*) NFC, NFV

Specify the number of frozen core orbitals and number of frozen virtuals (0 is recommended for the latter). The program will automatically freeze the NFC(NFV) orbitals of lowest (highest) orbital energy among the canonical Hartree–Fock orbitals. For benzene a relevant choice is for example 6 0.

#### .FROIMP

```
READ (LUCMD,*) (NRHFFR(I),I=1,MSYM)
READ (LUCMD,*) (NVIRFR(I),I=1,MSYM)
```

Specify for each irreducible representation how many orbitals should be frozen (deleted) for the coupled cluster calculation. In calculations, the first NRHFFR(I) orbitals will be kept frozen in symmetry class I and the last NVIRFR(I) orbitals will be deleted from the orbital list.

#### .FROEXP

```
READ (LUCMD,*) (NRHFFR(I),I=1,MSYM)
D0 ISYM = 1, MSYM
```

```
IF (NRHFFR(ISYM.NE.0) THEN
    READ (LUCMD,*) (KFRRHF(J,ISYM),J=1,NRHFFR(ISYM))
    END IF
END DO
READ (LUCMD,*) (NVIRFR(I),I=1,MSYM)
DO ISYM = 1, MSYM
    IF (NVIRFR(ISYM.NE.0) THEN
        READ (LUCMD,*) (KFRVIR(J,ISYM),J=1,NVIRFR(ISYM))
    END IF
END DO
```

Specify explicitly for each irreducible representation the orbitals that should be frozen (deleted) in the coupled cluster calculation.

- .FRSKIP Skip the calculation of the F-matrix transformed right eigenvectors in a restarted run. See comment under .IMSKIP.
- .HERDIR Run coupled cluster program AO-direct using HERMIT (Default is to run the program only AO integral direct if the DIRECT keyword was set in the general input section of DALTON. If HERDIR is not specified the ERI program is used as integral generator.)
- .IMSKIP Skip the calculation of some response intermediates in a restarted run. This options and the following skip options is primarily for very experienced users and programmers who want to save a little bit of CPU time in restarts on very large calculations. It is assumed that the user knows what he is doing when using these options, and the program does not test if the intermediates are there or are correct. The relevant quantities are assumed to be at the correct directory and files. These comments also applies for all the other skip options following!
- .LOSKIP Skip the calculation of the zeroth-order ground-state Lagrange multipliers in a restarted run. See comment under .IMSKIP.
- .L1SKIP Skip the calculation of the first-order responses of the ground-state Lagrange multipliers in a restarted run. See comment under .IMSKIP.
- .L2SKIP Skip the calculation of the second-order responses of the ground-state Lagrange multipliers in a restarted run. See comment under .IMSKIP.
- .LESKIP Skip the calculation of left eigenvectors in a restarted run. See comment under .IMSKIP.

- .LISKIP Skip the calculation of (ia|jb) integrals in a restarted run. See comment under .IMSKIP.
- .M1SKIP Skip the calculation of the special zeroth-order Lagrange multipliers for groundexcited state transition moments, the so-called *M*-vectors, in a restarted run. See comment under .IMSKIP.

.MAX IT

READ (LUCMD, '(I5)') MAXITE

Maximum number of iterations for wave function optimization (default is MAXITE = 40).

.MAXRED

READ (LUCMD,\*) MAXRED

Maximum dimension of the reduced space for the solution of linear equations (default is MAXRED = 200).

.MP2 Run calculation for second-order Møller-Plesset perturbation theory (MP2) method.

.MXDIIS

READ (LUCMD,\*) MXDIIS

Maximum number of iterations for DIIS algorithm before wave function information is discarded and a new DIIS sequence is started (default is MXDIIS = 8).

#### .MXLRV

READ (LUCMD, \*) MXLRV

Maximum number of trial vectors in the solution of linear equations. If the number of trial vectors reaches this value, all trial vectors and their transformations with the Jacobian are skipped and the iterative procedure for the solution of the linear (i.e. the response) equations is restarted from the current optimal solution.

.NOCCIT No iterations in the wave function optimization is carried out.

.NSIMLE

READ (LUCMD, \*) NSIMLE

Set the maximum number of response equations that should be solved simultaneously. Default is 0 which means that all compatible equations (same equation type and symmetry class) are solved simultaneously.

.NSYM

READ (LUCMD,\*) MSYM2

Set number of irreducible representations.

- .02SKIP Skip the calculation of right-hand side vectors for the second-order cluster amplitude equations in a restarted run. See comment under .IMSKIP.
- .PAIRS Decompose the singles and/or doubles coupled cluster correlation energy into contributions from singlet- and triplet-coupled pairs of occupied orbitals. Print those pair energies.

.PRINT

READ (LUCMD, '(I5)') IPRINT

Set print parameter for coupled cluster program (default is to take the value IPRUSR, set in the general input section of DALTON).

- .R1SKIP Skip the calculation of the first-order amplitude responses in a restarted run. See comment under .IMSKIP.
- .R2SKIP Skip the calculation of the second-order cluster amplitude equations in a restarted run. See comment under .IMSKIP.
- .RESKIP Skip the calculation of the first-order responses of the ground-state Lagrange multipliers in a restarted run. See comment under .IMSKIP.
- .RESTART Try to restart the calculation from the cluster amplitudes, Lagrange multipliers, response amplitudes etc. stored on disk.
- .SOPPA(CCSD) Write the CCSD singles and doubles amplitudes on the Sirius interface for later use in a SOPPA(CCSD) calculation. This chooses automatically the Coupled Cluster Singles and Doubles (CCSD) model.

.THRENR

READ (LUCMD,\*) THRENR

Set threshold for convergence of the ground state energy.

. THRLEQ

READ (LUCMD,\*) THRLEQ

Set threshold for convergence of the response equations.

. THRVEC

READ (LUCMD,\*) THRVEC

Set threshold for convergence of the ground state CC vector function.

.X2SKIP Skip the calculation of the  $\eta^{(2)}$  intermediates (needed to build the right-hand side vectors for the second-order ground state Lagrange multiplier response equations) in a restarted run. See comment under .IMSKIP.

#### 31.2 Ground state first-order properties: \*CCFOP

In this Section, the keywords for the calculation of ground-state first-order (one-electron) properties are described. The calculation is evoked with the \*CCFOP flag followed by the appropriate keywords as described in the list below. Note that \*CCFOP assumes that the proper integrals are written on the AOPROPER file, and one therefore has to set the correct property integral keyword(s) in the \*\*INTEGRALS input Section. For properties that have both an electronic and a nuclear contribution, these will be printed separately with a print level of 10 or above.

The calculation of first-order properties is implemented for the coupled cluster models CCS (which gives SCF first-order properties), CC2, MP2, CCD, CCSD, CCSD(T), and CC3. By default, the chosen properties include orbital relaxation contributions, *i.e.* they are calculated from the relaxed CC (or MP) densities. To disable orbital relaxation for the CC2, CCSD and CC3 models, see .NONREL below. Relaxation is always included for MP2. Note also that the present implementation does not allow for CC2 relaxed first-order properties in the frozen core approximation (.FREEZE and .FROIMP, see Sec. 31.1).

For details on the implementation, see Refs. [339, 340].

#### **Reference literature:**

A. Halkier, H. Koch, O. Christiansen, P. Jørgensen, and T. Helgaker J. Chem. Phys., 107, 849, (1997).
K. Hald, A. Halkier, P. Jørgensen, S. Coriani, C. Hättig, T. Helgaker J. Chem . Phys., 118, 2985, (2003)
K. Hald, P. Jørgensen Phys. Chem. Chem. Phys., 4, 5221, (2002) '

- .2ELDAR Calculate relativistic two-electron Darwin term.
- .ALLONE Calculate all first-order properties described in this Section (all corresponding property integrals are needed).
- .DIPMOM Calculate the permanent molecular electric dipole moment (DIPLEN integrals).
- .NONREL Compute the properties using the unrelaxed CC densities instead of the default relaxed densities.
- .NQCC Calculate the electric field gradients at the nuclei (EFGCAR integrals).
- .OPERAT

READ (LUCMD, '(1X, A8)') LABPROP

Calculate the electronic contribution to the property defined by the operator label LABPROP (corresponding LABPROP integrals needed).

- .QUADRU Calculate the permanent traceless molecular electric quadrupole moment (THETA integrals). Note that the origin is the origin of the coordinate system specified in the MOLECULE.INP file.
- .RELCOR Calculate scalar-relativistic one-electron corrections to the ground-state energy (DARWIN and MASSVELO integrals).
- .SECMOM Calculate the electronic second moment of charge (SECMOM integrals).
- .TSTDEN Calculate the CC energy using the two-electron CC density. Programmers keyword used for debugging purposes—Do not use.

#### 31.3 Linear response functions: \*CCLR

In the \*CCLR section the input that is specific for coupled cluster linear response properties is read in. This section includes presently

- frequency-dependent linear response properties  $\alpha_{AB}(\omega) = -\langle\langle A; B \rangle\rangle_{\omega}$  where A and B can be any of the one-electron operators for which integrals are available in the **\*\*INTEGRALS** input part.
- dispersion coefficients  $D_{AB}(n)$  for  $\alpha_{AB}(\omega)$  which for  $n \ge 0$  are defined by the expansion

$$\alpha_{AB}(\omega) = \sum_{n=0}^{\infty} \omega^n D_{AB}(n)$$

In addition to the dispersion coefficients for  $n \ge 0$  there are also coefficients available for  $n = -1, \ldots, -4$ , which are related to the Cauchy moments by  $D_{AB}(n) = S_{AB}(-n-2)$ .

Note, that for real response functions only even moments  $D_{AB}(2n) = S_{AB}(-2n-2)$ with  $n \ge -2$  are available, while for imaginary response functions only odd moments  $D_{AB}(2n+1) = S_{AB}(-2n-3)$  with  $n \ge -2$  are available.

Coupled cluster linear response functions and dispersion coefficients are implemented for the models CCS, CC2, CCSD and CC3. The theoretical background for the implementation is detailed in Ref. [341, 342, 343, 344]. The properties calculated are in the approach now generally known as coupled cluster linear response—in the frequency-independent limit this coincides with the so-called orbital-unrelaxed energy derivatives (and thus the orbitalunrelaxed finite field result).

#### **Reference literature:**

Linear response: O. Christiansen, A. Halkier, H. Koch, P. Jørgensen, and T. Helgaker J. Chem. Phys., 108, 2801, (1998).
Dispersion coefficients: C. Hättig, O. Christiansen, and P. Jørgensen J. Chem. Phys., 107, 10592, (1997).
CC3 linear response: K. Hald, F. Pawlowski, P. Jørgensen, and C. Hättig J. Chem. Phys., 118, 1292, (2003).
CC3 dispersion coefficients: F. Pawlowski, P. Jørgensen, and C. Hättig Adv. Quant. Chem., 48, 9, (2005).

.ASYMSD Use an asymmetric formulation of the linear response function which does not require the solution of response equations for the operators A, but solves two sets of response equations for the operators B.

#### .AVERAG

READ (LUCMD, '(A)') AVERAGE READ (LUCMD, '(A)') SYMMETRY

Evaluate special tensor averages of linear response functions. Presently implemented are the isotropic average of the dipole polarizability  $\bar{\alpha}$  and the dipole polarizability anisotropy  $\alpha_{ani}$ . Specify ALPHA\_ISO for AVERAGE to obtain  $\bar{\alpha}$  and ALPHA\_ANI to obtain  $\alpha_{ani}$  and  $\bar{\alpha}$ . The SYMMETRY input defines the selection rules that can be exploited to reduce the number of tensor elements that have to be evaluated. Available options are ATOM, SPHTOP (spherical top), LINEAR, XYDEGN (x- and y-axis equivalent, *i.e.* a  $C_z^n$ symmetry axis with  $n \geq 3$ ), and GENER (use point group symmetry from geometry input). Integrals needed for operator DIPLEN.

- .CTOSHI Determine the CTOCD-DZ magnetic shielding tensors. Integrals needed for computation are DIPVEL, ANGMOM, RPSO, and PSO. Specific atoms can be selected by using the option .SELECT in the **\*\*INTEGRAL** input module.
- .CTOSUS Determine the CTOCD-DZ magnetizability. Integrals needed for computation are DIPVEL, RANGMO, and ANGMOM.
- .DIPGRA Evaluate dipole gradients, i.e. compute the Atomic Polar Tensor, and perform Cioslowski population analysis. Note that this keyword should only be used for static calculations. Integrals needed for operator DIPLEN, DIPGRA, DEROVL, and DER-HAM.
- .DIPOLE Evaluate all symmetry allowed elements of the dipole polarizability (max. 6 components). Integrals needed for operator DIPLEN.

```
.DISPCF
```

READ (LUCMD,\*) NLRDSPE

Calculate the dispersion coefficients  $D_{AB}(n)$  up to n = NLRDSPE.

#### .FREQUE

READ (LUCMD,\*) NBLRFR READ (LUCMD,\*) (BLRFR(I),I=1,NBLRFR)

Frequency input for  $\langle \langle A; B \rangle \rangle_{\omega}$  in Hartree (may be combined with wave length input).

#### .OPERAT

```
READ (LUCMD,'(2A)') LABELA, LABELB
DO WHILE (LABELA(1:1).NE.'.' .AND. LABELA(1:1).NE.'*')
READ (LUCMD,'(2A)') LABELA, LABELB
END DO
```
Read pairs of operator labels.

- .OR Evaluate the specific and molar optical rotation using the length gauge and modified velocity gauge expressions. Integrals needed for operators DIPLEN, DIPVEL, and ANGMOM.
- .OR LEN Evaluate the specific and molar optical rotation using the length gauge expression. Note that the result is origin-dependent and the origin employed is the gauge origin used for computing the angular momentum integrals. Integrals needed for operators DIPLEN and ANGMOM.
- .OR MVE Evaluate the specific and molar optical rotation using the modified velocity gauge expression to ensure origin invariance as described in Ref. [92]. Integrals needed for operators DIPVEL and ANGMOM.
- .ORGANL Evaluate the origin-dependence of the length gauge optical rotation (see Ref. [92]). Integrals needed for operators DIPLEN and DIPVEL.

.ORIGIN

```
READ (LUCMD,*) NORGIN
DO J = 1,NORGIN
    READ (LUCMD,*) (ORIGIN(I,J),I=1,3)
END DO
```

Additionally evaluate the length gauge optical rotation at the specified origins (relative to the origin of the angular momentum operator). Integrals needed for operators DIPLEN, DIPVEL, and ANGMOM.

.PRINT

READ (LUCMD,\*) IPRSOP

Set print level for linear response output.

.STATIC Evaluate the linear response functions at zero frequency. May be combined with frequency/wave length input.

#### .WAVELE

```
READ (LUCMD,*) NBLRWL
READ (LUCMD,*) (BLRWL(I),I=1,NBLRWL)
```

Frequency input for  $\langle \langle A; B \rangle \rangle_{\omega}$  supplied as wave length in nm (may be combined with frequency input).

# 31.4 Quadratic response functions: \*CCQR

In the **\*CCQR** section you specify the input for coupled cluster quadratic response calculations. This section includes:

• frequency-dependent third-order properties

$$\beta_{ABC}(\omega_A;\omega_B,\omega_C) = -\langle\langle A;B,C\rangle\rangle_{\omega_B,\omega_C}$$
 with  $\omega_A = -\omega_B - \omega_C$ 

where A, B and C can be any of the one-electron operators for which integrals are available in the **\*\*INTEGRALS** input part.

• dispersion coefficients  $D_{ABC}(n,m)$  for third-order properties, which for  $n \ge 0$  are defined by the expansion

$$\beta_{ABC}(-\omega_B - \omega_C; \omega_B, \omega_C) = \sum_{n,m=0}^{\infty} \omega_B^n \, \omega_C^m \, D_{ABC}(n,m)$$

The coupled cluster quadratic response function is at present implemented for the coupled cluster models CCS, CC2, CCSD, and CC3. Note that dispersion coefficients for third-order properties are at present *not* implemented at the CC3 level.

The response functions are evaluated for a number of operator triples (given using the .OPERAT, .DIPOLE, or .AVERAG keywords) which are combined with pairs of frequency arguments specified using the keywords .MIXFRE, .SHGFRE, .ORFREQ, .EOPEFR or .STATIC. The different frequency keywords are compatible and might be arbitrarily combined or repeated. For dispersion coefficients use the keyword .DISPCF.

### Reference literature:

Quadratic response: C. Hättig, O. Christiansen, H. Koch, and P. Jørgensen *Chem. Phys. Lett.*, **269**, 428, (1997).

Dispersion coefficients: C. Hättig, and P. Jørgensen *Theor. Chem. Acc.*, **100**, 230, (1998).

CC3 quadratic response: M. Pecul, F. Pawlowski, P. Jørgensen, A. Köhn, and C. Hättig J. Chem. Phys., **124**, 114101, (2006).

.AVERAG

READ (LUCMD, '(A)') LINE

Evaluate special tensor averages of quadratic response properties. Presently implemented are only the vector averages of the first dipole hyperpolarizability  $\beta_{||}$ ,  $\beta_{\perp}$  and  $\beta_K$ . All three of these averages are obtained if HYPERPOL is specified on the input line that follows .AVERAG. The .AVERAG keyword should be used before any .OPERAT or .DIPOLE input in the \*CCQR section.

.DIPOLE Evaluate all symmetry allowed elements of the first dipole hyperpolarizability (max. 27 components).

#### .DISPCF

READ (LUCMD,\*) NQRDSPE

Calculate the dispersion coefficients  $D_{ABC}(n,m)$  up to order  $n + m = \mathbb{NQRDSPE}$ .

.EOPEFR

READ (LUCMD,\*) MFREQ

READ (LUCMD,\*) (BQRFR(IDX),IDX=NQRFREQ+1,NQRFREQ+MFREQ)

Input for the electro optical Pockels effect  $\beta_{ABC}(-\omega; \omega, 0)$ : on the first line following .EOPEFR the number of different frequencies is read, from the second line the input for  $\omega_B = \omega$  is read.  $\omega_C$  is set to 0 and  $\omega_A$  to  $\omega_A = -\omega$ .

#### .MIXFRE

READ (LUCMD,\*) MFREQ

READ (LUCMD,\*) (BQRFR(IDX),IDX=NQRFREQ+1,NQRFREQ+MFREQ)

```
READ (LUCMD,*) (CQRFR(IDX), IDX=NQRFREQ+1, NQRFREQ+MFREQ)
```

Input for general frequency mixing  $\beta_{ABC}(-\omega_B - \omega_C; \omega_B, \omega_C)$ : on the first line following .MIXFRE the number of different frequencies (for this keyword) is read, from the second and third line the frequency arguments  $\omega_B$  and  $\omega_C$  are read ( $\omega_A$  is set to  $-\omega_B - \omega_C$ ).

.NOBMAT Test option: Do not use B matrix transformations but pseudo F matrix transformations (with the zeroth-order Lagrange multipliers exchanged by first-order responses) to compute the terms  $\bar{t}^A \mathbf{B} t^B t^C$ . This is usually less efficient.

.OPERAT

```
READ (LUCMD'(3A)') LABELA, LABELB, LABELC
DO WHILE (LABELA(1:1).NE.'.' .AND. LABELA(1:1).NE.'*')
READ (LUCMD'(3A)') LABELA, LABELB, LABELC
END DO
```

Read triples of operator labels. For each of these operator triples the quadratic response function will be evaluated at all frequency pairs. Operator triples which do not correspond to symmetry allowed combination will be ignored during the calculation.

### .ORFREQ

READ (LUCMD,\*) MFREQ READ (LUCMD,\*) (BQRFR(IDX),IDX=NQRFREQ+1,NQRFREQ+MFREQ) Input for optical rectification  $\beta_{ABC}(0; \omega, -\omega)$ : on the first line following .ORFREQ the number of different frequencies is read, from the second line the input for  $\omega_B = \omega$  is read.  $\omega_C$  is set to  $\omega_C = -\omega$  and  $\omega_A$  to 0.

### .PRINT

READ (LUCMD,\*) IPRINT

Set print parameter for the quadratic response section.

. SHGFRE

```
READ (LUCMD,*) MFREQ
```

```
READ (LUCMD,*) (BQRFR(IDX), IDX=NQRFREQ+1, NQRFREQ+MFREQ)
```

Input for second harmonic generation  $\beta_{ABC}(-2\omega; \omega, \omega)$ : on the first line following .SHGFRE the number of different frequencies is read, from the second line the input for  $\omega_B = \omega$  is read.  $\omega_C$  is set to  $\omega$  and  $\omega_A$  to  $-2\omega$ .

- .STATIC Add  $\omega_A = \omega_B = \omega_C = 0$  to the frequency list.
- .USE R2 Test option: use second-order response vectors instead of first-order Lagrange multiplier responses.
- **.XYDEGE** Assume X and Y directions as degenerate in the calculation of the hyperpolarizability averages (this will prevent the program to use the components  $\beta_{zyy}$ ,  $\beta_{yzy}$   $\beta_{yyz}$  for the computation of the vector averages).

## 31.5 Cubic response functions: \*CCCR

In the **\*CCCR** section the input that is specific for coupled cluster cubic response properties is read in. This section includes:

• frequency-dependent fourth-order properties

 $\gamma_{ABCD}(\omega_A;\omega_B,\omega_C,\omega_D) = -\langle\langle A;B,C,D\rangle\rangle_{\omega_B,\omega_C,\omega_D} \quad \text{with } \omega_A = -\omega_B - \omega_C - \omega_D$ 

where A, B, C and D can be any of the one-electron operators for which integrals are available in the **\*\*INTEGRALS** input part.

• dispersion coefficients  $D_{ABCD}(l, m, n)$  for  $\gamma_{ABCD}(\omega_A; \omega_B, \omega_C, \omega_D)$  which for  $n \ge 0$  are defined by the expansion

$$\gamma_{ABCD}(\omega_A;\omega_B,\omega_C,\omega_D) = \sum_{l,m,n=0}^{\infty} \omega_B^l \, \omega_C^m \, \omega_D^n D_{ABCD}(l,m,n)$$

Coupled cluster cubic response functions is implemented for the models CCS, CC2, CCSD, and CC3. Dispersion coefficients for fourth-order properties are implemented for the models CCS, CC2 and CCSD.

The response functions are evaluated for a number of operator quadruples (specified with the keywords .OPERAT, .DIPOLE, or .AVERAG) which are combined with triples of frequency arguments specified using the keywords .MIXFRE, .THGFRE, .ESHGFR, .DFWMFR, .DCKERR, or .STATIC. The different frequency keywords are compatible and might be arbitrarily combined or repeated. For dispersion coefficients use the keyword .DISPCF.

**Reference** literature:

Cubic response: C. Hättig, O. Christiansen, and P. Jørgensen Chem. Phys. Lett., 282, 139, (1998).
Dispersion coefficients: C. Hättig, and P. Jørgensen Adv. Quantum Chem., 35, 111, (1999).
CC3 cubic response: F. Pawlowski, P. Jørgensen, and C. Hättig Chem. Phys. Lett., 391, 27, (2004).

.AVERAG

READ (LUCMD, '(A)') AVERAGE READ (LUCMD, '(A)') SYMMETRY

Evaluate special tensor averages of cubic response functions. Presently implemented are the isotropic averages of the second dipole hyperpolarizability  $\gamma_{||}$  and  $\gamma_{\perp}$ . Set

AVERAGE to GAMMA\_PAR to obtain  $\gamma_{||}$  and to GAMMA\_ISO to obtain  $\gamma_{||}$  and  $\gamma_{\perp}$ . The SYMMETRY input defines the selection rules exploited to reduce the number of tensor elements that have to be evaluated. Available options are ATOM, SPHTOP (spherical top), LINEAR, and GENER (use point group symmetry from geometry input). Note that the .AVERAG option should be specified in the \*CCCR section before any .OPERAT or .DIPOLE input.

.DCKERR

READ (LUCMD,\*) MFREQ

READ (LUCMD,\*) (DCRFR(IDX), IDX=NCRFREQ+1, NCRFREQ+MFREQ)

Input for dc-Kerr effect  $\gamma_{ABCD}(-\omega; 0, 0, \omega)$ : on the first line following .DCKERR the number of different frequencies are read, from the second line the input for  $\omega_D = \omega$  is read.  $\omega_B$  and  $\omega_C$  to 0 and  $\omega_A$  to  $-\omega$ .

.DFWMFR

```
READ (LUCMD,*) MFREQ
```

READ (LUCMD,\*) (BCRFR(IDX), IDX=NCRFREQ+1, NCRFREQ+MFREQ)

Input for degenerate four wave mixing  $\gamma_{ABCD}(-\omega; \omega, \omega, -\omega)$ : on the first line following .DFWMFR the number of different frequencies are read, from the second line the input for  $\omega_B = \omega$  is read.  $\omega_C$  is set to  $\omega$ ,  $\omega_D$  and  $\omega_A$  to  $-\omega$ .

.DIPOLE Evaluate all symmetry allowed elements of the second dipole hyperpolarizability (max. 81 components per frequency).

#### .DISPCF

READ (LUCMD,\*) NCRDSPE

Calculate the dispersion coefficients  $D_{ABCD}(l, m, n)$  up to l + m + n = NCRDSPE. Note that dispersion coefficients presently are only available for real fourth-order properties.

#### .ESHGFR

READ (LUCMD,\*) MFREQ

READ (LUCMD,\*) (BCRFR(IDX),IDX=NCRFREQ+1,NCRFREQ+MFREQ)

Input for electric field induced second harmonic generation  $\gamma_{ABCD}(-2\omega; \omega, \omega, 0)$ : on the first line following .ESHGFR the number of different frequencies are read, from the second line the input for  $\omega_B = \omega$  is read.  $\omega_C$  is set to  $\omega$ ,  $\omega_D$  to 0 and  $\omega_A$  to  $-2\omega$ .

.L2 BC solve response equations for the second-order Lagrangian multipliers  $\bar{t}^{BC}$  instead of the equations for the second-order amplitudes  $t^{AD}$ .

.L2 BCD solve response equations for the second-order Lagrangian multipliers  $\bar{t}^{BC}$ ,  $\bar{t}^{BD}$ ,  $\bar{t}^{CD}$  instead of the equations for the second-order amplitudes  $t^{AD}$ ,  $t^{AC}$ ,  $t^{AB}$ .

#### .MIXFRE

```
READ (LUCMD,*) MFREQ
READ (LUCMD,*) (BCRFR(IDX),IDX=NCRFREQ+1,NCRFREQ+MFREQ)
READ (LUCMD,*) (CCRFR(IDX),IDX=NCRFREQ+1,NCRFREQ+MFREQ)
READ (LUCMD,*) (DCRFR(IDX),IDX=NCRFREQ+1,NCRFREQ+MFREQ)
```

Input for general frequency mixing  $\gamma_{ABCD}(\omega_A; \omega_B, \omega_C, \omega_D)$ : on the first line following .MIXFRE the number of different frequencies is read and from the next three lines the frequency arguments  $\omega_B$ ,  $\omega_C$ , and  $\omega_D$  are read ( $\omega_A$  is set to  $-\omega_B - \omega_C - \omega_D$ ).

.NO2NP1 test option: switch off 2n + 1-rule for second-order Cauchy vector equations.

#### .OPERAT

```
READ (LUCMD,'(4A)') LABELA, LABELB, LABELC, LABELD
DO WHILE (LABELA(1:1).NE.'.' .AND. LABELA(1:1).NE.'*')
READ (LUCMD,'(4A)') LABELA, LABELB, LABELC, LABELD
END DO
```

Read quadruples of operator labels. For each of these operator quadruples the cubic response function will be evaluated at all frequency triples. Operator quadruples which do not correspond to symmetry allowed combination will be ignored during the calculation.

### .PRINT

READ (LUCMD,\*) IPRINT

Set print parameter for the cubic response section.

.STATIC Add  $\omega_A = \omega_B = \omega_C = \omega_D = 0$  to the frequency list.

#### . THGFRE

READ (LUCMD,\*) MFREQ

READ (LUCMD,\*) (BCRFR(IDX),IDX=NCRFREQ+1,NCRFREQ+MFREQ)

Input for third harmonic generation  $\gamma_{ABCD}(-3\omega; \omega, \omega, \omega)$ : on the first line following .THGFRE the number of different frequencies is read, from the second line the input for  $\omega_B = \omega$  is read.  $\omega_C$  and  $\omega_D$  are set to  $\omega$  and  $\omega_A$  to  $-3\omega$ .

. USECHI test option: use second-order  $\chi$ -vectors as intermediates

. USEXKS test option: use third-order  $\xi$ -vectors as intermediates

# 31.6 Calculation of excitation energies: \*CCEXCI

In the **\*CCEXCI** section the input that is specific for coupled cluster linear response calculation of electronic excitation energies is given. Coupled cluster linear response excitation energies are implemented for the iterative CC models CCS, CC2, CCSD, and CC3 for both singlet and triplet excited states. For singlet excited states the non-iterative models CC(2)(=CIS(D)) and CCSDR(3) are also available. For understanding the theoretical background for some aspects of the CC3 calculations consult also Ref. [345, 346, 337, 347]. The implementation of the CC2/Cholesky algorithm for calculating exitation energies is described in Ref. [137].

#### **Reference literature:**

Singlet excitation energies: O. Christiansen, H. Koch, A. Halkier, P. Jørgensen, T. Helgaker, and A. M. Sanchez de Meras *J. Chem. Phys.*, **105**, 6921, (1996).

Triplet excitation energies: K. Hald, C. Hättig, and P. Jørgensen J. Chem. Phys., **113**, 7765, (2000).

.CC2PIC Functions as CCSPIC but the picking is based on CC2 excitation energies.

.CCSDPI Functions as CCSPIC but the picking is based on CCSD excitation energies.

.CCSPIC

READ(LUCMD,\*) OMPCCS

Keyword for picking a state with a given CCS excitation energy. Optimize a number of states in CCS with different symmetries and this option will pick the one in closest correspondence with the given input excitation energy, and skip the states at higher energies and other symmetries in the following calculation. Useful for example in numerical Hessian calculations on excited states.

.MARGIN Specifies the maximum allowed deviation of the actual excitation energy from the input excitation energy when using CCSPIC, CC2PIC and CCSDPI.

.NCCEXC

READ (LUCMD,\*) (NCCEXCI(ISYM,1),ISYM=1,MSYM)

Give the number of states desired. For singlet states only, one single line is required with the number of excitation energies for each symmetry class (max. 8). If also triplet states are desired an additional line is given in same format.

.NOSCOM For CC3 calculations only: indicates that no self-consistent solution should be seeked in the partitioned CC3 algorithm.

```
.OMEINP
```

A way to provide an input omega for the partitioned CC3 algorithm or restrict the selfconsistent solution to specific states. If OMEINP is not specified the program uses the best choice available to it at that moment based on previous levels of approximations (CCSD or even better CCSDR(3)) and calculates all states as given by NCCEXC. IOMPINP is 1 for the lowest excited state of a given symmetry, 2 for the second lowest etc.

By giving an 0.0 input excitation energy (as EOMINP) the program takes the best previous approximation found in this run - otherwise the user can specify a qualified guess (perhaps from a previous calculation which is now restarted).

- .THREXC The threshold for the solution of the excitation energies and corresponding response eigenvectors. The threshold is the norm of the residual for the eigenvalue equation. (Default: 1.0D-04).
- .TOLSC For CC3 calculations only: Set tolerance for excitation energies for obtaining a self-consistent solution to the partitioned CC3 algorithm. Tolerance refers to the eigenvalue itself in the self-consistency iterations of the default solver for CC3. Not used in DIIS solver (see .R3DIIS). (Default: 1.0D-04).
- .R3DIIS Use DIIS solver for CC3. This solver only scales linearly with the number of excited states in comparison to the default solver which scales quadratically. However this solver might fail in cases with states dominated by double or higher excited determinants. (Default: OFF).
- .CHEXDI Use DIIS solver for Cholesky CC2 excitation energies. (Default: Use Davidson algorithm)
- .DV4DIS Run Davidson algorithm with zero omega as preconditioner for the subsequent DIIS solver providing the self-consitent omegas.

# 31.7 Ground state-excited state transition moments: \*CCLRSD

In the \*CCLRSD section the input that is specific for coupled cluster response calculation of ground state–excited state electronic transition properties is read in. This section includes for example calculation of oscillator strength etc. The transition properties are implemented for the models CCS, CC2, CCSD, and CC3 (singlet states only). The theoretical background for the implementation is detailed in Ref. [341, 342]. This section \*CCLRSD has to be used in connection with \*CCEXCI for the calculation of excited states.

### **Reference literature:**

Ground-state transition moments: O. Christiansen, A. Halkier, H. Koch, P. Jørgensen, and T. Helgaker J. Chem. Phys., **108**, 2801, (1998).

CC3: F. Pawlowski, P. Jørgensen, and Ch. Hättig *Chem. Phys. Lett.*, **389**, 413, (2004).

- .DIPOLE Calculate the ground state–excited state dipole (length) transition properties including the oscillator strength. Integrals needed for operator DIPLEN.
- .DIPVEL Calculate the ground state–excited state dipole-velocity transition properties including the oscillator strength in the velocity form. Integrals needed for operator DIPVEL.
- .ECD Calculate the ground state–excited state rotatory strength governing electronic circular dichroism (ECD) using both the length and velocity forms (see below). Integrals needed for operator DIPLEN, DIPVEL, and ANGMOM.
- .ECDLEN Calculate the ground state–excited state rotatory strength governing electronic circular dichroism (ECD) using the length form. Note that the result will be origin-dependent. Integrals needed for operator DIPLEN and ANGMOM.
- .ECDVEL Calculate the ground state–excited state rotatory strength governing electronic circular dichroism (ECD) using the origin invariant velocity form. Integrals needed for operator DIPVEL and ANGMOM.
- .NO2N+1 Use an alternative, and normally less efficient, formulation for calculation the transition matrix elements (involving solution of response equations for all operators instead of solving for the so-called M vectors which is the default).
- .OECD Calculate the ground state—excited state rotatory strength tensor governing oriented electronic circular dichroism (OECD) using both the length and velocity forms (see below). Integrals needed for operator DIPLEN, DIPVEL, ANGMOM, SECMOM, and ROTSTR.

- .OECDLE Calculate the ground state–excited state rotatory strength tensor governing oriented electronic circular dichroism (OECD) using the length form. Note that the result will be origin-dependent. Integrals needed for operator DIPLEN, ANGMOM, and SECMOM.
- . OECDVE Calculate the ground state–excited state rotatory strength tensor governing oriented electronic circular dichroism (OECD) using the origin invariant velocity form. Integrals needed for operator DIPVEL, ANGMOM, and ROTSTR.

#### .OPERAT

### READ (LUCMD, '(2A8)') LABELA, LABELB

Read pairs of operator labels for which the residue of the linear response function is desired. Can be used to calculate the transition property for a given operator by specifying that operator twice. The operator can be any of the one-electron operators for which integrals are available in the **\*\*INTEGRALS** input part.

### .SELEXC

### READ(LUCMD,\*) IXSYM,IXST

Select for which excited states the calculation of transition properties are carried. The default is all states according to the **\*CCEXCI** input section (the program takes into account symmetry). For calculating selected states only, provide a list of symmetry and state numbers (order after increasing energy in each symmetry class). This list is read until next input label is found.

# 31.8 Ground state–excited state two-photon transition moments: \*CCTPA

This section describes the calculation of two-photon absorption strengths through coupled cluster response theory. The two-photon transition strength is defined as

$$S_{AB,CD}^{of}(\omega) = \frac{1}{2} \{ M_{of}^{AB}(-\omega) M_{fo}^{CD}(\omega) + [M_{of}^{CD}(-\omega) M_{fo}^{AB}(\omega)]^* \}$$

\*CCTPA drives the calculation of the left  $(M_{of}^{XY}(\omega))$  and right  $(M_{fo}^{XY}(\omega))$  transition moments, and of the (diagonal) transition strengths  $S_{AB,AB}^{of}(\omega)$ . The methodology is implemented for the CCS, CC2, CCSD, and CC3 models.

#### **Reference literature:**

C. Hättig, O. Christiansen, and P. Jørgensen J. Chem. Phys., **108**, 8331, (1998).

C. Hättig, O. Christiansen, and P. Jørgensen J. Chem. Phys., **108**, 8355, (1998).

CC3: M. Paterson, O. Christiansen, F. Pawlowski, P. Jørgensen, Ch. Hättig, T. Helgaker, and P. Salek *J. Chem. Phys.*, **124**, 054322, (2006).

#### .STATES

```
READ (LUCMD, '(A70)') LABHELP

DO WHILE (LABHELP(1:1).NE.'.' AND. LABHELP(1:1).NE.'*')

READ (LUCMD, '(3A)') IXSYM, IXSTATE, SMFREQ

END DO

Select one or more excited states (among those specified in *CCEXCI), and photon

energies. The symmetry class (IXSYM) and the number a state f within that symmetry

(IXSTATE) have to be given together with a photon energy \omega SMFREQ (in atomic units).

For each state and photon energy the moments M_{of}^{AB}(-\omega) and M_{fo}^{AB}(+\omega) and the

strengths S_{AB,AB}^{of}(\omega) are computed for all operator pairs. Instead of .STATES the

equivalent keywords .TRANSITION, .SELEXC, or .SELSTA may be used.
```

.HALFFR Set the photon energies (frequencies) for the two-photon transition moments equal to half the excitation energy of the final state f as calculated with the present coupled cluster model. If this option is switched on, the photon energies given with the specification of the states (.STATES) will be ignored.

```
.OPERAT
```

READ (LUCMD, '(4A)') LABELA, LABELB

DO WHILE (LABELA(1:1).NE.'.' .AND. LABELA(1:1).NE.'\*') READ (LUCMD,'(4A)') LABELA, LABELB END DO Read pairs of operator labels. For each pair the left and right two-photon transition moments and strengths  $S^{of}_{AB,AB}(\omega)$  will be evaluated for all states and frequencies. Operator pairs which do not correspond to symmetry allowed combinations will be ignored during the calculation.

- .DIPLEN Compute all symmetry-allowed elements of the dipole–dipole transition moment tensors in the length gauge and the corresponding transition strengths. In addition the three averages  $\delta_F$ ,  $\delta_G$ , and  $\delta_H$  are evaluated (see below).
- .DIPVEL Compute all symmetry-allowed elements of the dipole–dipole transition moment tensors in the velocity gauge and the corresponding transition strengths.
- . ANGMOM Compute all symmetry-allowed elements of the transition moment tensors with the operators A and B equal to a component of the angular momentum operator  $\vec{l}$ , which is proportional to the magnetic dipole operator.

.PRINT

READ (LUCMD,\*) IPRSM Read print level. Default is 0.

- .USE X2 use the second-order vectors  $\eta^{AB}$  as intermediates. This may save some CPU time with the models CCS, CC2, and CCSD. It will be ignored in CC3 calculations, where it cannot be used.
- .USE 02 use the second-order vectors  $\xi^{AB}$  as intermediates. This may save some CPU time with the models CCS, CC2, and CCSD. It will be ignored in CC3 calculations, where it cannot be used.

If no transitions have been specified using .STATES or one of the equivalent keywords, the default is to include all states specified in \*CCEXCI with the photon energies set to half the excitation energies (*i.e.* the .HALFFR option is implied).

If the full dipole-dipole tensors (in length gauge) have been specified for the moments (*e.g.* using .DIPLEN), the following three isotropic averages will be evaluated:

$$\delta_F = \frac{1}{30} \sum_{\alpha\beta=x,y,z} S^{0f}_{\alpha\alpha,\beta\beta}(\omega)$$
$$\delta_G = \frac{1}{30} \sum_{\alpha\beta=x,y,z} S^{0f}_{\alpha\beta,\alpha\beta}(\omega)$$

$$\delta_H = \frac{1}{30} \sum_{\alpha\beta=x,y,z} S^{0f}_{\alpha\beta,\beta\alpha}(\omega)$$

The option .DIPLEN will be implied by default if no other operator pairs have been specified using one of the keywords .OPERAT, .DIPVEL, or .ANGMOM.

# 31.9 Ground state–excited state three-photon transition moments: \*CCTM

This section describes the calculation of third-order transition moments and strengths. Three photon transition strengths are defined as

$$S_{ABC,DEF}^{of}(\omega_1,\omega_2) = \frac{1}{2} \{ M_{of}^{ABC}(-\omega_1,-\omega_2) M_{fo}^{DEF}(\omega_1,\omega_2) + [M_{of}^{DEF}(-\omega_1,-\omega_2) M_{fo}^{ABC}(\omega_1,\omega_2)]^* \}$$

where  $M_{of}^{ABC}(\omega_1, \omega_2)$  and  $M_{fo}^{ABC}(\omega_1, \omega_2)$  are the left and right three photon transition moments, respectively. The methodology is implemented for the CC models CCS, CC2 and CCSD.

### **Reference literature:**

C. Hättig, O. Christiansen, and P. Jørgensen J. Chem. Phys., 108, 8331, (1998).

#### .DIPOLE

Calculate the three-photon moments and strengths for all possible combinations of Cartesian components of the electric dipole moment operator (729 combinations).

### .OPERAT

```
READ (LUCMD,'(6A)') LABELA, LABELB, LABELC, LABELD, LABELE, LABELF
DO WHILE (LABELA(1:1).NE.'.' .AND. LABELA(1:1).NE.'*')
READ (LUCMD,'(6A)') LABELA, LABELB, LABELC, LABELD, LABELE, LABELF
```

ENDDO

Select the sextuples of operator labels for which to calculate the three-photon transition moments. Operator sextuples which do not correspond to symmetry-allowed combinations will be ignored during the calculation.

#### .PRINT

READ (LUCMD,\*) IPRTM Read print level. Default is 0.

### .SELSTA

```
READ (LUCMD,'(A70)') LABHELP
DO WHILE (LABHELP(1:1).NE.'.' .AND. LABHELP(1:1).NE.'*')
READ (LUCMD,*) IXSYM, IXST, FREQB, FREQC
END DO
```

Select one or more excited states f (among those specified in \*CCEXCI), and the laser frequencies. The symmetry (IXSYM) and state number (IXST) within that symmetry are then given, one pair (IXSYM, IXST) per line. FREQB and FREQC specify the laser frequencies  $\omega_1$  and  $\omega_2$  (in atomic units). Default is all states specified in **\*CCEXCI**, and for each state both laser frequencies equal to one third of the excitation energy.

.THIRDF Set the frequency arguments for the three-photon transition moments equal to one third of the excitation energy of the (chosen) final state f. Default, if FREQB,FREQC are not specified in .SELSTA.

## **31.10** Magnetic circular dichroism: \*CCMCD

This section deals with the calculation of the  $\mathcal{B}(o \to f)$  term of magnetic circular dichroism for a dipole-allowed transition between the ground state o and the excited state f. The  $\mathcal{B}(o \to f)$  term results from an appropriate combination of products of electric dipole onephoton transition moments and mixed electric dipole-magnetic dipole two-photon transition moments— or, more precisely, a combination of transition strengths  $S^{of}_{AB,C}(0.0)$ — which are related to the single residues of the (linear and) quadratic response functions [94, 348, 349]:

$$\mathcal{B}(o \to f) \propto \varepsilon_{\alpha\beta\gamma} M_{of}^{\mu\gamma} M_{of}^{\mu\gamma} (0.0) M_{fo}^{\mu\alpha}$$

Within \*CCMCD we specify the keywords needed in order to compute the individual contributions for each chosen transition  $(o \rightarrow f)$  to the  $\mathcal{B}(o \rightarrow f)$  term. \*CCMCD has to be used in connection with \*CCEXCI for the calculation of excited states. The calculation is implemented for the models CCS, CC2 and CCSD. Note that the output results refer to the magnetic moment operator.

### Reference literature:

S. Coriani, C. Hättig, P. Jørgensen, and T. Helgaker J. Chem. Phys., **113**, 3561, (2000).

.MCD All six triples of operators obtained from the permutations of 3 simultaneously different Cartesian components of the 3 operators LABELA, LABELB, LABELC are automatically specified. Operator triples which do not correspond to symmetry-allowed combinations will be ignored during the calculation. This is the default.

```
.OPERAT
```

```
READ (LUCMD,'(3A)') LABELA, LABELB, LABELC
DO WHILE (LABELA(1:1).NE.'.' .AND. LABELA(1:1).NE.'*')
READ (LUCMD,'(3A)') LABELA, LABELB, LABELC
END DO
```

Manually select the operator label triplets defining the operator Cartesian components involved in the  $\mathcal{B}$  term. The first two operators (LABELA, LABELB) are those that enter the two-photon moment. The third operator (LABELC) is the one that enters the one-photon moment. They could be any of the (one-electron) operators for which integrals are available in **\*\*INTEGRALS**. Specifically for the  $\mathcal{B}$  term, LABELA corresponds to any component of the electric dipole moment operator, LABELB a component of the angular momentum (magnetic dipole) and LABELC to a component of the electric dipole. Operator triples which do not correspond to symmetry allowed combination will be ignored during the calculation. READ (LUCMD,\*) IPRINT

Sets the print level in the \*MCDCAL section. Default is <code>IPRINT=0</code>.

### .SELSTA

READ (LUCMD,'(A70)') LABHELP
DO WHILE (LABHELP(1:1).NE.'.' .AND. LABHELP(1:1).NE.'\*')
 READ(LABHELP,\*) IXSYM,IXST
END DO

Manually select one or more given excited states f among those specified in \*CCEXCI. The symmetry (IXSYM) and state number (IXST) within that symmetry are then given, one pair (IXSYM, IXST) per line. Default is all dipole allowed states for all symmetries specified in \*CCEXCI.

# 31.11 Transition moments between two excited states: \*CCQR2R

In the **\*CCQR2R** section the input that is specific for coupled cluster response calculation of excited state–excited state electronic transition properties is read in. This section includes presently for example calculation of excited state oscillator strength. The transition properties are available for the models CCS, CC2, CCSD, and CC3, but only for singlet states. The theoretical background for the implementation is detailed in Ref. [341, 342]. This section has to be used in connection with **\*CCEXCI** for the calculation of excited states.

**Reference literature:** 

O. Christiansen, A. Halkier, H. Koch, P. Jørgensen, and T. Helgaker J. Chem. Phys., **108**, 2801, (1998).

- .DIPOLE Calculate the ground state–excited state dipole (length) transition properties including the oscillator strength.
- .DIPVEL Calculate the excited state–excited state dipole-velocity transition properties including the oscillator strength in the dipole-velocity form. (The dipole length form is recommended for standard calculations).
- .NO2N+1 Use an alternative, and normally less efficient, formulation for calculating the transition matrix elements (involving solution of response equations for all operators instead of solving for the so-called N vectors which is the default).

#### .OPERAT

READ (LUCMD, '(2A8)') LABELA, LABELB

Read pairs of operator labels for which the residue of the linear response function is desired. Can be used to calculate the transition property for a given operator by specifying that operator twice. The operator can be any of the one-electron operators for which integrals are available in the **\*\*INTEGRALS** input part.

#### .SELEXC

### READ(LUCMD,\*) IXSYM1,IXST1,IXSYM2,IXST2

Select which excited states the calculation of transition properties are carried out for. The default is all states according to the CCEXCI input section (the program takes into account symmetry). For calculating selected states only, provide a list of symmetry and state numbers (order after increasing energy in each symmetry class) for state one and for state two. This list is read until next input label is found.

# 31.12 Excited-state first-order properties: \*CCEXGR

In the **\*CCEXGR** section the input that is specific for coupled cluster response calculation of excited-state first-order properties is read in. This section includes presently for example calculation of excited-state dipole moments and second moments of the electronic charge distribution. In many cases the information generated in this way is helpful for making qualitative assignments of the electronic states, for example in conjunction with the oscillator strengths and the orbital analysis of the response eigenvectors presented in the output.

The excited-state properties are available for the models CCS, CC2, CCSD, and CC3, but only for singlet states. The theoretical background for the implementation is detailed in Ref. [341, 342]. This section has to be used in connection with \*CCEXCI for the calculation of excited states.

The properties calculated are in the approach now generally known as coupled cluster response—for these frequency independent properties this coincides with the so-called orbital-unrelaxed energy derivatives (and thus the orbital-unrelaxed finite-field result) for the excited-state total energies as obtained by the sum of the CC ground state energy and the CC response excitation energy.

**Note of caution:** Default in this section is therefore orbital *unrelaxed*, while for the ground state first order properties **\*CCFOP** default is *relaxed*. To find results in a consistent approximation turn orbital relaxation off for the ground state (for CCS, CC2, CCSD) calculation.

#### **Reference literature:**

O. Christiansen, A. Halkier, H. Koch, P. Jørgensen, and T. Helgaker J. Chem. Phys., 108, 2801, (1998).

- . ALLONE Calculate all of the above-mentioned excited state properties (all the above-mentioned property integrals are needed).
- .DIPOLE Calculate the excited state permanent molecular electric dipole moment (DIPLEN integrals).
- .NQCC Calculate the excited state electric field gradients at the nuclei (EFGCAR integrals).

.OPERAT

READ (LUCMD, '(1X, A8)') LABPROP

Calculate the excited state electronic contribution to the property defined by the operator label LABPROP (corresponding LABPROP integrals needed).

.QUADRU Calculate the excited state permanent traceless molecular electric quadrupole moment (THETA integrals). Note that the origin is the origin of the coordinate system specified in the MOLECULE.INP file. .RELCOR Calculate excited state scalar-relativistic one-electron corrections to the energy (DARWIN and MASSVELO integrals).

.SECMOM Calculate the excited state electronic second moment of charge (SECMOM integrals).

### .SELEXC

### READ(LUCMD,\*) IXSYM,IXST

Select which excited states the calculation of excited state properties are carried out for. The default is all states according to the CCEXCI input section. When calculating selected states only, provide a list of symmetry and state numbers (order after increasing energy in each symmetry class). This list is read until next input label is found.

# 31.13 Excited state linear response functions and two-photon transition moments between two excited states: \*CCEXLR

In the **\*CCEXLR** section input that is specific for double residues of coupled cluster cubic response functions is read in. Results obtained using this functionality should cite Ref. [350, 351]. This section includes:

• frequency-dependent second-order properties of excited states

$$\alpha_{AB}^{(i)}(\omega) = -\langle\langle A; B\rangle\rangle_{\omega}^{(i)}$$

where A and B can be any of the one-electron operators for which integrals are available in the **\*\*INTEGRALS** input part.

• two-photon transition moments between excited states.

Double residues of coupled cluster cubic response functions are implemented for the models CCS, CC2, CCSD, and CC3.

### **Reference literature:**

C. Hättig, and P. Jørgensen J. Chem. Phys., 109, 4745, (1998).

C. Hättig, O. Christiansen, S. Coriani, and P. Jørgensen J. Chem. Phys., **109**, 9237, (1998).

.ALLSTA Calculate excited-state polarizabilities for all excited states.

.DIPOLE Evaluate all symmetry-allowed elements of the dipole–dipole tensor of the double residues of the cubic response function (a maximum of six components for second-order properties, and a maximum of nine for two-photon transition moments).

.FREQ

READ (LUCMD,\*) MFREQ READ (LUCMD,\*) (BEXLRFR(IDX),IDX=NEXLRFR+1,NEXLRFR+MFREQ) Frequency input for  $\alpha_{AB}^{(i)}(\omega)$ .

.HALFFR Use half the excitation energy as frequency argument for two-photon transition moments. Note that the .HALFFR keyword is incompatible with a user-specified list of frequencies.

For excited-state second-order properties the .HALFFR keyword is equivalent to the .STATIC keyword.

### .OPERAT

```
READ (LUCMD,'(2A)') LABELA, LABELB
DO WHILE (LABELA(1:1).NE.'.' .AND. LABELA(1:1).NE.'*')
READ (LUCMD,'(2A)') LABELA, LABELB
END DO
```

Read pairs of operator labels. For each of these operator pairs, the double residues of the cubic response function will be evaluated at all frequencies. Operator pairs which do not correspond to symmetry-allowed combinations will be ignored during the calculation.

#### .PRINT

READ (LUCMD,\*) IPRINT

Set print parameter for the **\*CCEXLR** section.

#### .SELSTA

```
READ (LUCMD,'(A80)') LABHELP
DO WHILE(LABHELP(1:1).NE.'.' .AND. LABHELP(1:1).NE.'*')
READ(LUCMD,*) ISYMS(1), IDXS(1), ISYMS(2), IDXS(2)
END DO
```

Read symmetry and index of the initial state and the final state. If initial and final state coincide one obtains excited state second-order properties (or, more precisely, the difference of the excited state second-order property relative to the ground-state property), if the two excited states are different one obtains the two-photon transition moments between the two excited states.

.STATIC Add  $\omega = 0$  to the frequency list.

.USELEF Use left excited-state response vectors instead of the right excited-state response vectors (default is to use the right excited-state response vectors).

# 31.14 Numerical Gradients \*CCGR

This section is used in the calculation of numerical derivatives of the CC energy. Since it is numerical it can be used for all models and both ground and excited states.

For excited states there is the problem of specifying which excited state is to be studied - and keeping track of this. One can specify the excited state by symmetry and number, using the keywords below. This works fine for gradients (though ordering may change in course of the optimization, but excited state optimization will inevitably be less black box than ground state optimizations), but can fail when there is symmetry lowering in the calculation of numerical Hessians. For this purpose one can give the excitation energy for the appropriate state at a lower level (for example CCS) and from that find symmetry and number used in the real higher level calculation. It is implemented by the keywords in the CCEXCI section.

.NUMGD Specify that the calculation of gradients is to be done numerically. All that is required for coupled cluster (in addition to appropriate minimization, keywords see Chapter 6).

#### .XSTNUM

READ (LUCMD,\*) IXSTAT

The number of the excited state for which the gradient is to be calculated (counted in terms of increasing energy).

#### .XSTSYM

#### READ (LUCMD,\*) IXSTSY

Symmetry for excited state for which gradient is to be calculated.

# 31.15 Calculation of linear response properties using an asymmetric Lanczos algorithm: \*CCLRLCZ

This section describes how to perform a calculation of (damped) linear response properties using an asymmetric Lanczos algorithm, instead of the standard iterative algorithm used in all other CC response sections.

Note that this is still highly experimental code, by no means optimized or efficient.

For understanding the theoretical background for some aspects of the method see Refs. [352, 353].

The properties that can be addressed with the current implementation are

- Global excitation energy spectrum (excitation energies and oscillator strengths) for a specific Cartesian component of the electric dipole operator;
- Diagonal (xx, yy or zz) component of the Damped Real dipole polarizability (for a given damping parameter);
- Diagonal (xx, yy or zz) component of the Damped Imaginary dipole polarizability (for a given damping parameter);
- Absorption Cross section spectrum / Dispersion profile for a selected laser frequency interval
- Sum rules S(n), L(n) and I(n) for n = 2, 0, ..., -6

## Reference literature:

S. Coriani, O. Christiansen, T. Fransson and P. Norman *Phys. Rev. A*, **85**, 022507, (2012).

S. Coriani, T. Fransson, O. Christiansen and P. Norman, J. Chem. Theory Comp., 8, 1616, (2012).

The method is implemented for the iterative CC models CCS, CC2 and CCSD (for singlet states only).

#### .OPERAT

READ (LUCMD, '(2A)') LABELA, LABELB

Read the wished pair of operator labels. Note that ONLY LABELA=LABELB works in current implementation, and only for one pair at a time (i.e. you need to run 3 jobs if you want both xx, yy and zz components.

### .CHAINL

READ (LUCMD, '(41)') JCHAIN

Read the wished maximum dimension of the Lanczos chain (the "chain length"). This length is reset if it is found larger than the excitation space for the considered system.

### .FREQ I

READ(LUCMD,\*) (FREQ\_RANGE(I), I=1,3)

Read the frequency interval within which to generate the absorption cross section spectrum.  $FREQ_RANGE(1) = start$  frequency;  $FREQ_RANGE(2) = end$  frequency,  $FREQ_RANGE(3) = frequency$  step.

### .DAMPING

READ (LUCMD,\*) NDAMP READ (LUCMD,\*) (DAMPING(I),I=1,NDAMP)

Read the wished number of damping parameters (NDAMP) and the their values (DAMPING(I)). If nothing is specified, a default value of 1000 cm<sup>-1</sup> (0.004556 a.u.) will be used.

.SUMRULES

## 31.16 R12 methods: \*R12

The calculation of MP2-R12 energy corrections is requested. Note that an integral-direct calculation must be carried out and that the key .R12 must be specified in the **\*\*INTEGRALS** section.

#### Reference literature:

W. Klopper and C. C. M. Samson, J. Chem. Phys. 116, 6397 (2002).
C. C. M. Samson, W. Klopper and T. Helgaker, Comp. Phys. Commun. 149, 1 (2002).

- .NO 1 Results for Ansatz 1 of the MP2-R12 method are not computed.
- .NO 2 Results for Ansatz 2 of the MP2-R12 method are not computed.
- .NO A Results for approximation A of the MP2-R12 method are not printed.
- .NO A' Results for approximation A' of the MP2-R12 method are not printed.
- .NO B Results for approximation B are not computed and not printed.
- .NO RXR Those extra terms are ignored, which occur in approximation B when an auxiliary basis set is invoked for the resolution-of-identity (RI) approximation. If they are included, the results are marked as B'.
- .NO HYB The default MP2-R12 calculation implemented in the DALTON program avoids two-electron integrals that involve two or more basis functions of the auxiliary basis (of course, only if such a basis is employed). This approach is denoted as hybrid scheme between approximations A and B. To obtain the full MP2-R12 energy in approximation B, the keyword .NO HYB must be specified. Then, two-electron integrals with up to two auxiliary basis functions are calculated but the calculation becomes more time-consuming.
- .R12DIA The MP2-R12 equations are solved by diagonalizing the matrix representation of the Fock operator in the basis of R12 double replacements. If negative eigenvalues occur, a warning is issued. When this happens, the results should not be trusted, since the RI approximation appears to be insufficiently accurate. This diagonalizing is the default.
- .R12SVD The MP2-R12 equations are solved by single value decomposition (the use of this keyword is not recommended).

.R12XXL All possible output from the MP2-R12 approach is generated (the use of this keyword is not recommended).

### .SVDTHR

READ (LUCMD,\*) SVDTHR

Threshold for singular value decomposition (default =  $10^{-12}$ ).

### .VCLTHR

READ (LUCMD,\*) SVDTHR

Threshold for neglect of R12 terms (default = 0, neglecting nothing).

# 31.17 Cholesky based MP2: \*CHOMP2

In this Section, we describe the keywords controlling the algorithm to calculate MP2 energies and second order properties using Cholesky decomposed two-electron integrals. The Cholesky MP2 algorithm will be automatically employed if the keyword .CHOLES was activated in the **\*\*DALTON** input module.

**Reference literature:** 

H. Koch, A. M. J. Sánchez de Merás, and T. B. Pedersen J. Chem. Phys., **118**, 9481, (2003).

### .ALGORI

READ (LUCMD,\*) IALGO

Set algorithm: 0 to let the CHOMP2 routine decide according to available memory, 1 to force storage of full-square (ia|jb) integrals in core, 2 to batch over one virtual index, 3 to batch over two virtual indices. (Default: 0).

.CHOMO Decompose the (ai|bj) integrals.

```
.MP2SAV Save the MP2 amplitudes on disk. (Default: .FALSE.)
```

.MXDECM

READ (LUCMD,\*) MXDECM

Read in the maximum number of qualified diagonals in the decomposition of the (ai|bj) integrals. (Default: 50).

.NCHORD

READ (LUCMD,\*) NCHORD

Read in the maximum number of previous vectors to be read in each batch. (Default: 200).

.NOCHOM No decompositions of the (ai|bj) integrals. But, of course, the previously calculated decomposed two-electron AO integrals will be used. This is the default.

.OLDEN2

READ (LUCMD,\*) OLDEN2

In a restarted calculation, read in the contribution to the MP2 energy from the virtual orbitals treated in a previous calculation. This information can be found in the file CHOMP2\_RST from the previous calculation. (Default: 0.0D0).

### .RSTMP2

### READ (LUCMD,\*) IFSYMB, IFVIRB

Restart Cholesky MP2 calculation. The next line reads the symmetry and the number of the first virtual orbital to be included in the calculation. This information can be gotten in the file CHOMP2\_RST from the previous calculation.

.SKIPCH Skip (ai|bj) decomposition; read info from disk

.SKIPTR Skip MO transformation; use old vectors.

### .SPAMP2

#### READ (LUCMD,\*) SPAMP2

Span factor for (ai|bj) decomposition. (Default: The span factor used in the decomposition of the two-electron integrals in the AO basis).

#### .SPLITM

### READ (LUCMD,\*) SPLITM

Weight factor for Cholesky part in memory split for batching over virtuals. (Default: 1.0D0).

### .THRMP2

#### READ (LUCMD,\*) THRMP2

Threshold for decomposition. (Default: The threshold used in the decomposition of the two-electron integrals).

#### .ZERO

### READ (LUCMD,\*) THZMP2

Threshold for diagonal zeroing in decompositions. (Default: The same used in the decomposition of the two-electron integrals).

# 31.18 Cholesky based CC2: \*CHOCC2

In this Section, we describe the keywords controlling the algorithm to calculate CC2 energies as well as first and second order properties using Cholesky decomposed two-electron integrals. The Cholesky CC2 algorithm will be automatically employed if the keyword .CHOLES was activated in the **\*\*DALTON** input module. Calculation details such as, for instance, the frequency at which the dipole polarizability is computed, are specified in the **\*CCLR** section.

#### **Reference literature:**

H. Koch, A. M. J. Sánchez de Merás, and T. B. Pedersen *J. Chem. Phys.*, **118**, 9481, (2003).

T. B. Pedersen, A. M. J. Sánchez de Merás, and H. Koch J. Chem. Phys., **120**, 8887, (2004).

#### .ALGORI

```
READ (LUCMD,*) IALGO
```

Set algorithm: 1 for single virtual batch or 2 for double. (Default: 2).

- . CHOMO Decompose the (ia|jb) integrals. Implies no decomposition of the CC2  $t_2$  amplitudes.
- . CH0T2 Decompose the CC2  $t_2$  amplitudes. Implies no decomposition of the (ia|jb) integrals.

### .MXDECM

READ (LUCMD,\*) MXDECM

Read in the maximum number of qualified diagonals in a batch of the decomposition. (Default: 50).

### .NCHORD

READ (LUCMD,\*) NCHORD

Read in the maximum number of previous vectors to be read in each batch. (Default: 200).

.NOCHOM No decompositions in CC2 section. But, of course, the previously calculated decomposed two-electron integrals will be used. This is the default.

.SPACC2

READ (LUCMD,\*) SPACC2

Span factor for decomposition. (Default: The span factor used in the decomposition of the two-electron integrals).

# .SPACCC

### READ (LUCMD,\*) SPACCC

Span factor to use in amplitude decomposition for response intermediates and righthand sides. (Default: The span factor used in the decomposition of the two-electron integrals).

#### .SPLITM

### READ (LUCMD,\*) SPLITM

Weight factor for Cholesky part in memory split for batching over virtuals. (Default: 1.0D0).

### .THRCC2

#### READ (LUCMD,\*) THRCC2

Threshold for decomposition. (Default: The threshold used in the decomposition of the two-electron integrals).

### . THRCCC

### READ (LUCMD,\*) THRCCC

Threshold to use in amplitude decomposition for response intermediates and righthand sides. (Default: The threshold used in the decomposition of the two-electron integrals).

### .ZERO

#### READ (LUCMD,\*) THZCC2

Threshold for diagonal zeroing in decompositions. (Default: The same used in the decomposition of the two-electron integrals).

# 31.19 Cholesky based CCSD(T): \*CHO(T)

In this Section, we describe the keywords controlling the calculation of the CCSD(T) energy correction using Cholesky decomposed energy denominators. The calculation can also be invoked by using .CHO(T) in the \*CC INPUT section. In this case, default values will be used.

The calculation is driven in a batched loop over virtual orbitals followed by the computation of the contributions from the occupied terms in the order H, H1, F1, C1 and, finally, C2. Note that in the case of restarted calculations, the user must provide the value of the already computed contributions by means of the keywords .OLD4V, .OLD5V, .OLD4O, and .OLD50. The required numerical values can be found in the CHOPT\_RST file from the previous calculation. For further details on the implementation, see Refs. [138, 139].

#### **Reference literature:**

H. Koch and A. M. J. Sánchez de Merás *J. Chem. Phys.*, **113**, 508, (2000).

#### .MXCHVE

```
READ (LUCMD,*) MXCHVE
```

Maximum number of vector to include in the expansion of the orbital energy denominators. Defaults to 10, but normally 6 vectors are enough to get micro-hartree precision.

#### .OLD40

READ (LUCMD,\*) OLD40

Read in the contribution to the 4th-order correction from occupied orbitals as specified in the CHOPT\_RST file from a previous calculation. (Default: 0.0D0).

### .OLD50

```
READ (LUCMD,*) OLD50
```

Read in the contribution to the 5th-order correction from occupied orbitals as specified in the CHOPT\_RST file from a previous calculation. (Default: 0.0D0).

### .OLD4V

### READ (LUCMD,\*) OLD4V

Read in the contribution to the 4th-order correction from virtual orbitals as specified in the CHOPT\_RST file from a previous calculation. (Default: 0.0D0). .OLD5V

### READ (LUCMD,\*) OLD5V

Read in the contribution to the 5th-order correction from virtual orbitals as specified in the CHOPT\_RST file from a previous calculation. (Default: 0.0D0).

- .RSTC1 Restart the calculation from the C1 term. The virtual contribution as well as those from some occupied terms will not be computed (see above).
- .RSTC2 Restart the calculation from the C2 term. The virtual contribution as well as those from some occupied terms will not be computed (see above).
- .RSTF1 Restart the calculation from the F1 term. The virtual contribution as well as those from some occupied terms will not be computed (see above).
- .RSTH Restart the calculation from the H term. The virtual contribution will not be computed (see above).
- .RSTH1 Restart the calculation from the H1 term. The virtual contribution as well as that from the occupied H term will not be computed (see above).

### .RSTVIR

#### READ (LUCMD, \*) IFVISY, IFVIOR

Restart the virtual loop at B-orbital IFVIOR of symmetry IFVISY. The contribution due to previous virtual orbitals will not be computed.

- .SKIVI1 Use existing CHO\_VI1 file instead of building it up from CC3\_VI.
- .SKIVI2 Use existing CHO\_VI2 file instead of building it up from CC3\_VI12.
- .THRCHO
  - READ (LUCMD,\*)') THRCHO

Threshold for skipping remaining Cholesky vectors in each term. (Default: 0.0D0).

# 31.20 Definition of atomic subsystems by Cholesky decomposition of the density matrix: \*CHOACT

In this Section, we describe the keywords controlling the algorithm to define atomic subspaces by Cholesky decomposing one-electron density matrices. In the present implementation, the correlated calculation is restricted to the active calculation, while the inactive space is kept frozen in such correlated calculation. We note that decomposition of two-electron integrals is not required.

#### **Reference literature:**

A. M. J. Sánchez de Merás, H. Koch, I. G. Cuesta, and L. Boman J. Chem. Phys., 132, 204105, (2010).

. ACTFRE

READ (LUCMD,\*) NACTFR

In addition to the inactive atomic subspace, freeze also the NACTFR acitve orbitals with lowest orbital energies. (Default: 0).

#### .ATOMIC

READ (LUCMD,\*) NACINP

```
READ (LUCMD,*) (LACINP(I), I=1,NACINP)
```

Read in the number of active symmetry-independent centers. Next line lists the active atoms, which are numbered in the order of the standardized input DALTON.BAS. A negative value of NACINP allows for specifying a (long) list of active atoms in several lines. In this case, the line following the negative NACINP gives the number of atomic centers listed below. This two lines group is repeated as many times as needed to reach the total number of elements -NACINP. See choact\_mp2\_energy testcase for an example.

#### .DIFADD

```
DO ISYM = 1,NSYM
```

```
READ(LUCMD,*) NEXTBS(ISYM)
READ(LUCMD,*) (IEXTBS(I,ISYM), I=1,NEXTBS(ISYM))
```

END DO

Add to the atomic active space selected (diffuse) basis. (Default: No extra basis is added to the active space).

.DOSPRE Calculate orbital spreads as described in Ref. [354]. This option is only implemented with no symmetry. (Default: .FALSE.)

.FULDEC Full decomposition of occupied and virtual densities as in Ref. [355]. Not suitable to define atomic subspaces. (Default: .FALSE.)

#### .LIMLOC

READ (LUCMD,\*) (MXOCC(I), I=1,NSYM)

READ (LUCMD,\*) (MXVIR(I), I=1,NSYM)

Read maximum number of occupied and virtual Cholesky active orbitals. As default, these numbers are determined by the thresholds of the Cholesly decomposition of the correspondent density matrices.

- .MINSPR Determine Cholesky orbitals to minimize orbital spread as described in Ref. [354]. This option is only implemented with no symmetry. (Default: .FALSE.)
- .NOSLDR Decompose the whole density matrices in an atom-by-atom basis scheme and select afterwards according to the values given under keyword .ATOMIC (See Reference literature for details). This option works only without symmetry. (Default: .FALSE.)

.SELDIA

```
READ (LUCMD,*) NABSOC
READ (LUCMD,*) (LACBAS(I), I=1,NABSOC)
READ (LUCMD,*) NABSVI
READ (LUCMD,*) (LACBSV(I), I=1,NABSVI)
Read list of specific diagonals (basis) to decompose in occupied and virtual density
matrices. It is assumed that the list of occupied active diagonals can fit in a single
line, but provided that NABSVI is less than zero several lines can be used for virtual
```

diagonals by using the same procedure than in option .ATOMIC.

. THACOC

READ (LUCMD,\*) THACOC

Threshold for the decomposition of the occupied density matrix. (Default: 0.2)

.THACVI

READ (LUCMD,\*) THACVI

Threshold for the decomposition of the virtual pseudo-density matrix. (Default: 0.2)
# Part IV Appendix: DALTON Tool box

#### Appendix: DALTON Tool box

This appendix describes the pre- and postprocessing programs supplied to us by various users and authors. The programs are designed to create files directly usable by the DALTON program, or do various final analyses of one or more DALTON output files. We strongly encourage users to supply us with any such programs they have written in connection with their own use of the DALTON program, which we will be glad to distribute along with the DALTON program.

This appendix gives a short description of the programs supplied with the current distribution of the program, with proper references and a short description of the use of the program.

Executable versions of the programs in this directory will automatically be produced during installation of the DALTON program and placed in the build/tools directory.

#### A1: FChk2HES

#### Author: Gilbert Hangartner, Universität Freiburg, Switzerland

**Purpose:** Reads the formatted checkpoint file of Gaussian and creates a DALTON.HES file with Hessian and atomic coordinates as well as a dummy MOLECULE.INP file.

#### Commandline: FChk2HES [-n] [filename]

-n does not read and write geometry; filename is optional, default is "Test.FChk". Comments: The Gaussian checkpoint file "Test.FChk" is created with the keyword "Form-Check=ForceCart", and where the keyword "NoSymm" has been specified

If symmetry is used: Hessian will be in standard(Gaussian) orientation, but geometry will be in input orientation. So do not use the MOLECULE.INP file from this utility, neither the coordinates in the end of DALTON.HES. Use either the standard-orientation from Gaussian output file, or use the converted checkpoint file (created with the command "formchk checkpointfilename convertedfilename") as an input for this program. Anyway, this will not lead to the geometry you specified in the input, and the Hessian will be incompatible with the calculation you want to do in DALTON. (If this was already carried out at the input orientation ...)

The only way to get the geometry orientation you want is to turn off symmetry. Then, both the Hessian and the geometry in the Test.FChk file are in the input orientation, and everything is fine.

The program has been made for the purpose of being used in VCD or VROA calculations where it may be of interest to compare predicted spectra obtained from SCF London orbital invariants/AATs with a MP2 or DFT force field.

#### A2: labread

#### Author: Hans Jørgen Aa. Jensen, Odense University, Denmark

**Purpose:** Reads unformatted AO-integral files and prints the INTEGRAL labels found on the file

**Commandline:** labread < infile > outfile

**Comments:** A convenient tool in connection with DALTON errors connected to missing labels on a given file in order to check that the given integrals do indeed, or do not, exist on a given file (usually AOPROPER).

#### A3: ODCPRG

#### Author: Antonio Rizzo (Istituto di Chimica Quantistica ed Energetica Molecolare, Pisa, Italy), and Sonia Coriani (University of Trieste, Italy)

**Purpose:** Analyze the magnetizability and nuclear shielding polarizabilities from a set of finite-field magnetizability and nuclear shielding calculations.

#### Commandline: odcprog

Requires the existence of a reading.dat file, and n DALTON.CM files, where n is the number of finite field output files.

#### readmg.dat:

TITLE (1 line) N ZPRT IPG ISSYM NIST NLAST NSTEP FILE1 FILE2 ... FILEN

where

N Number of output DALTON.CM files for the FF calculations

ZPRT Sets the print level (T for maximum print level, F otherwise)

IPG Point group (1=Td, 2=Civ, 3=D2h, 4=C2v, 5=C3v, 6=Dih)

ISSYM Site symmetry of the atom for which the shielding polarizabilities are required

NIST First atom of which shielding polarizabilities must be computed (of those in the DALTON.CM files)

NLAST Last atom of which shielding polarizabilities must be computed (of those in the DALTON.CM files)

NSTEP Step to go from nist to nlast in the do-loop for shielding polarizabilities

FILEn nth DALTON.CM file (current name and location)

**Comments:** Only 6 point groups are presently implemented, of which C3v only for shielding-polarizabilities and Dih only for hyperpolarizabilities

When issym.ne.ipg and both hypermagnetizabilities and shielding polarizabilities are required, the number of field set-ups should be equal to the one for shieldings

Different field set-ups are needed according to molecular and/or nuclear site symmetries See for reference Raynes and Ratcliffe [356]. Linear molecules along the Z axis, planar molecules on XZ plane. In general, follow standard point symmetry arrangements

 $\mathrm{T}_d\,$  Eg.  $\mathrm{CH}_4$  - No symmetry - 3 calculations

Need	0	0	0
Need	0	0	z
Need	x	0	z

 $C_{\infty v}$  Eg. CO - No symmetry - 5 calculations

0	0	0
x	0	0
0	0	z
0	0	-z
х	0	z
	0 x 0 0 x	0 0 x 0 0 0 0 0 x 0

 $D_{2h}$  Eg.  $C_2H_4$  - No symmetry - 7 calculations

Need	0	0	0
Need	0	0	z
Need	x	0	0
Need	0	у	0
Need	х	у	0
Need	x	0	z
Need	0	у	z

 $C_{2v}$  Eg. H<sub>2</sub>O - No symmetry - 8 calculations

Need	0	0	0	
Need	0	0	z	
Need	0	0	-z	
Need	x	0	0	
Need	0	у	0	
Need	x	у	0	
Need	x	0	z	
Need	0	у	z	

 $C_{3v}$  Eq. Shielding H1 in  $CH_4$ 

Need	0	0	0
Need	0	0	z
Need	0	0	-z
Need	х	0	0
Need	-x	0	0
Need	х	0	z

 $D_{\infty h}$  Eg. N<sub>2</sub> - 4 calculations

Need	0	0	0
Need	0	0	z
Need	x	0	0
Need	x	0	z

#### A4: xyz2dalton, distances, and aces2dalton

This documents the use of three small utilities (xyz2dalton, distances, and aces2dalton) that are written by Peter Robert Taylor and distributed with Dalton starting with release Dalton2013. Two are designed to assist in the preparation of Dalton input files; one is less specifically Dalton-oriented but may be useful in a variety of contexts when setting up calculations. All three programs run as filters in traditional UNIX style, that is, they are invoked as

#### program <input\_file >output\_file

and by default would read from standard input (the keyboard, which is almost certainly not what you want!) and write to standard output (the screen, which is probably not what you want either...). Error messages are written to standard error. The first few source lines of each program are fairly detailed explanations of how to use them. xyz2dalton reads an XYZ coordinate file from, e.g., the PDB, and produces a complete-except-for-the-basis Dalton mol file (with coordinates in atomic units). There is no default basis choice and so the mol file generated is not "correct" until the user edits it to provide a basis choice of his/her own. The program currently writes "Choose basis here!" in output\_file where the basis should be specified. This also implicitly assumes the user will make a global basis choice: for ATOMBASIS inputs the user will need to edit the mol file further. The program is invoked as

#### xyz2dalton [-c] <input\_file >output\_file

where including the optional argument -c causes the geometry origin to be translated to the molecular centre of mass (using IUPAC atomic weights for the most abundant isotope of each element).

distances reads an XYZ file and calculates a list of internuclear distances. This is probably of limited interest to users but can be helpful to identify neighbouring atoms: something that is not always easy with XYZ files. Command-line arguments can be specified to restrict both the minimum distances and maximum distances that appear in the output file, so the program can be invoked (there should be no line break in the command line here: the break shown is purely for typesetting convenience) as

distances [--min lower\_bound] [--max upper\_bound]

#### < XYZinputfile > Distancesfile

where only distances between lower\_bound and upper\_bound will appear in the output file. The default values for the bounds are respectively zero and infinity, so by default all distances are printed.

The limitations on dimensioning for the numbers of nuclear centres in the codes (xyz2dalton and distances) are determined in large part by the desire to ensure that every atom is numbered differently. This means single letter atoms can go to 999 (the first carbon, say, will be labelled C001) but two-letter atoms only to 99 (the first helium will be He01).

There are some idiosyncrasies that result from handling XYZ files. There is no convention/requirement that all atoms of a given type will be grouped together in the XYZ file. For many reasons, however, it is desirable to have a Dalton mol file in which each atom type occurs only once, and this is how the coordinate information is eventually written to stdout. The atom types are listed by decreasing atomic number.

aces2dalton reads a file output in ACES/C4 library format from the EMSL basis set library server. The EMSL server can export a variety of different formats, including a Dalton format. However, the EMSL developers insist on only one output format for each program they export for, and there are several different Dalton formats, related to the need to handle different exponent sizes, and the Dalton format EMSL produces is not always convenient/ideal. With aces2dalton a user can produce a library file in Dalton format for a desired basis set, for any or all atoms that set is available for.

Specify the desired basis sets to be displayed by the EMSL server in ACES/C4 format, remember to ensure "Optimize general contractions" is checked, and save the page that EMSL generates as a text file from within the browser window, Such a file can contain basis sets for as many elements as you like. After running

#### aces2dalton <input\_file >output\_file

where input\_file is the text file you just saved from the EMSL webpage, move output\_file to a directory where Dalton will find it (see the Dalton documentation). The code will work for angular momenta up to l = 7 and for all elements up to copernicium (Z = 112). It is assumed that no basis set will contain more than 100 exponents of a given angular type. No more than 7 decimal places are possible for any exponent, and no more than 6 for a contraction coefficient. For exponents larger than 1,000,000 only 3 decimal places are available. It should be straightforward for a user who wants to change any of these limits by editing the source: most limits are set in PARAMETER statements in the various MODULES.

# Part V References

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# Part VI Index

### Index

\*\*CHOLES, 165, 216 \*\*DALTON, 17, 48, 55, 57, 65, 116, 128, 132, 164, 165, 183, 216, 344, 345, 414, 416 \*\*DALTON INPUT, 184, 218, 238 \*\*EACH STEP, 17, 48 \*\*END OF, 17 \*\*END OF DALTON INPUT, 343 \*\*HERMIT, 345 \*\*INTEGRAL, 88, 385 \*\*INTEGRALS, 13, 78, 88, 112, 114, 121, 122, 211, 218, 219, 226, 236, 237, 240, 241, 346, 348, 351, 370, 382, 384, 387, 390, 396, 402, 404, 407, 412 \*\*MOLORB, 33, 265, 291, 304 \*\*NATORB, 33, 265, 291 \*\*NMDDRV, 211, 215 \*\*PROPE, 44\*\*PROPERTIES, 13, 17, 48, 65, 68, 70-76, 78-81, 86, 91, 92, 94, 95, 100, 101, 144 -148, 292, 305, 307, 335, 336, 342 \*\*RESPONSE, 13, 17, 76, 83-86, 88, 105, 106, 144–147, 305, 306, 343 \*\*START, 17, 48 \*\*WALK, 184 \*\*WAVE FUNCTIONS, 13, 28, 30, 32, 33, 70, 73-75, 79-81, 92, 95, 105, 106, 114, 121, 122, 144–147, 152, 218, 264, 265, 295, 332, 333, 342, 344, 345, 375 ABACUS, 1, 13, 17, 70, 114, 120, 143, 185, 186, 208, 241, 292, 302, 305 CC, 58, 143, 158, 159, 165, 266, 375, 376

Cholesky, 164HERMIT, 1, 13, 184, 186, 187, 218, 289, 306, 379 LUCITA, 112, 153, 156, 371, 374 MOLECULE, 242, 243, 282 RESPONSE, 1, 13, 15, 17, 18, 68, 71, 92, 103, 114, 120, 143, 186, 218, 302, 305, 342, 346, 348 SIRIUS, 1, 13, 28, 33, 114, 186, 187, 263– 267, 291, 292, 303, 304, 325, 344, 375, 430 ERI, 1, 184, 186, 218, 238, 240, 379 TWOINT, 218, 237, 238 1-4, 275.1ELPOT, 219 .1STORD, 187 .2ELDAR, 382 .2NDORD, 44, 49, 187 .3BODY, 271 .5D7F9G, 289 .A2TEST, 351, 353, 357 AAT, 314, 423 \*AAT, 93, 314 \*ABALNR, 66, 70, 100, 315 .ABCHK, 348 .ABOCHK, 346, 347 \*ABSORP, 365 .ABSORPTION, 284 ABSORPTION STRENGTH COUPLED CLUSTER, 395 .ABSYM, 348

#### INDEX

.ABUNDA, 333 ABUNDANCE, 81 SPIN-SPIN, 334.ACTFRE, 420ACTIVE ELECTRONS, 269 ACTIVE ORBITAL, 269 ACTIVE SPACE, 33, 35 .ACTROT, 284 .AD2DAR, 219 .ADD-SO, 369 .ALGORI, 414, 416 .ALL, 293 .ALL CO, 319 .ALLCOM, 322 .ALLONE, 382, 405 .ALLSTA, 407.ALPHA, 70, 202, 306, 310, 365 .ALWAYS ABSORPTION, 285 AMFI, 141 .ANALYZ, 367, 373, 374 .ANGINT, 272 .ANGLES, 321.ANGLON, 219, 226 .ANGMOM, 220, 357, 398, 399 ANGULAR MOMENTUM, 220, 346, 347, 349, 355, 357, 363 .ANHA-P, 214, 215 . ANHARM, 205ANO BASIS SET, 12, 242, 251, 253 .ANTTES, 348.AO DELETE, 289 .AO-SOPPA, 147, 332, 333 .AOBTCH, 238 AOPROPER, 219, 382 .AOSOC, 148, 333 .AOSOP, 148, 332 .APROP, 351, 353, 359, 360, 362 APT, 13, 61, 62, 306

.AREATS, 118, 202 .ASPIN, 351 .ASYMSD, 384ATOMBASIS, 12, 251, 252 .ATOMIC, 420 ATOMIC AXIAL TENSOR, 314, 423 ATOMIC INTEGRALS, 1, 13, 16 ATOMIC POLAR TENSOR, 13, 61, 62, 306 .ATOMS, 368 .AUTOCCUPATION, 295 \*AUXILIARY INPUT, 265, 267 .AVERAG, 385, 387, 390, 391 B-TERM, 355, 402 .BOSKIP, 377 B1PW91, 277 B3LYP, 276, 277 B3LYP, GAUSSIAN VERSION, 277 B3LYPG, 277 B3P86, 277 B3P86, GAUSSIAN VERSION, 277 B3PW91, 277 B86, 273 B86LYP, 277 В86мх, 273 B86P86, 277 B86PW91, 278 B86VWN, 277 B97, 275 B97-1, 275 B97-2, 275 B97-D, 275 B97-K, 275 .BAKER, 46, 187 BASIS, 251 BASIS ANO, 258 AUGMENTED, 252CORRELATION-CONSISTENT, 252, 255
```
BASIS FUNCTION
                                         .BRT-SO, 370
   CARTESIAN, 244
                                         .BSPIN, 351
   CONTINUUM, 210
                                        BSSE, 57, 58
   Rydberg, 210
                                         .BUFFER, 238
   SPHERICAL, 244
                                        BUG REPORTS, 3
BASIS SET, 22
                                        BUGS, 9
   ANO, 242, 251, 253
                                        BVWN, 276
   CONVERGED GEOMETRY, 194
                                        BW, 278
   CORRELATION-CONSISTENT, 256
                                        C, 5
   ECP, 140
                                         C PREPROCESSOR, 5
   EMSL LIBRARY, 242
                                         .C10ATM, 363
   LIBRARY, 242-244, 251, 252, 255
                                         .C10LMO, 363
   NQvD, 242, 251, 253
                                         .C10SPH, 363
   POLARIZATION-CONSISTENT, 257
                                         .C10xxx, 365
   QUALITY, 345
                                         .C2DIIS, 295, 299
   SADLEJ, 242
                                         *C6, 363
   SUPERPOSITION ERROR, 57, 58
                                         .C6ATM, 363
   TURBOMOLE, 243
                                         .C6LMO, 363
BASIS SETS
                                         .C6SPH, 363
   PREOPTIMIZATION, 192
                                         .C6xxx, 365
BECKE, 273
                                         .C8ATM, 363, 365
.BETA, 365
                                         .C8LMO, 363, 365
.BFGS, 187
                                         .C8SPH, 363, 365
BFGS UPDATE, 45, 187
                                        CAMB3LYP, 278
.BFGSR1, 187
                                         .CANONI, 294
.BFREQ, 352, 353, 359, 360, 362, 366
                                        CANONICAL ORBITAL, 294, 297
.BFREQI, 366
                                         .CARMOM, 220, 234
BHANDH, 278
                                         .CARTES, 46, 187, 188
BLYP, 276
                                        CARTESIAN BASIS FUNCTION, 244
.BOFILL, 187, 188, 194
                                        CARTESIAN COORDINATE INPUT, 242, 243,
BOFILL'S UPDATE, 51, 188, 193
                                                246
BOND ANGLE, 321
                                        CARTESIAN COORDINATES, 11, 46, 60, 101,
BOND DISTANCE, 321
                                                187, 188, 194
BONDED ATOMS, 196
                                         .CAS SPACE, 269
.BORDER, 198
                                         CASSCF, 13, 27, 28, 33, 35, 270
BP86, 277
                                        CAUCHY MOMENTS, 384
.BPROP, 351, 353, 359, 360, 362
                                         .CAVITY, 122, 299
BPW91, 277
                                        CAVITY, 119, 122
```

ORIGIN, 236, 306 RADIUS, 299 .CAVORG, 236, 306 CC, 27, 184, 186, 213, 266 .CC, 70, 73-75, 79-81, 92, 95, 105, 106, 145-147, 266, 332, 333, 345, 375 \*CC INP, 88, 216 \*CC INPUT, 70, 73–75, 79–81, 92, 95, 145– 147, 265, 332, 333, 345, 375, 377, 418.CC ONLY, 266 .CC(2), 377.CC(3), 377.CC(T), 158, 377 CC2, 184, 375, 377, 416 .CC2, 377 .CC2PIC, 393 CC3, 375, 377 .CC3, 377 \*CCCR, 390, 391 CCD, 375, 377 .CCD, 377 \*CCEXCI, 169, 393, 395-398, 400-405 \*CCEXGR, 405\*CCEXLR, 407, 408 \*CCFOP, 382, 405 \*CCGR, 409 \*CCLR, 88, 384, 416 \*CCLRLCZ, 410\*CCLRSD, 162, 395 \*CCMCD, 402.CCMM, 132 \*CCQR, 387 \*CCQR2R, 404.CCR(3), 377CCS, 375, 377 .CCS, 377CCSD, 375, 377

.CCSD, 147, 159, 332, 333, 377 CCSD(T), 184, 216, 375, 377, 418 .CCSDPI, 393 \*CCSLV, 132.CCSPIC, 393 .CCSTST, 377 \*CCTM, 400 \*CCTPA, 397 .CENTER, 203 CENTER OF MASS, 75, 79, 100, 236, 306, 308, 311, 312 CENTER OF MASS FUNCTION, 210 .CFREQ, 352, 359, 360, 366 .CHAINL, 411CHARGE OF MOLECULE, 244 CHARGE OF ATOM, 248, 250 CHARGE OF MOLECULE, 296 .CHEXDI, 169, 170, 394 \*CHO(T), 216, 377, 418 .CHO(T), 216, 377, 418 \*CHOACT, 420\*CHOCC2, 216, 416 .CHOLES, 164, 165, 168, 183, 216, 414, 416 CHOLESKY DECOMPOSITION-BASED INTEGRALS, 216CHOLESKY DECOMPOSITION-BASED METH-ODS, 183, 414, 416, 418, 420 .CHOMO, 414, 416 \*CHOMP2, 216, 414 .CHOT2, 416 CI, 27, 58, 205, 266, 267, 270, 298 RESPONSE, 342SINGLES, 377 .CI, 266, 342 \*CI INPUT, 265, 267 .CI PHP MATRIX, 285 \*CI VECTOR, 264, 268, 289

.CIDENSITY, 267 .CINO, 267 CIRCULARLY POLARIZED PHOSPHORESCENCE, 354.CIROOTS, 267, 268 CIS, **377** .CIS, 343, 345, 377 CIS(D), 143 .CITYPE, 372, 373 .CM FUN, 210, 211 .CM-1, 220 .CM-2, 220 .CMBMOD, 188 .CMOMAX, 289 .COARSE, 272 COMBINE, 276 COMMENTS, 17 .COMPAR, 336.COMPLE, 216.CONDIT, 188 CONFIGURATION START, 269\*CONFIGURATION INPUT, 264, 269, 287, 295, 299CONFIGURATION INTERACTION, 27, 58, 205, COTTON-MOUTON, 25 266, 267, 270, 298 RESPONSE, 342SINGLES, 377 CONFIGURATION STATE FUNCTION, 33, 289 .CONSTR, 203.CONSTRAINT, 188, 193 CONTINUOUS TRANSFORMATION OF THE ORI-GIN OF THE CURRENT DENSITY, 72 .CONTINUUM, 210 CONTINUUM BASIS FUNCTION, 210 CONTRACTED BASIS FUNCTION, 249 CONTRACTION COEFFICIENT, 249 .CPPHOV, 354CONVERGENCE

GEOMETRY, 42 GEOMETRY, CRITERIA, 46, 189, 194 GEOMETRY, MAX ITERATIONS, 184 THRESHOLD, 30, 32, 100 WAVE FUNCTION, 28 CONVERGENCE CRITERIA GEOMETRY, 188 COORDINATE SYSTEM, 46 CARTESIAN COORDINATES, 46, 188 DELOCALIZED INTERNAL COORDINATES, 188 REDUNDANT INTERNAL COORDINATES, 45, 46, 187, 193 COORDINATES MASS-WEIGHTED, 54 CORE HOLE, 33, 38, 285, 288, 295, 296, 299.COREHOLE, 285, 295, 296, 299 .CORERELAX, 40, 285, 286, 296 CORRECTNESS, 9 CORRELATING ORBITALS, 283 CORRELATION-CONSISTENT BASIS SET, 252, 255, 256 COSINE INTEGRAL, 224 COUPLED CLUSTER, 27, 184, 213, 266, 375 CUBIC RESPONSE, 390 DOUBLES, 377 LINEAR RESPONSE, 384, 407QUADRATIC RESPONSE, 404 R12 THEORY, 412SINGLES, 377 SINGLES AND DOUBLES, 377 .COUPLING NUCLEUS, 334 .CPPHEC, 354.CPPHMF, 354.CPPHOL, 354

460

.CPROP, 351, 359, 360 CRAY, 5 CSF, 33, 289 .CSPIN, 351 .CTOCD, 72, 74-76, 306, 308, 312 CTOCD-DZ, 72, 74, 75, 85, 306, 308, 312, 385 DIAMAGNETIC MAGNETIZABILITY, 231 DIAMAGNETIC NUCLEAR SHIELDINGS, 232 .CTOSHI, 88, 385 .CTOSUS, 88, 385 \*CUBE, <u>303</u> .CUBE, 199 CUBE FILE, 303 \*CUBIC, 359, 360, 362 .CUBIC , 214CUBIC RESPONSE, 15, 103, 109, 120, 359, 362, 390 DOUBLE RESIDUE, 362SINGLE RESIDUE, 360, 361 CUSP CORRECTION R12 THEORY, 412.D1DIAG, 330 .D2PAR, 271 .D3PAR, 271 \*DALTON, 298 DALTON INPUT FILE, 11, 13, 16, 19, 21, 127, 153, 158, 164 DALTON SHELL SCRIPT, 22 DALTON.INP, 11, 13, 16, 19, 21, 127, 153, 158, 164 DALTON.IRC, 55 DALTON.TRJ, 57 .DAMP, 198 .DAMP CORE, 198 .DAMP INDUCED, 198 .DAMP MULTIPOLE, 198 DAMPED RESPONSE, 365

.DAMPING, 315, 366, 411 DAMPING, 300, 301 .DAMPING FACTOR, 300 .DARWIN, 221DARWIN CORRECTION, 309 DARWIN INTEGRAL, 221 DARWIN TERM ONE-ELECTRON, 383, 406 TWO-ELECTRON, 382DAVIDSON ALGORITHM, 285, 287 . DC-KERR, 359DC-KERR EFFECT, 391 .DC-SHG, 359.DCCR12, 221 .DCKERR, 390, 391 .DCRPA, 148, 332 .DEBUG, 196, 200, 377 .DECREMENT FACTOR, 300 DEFINE, 8 DEGENERATE FOUR WAVE MIXING, 391 .DEGREE, 196 .DELAO, 240.DELETE, 289 DELETE ORBITALS, 289 DELETED ORBITALS, 289 .DELINT, 188 DELOCALIZED INTERNAL COORDINATES, 188 .DENDEC, 216.DENSI, 374 .DENSITY, 303 DENSITY FUNCTIONAL THEORY, 27, 111, 184DEPOLARIZATION RATIO, 64, 99 . DERHAM, 221.DEROVL, 221 .DETERMINANTS, 269, 286 DETERMINANTS, 286, 289 .DFD3BJ, 271

.DFP, 189 DFP UPDATE, 189 .DFREQ, 359 DFT, 27, 34, 111, 184, 186, 266, 295 .DFT, 34, 266, 295 \*DFT INPUT, 265, 270 .DFTAC, 270 .DFTD2, 271 .DFTD3, 271 .DFTELS, 271 .DFTTHR, 272 .DFTVXC, 272 DFWM, 391 .DFWMFR, 390, 391 .DIAMOS, 77 .DIAM1S, 77 DIAMAGNETIC MAGNETIZABILITY, 221, 223, 224DIAMAGNETIC MAGNETIZABILITY INTEGRALS, DIPOLE STRENGTH, 317 235DIAMAGNETIC NUCLEAR SHIELDING, 227, 228 DIAMAGNETIC SPIN-ORBIT, 223, 229, 234, 313, 319–321, 334 .DIASUS, 221, 319 .DIELECTRIC CONSTANT, 122, 300 DIELECTRIC CONSTANT, 122, 299, 300 DIELECTRIC MEDIUM, 119, 121, 122, 237 .DIFADD, 420.DIHEDR, 321DIHEDRAL ANGLE, 321DIIS, 33, 34, 295, 297 ERROR VECTORS, MAX, 297 MAX ITERATIONS, 297 \*DIPCTL, 94, 316 .DIPGRA, 62, 221, 306, 310, 385 .DIPLEN, 221, 345, 346, 349, 350, 352-354, 357, 359, 360, 362, 363, 398, 399

.DIPLNX, 354, 357, 359, 361, 362 .DIPLNX/Y/Z, 346, 349, 352, 363 .DIPLNY, 354, 357, 359, 361, 362 .DIPLNZ, 354, 357, 359, 361, 362 .DIPMAG, 346, 349, 357, 363 .DIPMGX/Y/Z, 346, 349, 357, 363 .DIPMOM, 382 .DIPOLE, 385, 387, 388, 390, 391, 395, 400, 404, 405, 407 DIPOLE GRADIENT, 13, 45, 61, 62, 306, 310, 316, 317 COUPLED CLUSTER, 384, 385 DIPOLE LENGTH, 221, 346, 347, 349, 352, 354-357, 359-363 DIPOLE LENGTH INTEGRAL, 114 DIPOLE MOMENT, 68, 113, 114, 382, 405 DIPOLE ORIGIN, 222, 306DIPOLE POLARIZABILITY, 384, 385 DIPOLE VELOCITY, 222, 346, 347, 349, 354-357, 363 .DIPORG, 222, 306 .DIPSTR, 91, 317 .DIPVEL, 168, 222, 345, 346, 349, 355, 357, 363, 395, 398, 399, 404 .DIPVLX/Y/Z, 346, 349, 357, 363 .DIRECT, 16, 35, 111, 128, 148, 184, 197, 298, 332DIRECT CALCULATION, 45, 111, 184 .DIRTST, 338 .DISKH2, 267 .DISPCF, 385, 387, 388, 390, 391 DISPERSION COEFFICIENTS, 384, 387, 388, 390, 391 .DISPLA, 59, 65, 101, 189, 205 .DISPLACEMENT, 211 DISPLACEMENT OF ATOM, 206 .DISTRI, 238

.DISTRT, 374 .DISTST, 238 DK87, 273 .DNS-KE, 222 .DOERIP, 238 .DONEXT, 330 .DORDR, 212 .DOSPRE, 420.DOUBLE, 109, 362 .DOUBLE RESIDUE, 344, 345, 357, 362 DOUBLE RESIDUE, 109, 362 CUBIC RESPONSE, 362QUADRATIC RESPONSE, 357 DOUBLE-BECKE, 273, 278 .DOUBLY OCCUPIED, 35, 296 DOUGLAS-KROLL, 140 .DOUGLAS-KROLL, 184 .DPROP, 359.DPTOVL, 222 .DPTPOT, 222 .DPTPXP, 222 . DRYRUN, 212.DSO, 223, 229 .DSO-KE, 223 .DSUSLH, 223 .DSUSLL, 223 .DSUSNL, 223 .DSUTST, 224.DV4DIS, 169, 170, 394 .DYNAMI, 56, 205 DYNAMICAL CORRELATION, 14 DYNAMICS, 26, 43, 54, 55, 185, 205-207, 209, 305 .EOSKIP, 378.E3TEST, 352, 355, 358 .ECC, 369 ECD, 72, 90, 94, 306, 317, 319 COUPLED CLUSTER, 395

ORIENTED, 95, 310 .ECD, 94, 306, 346, 395 . ECDLEN, 395. ECDVEL, 395.ECKART, 205 ECP, 140, 247 .ECPHOS, 354, 355 EDF1, 273, 274, 278 EDF2, 279 .EFF-SO, 370 EFFECTIVE CORE POTENTIALS, 247 EFFECTIVE CORE POTENTIALS, 140 EFFECTIVE GEOMETRIES, 134, 340 .EFFECTIVE GEOMETRY, 214 EFG, 68, 69 . EFGCAR, 224. EFGSPH, 224.EIGEN, 53, 205 EIGENVECTOR, 205 ELECTRIC FIELD AT NUCLEUS, 227 ELECTRIC DIPOLE, 220, 382, 405ELECTRIC FIELD AT NUCLEUS, 320EXTERNAL, 115, 220 GRADIENT, 68, 69, 224, 320, 382, 405 INDUCED KERR, 359 INDUCED SHG, 359 ELECTRIC QUADRUPOLE, 383, 405 ELECTRON HOLE, 269 ELECTRONIC CIRCULAR DICHROISM, 72, 90, 94, 306, 317, 319 COUPLED CLUSTER, 395 ORIENTED, 95, 310 ELECTRONIC EXCITATION, 90, 91, 94, 95, 106, 142, 145–147, 306, 307, 310, 317 .ELECTRONS, 269, 296 ELECTRONS IN MOLECULE, 296

ELECTROSTATIC EMBEDDING, 125 .ELFGRA, 320.ELGDIA, 224 .ELGDIL, 224 EMBEDDING, 125, 185, 197 EMBEDDING MODEL, 125, 185, 197 EMSL BASIS SET LIBRARY SERVICE, 242 \*END OF, 218 .ENERGY, 188, 189, 194 ENVIROMENT EFFECTS, 125, 197 ENVIRONMENT MODEL, 125, 185, 197 EOPE, 388 .EOPEFR, 387, 388 .EPS, 201, 202 .EPSINF, 201, 202 EQUATION OF MOTION, 205EQUILIBRIUM STRUCTURE, 42 \*ER2INT, 238 ERROR PRINT LEVEL, 185 ESHG, 391 .ESHGFR, 390, 391 ESR, **367** \*ESR, 83-85, 367 ETHANE, 52.EXACTDIAGONAL, 286 \*EXCITA, 91, 92, 95, 96, 306, 309, 310, 317.EXCITA, 91, 147, 148, 307, 309, 367 EXCITATION ENERGIES TRIPLET, 319EXCITATION ENERGY, 307, 317, 318, 350 COUPLED CLUSTER, 393 ELECTRONIC, 317 SECOND ORDER MOMENT, 356 EXCITED STATE, 33, 37, 109, 289, 350 COUPLED CLUSTER, 393 GEOMETRY OPTIMIZATION, 47 LINEAR RESPONSE, CC, 407

POLARIZABILITY, 362 SECOND ORDER MOMENT, 356 TWO-PHOTON, CC, 407. EXMTES, 358\*EXPECT, 74, 82, 319 EXPECTATION VALUES, 382 EXCITED STATE COUPLED CLUSTER, 405 .EXPFCK, 307 . EXPGRA, 307.EXPIKR, 224EXTERNAL FIELD, 378.EXTPRI, 238.F1SKIP, 378 .F2SKIP, 378 .FBOCIN, 327, 328 .FB00CC, 327, 328 .FBOVIR, 327, 328 .FBSETV, 327, 328 .FBSTVO, 328 .FC, 224 .FC MVO, 296 .FC-KE, 225 .FCCALC, 367, 368 .FCKPRI, 322.FCKSKI, 322.FCKTES, 322 .FERMI, 346, 348 FERMI CONTACT, 82, 84, 313, 334, 335, 337 FERMI CONTACT INTEGRALS, 224, 233 KINETIC ENERGY CORRECTION, 225 .FIELD, 115, 378 .FIELD TERM, 282, 283 .FINAL LEVEL, 302 FINAL POLARIZATION, 300 .FINDPT, 225, 227 .FINDRE, 188, 189, 193

.FINE, 272 .FROIMP, 378, 382 .FROZEN, 152, 284 FINITE DIFFERENCE, 47 FINITE FIELD, 113, 114, 282, 306, 377, 378 FROZEN CORE, 33, 38 FROZEN CORE HOLE, 285, 288, 299 .FIRST, 338 FIRST HYPERPOLARIZABILITY, 22, 107 .FROZEN CORE ORBITALS, 286, 296 FIRST-ORDER GEOMETRY OPTIMIZATION, 187, FROZEN ORBITALS, 289 MCSCF, 286 189 - 194FIRST-ORDER OPTIMIZATION, 25, 42, 45, MCSCF AND SCF, 290 193, 340 .FRSKIP, 379FIRST-ORDER PROPERTIES, 382 .FSTTES, 322 EXCITED STATE .FULDEC, 421COUPLED CLUSTER, 405 .G-TENSOR, 84, 85, 368, 369 .FLAGS, 266 G-TENSOR, 84 FLUOROMETHANE, 100 G96, 273 .FNAC, 309, 317 .GAS SHELLS, 373 .FOCK ITERATIONS, 296 GASCI, 27, 186 .FOCKDIAGONAL, 286 .GASCI, 371 .FOCKONLY, 286 GAUGE ORIGIN, 75, 90, 93, 100, 225, 235, .FORM18, 33 307-309, 311, 312 FORMALDEHYDE, 56 CENTER OF MASS, 74 .FORMAT, 304GAUGE ORIGIN INDEPENDENCE FORTRAN 77, 5 CTOCD, 72 FORTRAN 90, 5 LONDON ORBITALS, 72, 74 FORUM, 9 .GAUGEO, 78, 100, 220, 225, 228, 235, 307-.FOSBOY, 327, 328 309 FOURTH-ORDER PROPERTIES, 390 GAUSSIAN CONTINUUM BASIS, 210 .FRAGME, 56, 205GAUSSIAN QUADRATURE, 223, 229, 320 .FREEZE, 290, 378, 382 .GC1, 369 .FREQ, 407 .GC2, 369 .FREQ I, 366, 411 .GDHAM, 322 .FREQUE, 71, 315, 316, 346, 352, 353, 359-.GDIIS, 189, 191, 195 363, 365, 366, 385 .GDYPRI, 322FREQUENCY, 107, 306, 346, 354, 364 .GDYSKI, 322 CUBIC RESPONSE, 359, 361, 362, 366 .GENCON, 238 CUBIC RESPONSE SINGLE RESIDUE, 360 GENERAL FREQUENCY MIXING, 392 LINEAR RESPONSE, 315 GENERALIZED ACTIVE SPACES CONFIGURA-QUADRATIC RESPONSE, 352TION INTERACTION, 27 .FROEXP, 378\*GEOANA, 48, 94, 321

.GEOANA, 189GEOMETRICAL DIIS, 189 GEOMETRY BOND ANGLE, 321 BOND DISTANCE, 321CARTESIAN COORDINATE INPUT, 242 DIHEDRAL ANGLE, 321VISUALIZATION WITH VRML, 195 Z-MATRIX INPUT, 242, 249 GEOMETRY CONVERGENCE CRITERIA ENERGY CHANGE, 188 NORM OF GRADIENT, 188 NORM OF STEP, 188 GEOMETRY ITERATION, 55, 57, 65, 191 GEOMETRY ITERATIONS MAX, 184 GEOMETRY MINIMIZATION .OPTIMIZE MODULE, 185 GEOMETRY OPTIMIZATION, 13, 17, 42, 122, 305 .OPTIMIZE MODULE, 185, 187 .WALK MODULE, 186 COORDINATE SYSTEM, 46 EQUILIBRIUM GEOMETRY, 43 FIRST-ORDER, 42, 45, 187 ITERATIONS, 191 LINE SEARCH, 191 MAX NUMBER OF ITERATIONS, 184 MODE FOLLOWING, 191 PREOPTIMIZATION, 47 REJECTED STEP, 191 SECOND-ORDER, 42, 43, 187, 191 SOLVATION, 122 SYMMETRY BREAKING, 44, 192 TRANSITION STATE, 42, 48, 193TRUST REGION, 45GEOMETRY WALK, 42, 186 \*GETSGY, 48, 74, 82, 92, 93, 96, 322

.GOOD RATIO, 301 .GRADIE, 188, 189, 194 GRADIENT MOLECULAR, see MOLECULAR GRADIENT GRADIENT EXTREMAL, 42, 49, 52, 53, 205, 206.GRAM-SCHMIDT ORTHONORMALIZATION, 290 .GRDEXT, 52, 205 .GRDINI, 189 .GRDZER, 238 .GRID, 364 .GRID TYPE, 272 .GROSSALL, 293 .GROSSMO, 293 .GSLEGN, 364.GSPOL, 199 .H1VIRT, 297 HÜCKEL, 33, 34, 299 STARTING ORBITALS, 299 .HALFFR, 397, 398, 407 \*HAMILTONIAN, 115, 264, 282, 378 HARDWARE/SOFTWARE SUPPORT, 5 .HARM-P, 214, 215 . HARMON, 206.HARMONIC FORCE FIELD, 212 HARTREE-FOCK, 27, 33, 34, 111, 119, 184, 186, 245, 265, 295, 296, 299, 317 HARTREE-FOCK OCCUPATION, 16, 33, 244, 245, 295, 298 .HBDO, 225 HCTH, 275, 276 HCTH120, 276 HCTH147, 276 HCTH407, 275, 276 HCTH407P, 276 HCTH93, 275 .HDO, 225 .HDOBR, 225

.HDOBRT, 225 .HELLMA, 190, 307 .HERDIR, 379.HESFIL, 102, 190, 340 .HESPUN, 25, 340 HESSIAN, 25, 44, 49, 53, 60, 65, 93, 101, .INA, 117, 201, 202 309, 340, 423 EIGENVALUE, 52, 54 INDEX, 52, 54MOLECULAR, see MOLECULAR HESSIAN HESSIAN UPDATE BFGS, 45, 187 BOFILL'S UPDATE, 51 HF, 27, 33, 34, 111, 119, 184, 186, 245, 265, 295, 296, 317 OPEN SHELL, 297 .HF, 32, 265, 295, 344, 345 HF OCCUPATION, 16, 33, 244, 245, 295, 298HFC, 369 \*HFC, 83, 369 .HFC-FC, 369 .HFC-SD, 369 .HFC-SO, 370 .HIRPA, 332, 343 .HOMO, 303 HSRODFT, 298 HSROHF, 298 HYDROGEN, 56 HYPERFINE COUPLING, 83, 84, 120, 367 HYPERMAGNETIZABILITY, 86 HYPERPOLARIZABILITIES, 390 DIPOLE, 387FIRST, 387SECOND, 390.ICEDIF, 112, 237 .ICESPH, 117, 201 .IDRI , 360

.IFTHRS, 112, 237 .IMAG F, 367 .IMAGE, 17, 49, 206 IMAGE SURFACE, 42, 48, 54, 206 .IMSKIP, 379.INACTI, 373 INACTIVE ORBITAL, 269, 295 .INACTIVE ORBITALS, 269 .INCREMENT, 304.INCREMENT FACTOR, 301 .INDEX, 52-54, 206 .INERSFINAL, 40, 123, 300 .INERSINITIAL, 40, 123, 300 INERTIAL POLARIZATION, 300 .INIMOD, 51, 190, 191 .INIRED, 190.INITEV, 190.INITHE, 190, 193 INITIAL HESSIAN FIRST-ORDER GEOMETRY OPTIMIZATION, 190.INIWFC, 372.INPTES, 184, 226, 307 .INPTEST, 343 INPUT CARD, 17 INSTALL\_WRKMEM, 7 INSTALLATION INSTRUCTIONS, 5 INTEGRAL DIRECT, 379 COUPLED CLUSTER, 375 INTEGRAL LABEL, 219 INTEGRAL SCREENING, 112, 237 INTEGRAL SORT, 234, 240 INTEGRAL TRANSFORMATION, 3, 32, 302 NEW—HYPERPAGE, 185 .INTEGRALS, 184INTEGRALS FERMI CONTACT, 224

.ISPINC, 352KINETIC ENERGY CORRECTION TO SPIN-DIPOLE, 233.ISTOCK, 346.ITERAT, 57, 102QUADRUPOLE MOMENT, 231.ITERATION, 184 SECOND MOMENT, 233SPIN-DIPOLE, 233 ITERATION NUMBER SPIN-DIPOLE PLUS FERMI-CONTACT, 233 DIIS, MAX, 297 GEOMETRY, MAX, 184 THIRD MOMENT, 235.INTERFACE, 266, 302, 303 GEOMETRY, START, 184 INTERFACE FILE, 266 MCSCF MACRO, MAX, 286 INTGRL, 243 QCSCF MACRO, MAX, 297 .INTPRI, 238, 314, 317, 323, 337, 338 .ITERATIVE, 197INTRINSIC REACTION COORDINATE, 25, 43, .KEEP, 241 54, 185, 206, 207, 209 .KEEPSY, 206, 210 .INTSKI, 238, 323, 338 KERR EFFECT, DC, 391 .INTSYM, 240.KINENE, 226 INVERSION, 244 KINETIC ENERGY, 226 .INVEXP, 360Kohn-Sham, 266 .IO PRI, 241 KT, 274 .IPRAVE, 294KT1, 279 .IPRCIX, 294KT2, 279 .IPRCNO, 288, 294 KT3, 279 .IPRDIA, 294.IPRDNS, 294 .LOSKIP, 379 .IPREXM, 358.L1SKIP, 379 . IPRFCK, 294.L2 BC, 391 .IPRKAP, 294.L2 BCD, 392 .IPRSIG, 294.L2SKIP, 379 .IPRSOL, 294.LABOCC, 328 IR INTENSITY, 13, 60, 61, 306, 313, 340 .LABVIR, 328IRC, 25, 43, 54, 185, 206, 207, 209 LB94, 275 .IRC, 54, 55, 206LDA, 276 .ISOPOL, 200 .LENBUF, 216.ISOTOP, 61, 334, 340 .LESKIP, 379ISOTOPE=, 248.LEVEL, 302 ISOTOPIC CONSTITUTION, 52, 60, 101, 340 LG93, 273 .ISPABC, 352, 355, 358, 360 LIMITS, 8 .ISPINA, 352CI STRING TYPES, 8 .ISPINE, 352

MAX L-QUANTUM NUMBER IN BASIS FUNC-.LUMO, 303 LYP, 274 TIONS, 8NUMBER OF BASIS FUNCTION BLOCKS, LYPR, 274 8 .M-BFGS, 191 NUMBER OF NUCLEI, 8 .M-PSB, 191 ORBITALS, 8 .M1SKIP, 380 .LIMLOC, 421MACOSX, 5 .LINE S, 191 .MAGMOM, 226 LINE SEARCH .MAGNET, 73, 308 GEOMETRY OPTIMIZATION, 191 MAGNETIC CIRCULAR DICHROISM, 355, 402 \*LINEAR, 345, 348 MAGNETIC FIELD, 220 .LINEAR, 307MAGNETIC MOMENT, 81, 219, 226 LINEAR DEPENDENCE, 289 MAGNETIC PROPERTIES LINEAR MOLECULE, 363 COUPLED CLUSTER, 375 LINEAR RESPONSE, 70, 71, 103, 104, 120, MAGNETIZABILITY, 13, 69, 72, 73, 86, 114, 307, 315, 316, 325, 343, 345, 355,120, 142, 308, 319, 326 356, 384, 393 MAGNETIZABILITY POLARIZABILITY, 25, 425 COUPLED CLUSTER, 395 MAGNETIZABILTY EXCITED STATES, CC, 407 COUPLED CLUSTER, 384, 385 HIGHER RPA, 343 .MANUAL, 212SINGLE RESIDUE, 348 .MARGIN, 393 \*LINRES, 74, 82, 93, 325 MASS SPECTROMETRY, 58LINUX, 5 MASS-VELOCITY, 226.LISKIP, 380 MASS-VELOCITY CORRECTION, 309 \*LOCALI, 327, 328 MASS-VELOCITY TERM, 383, 406 .LOCALI, 307, 335, 336 MASS-WEIGHTED COORDINATES, 52, 53, 55, .LOCALIZATION, 290 206LOCALLY DENSE BASIS SET, 251.MASSES, 53, 206 LONDON ORBITALS, 74, 75, 79, 90, 93, 95, .MASSVE, 226 98, 101, 219, 223, 224, 226-228, MASTER, 112, 197 232, 233, 308, 309, 311-313, 319, .MAX CI, 286 320 .MAX DAMPING, 301 COUPLED CLUSTER, 375 .MAX DIIS ITERATIONS, 297 .LONMOM, 226 .MAX ERROR VECTORS, 297 LRC95, 274 .MAX IT, 55, 57, 65, 101, 184, 191, 315, .LRESC, 76318, 326, 330, 337, 346, 349, 352, .LRINTS, 77, 226 358, 360-362, 364, 368, 380 \*LUCITA, 372, 373 .MAX ITERATIONS, 268

.MAX L, 122, 300 .MAX MACRO ITERATIONS, 286, 297 .MAX MICRO ITERATIONS, 286, 297 .MAX RE, 191 .MAX STEP LENGTH, 301 .MAXABS, 285, 286 .MAXAPM, 286 .MAXDIS, 239.MAXIT, 366 .MAXITL, 355.MAXITO, 346, 349, 352, 355, 358, 360-362, 364 .MAXITP, 355, 361, 362 .MAXITR, 373 .MAXMOM, 364.MAXNUC, 206MAXOCK, 347.MAXPHP, 315, 318, 326, 337, 343 .MAXPRI, 210.MAXRED, 315, 318, 326, 331, 337, 380 .MAXRM, 343, 346, 350, 356, 366 .MAXSIM, 331 .MAXTRU, 206 MBECKE, 273MCD, 355, 402 .MCD, 365, 402.MCDBTERM, 355 \*MCDCAL, 403.MCHESS, 331MCSCF, 27, 33, 119, 186, 245, 266, 270, 283, 284, 298, 317 .MCSCF, 266, 295, 367 MCSCF HESSIAN, 287 MCSCRF, 119 .MEAN-FIELD, 369MEMORY, 7, 247 SCRATCH, 7 .MEPFIL, 204

MESSAGE PASSING, 112 METHANE, 61.MGMO2T, 226 .MGMOMT, 226 .MGMTHR, 226.MIN DAMPING, 301 .MIN RATIO, 301.MINSPR, 421.MIXFRE, 387, 388, 390, 392 .MMITER, 132.MNF-SO, 227 .MNFPHO, 354, 355 .MO, 304 .MODE, 50-52, 191, 205, 207 .MODE ANALYSIS, 215 MODE FOLLOWING, 42, 53, 191MODEL HESSIAN, 190 .MODFOL, 53, 207 .MODHES, 191MODIFICATIONS, 8, 9 Modified velocity gauge, 97, 386 MODULE, 16 \*MOLBAS, 210, 245, 248, 254 MOLECULAR CHARGE, 13 MOLECULAR FRAGMENTS, 56, 205 MOLECULAR GRADIENT, 42, 47, 309, 328, 329, 338 COUPLED CLUSTER, 375 NORM OF, 46NUMERICAL, 42, 46, 59 Molecular Hessian HESSIAN UPDATE BFGS, 187 BOFILL'S UPDATE, 188 MOLECULAR HESSIAN, 42, 309, 328, 329, 338 DALTON.HES FILE, 190 HESSIAN UPDATE, 187

DFP, 189 INDEX, 192, 206 INDEX OF MOLECULAR, 194 INITIAL, 190 DIAGONAL, 190 MODEL HESSIAN, 190 NUMERICAL, 205 REINITIALIZATION, 189, 193 UPDATE PSB, 192 MOLECULAR HESSIAN UPDATE BOFILL'S UPDATE, 193 MS, 193 RANK ONE, 193 SR1, 193 MOLECULAR ORBITAL, 33, 291, 302 INITIAL SET, 289 MOLECULAR PROPERTIES, 13, 16 MOLECULE INPUT FILE, 11, 12, 18, 21, 47, 52, 55, 56, 80, 127, 128, 137, 154, 171, 185, 197, 201, 210, 211, 224 -226, 242, 251, 296, 299, 334, 368, 383, 405 MOLECULE.INP, 11, 12, 18, 21, 47, 52, 55, 56, 80, 127, 128, 137, 154, 171, 185, 197, 201, 210, 211, 224 -226, 242, 251, 296, 299, 334, 368, 383, 405.MOLGFA, 78, 308 .MOLGRA, 309.MOLHES, 309MOLPLT, 25, 26 .MOMENT, 57, 207 MOMENT OF INERTIA TENSOR NON-ADIABATIC CORRECTIONS, 65, 313 MOMENTS ELECTRONIC QUADRUPOLE, 320 THIRD ORDER, 313

TOTAL QUADRUPOLE, 310 TOTAL SECOND ORDER, 311MOMENTUM, 207 .MOSTART, 33, 291, 299 MP2, 27, 33–35, 184, 186, 205, 245, 266, 283, 296, 299, 380, 414 .MP2, 32, 70, 73-75, 79-81, 92, 95, 105, 144, 147, 159, 266, 332, 333, 344, 380 .MP2 FROZEN, 283 \*MP2 INPUT, 265, 283 MP2-R12 METHOD, 412 .MP2SAV, 414 мРВЕ, 274 MPI, 112, 196 .MPRANK, 203MPW, 274 MPW1N, 280 MPW1PW91, 280 MPW1S, 280 MPW3PW91, 280 MPWLYP, 280 мРWP86, 280 MPWPW91, 280 MPWVWN, 280 .MTRIP, 377 .MULLIKEN, 293 Mulliken population analysis, 62, 293 .MULTIP, 372 MULTIPOLE EXPANSION, 120, 122 MULTIPOLE INTEGRAL, 122, 220, 234, 237 MULTIPOLE MOMENT, 363MULTIREFERENCE PT, 151 SECOND-ORDER, 284 MULTISCALE, 197 MULTISCALE MODEL, 197 MULTISCALE MODELING, 125 .MXBCH, 239

.MXCHVE, 418.NEWTON, 187, 191, 194, 207 .MXCIVE, 373 NEWTON-RAPHSON STEP, 207 .MXDECM, 414, 416.NEWTRA, 185.MXDIIS, 380.NEXCI2, 333 .NEXCIT, 91, 95, 309, 317, 318 .MXINIT, 132.MXLRV, 380 .NMDDRV, 185, 215.MXSLIT, 132 .NMR, 309Møller-Plesset .NO 1, 412 SECOND-ORDER, 27, 33–35, 184, 205, .NO 2, 412 245, 266, 283, 296, 299, 380 .NO A, 412.NO A', 412 .NACME, 309, 317 .NO ABSORPTION, 286 .NACTEL, 155, 372 .NO ACTIVE-ACTIVE ROTATIONS, 287 .NATCON, 207, 208 .NO B, 412 .NATONLY, 286 .NO CENTRIFUGAL FORCES, 207 .NATORB, 374.NO EXTRA TERMINATION TESTS, 301 NATURAL CONNECTION, 74, 75, 93, 207, .NO HAM, 227 232, 308, 309, 311-313 .NO HYB, 412 NATURAL ORBITAL, 267, 293, 294 .NO RXR, 412.NBATCH, 367 .NO12GS, 239 .NCCEXC, 393.NO2N+1, 395, 404 .NCCEXCI, 162.NO2NP1, 392 .NCHORD, 414, 416.NO2SO, 227 .NCLERI, 239 .NOAUX, 192.NCLONE, 329.NOAVDI, 344NEAR DEGENERACY, 14 .NOAVER, 331.NEFIEL, 320.NOBMAT, 388 .NELFLD, 227.NOBREA, 192, 195 .NEO ALWAYS, 286, 287 .NOCCIT, 380 .NEQRSP, 117, 201 .NOCHOM, 414, 416 .NESFP, 117, 201 .NOCMC, 93, 95, 100, 307-310, 313 .NETALL, 293 .NOCONT, 339.NETMO, 293 .NODARW, 309NEVPT2, 58, 151, 186, 266, 284 .NODBDR, 314.NEVPT2, 152, 266 .NODC, 317, 320, 323, 329, 339 \*NEVPT2 INPUT, 265, 284 .NODDY, 314, 323 NEW CODE, 8 NODE, 112 .NEWCR1, 239 .NODES, 196 .NEWRD, 331

.NODIFC, 308, 309 .NOPSO, 82, 334 .NODIHE, 192, 193 .NOPV, 324, 339 .NODIIS, 297 .NOQCSCF, 297.NODOIT, 344.NORHS, 337 .NODPTR, 323.NORMAL, 208, 212, 272 .NODSO, 82, 334 NORMAL MODE, 99, 101 .NODV, 317, 320, 323, 329, 339 .NORSP, 337 .NOELC, 314 .NOSCDI, 216 .NOFC, 82, 334.NOSCOM, 393 .NOFD, <u>323</u> .NOSCRE, 239.NOFS, 323 .NOSD, 82, 334 .NOGRAD, 207.NOSEC, 314.NOH1, 323 .NOSELL, 329.NOH2, 323 .NOSLDR, 421.NOHESS, 309.NOSSF, 324.NOHG, 361 .NOSUMMARY, 294 .NOITRA, 344.NOSUP, 227, 241, 267 .NOLOCS, 239 .NOSUPMAT, 267 .NOLOND, 308, 309 .NOSYMM, 241.NOMASV, 309.NOT ALLRLM, 236 .NOMB, 199 .NOTRACI, 287NON-ADIABATIC CORRECTIONS, 65, 313 .NOTRIA, 331NON-ADIABATIC COUPLING MATRIX ELEMENT, .NOTRUS, 192 309, 317 .NOTV12, 227 NON-EQUILIBRIUM SOLVATION, 33, 40, 120, .NOTWO, 227, 237, 240 123.NOWRIT, 239NON-VARIATIONAL WAVE FUNCTIONS, 46 .NPOTST, 227 .NONCAN, 239NQCC, 68, 69, 309, 320 .NQCC, 309, 382, 405 .NONCANONICAL, 297 .NONEXT, 331 NQVD BASIS SET, 242, 251, 253 .NONREL, 382.NR ALWAYS, 285-287.NONUC, 314.NROOTS, 373 .NOORTH, 208, 323 .NRREST, 331 .NOPICH, 227 .NSAVMX, 333 .NOPRED, 208.NSCD, 365.NOPS12, 239 .NSHELL, 204.NOPSAB, 239 .NSIMLE, 380.NOPSCD, 239.NSIMUL, 349

.NSLTST, 227 .NSNLTS, 227 .NSPMAX, 239.NST, 227 .NSTART, 349.NSTCGO, 228 .NSTLON, 227, 228 .NSTNOL, 227, 228 .NSTTST, 228 .NSYM, 380 NUCLEAR DIPOLE MOMENT, 113 NUCLEAR QUADRUPOLE COUPLING, 68, 69, 309, 320 NUCLEAR SHIELDING, 13, 14, 43, 72, 75, 86, 111, 120, 121, 142, 309, 311, 319, 320, 326 COUPLED CLUSTER, 384, 385 NUCLEI CHANGE MAX NUMBER, 8 .NUCMOD, 228 .NUCPOT, 227, 228 \*NUCREP, 48, 94, 328 .NUMERI, 100, 208 NUMERICAL DERIVATIVES COUPLED CLUSTER, 409 NUMERICAL DIFFERENTIATION, 64, 65, 98-100, 113, 204, 208 GEOMETRY, 205NUMERICAL GRADIENT, 42, 58 .NUMGD, 409.NUMHES, 309.02SKIP, 381 OCCUPIED ORBITALS MAX LIMIT, 8 .OCTGRA, 229 .OCTUPO, 229 OECD, 95, 310 COUPLED CLUSTER, 395

.OECD, 95, 310, 347, 395 .OECDLE, 396 .OECDVE, 396 .OFFCNT, 239 .OLD TRANSFORMATION, 32, 302 .OLD40, 418 .OLD4V, 418 .OLD50, 418 .OLD5V, 418, 419 .OLDCPP, 315, 367 .OLDEN2, 414 .OLDNORM, 210.OLSEN, 287, 351 OLYP, 280 .OMEINP, 394ONE-ELECTRON INTEGRAL, 184, 218 ONE-ELECTRON PROPERTIES, 382 EXCITED STATE COUPLED CLUSTER, 405\*ONEINT, 48, 94, 122, 226, 236, 329 .ONLY-P, 214, 215 OP86, 280 .OPEN SHELL, 295, 297 OPEN SHELL DFT, 266 HF, 265, 297 SCF, 295 .OPERAT, 382, 385, 387, 388, 390-392, 396, 397, 399, 400, 402, 404, 405, 408, 410**OPTICAL RECTIFICATION**, 352 **OPTICAL RECTIFICATION**, 389 OPTICAL ROTATION, 97, 326 COUPLED CLUSTER, 384, 386 OPTIMAL ORBITAL TRIAL VECTOR, 37, 287, 289, 316, 318, 326, 330, 331, 337, 344, 346, 349, 352, 355, 358, 364 .OPTIMAL ORBITAL TRIAL VECTORS, 287

\*OPTIMI, 59 \*OPTIMIZATION, 264, 268, 284, 290, 299 \*OPTIMIZE, 42, 44, 46-51, 185, 187, 205, 305.340 .OPTIMIZE, 44, 45, 185 .OPTIONS, 263 .OPTORB, 316, 318, 326, 337, 344 .OPTREF, 352.OPTROT, 98, 310 OPTX, 274 OPW91, 280 OR, 389 .OR, 97, 98, 310, 386 .OR LEN, 386 .OR MVE, 386 .ORB\_TRIAL VECTORS, 287 ORBITAL ABSORPTION, 284–286, 288 ORBITAL DIAGONAL HESSIAN, 344 ORBITAL EXPONENT, 249 ORBITAL HESSIAN, 286 \*ORBITAL INPUT, 33, 264, 286, 289, 304 ORBITAL RELAXATION, 382 ORBITAL REORDERING, 293 ORBITAL ROTATIONS MAX LIMIT, 8 ORBITAL TRIAL VECTOR, 289 ORBITALS MAX LIMIT, 8 .ORBSFT, 344.ORBSPC, 344. ORFREQ, 387 - 389. ORGANL, 386ORIENTED ELECTRONIC CIRCULAR DICHRO-ISM, 95, 310 COUPLED CLUSTER, 395 .ORIGIN, 304, 386 ORIGIN DIPOLE, SECOND ORDER, QUADRUPOLE,

THIRD ORDER, 306ORTHONORMALIZATION GRAM-SCHMIDT, 290 SYMMETRIC, 292 OSCILLATOR STRENGTH, 317 COUPLED CLUSTER, 395 EXCITED STATES COUPLED CLUSTER, 404 OVERLAP HALF-DIFFERENTIATED, 225, 235, 314 MAGNETIC FIELD DERIVATIVE, 232, 233 .OZ-KE, 229 .0ZS01, 369 .0ZS02, 369 .P-BASIS, 215P86, 274 .PAIRS, 381 .PANAS, 237 .PARA1S, 77 .PARA1T, 77 .PARA3S, 77 .PARA3T, 77 \*PARALLEL, 183, 196.PARALLEL, 112PARALLEL CALCULATION, 15, 111, 112, 196 PARALLEL EFFICIENCY, 183, 197 PARALLEL TASKS, 196 PARAMAGNETIC SPIN-ORBIT, 229, 230, 313, 322, 334 PARTITION FUNCTIONS, 60 PATCHES, 8, 9 PBE, 274, 281 PBE0, 281 PBEC, 275 PBEx, 274 PCM, 116, 200

```
*PCM, 117, 200
*PCMCAV, 117, 200-202
```

PE, 125, 185, 197 \*PEQM, 128, 185, 197, 200 .PEQM, 19, 128, 185, 200 PERFORMANCE OF INTEGRAL PROGRAM, 248 PORTING, 5 PERTURBATION-DEPENDENT BASIS SET, 114, .POTENTIAL, 197 120PES, 42 .PHASEO, 229, 310 . PHOSPHORESCENCE, 354-356 PHOSPHORESCENCE, 355, 356 PHOSPHORESENCE, 108 .PHOSPV, 356 .PHPRESIDUAL, 285, 287, 343, 344 PLACZEK APPROXIMATION, 63 PLTORB, 26 .PLUS COMBINATIONS, 269, 289 .POCKEL, 352, 353 Pockels effect, 352 Pockels effect, electro optical, 388 POINT CHARGE, 247 .POINTS, 223, 229, 320 .POLARI, 71, 186, 310 POLARIZABILITY, 45, 63, 64, 68, 70, 71, 100, 104, 109, 142, 306, 310 COUPLED CLUSTER, 384 FREQUENCY-DEPENDENT, 384 FREQUENCY-DEPENDENT, CC, 407 STATIC, 384POLARIZABLE EMBEDDING, 125, 185, 197 POLARIZATION FUNCTION, 251, 252 POLARIZATION PROPAGATOR, 70, 73, 142, 342 SOPPA, 344, 345 SOPPA(CCSD), 345POLARIZATION-CONSISTENT BASIS SET, 257 POLE OF RESPONSE FUNCTION, 106 .POPANA, 310.POPPRI, 294

\*POPULATION ANALYSIS, 265, 293 POPULATION ANALYSIS, 45, 62, 293, 310 MULLIKEN, 62, 293 POTENTIAL ENERGY AT NUCLEUS, 228 POTENTIAL ENERGY SURFACE, 42POTENTIAL INPUT FILE, 11, 18, 19, 21, 127, 128, 185, 197 POTENTIAL.INP, 11, 18, 19, 21, 127, 128, 185, 197 .PRECALCULATED HESSIAN, 212 .PREOPT, 192 PREOPTIMIZATION, 47 BASIS SETS, 192 . PRIERR, 185PRIMITIVE BASIS FUNCTION, 249 PRIMITIVE ORBITALS MAXIMUM NUMBER, 210 .PRINT, 77, 185, 192, 197, 202, 208, 211, 212, 229, 236, 237, 240, 241, 266, 283, 293, 297, 300, 302, 310, 314, 316-318, 320, 324, 326, 328-331,334, 336, 338, 339, 341, 347, 349, 352, 356, 358, 360, 361, 363, 364, 367, 368, 370, 381, 386, 389, 392, 398, 400, 403, 408 PRINT LEVEL ERROR, 185GENERAL, 185 \*PRINT LEVELS, 265, 294 .PRINTFLAGS, 294 .PRINTLEVELS, 294, 295 \*PROPAV, 213, 214 .PROPAV, 213, 344 .PROPER, 213

. PROPERTIES, 185

476

PROPERTIES FIRST-ORDER, 405FOURTH-ORDER, 390 ONE-ELECTRON, 405 SECOND-ORDER, CC, 407 THIRD ORDER, 351THIRD-ORDER, 387PROPERTY AVERAGE, 344 PROPERTY GRADIENT, 322 PROPERTY INTEGRAL, 219 .PROPRI, 229 .PROPRT, 347, 350, 358, 364 .PRTALL, 77 .PSB, 192 PSB UPDATE, 192 .PSO, 229 .PSO-KE, 230 .PSO-OZ, 230 .PTDENS, 204 .PTRNOD, 339.PTRPRI, 324, 339 .PTRSKI, 324, 339 .PUNCHINPUTORBITALS, 33, 291 .PUNCHOUTPUTORBITALS, 33, 291 .PV PSO, 347 .PV SO, 347 .PV SO1, 347 .PV SO2, 347 .PVIOLA, 230, 236 .PVP, 230 PW86x, 274 PW91, 275, 281 PZ81, 274, 275 .QDBINT, 230, 231 .QDBTST, 231 \*QFIT, 203 .QFIT, 186, 345 QM/MM, 125, 185, 197

\*QM3, 200 \*QMMM, 132 .QMMM, 132 .QRREST, 344.QUADMOM, 347, 350, 358, 364 \*QUADRA, 351, 353, 357 \*QUADRATIC, 19, 345\*Quadratic Response, 76 QUADRATIC RESPONSE, 103, 107, 120, 344, 351, 387, 404 DOUBLE RESIDUE, 357**OPTICAL RECTIFICATION**, 352 Pockels effect, 352 SECOND HARMONIC GENERATION, 353, 365 SINGLE RESIDUE, 356 .QUADRU, 68, 231, 310, 320, 383, 405 QUADRUPOLE MOMENT, 68, 383, 405 QUADRUPOLE MOMENT INTEGRALS, 231 TRACELESS, 235 QUADRUPOLE MOMENTS, 310, 320 QUADRUPOLE OPERATOR, 347, 350, 358, 364.QUADXX/XY/XZ/YY/YZ/ZZ, 347, 350, 358, 364.QUAGRA, 231QUANTUM MECHANICS / MOLECULAR ME-CHANICS, 125, 197 .QUASUM, 231QUESTIONS, 3  $R_e$  Geometries, 340 \*R12, 412 .R12, 231, 412 R12 THEORY COUPLED CLUSTER, 412 MP2-R12 METHOD, 412 .R12AUX, 163, 211, 248, 254 .R12DIA, 412

.R12EXP, 231 .R12INT, 231 .R12SVD, 412 .R12XXL, 413 .R1SKIP, 381 .R2SKIP, 381 .R3DIIS, 394 .RADINT, 272 .RAMAN, 64, 311 RAMAN INTENSITY, 204 RAMAN INTENSITY, 60, 63, 64, 98, 99, 205, 311, 340 RAMAN OPTICAL ACTIVITY, 25, 63, 72, 90, 98-100, 204, 205, 313, 340, 423 .RANGMO, 231RANK ONE UPDATE, 193 .RANKON, 193.RAS1, 372 .RAS1 ELECTRONS, 269 .RAS1 HOLES, 269 RAS1 ORBITAL SPACE, 269 .RAS1 SPACE, 269 .RAS2, 372 RAS2 ORBITAL SPACE, 269 .RAS2 SPACE, 269.RAS3, 372 .RAS3 ELECTRONS, 270 RAS3 ORBITAL SPACE, 270 .RAS3 SPACE, 270 RASSCF, 14, 27, 33, 36, 270 RATIONAL FUNCTION, 193 .RATLIM, 208 RCAMB3LYP, 278 .RDVECS, 331 REACTION FIELD, 119, 237, 299, 306 REACTION PATHWAY, 55 RECOMMENDED READING, 3 REDIMENSIONING DALTON, 7

.REDINT, 46, 187, 193, 194 .REDUCE, 165, 168, 216 REDUNDANT INTERNAL COORDINATES, 45, 46, 187, 193, 194 .Refchk, 353REFERENCE LITERATURE, 3 REFLECTION, 244 REFRACTIVE INDEX INTENSITY DEPENDENT, 360.REJECT, 208 .REJECT THRESHOLD, 301 REJECTED GEOMETRY STEP, 191, 193, 208 .REJINI, 193 RELATIVE TRANSLATION ENERGY, 58RELATIVISTIC CORRECTIONS ONE-ELECTRON, 383, 406 \*RELAX, 48, 74, 82, 93, 329 RELAXED CORE, 33, 39, 296 RELAXED CORE HOLE, 285, 288, 299 .RELCOR, 383, 406 .REMOVE, 193 .REORDER, 285, 292, 293 \*REORT, 48, 94, 330 .REPS, 208, 311 .RESIDENT MEMORY, 303 RESIDUAL, 268 RESIDUE, 106, 109 .RESKIP, 381\*RESPON, 48, 66, 94, 330 \*RESPONSE, 19 .RESPONSE, 186RESPONSE, 16CI SUM-OVER-STATES, 342 CUBIC, 15, 103, 109, 120, 359, 362, 390 CUBIC, DOUBLE RESIDUE, 362 CUBIC, SINGLE RESIDUE, 360 DAMPED, 365

EXCITATIONS, 348 LINEAR, 64, 70, 71, 103, 104, 120, RODFT 307, 315, 316, 325, 343, 345, 355, 356, 384, 393, 395 ROHF LINEAR, CC, 407**OPTICAL RECTIFICATION**, 352 ROKS Pockels effect, 352 QUADRATIC, 103, 120, 344, 351, 387, ROOT 404QUADRATIC, DOUBLE RESIDUE, 357 QUADRATIC, SINGLE RESIDUE, 356 SECOND HARMONIC GENERATION, 353, 365 SOPPA, 344 SOPPA(CCSD), 345TRIPLET, 120RESPONSE FUNCTION, 13, 103 **RESPONSIBILITY**, 9 .RESTART, 32, 199, 208, 266, 381 RESTART, 32-34EXCITATION ENERGY, 350GEOMETRY OPTIMIZATION, 208 LINEAR RESPONSE, 347WAVE FUNCTION, 266.RESTLR, 347.RESTPP, 350RESTRICTED-UNRESTRICTED METHOD, 84, 367. RSOLV, 201, 202 .RESTRT, 213 .RETURN, 237, 240, 324, 339 .REUSE, 137, 209 .REUSE HESSIAN, 213 REVPBE, 274 .RF, 191, 193, 195 RHF, 299 RIGHT-HAND SIDE, 322.RSTH1, 419 .RIN, 118, 201, 202 .RSTMP2, 415 .RSTVIR, 419.RMC, 369 ROA, 25, 63, 72, 90, 98–100, 204, 205, .RUN ALL, 186

313, 340, 423 HIGH SPIN, 298 HIGH SPIN, 298 HIGH SPIN, 298 CI, 267 ROOTHAAN ITERATION, 296 .ROOTS, 19, 345, 349, 350, 354-358, 361, 363 ROTATION, 244 ROTATIONAL EXCITATION, 58ROTATIONAL G FACTOR, 72 ROTATIONAL G TENSOR, 69, 78, 142, 308 ROTATIONAL INVARIANCE, 336 ROTATORY STRENGTH, 307, 317 COUPLED CLUSTER, 395 .ROTSTR, 232, 357 .ROTVEL, 95, 319 ROVIBRATIONALLY AVERAGED GEOMETRIES, 134RPA(D), 142, 143, 148 **RPBE**, 274 .RPSO, 232 .RSTART, 374.RSTC1, 419 .RSTC2, 419 .RSTCHO, 216 .RSTDIA, 216 .RSTF1, 419 .RSTH, 419

479

.RUN PROPERTIES, 186 .RUN RESPONSE, 19, 186, 344, 345 .RUN WAVE FUNCTIONS, 186 .RUNERI, 186, 218, 238 Rydberg basis function, 210 .SOMIX, 345 .S1MAG, 232 .S1MAGL, 232 .S1MAGR, 232, 233 .S1MAGT, 232 .S1MLT, 232 .S1MRT, 233 .S2MAG, 233 .S2MAGT, 233 .SADDLE, 49, 193 SADDLE-POINT, 50SADLEJ BASIS SET, 242 .SCALE, 209 .SCALED, 369SCF, 27, 33, 34, 111, 119, 184, 186, 245, 265, 295, 296, 299, 317 NO QUADRATICALLY CONVERGENT, 297 QUADRATIC CONVERGENT, 297 \*SCF INPUT, 35, 264, 286, 290, 295 .SCHLEG, 194Schlegel update GEOMETRY OPTIMIZATION, 194 SCRATCH MEMORY, 24 .SD, 233 .SD+FC, 233, 335 .SD-KE, 233 .SDCALC, 367, 368 .SDRPRI, 324.SDRSKI, 324.SDRTES, 324.SDRTST, 213 .SDxFC ONLY, 335 .SECMOM, 115, 231, 233, 311, 383, 406

.SECOND, 340SECOND HARMONIC GENERATION, 353, 365, 389, 391 ELECTRIC FIELD INDUCED, 391 SECOND HYPERPOLARIZABILITY, 15, 109, 390 SECOND MOMENT INTEGRALS, 233 SECOND MOMENT OF CHARGE, 383, 406 SECOND ORDER MOMENTS, 311 SECOND RESIDUES OF CUBIC RESPONSE FUNC-TIONS, 407SECOND-ORDER GEOMETRY OPTIMIZATION, 187, 195, 204 SECOND-ORDER OPTIMIZATION, 42, 43 SECOND-ORDER PROPERTIES EXCITED STATES, CC, 407 .SELCT1, 238, 240 .SELCT2, 240 .SELCT3, 240 .SELCT4, 240 .SELDIA, 421.SELECT, 77, 234, 269, 311, 335, 385 .SELEXC, 396, 397, 404, 406 .SELSTA, 397, 400, 401, 403, 408 SGI, 5 SHELL OF BASIS FUNCTIONS, 247 SHG, 389 .SHG, 352, 353, 365 .SHGFRE, 387, 389 .SHIELD, 75, 309, 311, 320 SHIELDING POLARIZABILITY, 25, 86, 425 .SHIFT, 297 SIGNIFICANT CHARACTERS, 17 .SIMULTANEOUS ROOTS, 287 SINE INTEGRAL, 224 .SINGLE, 361.SINGLE RESIDUE, 344, 345, 348, 353, 356, 360 SINGLE RESIDUE, 307

CUBIC RESPONSE, 360, 361 LINEAR RESPONSE, 348QUADRATIC RESPONSE, 356SINGLET STATE, 289 SINGLET-TRIPLET TRANSITION, 354-356 .SINGLY OCCUPIED, 35, 298 .SINGST, 336 SIRIUS.RST, 32–34, 36 .SIRPR4, 325 .SIRPR6, 325 .SKIP, 236, 237, 240, 241, 309, 313, 314, 316, 317, 319, 321, 322, 325, 326, 328-330, 332, 336, 338, 340, 341 .SKIPCH, 415.SKIPTR, 415.SKIVI1, 419 .SKIVI2, 419 SLATER, 273 SLAVE, 112, 197 DEBUG, 196 .SNGPRP, 368 .SOFIEL, 234 .SOFOCK, 237 SOLVATION GEOMETRY OPTIMIZATION, 122 NON-EQUILIBRIUM, 123 .SOLVEN, 236 \*SOLVENT, 122, 264, 299 .SOLVENT, 122SOLVENT EFFECTS, 125, 197 .SOLVNT, 200 .SOMAGM, 234.SOPCHK, 333 SOPPA, 70, 73–75, 79–81, 91, 95, 105, 142, 148, 149, 306–308, 312, 342, 344, 345 \*SOPPA, 92, 96, 147, 148, 332 .SOPPA, 70, 73-76, 79-81, 91, 95, 105, SPIN-ROTATION CONSTANT, 72

144, 147, 148, 306–308, 312, 343– 345SOPPA(CC2), 70, 73–75, 79–81, 91, 95, 106, 142, 143, 145, 342 SOPPA(CCSD), 70, 73–75, 79–81, 91, 95, 105, 142, 143, 146, 148, 149, 306-308, 312, 342, 345, 381 .SOPPA(CCSD), 70, 73-75, 79-81, 91, 92, 95, 105, 106, 145, 146, 148, 306-308, 312, 343, 345, 381 .SOPPA2, 70, 73-75, 79-81, 92, 95, 106, 145, 345 .SOPRSY, 347.SOPW4, 332, 345 \*SORINT, 240 .SORPRI, 325, 339 .SORSKI, 340.SORT I, 234, 240 .SOS, <u>335</u> .SOSHIE, 353 .SOSOCC, 327, 328, 335 .SOSOCS, 335 .SOSPIN, 353 .SOTEST, 234 .SP BAS, 194 .SPACC2, 416 .SPACCC, 417.SPAMP2, 415.SPANDI, 217SPATIAL SPIN-ORBIT, 235 .SPECTRO INTERFACE, 213 SPHERICAL BASIS FUNCTION, 244 .SPHMOM, 220, 234 .SPIN MULTIPLICITY, 270 SPIN MULTIPLICITY, 270 SPIN RANK, 351SPIN SYMMETRY, 287, 289

481

SPIN-DIPOLE, 84, 313, 334, 335, 337 SPIN-DIPOLE INTEGRALS, 233 KINETIC ENERGY CORRECTION, 233 .SPIN-0, 234, 235, 348, 350, 359 .SPIN-ORBIT, 370 SPIN-ORBIT, 348, 350, 354–356, 359 SPIN-ORBIT MEAN-FIELD, 141 .SPIN-R, 312 SPIN-ROTATION CONSTANT, 79, 80, 142, 312, \*SUPINT, 241 320\*SPIN-S, 81, 82, 327, 328, 333 .SPIN-S, 309, 312, 321 SPIN-SPIN ANISOTROPY, 335 SPIN-SPIN COUPLING, 14, 70, 81, 120, 142, 309, 312, 321, 326, 334, 335, 337, 338 INDIRECT, 72.SPIN-SPIN COUPLINGS, 215 .SPLITM, 415, 417 .SPNORX/Y/Z, 348, 350, 359 .SQHDOR, 235.STABILIZE, 194 .STARTHDIAGONAL, 39, 269 STARTING ORBITALS, 33, 35, 36 SCF, 299 .STARTOLDCI, 40, 269 .STATE, 152, 267, 268, 284, 285, 287, 288 .STATES, 397, 398 .STATIC, 386, 387, 389, 390, 392, 407, 408.STEEPD, 194 STEEPEST DESCENT, 54, 194 STEP NORM OF, 46\*STEP CONTROL, 264, 300 .STEP T, 188, 189, 194 .STOP, 267, 314, 316, 317, 319, 321, 325, 326, 328-330, 332, 336, 338, 340

SUBMODULE, 16, 17 SUBSYSTEM, 420 .SUMRUL, 319.SUMRULES, 411 SUPER SYMMETRY ORBITALS, 292 SUPERMATRIX, 218, 227, 235, 241 SUPERSYMMETRY, 289, 292 .SUPONL, 235.SUPSYM, 292.SUSCGO, 235 .SVDTHR, 413SVWN, 276 SVWN3, 276 .SYM CHECK, 287, 289 .SYMMET, 214, 373, 374 SYMMETRIC CONNECTION, 308, 309, 311, 313 .SYMMETRIC ORTHONORMALIZATION, 292 .SYMMETRY, 270 SYMMETRY, 2, 243, 270, 287 AUTOMATIC DETECTION, 11, 244, 245 BREAKING, 44, 192, 194 ELEMENT, 12GENERATOR, 243-245GROUP, 12, 243, 292 ONLY TOTALLY SYMMETRIC PERTURBA-TIONS, 186SYMMETRY-DEPENDENT CENTER, 12 SYMMETRY-DISTINCT ATOM, 243, 247 .SYMTES, <u>330</u> .SYMTHR, 194, 211, 245 .TDA, 343, 345 TEMPERATURE EFFECTS, 136 .TEMPERATURES, 209

.TEST N, 214 TETRAHEDRANE, 243 482

.THACOC, 421.THACVI, 421.THCC6, 364 .THCESR, 368.THCLR, 348, 353, 356, 360, 361, 363, 366 .THCPP, 350, 356, 359, 361, 363 .THETA, 115, 231, 235 THG, 392 .THG , 360.THGFRE, 390, 392 .THINDI, 217 THIRD HARMONIC GENERATION, 360, 392 THIRD MOMENT INTEGRALS, 235THIRD ORDER MOMENTS, 313 THIRD-ORDER PROPERTIES, 387 .THIRDF, 401.THIRDM, 229, 235, 313 .THQKVA, 301.THQLIN, 302.THQMIN, 302.THRCC2, 417 .THRCCC, 417.THRCGR, 288 .THRCHO, 419.THRCOM, 217 .THREE-PHOTON, 361THREE-PHOTON ABSORPTION, 361TRANSITION MOMENT, 400.THRENR, 381.THRESH, 66, 152, 241, 268, 284, 288, 298, 316, 319, 326, 332, 336, 338 .THREX2, 333 .THREXC, 394.THRFAC, 238.THRLEQ, 381.THRMP2, 415.THRNRM, 360

.THRPWF, 268, 295 .THRQ, 241.THRSSY, 292.THRVEC, 381.THSUDI, 217 .TIGHT STEP CONTROL, 302 .TIME, 238, 240, 325, 340 .TITLE, 267, 373 .TOLERANCE, 209, 302 .TOLSC, 394 .TOTSYM, 45, 186 .TPCD, 356.TR FAC, 195 .TR LIM, 195 .TRACI, 288 \*TRANSFORMATION, 32, 265, 302 .TRANSITION, 397TRANSITION MOMENT, 91, 106, 109, 142, 350, 356 BETWEEN EXCITED STATES, 357 COUPLED CLUSTER, 395 EXCITED STATES COUPLED CLUSTER, 404 LINEAR RESPONSE, 348ONE-PHOTON, 402SECOND ORDER, 356 SECOND-ORDER, CC, 397 THIRD-ORDER, 400THREE-PHOTON, 400TWO-PHOTON, 356, 402TWO-PHOTON, CC, 109, 397 TRANSITION MOMENTS, 307 TRANSITION STATE, 42, 48, 53, 54, 56, 193, 206, 207, 305 TRANSITION STRENGTH COUPLED CLUSTER, 395 EXCITED STATES COUPLED CLUSTER, 404

STATES, CC, 407 THIRD-ORDER, 400\*TWOEXP, 48, 94, 338 THREE-PHOTON, 400\*TWOINT, 112, 237 TWO-PHOTON, CC, 397TRANSITION STRENGTHS, 402.U12INT, 235 TRANSLATIONAL INVARIANCE, 336 .U21INT, 235 .TRAPRI, 325.ULTRAF, 272.TRASKI, 325.UNCONT, 211.TRATES, 325.USE 02, 398 .TRDQF, 345.USE R2, 389 .TRIPLET, 319, 348, 350, 351, 359 .USE X2, 398 TRIPLET RESPONSE, 72, 120, 345.USECHI, 392 .TRIPST, 336 .USELEF, 408\*TROINV, 48, 94, 309, 313, 317, 336 .USEXKS, 392.TRPFLG, 345, 347 .TRPPRP, 368VAN DER WAALS RADIUS, 321 \*TRPRSP, 82, 337 VCD, 25, 45, 72, 90, 92, 313, 314, 336, .TRSTRG, 195340, 423 .TRUST, 57, 206, 207, 209 .VCD, 92, 313 .TRUST RADIUS, 302 VCD, 326TRUST RADIUS, 205, 206, 208, 300-302 .VCLTHR, 413.WALK GEOMETRY OPTIMIZATION, 209 .VDWINC, 204GEOMETRY OPTIMIZATION, 195 .VDWSCL, 203TRUST REGION, 42, 45, 48.VECLEN, 186.TRUSTR, 195.VERBOSE, 200 .TSTDEN, 383 .VIB\_G, 65, 313 .TSTJEP, 353 \*VIBANA, 25, 48, 61, 93, 94, 213, 215, 340 TURBOMOLE BASIS SET, 243 .VIBANA, 60, 213, 306, 313 TUTORIALS, 3 .VIBAVE, 209 TWO-ELECTRON INTEGRAL, 184, 218, 235, VIBRATIONAL ANALYSIS, 13, 43, 313, 340, 237, 302 341 DIRECT, 184VIBRATIONAL CIRCULAR DICHROISM, 25, 45, .TWO-PHOTON, 19, 344, 356, 357 72, 90, 92, 313, 314, 336, 340, TWO-PHOTON 423 AMPLITUDE, 107, 108 VIBRATIONAL CORRECTIONS, 136 TRANSITION MOMENT, 356VIBRATIONAL EXCITATION, 58 EXCITED STATES, 362 VIBRATIONAL FREQUENCY, 60 TRANSITION MOMENT, CC, 109, 397 VIBRATIONAL G FACTOR, 65, 313 TRANSITION MOMENTS BETWEENEXCITED VIBRATIONALLY AVERAGED GEOMETRIES, 134

```
VIBRATIONALLY AVERAGED PROPERTIES, 340 X3LYP, 281
.VIRIAL, 293
                                         .XDDXR3, 236
                                         XLYP, 281
VIRIAL ANALYSIS, 293
.VISUAL, 195, 196
                                         .XSTNUM, 409
VISUALIZATION, 195, 196
                                         .XSTSYM, 409
   EIGENVECTORS, 196
                                         .XX/YY/ZZCOMP, 367
.VR-BON, 195, 196
                                         .XYDEGE, 389
.VR-COR, 195, 196
                                         Z-MATRIX INPUT, 11, 12, 242, 243, 249,
.VR-EIG, 195, 196
                                                250
.VR-SYM, 196
                                         .ZCMVAL, 210, 211
.VR-VIB, 195, 196
                                         .ZERGRD, 206, 209
VRML, 195, 196
                                         .ZERO, 369, 415, 417
.VRML, 195, 196
                                         ZERO-POINT VIBRATIONAL CORRECTIONS, 136
.VROA, 64, 100, 313
                                         ZERO-POINT VIBRATIONAL ENERGY, 60
VROA, 326
                                         .ZEROELEMENTS, 268
VWN3, 274
                                         .ZEROMUL, 200
VWN5, 274
                                         .ZEROPOL, 200
*WALK, 42, 44, 46, 48-50, 53, 65, 100,
                                         .ZFS, 85, 368
       101, 122, 137, 186, 187, 204, 305
.WALK, 45, 53, 186
WAVE FUNCTION, 13, 100
.WAVE FUNCTIONS, 186
WAVE LENGTHS
   LINEAR RESPONSE, 316
.WAVELE, 315, 316, 386
.WEIGHTED RESIDUALS, 268
.WEINBG, 236
.WESTA, 267
WIGNER, 275
WL90, 275
WORK MEMORY, 24
.WRITEA, 240
WRKMEM, 7
.WRTINT, 313
.WRTLIN, 211
.X2SKIP, 381
.X2TEST, 353, 357, 359
```